

March 27, 2015

MEMORANDUM

TO: State Board of Regents  
FROM: David L. Buhler  
SUBJECT: General Consent Calendar

The Commissioner recommends approval of the following items on the Regents' General Consent Calendar:

A. Minutes

1. Minutes of the Board Meeting January 23, 2015, University of Utah, Salt Lake City, Utah (Attachment).

B. Grant Proposals

1. University of Utah – Ed Office of Special Education Programs; “Sensory Impairment Teachers”; \$1,244,230. Christine Clark Bischke, Principal Investigator.
2. University of Utah – Ed Office of Special Education Programs; “Positive Behavior Support”; \$1,226,399. Robert E. O’Neill, Principal Investigator.
3. University of Utah – Ed Office of Special Programs; “Parent-Training Approach”; \$1,205,865. Aaron Jason Fischer, Principal Investigator.
4. University of Utah – National Science Foundation; “Hazards Sees: Post-Wildfire”; \$2,999,556. Evert C. Lawton, Principal Investigator.
5. University of Utah – National Science Foundation; “NSF SCH Active Smart Helmet”; \$1,985,508. Mark Andrew Minor, Principal Investigator.
6. University of Utah – Stony Brook University; “Accelerating Innovation”; \$1,500,000. Ganesh Gopalakrishnan, Principal Investigator.
7. University of Utah – NIH National Institute of Child Health & Human Development; “Pediatric Asthma”; \$3,154,828. Christopher A. Reilly, Principal Investigator.
8. University of Utah – DHHS National Institute of Health; “Heparan Sulfated”; \$1,862,500. Kuberan Balagurunathan, Principal Investigator.

9. University of Utah – Northwestern University; “Long-Acting HIV Prophylaxis”; \$1,018,500. You Han Bae, Principal Investigator.
10. University of Utah – UT Department of Workforce Services; “TANF Contract”; \$2,274,486. Mary Beth Vogel-Ferguson, Principal Investigator.
11. University of Utah – NIH National Institute of Child Health & Human Development; “ASD and Pregnancy”; \$3,833,370. Michael Sean Esplin, Principal Investigator.
12. University of Utah – NIH National Institute of Child Health & Human Development; “Rapid EC”; \$1,862,500. David Turok, Principal Investigator.
13. University of Utah – DHHS National Institutes of Health; “Molecular Mechanisms in Transc”; \$1,862,500. David J. Stillman, Principal Investigator.
14. University of Utah – NIH National Heart Lung & Blood Institute; “Genetic Vascular Disease”; \$1,862,500. Dean Y. Li, Principal Investigator.
15. University of Utah – DHHS National Institutes of Health; “Mobile Decision Support System”; \$3,513,344. Christopher R. Butson, Principal Investigator.
16. University of Utah – DOD Defense Threat Reduction Agency; “Forensics by Calorimetry”; \$1,750,000. Luther McDonald IV, Principal Investigator.
17. University of Utah – National Science Foundation; “NSF NSF DMREF”; \$1,175,544. Terry Arthur Ring, Principal Investigator.
18. University of Utah – National Science Foundation; “NCS-FO: DEEP-BRAIN SUPER-RESOL”; \$1,000,000. Rajesh Menon, Principal Investigator.
19. University of Utah – UT Department of Health; “Drug Information Services”; \$2,073,750. Gary M. Oderda, Principal Investigator.
20. University of Utah – National Science Foundation; “Tax4 Construction”; \$1,799,420. Gordon B. Thomson, Principal Investigator.
21. University of Utah – DOD Defense Threat Reduction Agency; “Engineering the Biotic-Abiotic”; \$1,750,000. Shelley D. Minter, Principal Investigator.
22. University of Utah – National Science Foundation; “STEM Proposal”; \$1,349,799. Emina Alibegovic, Principal Investigator.

