

Matrix of Capital Project Approval Requirements

Project Type	Institutional Boards of Trustees	USHE Regents	State of Utah Building Board	State of Utah Legislature
Campus Facilities Master Plans	utahsbr R710-4.7.1 & R220	utahsbr R710-4.2 & R220	Rqd. for new plans/substantial modifications UCA 63A-5-103(1) & UAC R23-3-9	
Property Acquisition (total costs more than \$100K) (total costs \$100K or less)	Required utahsbr R710-4.5 & R220 Required utahsbr R710-4.5 & R220	Required if costs are \$100K or more, and/or if requesting state funds for purchase and/or O&M support (utahsbr R710-4.5 & R220). If state O&M fund request exceeds \$100K legislative leadership must be notified prior to purchase (UCA 53-20-104).	Required if requesting appropriation for purchase and/or state O&M support UCA 63A-5-104	Required if requesting state funds for purchase and/or state funded O&M support (UCA 63A-5-104). If state funded O&M support will exceed \$100K, speaker, president, & cochairs of CFGO must be notified prior to purchase UCA 53-20-104
State Funded Projects <u>Capital Development</u> New Facility or Building Expansion (total costs \$500K or more) Remodeling, Site, or Utility Project (total costs \$2.5 million or more) <u>Capital Improvement</u> New Facility or Building Expansion (total costs less than \$500K) Remodeling, Alteration, Replacement (total costs less than \$2.5 million) Remodeling, Site, or Utility Project (total costs less than \$2.5 million)	Required utahsbr R710-4.5 & R220 Required utahsbr R710-4.5 & R220 Required utahsbr R710-4.5 & R220 Required utahsbr R710-4.5 & R220 Required utahsbr R710-4.5 & R220	Required utahsbr R710-4.5 & R220 Required utahsbr R710-4.5 & R220 Information utahsbr R710-4.5 & R220 Information utahsbr R710-4.5 & R220 Information utahsbr R710-4.5 & R220	Required UCA 63A-5-104 Required UCA 63A-5-104 Required UCA 63A-5-104 Required UCA 63A-5-104 Required UCA 63A-5-104	Required Utah Code 63A-5-104 Required Utah Code 63A-5-104 Not Required Utah Code 63A-5-104 Required if one or more projects in a single facility exceed \$2.5 million and are phased over more than one year UCA 63-5-104(4)(e)
Non-state Funded Projects (if seeking O&M and/or access to future capital improvement funds, all projects regardless of amount) (if funded by bonding) (if paid from student fees, contractual debt, or disposal or exchange of assets) (total costs greater than \$1 million funded from private sources) (total costs less than \$1 million funded from private sources)	Required utahsbr R710-4.5 & R220 Required utahsbr R710-4.5 & R220 Required utahsbr R710-4.5 & R220 Required utahsbr R710-4.5 & R220 Required utahsbr R710-4.5 & R220	Required utahsbr R710-4.5.5.6 Required utahsbr R710-4.5.5.1 & R590 Required utahsbr R710-4.5.5.1 Required utahsbr R710-4.5.5.2	Required UCA 63A-5-104 Required UCA 63A-5-104 Required UCA 63A-5-104 Required UCA 63A-5-104 & UAC R23-24 Required UCA 63A-5-104 & UAC R23-24	Required if requesting O&M and/or access to future cap. Impr. Funds UCA 63A-5-104(3)(B) Legislative Bonding Authority UCA 53B-21-113 & 63B
Sale of Property	Required UC 63A-5-104	Required utahsbr R710-4.5 utahsbr R220	Not Required UC 63A-5-104	
Project Management	Projects with a total construction cost over \$100,000 must be managed by DFCM except as follows: (a) projects funded from state capital improvement funds must be managed by DFCM unless delegated by the Building Board; (b) the Building Board may delegate the administration of a project on a project specific basis or for a category of projects. For projects not managed by DFCM, the institution managing the project must assure compliance with DFCM's forms, contracts, design standards, and code inspection requirements [UCA 63A-5-206(2) and (4)]. Current delegation authority and requirements are specified in UAC R23-29-3, UAC 23-29-20, and UAC 23-29-21.			

<p>Utah Code Annotated (uca) Updated 5-28-09 DFCM & Building Board Authority UCA 63A-5-104</p> <p>UCA 63A-5-206</p> <p>UCA 53B-21-113 & UCA 63B</p> <p>UCA 53-B-20-104</p>	<p>Utah Code Title 63A, Chapter 5 (State Building Board - Division of Facilities Construction and Management)</p> <p>Capital Development & Capital Improvement process-Approval requirements-Limitations on new projects-Emergencies.</p> <p>Construction, alteration, and repair of state facilities-Powers of director-Exceptions-Expenditure of appropriations-Notification to local governments for construction or modification of certain facilities.</p> <p>53B-21-113 requires legislative authorization prior to issuance of bonds; 63B provides authorization for specific projects.</p> <p>Higher Education Buildings and facilities</p>	
<p>Utah Administrative Code (UAC) Rule R23-24 12-1-09</p> <p>Rule R23-29 12-1-09</p>	<p>http://www.rules.utah.gov/publicat/code/r023/r023-024.htm</p> <p>http://www.rules.utah.gov/publicat/code/r023/r023-029.htm</p>	<p>Capital Projects utilizing Non-appropriated Funds (Over \$100K): Establishes the policy of the Utah State Building Board relative to projects funded totally or partially by non-appropriated funds; establishes requirements for verification of funding and the timing of reimbursements to DFCM for expenditures made.</p> <p>Delegation of Project Management</p>
<p>Regents Policy References</p> <p>R220 Updated 4-3-09</p> <p>R590 Updated 1-16-04</p> <p>R710 Updated 4-3-09</p> <p>R711 Updated 4-3-09</p>	<p>http://www.utahsbr.edu/policy/R220%20Checklist.pdf</p> <p>http://www.utahsbr.edu/policy/R590.pdf</p> <p>http://www.utahsbr.edu/bor01g.html#section7</p> <p>http://www.utahsbr.edu/policy/R711.pdf</p>	<p>Checklist of Presidents' and Trustees' Responsibilities: responsibilities of Trustees and Presidents as to those matters for which the Regents adopted policies and procedures.</p> <p>Regents Policy on Issuance of Revenue Bonds: procedural requirements for issuance of revenue bonds within USHE for facilities construction or equipment purchases.</p> <p>Capital Facilities: Role of Regents, institutional Trustees and Presidents regarding capital facilities.</p> <p>State Building Board Delegation of Capital Facilities Projects: Approves the State Building Board's delegation of capital facilities authority for the research universities to manage and build projects authorized by the legislature for design and construction.</p>