23. University of Utah – National Science Foundation; “Bio-Inspired Scaleable Nanoman”; \$1,199,314. Shelley D. Minter, Principal Investigator.
24. University of Utah – National Science Foundation; “DMREF”; \$1,183,822. Shelley D. Minter, Principal Investigator.
25. University of Utah – AAA Foundation for Traffic Safety; “Workload in Vehicles”; \$3,362,210. David Lee Strayer, Principal Investigator.
26. University of Utah – US Department of Defense; “Assessing Suicide Risk”; \$2,414,421. Brian Robert Baucom, Principal Investigator.
27. University of Utah – NIH National Heart Lung & Blood Institute; “Loving Kindness Intervention”; \$1,117,500. Bert N. Uchino, Principal Investigator.
28. University of Utah – NIH National Institute for Child Health and Human Development; “IDEAL”; \$6,261,985. Erica Boiman Johnstone, Principal Investigator.
29. University of Utah – NIH Institute for Neurological Disorders and Stroke; “Bonkowsky U54 Dec 2014”; \$2,500,000. Joshua Leitch Bonkowsky, Principal Investigator.
30. University of Utah – Biofire Diagnostics Inc; “Fluorescent DNA AMP Research”; \$2,564,600. Carl Thomas Wittwer, Principal Investigator.
31. University of Utah – Biofire Diagnostics Inc; “Fluorescent DNA AMP Service”; \$2,284,100. Carl Thomas Wittwer, Principal Investigator.
32. University of Utah – NIH National Institute for Child Health and Human Development; “WRHR”; \$1,700,975. Matthew Peterson, Principal Investigator.
33. University of Utah – NIH National Institute on Drug Abuse; “Citicoline in ATS”; \$1,489,808. Perry Franklin Renshaw, Principal Investigator.
34. University of Utah – Merck & Company Inc; “MK8931-019-05”; \$1,121,896. Edward Zamrini, Principal Investigator.
35. University of Utah – Novartis Pharmaceuticals Corp; “CTL019B2202”; \$1,001,284. Michael A. Pulsipher, Principal Investigator.
36. University of Utah – National Science Foundation; “MRI: Heterogeneous Compute”; \$3,809,289. Thomas E. Cheatham, Principal Investigator.

37. Utah State University – US Department of Education (DoED); “Project MAP-ITT: Multiple Approaches Providing Instruction in Teacher Training”; \$1,083,408. Deborah Golos, Principal Investigator; James Blair, Co-Principal Investigator.
38. Utah State University – US Department of Ed –Office of Special Education Programs and Projects (OESP); “Personnel Preparation for Audiologists and Speech-language Pathologists Serving”; \$1,085,225. Karen Munoz, Principal Investigator; Karl White, Julie Wolter, Co-Principal Investigators.
39. Utah State University – US Department of Education (DoED); “Development and Evaluation of an Interdisciplinary Transition Leadership Grant”; 1,131,950. Robert Morgan, Principal Investigator; Kathleen Oertle, Timothy Riesen, CO-Principal Investigators.
40. Utah State University – US Department of Education (DoED); “Multidisciplinary Leadership Preparation to Enhance Language and Literacy Outcome”; \$1,243,291. Timothy Slocum, Principal Investigator; Lillian Duran, Ron Gillam, Co-Principal Investigators.
41. Utah State University – US National Science Foundation (NSF); “Focusing on Historically Challenging STEM Gateway Courses and Providing Resource”; \$2,000,0000. Peter Iyere, Principal Investigator; Russell Goodrich, Virgil Caldwell, Co-Principal Investigators.
42. Utah State University – Southwest Research Institute (SwRI); “Sub-Arcsecond Resolution Ultraviolet Explorer (SARUX)”; \$24,346,423. Jed Hancock, Principal Investigator.
43. Utah State University – Naval Research Lab; “Naval Research Laboratory (NRL) High Precision Pointing Mirror System (HPPS) Phase II”; \$3,074,921.67. Burt Lamborn, Program Manager.
44. Utah State University – Air Force Space and Missiles Command; “Mission Data Processing (MDP) for Wide Field of View (WFOV) Testbed”; \$24,499,200. Kenny Reese, Program Manager.
45. Utah State University – NASA Goddard Space Flight Center; “Space Capable Visible Camera”; \$2,635,517. Jed Hancock, Principal Investigator.
46. Utah State University – Utah System of Higher Education - University of Utah; “Utah Alliance for Alzheimer’s Disease”; \$1,294,524. Joann Tschanz, Principal Investigator; Ron Munger, Christopher Corcoran, Co-Principal Investigators.
47. Utah State University – US National Science Foundation (NSF); “Transformation through Integration: interdisciplinary campus-wide engagement in”; \$2,898,115. Mary Hubbard,

Principal Investigator; Mark Koven, Robert Schmidt, Robert Davies, Co-Principal Investigators.

48. Utah State University – US Department of Education (DoED); “Student Support Services”; \$1,727,605. Garth Wilson, Principal Investigator.
49. Utah State University – US National Science Foundation (NSF); “DMREF: Collaborative Research: Designing New Spider Silk Materials”; \$1,474,972. Randolph V. Lewis, Principal Investigator.
50. Utah State University – US Department of Health and Human Services - National Institutes of Health (NIH); “Characterization of Mtr4-mediated interactions; “Sean Johnson, Principal Investigator.
51. Utah State University – Naval Research Lab; “Naval Research Laboratory (NRL) Optical Telescope Assembly (OTA) Phase II”; \$2,990,993.88. Burt Lamborn, Program Manager.
52. Utah State University – Naval Research Lab; “FY 15 Interim Virtualized Imagery Processing Capability (VIP-C) Tasks”; \$2,279,800. Glen Wada, Principal Investigator.
53. Utah State University – Applied Technology Associates Aerospace; “Small Satellite Portfolio (SSP)”; \$1,798,675. David Anderson, Program Manager.
54. Utah State University – Misc Federal Sponsors; “Remote Global GEOINT (Geospatial Intelligence) Ground (RG3) Task Order (TO) 8 - Marine Corps Fiscal Year (FY) 15-16”; \$8,674,250. Teresa Jensen, Program Manager.

C. Awards

1. University of Utah – Air Force Office of Scientific Research; “Catalyst and Fuel Interactions to Optimize Endothermic C”; \$1,350,000.
2. University of Utah – NIH National Institute of General Medical Science; “Structural Biology Center for HIV/HOST Interactions in T”; \$4,503,202. Wesley I. Sundquist, Principal Investigator.
3. University of Utah – NIH National Heart Lung & Blood Institute; “Sprint –Option3”; \$3,990,712. Alfred K. Cheung, Principal Investigator.
4. Utah State University – UT Department of Workforce Services; “Utah Supplemental Nutrition Assistance Program Education (SNAP-Ed) FY 2015”; \$1,309,747. Heidi Leblanc, Principal Investigator.

5. Utah State University – UT Department of Workforce Services; “Stepfamily Education”; \$1,120,797. Brian Higginbotham, Principal Investigator.
6. Utah State University – Misc Private Sources; “BRRATT”; \$1,018,988. Matt Cupal, Program Manager.
7. Utah State University – Naval Research Lab; “Naval Research Laboratory (NRL) Intelligence, Surveillance, and Reconnaissance Systems (ISRS) Task Order 0001-Silverbolt”; \$1,000,000. Matt Cupal, Program Manager.

D. Academic Items Received and Approved

1. New Programs
  - a. University of Utah – Emphasis in Chemical Engineering in Bachelor of Arts and Bachelor of Science in Chemistry
  - b. University of Utah – Emphases in Bachelor of Arts and Bachelor of Science in Geography
    - i. Emphasis in Climate Change
    - ii. Emphasis in Ecology and Biogeography
    - iii. Emphasis in Geographic Information Science
    - iv. Emphasis in Geomorphology and Hydrology
    - v. Emphasis in Global Development, Population, and Sustainability
    - vi. Emphasis in Hazards, Resources, and Human Security
    - vii. Emphasis in Remote Sensing of the Environment
    - viii. Emphasis in Urban Systems, Location, and Resilience
  - c. University of Utah – Emphasis in Statistical Analysis for Bachelor of Arts and Bachelor of Science in Economics
  - d. University of Utah – Graduate Certificate in Adult/Gerontology Acute Care Nurse Practitioner
  - e. University of Utah – Graduate Certificate in Family Nurse Practitioner
  - f. University of Utah – Graduate Certificate in Nurse Midwifery
  - g. University of Utah – Graduate Certificate in Nursing Education
  - h. University of Utah – Graduate Certificate in Women’s Health Nurse Practitioner
  - i. University of Utah – Minor in Music Technology
  - j. Utah State University – Emphasis in Applied Environmental Geoscience in Bachelor of Science in Geology
  - k. Utah State University – Emphasis in Human Biology in Bachelor of Science in Biology
  - l. Utah State University – Minor in Real Estate
  - m. Weber State University – Certificate of Proficiency in Spanish
  - n. Snow College – Certificate of Completion in General Education
  - o. Utah Valley University – Certificate of Proficiency in Entrepreneurship
  - p. Utah Valley University – Minor in Applied Data Analytics
  - q. Utah Valley University – Minor in Communication
  - r. Utah Valley University – Minor in Geography

- s. Utah Valley University – Minor in Latin American Studies
  - t. Utah Valley University – Minor in Portuguese
  - u. Salt Lake Community College – Certificate of Completion – Commercial Foods
  - v. Salt Lake Community College – Certificate of Completion – Diesel Service Technician and Driver
  - w. Salt Lake Community College – Certificate of Proficiency – Diesel Service Technician
2. New Administrative Unit
    - a. Dixie State University – Department of Health and Human Performance
  3. Administrative Unit Name Change
    - a. Salt Lake Community College – Paralegal Studies to Legal Studies
  4. Administrative Unit Consolidation
    - a. Southern Utah University – School of Continuing and Professional Studies to School of Graduate and Continuing Studies
  5. Program Restructure
    - a. Utah Valley University – Mechatronics Engineering Technology
    - b. Utah State University – Agricultural Systems Technology – Master of Science degree into two degrees
      - i. Agricultural Extension and Education – Master of Science Degree
      - ii. Family and Consumer Sciences Education and Extension – Master of Science Degree
  6. Program Restructure and Name Change
    - a. Utah State University – Bachelor of Arts and Bachelor of Science in Environmental Soil/Water Sciences to Bachelor of Arts and Bachelor of Science in Land, Plant, and Climate Systems
      - i. Emphasis in Applied Climatology
      - ii. Emphasis in Environmental Soil Science
      - iii. Emphasis in Sustainable Food Production
  7. Name Change
    - a. University of Utah – Master of Science in Mathematics (Teaching) to Master of Science in Mathematics Teaching
    - b. Weber State University – Bachelor of Science in Electronics Engineering to Bachelor of Science in Electrical Engineering
    - c. Southern Utah University – Bachelor of Science in Integrated Engineering to Bachelor of Science in Engineering
    - d. Salt Lake Community College – Certificate of Proficiency in Advanced Accounting to Certificate of Proficiency in Intermediate Accounting

8. Discontinue
    - a. Utah State University – Bachelor of Science in Applied Environmental Geoscience
    - b. Utah State University – School Health Emphasis in the Bachelor of Science Health Education and Promotion degree
    - c. Utah State University – School Health Teaching Minor
    - d. Utah Valley University – Bachelor of Science in Digital Media (Effective Fall 2015)
    - e. Utah Valley University – Dance Emphases in Bachelor of Arts and Bachelor of Science in Integrated Studies:
      - i. Emphasis in Ballet
      - ii. Emphasis in Ballroom Dance
      - iii. Emphasis in Modern Dance
  9. Two-Year Follow-Up Report
    - a. Snow College – Bachelor of Music in Commercial Music
  10. Three-Year Follow-Up Report
    - a. Southern Utah University – Bachelor of Arts and Bachelor of Science in General Studies
    - b. Southern Utah University – Bachelor of Arts and Bachelor of Science in Philosophy
    - c. Southern Utah University – Museum Studies Minor
    - d. Southern Utah University – Sustainability Studies Minor
  11. Five-Year Review
    - a. Snow College – Department of Music
    - b. Snow College – Department of Theatre
    - c. Snow College – Department of Visual Arts
  12. Correction to September 2014, State Board of Regents, General Consent Calendar, New Program Name Change
    - a. University of Utah – From Department of Entrepreneurship and Strategic Management to Department of Entrepreneurship and Strategy
- E. Finance and Facilities Items
1. Utah State University – November 14, 2014 Board of Regents Meeting Follow-up Items (Attachment)
    - a. Acquisition of Properties Contiguous to the Logan Campus
    - b. Long-term Ground Lease on the Utah State University Eastern Campus
    - c. Uintah Basin Regional Campus Ground Lease Approval



2. Updated Evaluation Schedule to Policy R209 (Attachment)

DLB/KLS  
Attachments

---

David L. Buhler  
Commissioner of Higher Education

STATE BOARD OF REGENTS  
UNIVERSITY OF UTAH, SALT LAKE CITY, UTAH  
COMMANDER'S HOUSE / UNIVERSITY GUEST HOUSE  
FRIDAY, JANUARY 23, 2015

Contents of Minutes

Attendance .....	1
State of the University.....	2
Resolutions.....	2
John B. "Jack" Goddard (Remembrance)	
Speaker Rebecca D. Lockhart (Remembrance)	
Keith M. Buswell (Appreciation)	
Updated Strategic Direction and Goals.....	2
Institutional Completion Goals.....	3
New USHE Performance Funding Model Proposal .....	3
2015 Legislative Priorities.....	3
General Consent Calendar .....	3
Reports of Board Committees	
<u>Academic and Student Affairs Committee</u> .....	3
Utah Valley University – Bachelor of Arts in Art History	
Utah Valley University – Bachelor of Fine Arts in Theatre Arts (TAB B)	
Snow College – Certificate of Completion in Agribusiness and Associate of Applied Science Degree in Agribusiness with Emphases in Agribusiness and Management, Animal Science, Agronomy, and Range	
Revision of Policy R461, Access, Admission, and Articulation	
Institutional Completion Goals	
Report of the Technology Initiative Advisory Board to the Utah State Board of Regents	
"Expect the Great" African/African American Leadership and College Fair Event	
Utah College Application Week	
<u>Finance/Facilities Committee</u> .....	4
New USHE Performance Funding Model Proposal	
2015-16 Mission Based Funding Initiatives	
FY 2016 Capital Development Projects Changes	
Weber State University – Renovation of Davis Campus Northrup Grumman Building (TAB L)	
Weber State University – Miller Administrative Building Systems Renovation	
Weber State University – Series 2015 Bond Issue to Refund Student Facilities System Revenue Bonds	
UHEAA - Proposed Student Loan Portfolio Purchase and Financing	

Update on Institutional Audit Reports to the Regents' Audit Committee  
USHE – 2015 Data Book  
Securities Exchange Commission (SEC) Municipalities Continuing Disclosure Cooperation (MCDC)  
Initiative  
Utah State University – Donation of Caine Home Contiguous Property to the Logan Campus  
University of Utah Health Care System Remodeling Projects  
University of Utah – Series 2015A General Revenue and Refunding Bonds  
USHE – Annual Report on Institutional and Revenue Bonded Indebtedness  
USHE – Report of Auxiliary Funds

Adjournment ..... 6

**DRAFT**

STATE BOARD OF REGENTS  
UNIVERSITY OF UTAH, SALT LAKE CITY, UTAH  
COMMANDER'S HOUSE / UNIVERSITY GUEST HOUSE  
FRIDAY, JANUARY 23, 2015

COMMITTEE OF THE WHOLE  
MINUTES

Regents Present

Daniel W. Campbell, Chair  
France A. Davis, Vice Chair  
Jessellie B. Anderson  
Nina R. Barnes  
Bonnie Jean Beesley  
Wilford W. Clyde  
James T. Evans  
Brady L. Harris  
Marlin K. Jensen  
Robert S. Marquardt  
Jed H. Pitcher  
Robert W. Prince  
Mark R. Stoddard  
Teresa L. Theurer  
Joyce P. Valdez  
John H. Zenger

Regents Absent

Leslie Brooks Castle  
Jefferson Moss  
Jed H. Pitcher  
Harris H. Simmons

Office of the Commissioner

David L. Buhler, Commissioner of Higher Education  
Elizabeth Hitch, Associate Commissioner for Academic and Student Affairs  
Gregory Stauffer, Associate Commissioner for Planning, Finance and Facilities  
David Feitz, Executive Director of UHEAA

Institutional Presidents Present

David W. Pershing, University of Utah  
Stan L. Albrecht, Utah State University  
Charles A. Wight, Weber State University  
Scott L Wyatt, Southern Utah University  
Richard B. Williams, Dixie State University  
Deneece G. Huftalin, Salt Lake Community College

Institutional Presidents Absent

Gary L. Carlston, Snow College  
Matthew S. Holland, Utah Valley University

Other Commissioner's Office and institutional personnel were also present. The signed role is on file in the Commissioner's Office.

The Board of Regents began the day at 7:30 a.m. with a breakfast meeting; they were joined by the University of Utah Board of Trustees.

The Committee of the whole was called to order at 9:15 a.m. It was moved by Regent Stoddard and seconded by Regent Zenger to meet in Executive Session for the purpose of discussing the character, professional competence, or physical or mental health of individuals. The motion carried. The Board met in Executive Session until 10:13 a.m.

Following Executive Session, the Board of Regents met in committees from 10:15 until 11:45 a.m. which was followed by lunch.

**Chair Campbell called the meeting to order at 12:40 p.m.** He excused Presidents Holland and Carlston, and Regents Castle, Pitcher and Simmons. He then turned the time over to President Pershing for the state of university address.

#### State of the University

President Pershing gave a presentation on the state of the University of Utah focusing on student learning and engagement initiatives, followed by highlights and updates of the campus master plan.

**The Committee of the Whole was again called back to order by Chair Campbell at 1:02 p.m.** Chair Campbell announced the resolutions listed as the last item of business would now be the first.

#### Resolutions

##### John B. "Jack" Goddard

Regent Marquardt spoke on behalf of a resolution of remembrance for John B. Goddard and his impact on higher education and the state of Utah, specifically the Weber County community. **It was moved by Regent Marquardt and seconded by Regent Zenger to adopt the resolution. The motion carried.**

##### Speaker Rebecca D. Lockhart

Regent Clyde read the resolution of remembrance for Rebecca D. Lockhart, spoke in behalf of the resolution, and moved the approval of it. **It was seconded by Regent Davis and carried.**

##### Keith M. Buswell

Regent Theurer spoke in support of a resolution of appreciation for Keith M. Buswell for his service on the State Board of Education and the State Board of Regents. **It was moved by Regent Theurer and seconded by Regent Prince to adopt the resolution for Mr. Buswell. The motion carried.**

#### Updated Strategic Direction and Goals (TAB X)

Commissioner Buhler reported on the updated strategic direction and goals the Board and USHE presidents have been discussing over the last several months. The USHE's purpose is higher education advances the prosperity and quality of life for all Utahns. The target is 66 percent of adults ages 25-35 will have earned a post-secondary degree or certificate by 2020. The strategic objectives are affordable participation, timely completion and innovative discovery. **It was moved by Regent Stoddard and seconded by Regent Beesley to approve the purpose statement, reaffirm support for the statewide goal of 66by2020, and approve the strategic directions, goals and possible tactics as contained in Tab X. It was also recommended that these materials guide the continued work of the Commissioner's Office and institutions as well as guide the Board in making future budget and capital requests, policy considerations and strategic planning. Finally, the Regents direct the**

Commissioner to bring to the Board, during 2015, a proposal for an inclusive process leading to a meaningful, new long-range higher education strategic plan. The motion carried.

Institutional Completion Goals (TAB E\*)

Commissioner Buhler reported on the history, strategies, and progress of USHE's completion goals, which were also discussed in the Academic and Student Affairs Committee. **It was moved by Vice Chair Davis and seconded by Regent Beesley to thank the presidents and institutions for their efforts, encourage continued efforts in meeting the completion goals, and accept the attachment in Tab E which outlined the three- and five-year institutional completion goals. The motion carried.**

New USHE Performance Funding Model Proposal (TAB I\*)

Commissioner Buhler reported on the new performance funding model proposal which was also discussed in the Finance and Facilities Committee. The emphasis includes rewarding excellence, completion and a balanced approach. Commissioner thanked Presidents Wight, Pershing and Wyatt for their participation in the Performance Funding Working Group. He briefly explained the three USHE metrics and institution-specific metrics, the allocation of funds and implementation of the funding model. Action on this item was delayed until the Finance and Facilities Committee chair reported to the board.

2015 Legislative Priorities (TAB Y)

Commissioner Buhler reported on the regional legislative briefings and kick off meetings, the upcoming Higher Education Lunch on the Hill on February 20, and the key legislative efforts. **It was moved by Regent Anderson and seconded by Regent Barnes to endorse the budget and priorities of USHE for the 2015 Session of the Utah State Legislature as adopted by the Board on September 26, 2014, endorse the capital facilities priorities of USHE as adopted by the Board on August 19, unite with the Commissioner and staff, Presidents and institutional representatives behind the system priorities, authorize the Commissioner to monitor, support, or oppose legislation, and to provide the Board with regular updates all as outlined in Tab Y. The motion carried.**

General Consent Calendar (TAB Z)

**On a motion by Regent Marquardt, and seconded by Regent Stoddard the following items were approved on the Regents' General Consent Calendar:**

- A. Minutes – Minutes of the Board meeting November 14, 2014, Utah Valley University, Orem, Utah; Minutes of the Board meeting December 8, 2014, Conference Call; and Minutes of the Board meeting December 11, 2014, Snow College, Ephraim, Utah.
- B. Grant Proposals
- C. Awards
- D. Academic Items Received and Approved
- E. Executive Committee Item Received and Approved

Reports of Board Committees

Academic and Student Affairs Committee

Utah Valley University – Bachelor of Arts in Art History (TAB A)

Utah Valley University – Bachelor of Fine Arts in Theatre Arts (TAB B)

Snow College – Certificate of Completion in Agribusiness and Associate of Applied Science Degree in Agribusiness with Emphases in Agribusiness and Management, Animal Science, Agronomy, and Range (TAB C)

Regent Prince briefly reported on the three degrees above and made one motion to approve the degrees as outlined in Tabs A, B and C. The motion was seconded by Regent Valdez and carried unanimously.

Revision of Policy R461, Access, Admission, and Articulation (TAB D)

Regent Prince reported the language of R461 was updated as well as provisions regarding Admission of Veterans in response to state legislation passed in recent years. **It was moved by Regent Prince and seconded by Regent Theurer to approve the revisions of policy R461 as outlined in Tab D. The motion carried.**

Institutional Completion Goals (TAB E)

This item was approved earlier (see above).

Report of the Technology Initiative Advisory Board to the Utah State Board of Regents (TAB F)

Regent Prince briefly reported on this information item; no action was taken.

“Expect the Great” African/African American Leadership and College Fair Event (TAB G)

Regent Prince briefly reported on this information item; no action was taken.

Utah College Application Week (TAB H)

Regent Prince briefly reported on this information item; no action was taken.

### Finance/Facilities Committee

New USHE Performance Funding Model Proposal (TAB I)

Regent Marquardt reported this item was presented earlier by Commissioner Buhler, adding that it is the right approach, a measured approach, and balanced with other priorities. **It was moved by Regent Marquardt and seconded by Regent Barnes to approve, as a proposal to the 2015 Legislature, the Performance Funding Model as described in Tab I. The motion carried.**

2015-16 Mission Based Funding Initiatives (TAB J)

Regent Marquardt reported this item contains specific detail of each initiative by institution for implementing mission based funding during the fiscal year 2015-16. **Regent Marquardt added it was well thought out and moved the approval of the fiscal year 2015-2016 Mission Based Funding detailed request for Student Participation, Distinctive Mission, and Research 1 Graduate Program Initiatives, adherent to Utah State Code 53B-7-101. The motion was seconded by Regent Zenger and carried by the Board.**

FY 2016 Capital Development Projects Changes (TAB K)

Regent Marquardt reported there have been some changes made to the scope and funding amounts of several projects since the Board's prioritization of State-funded Capital Development Projects on August 19, 2014 and approval of Non-state funded projects on September 26, 2014. The most common changes

are successful fundraising and updated Construction Budget Estimates provided by DFCM. **It was moved by Regent Marquardt and seconded Clyde to ratify the changes to the projects as outlined in Tab K. The motion carried.**

Weber State University – Renovation of Davis Campus Northrup Grumman Building (TAB L)

Weber State University – Miller Administrative Building Systems Renovation (TAB M)

Regent Marquardt reported on the above renovation requests by Weber State University. **It was moved by Regent Marquardt and seconded by Regent Anderson to approve authorization for both of these projects for submission to the State Building Board for final design and construction approval. The motion carried.**

Weber State University – Series 2015 Bond Issue to Refund Student Facilities System Revenue Bonds (TAB N)

Regent Marquardt reported on Weber State University's request for authorization to issue Series 2015 Revenue Bonds to refund a portion of previously issued Series 2005 Facilities System Revenue Bonds. **It was moved by Regent Marquardt and seconded by Regent Harris to approve the refinancing request as proposed. The motion carried.**

UHEAA - Proposed Student Loan Portfolio Purchase and Financing (TAB O)

Regent Marquardt reported the UHEAA has a unique opportunity to purchase a loan portfolio from Bank of America for a cost of nearly \$1.8 billion, which is a significant investment for UHEAA. He reported the Student Finance Subcommittee discussed it at length this past Tuesday, and the Finance and Facilities Committee discussed the item at length today. He asked David Feitz, Executive Director of UHEAA to report in more detail. Mr. Feitz reported the portfolio will be acquired with funds drawn on a short-term financing which would be replaced with a series of permanent financings over the next two years. The plan is to service the loans in Utah at the Gateway Office. He also reported upon the completion of the due-diligence phase; the purchase would be made in February. He added that this transaction has good economic advantages to the board and manageable risks. **It was moved by Regent Marquardt and seconded by Regent Stoddard to approve the Approving Resolution, as attached in Tab O, authorizing the purchase of the student loan portfolio and the related RBC Warehouse Line of Credit. The motion carried.**

Update on Institutional Audit Reports to the Regents' Audit Committee (TAB P)

Regent Marquardt reported the Audit Committee met the previous day and the process is working very well. He added there were no significant reports of fraud or waste, but were some issues that need to be addressed in the future including process and resources. This was an information item; no action was taken.

USHE – 2015 Data Book (TAB Q)

Regent Marquardt encouraged the Regents to take a look at the 2015 Data Book online. There was no action taken on this information item.



Securities Exchange Commission (SEC) Municipalities Continuing Disclosure Cooperation (MCDC) Initiative (TAB R)

Regent Marquardt reported this item came to the Regents previously, and while it had been established no additional disclosure is necessary, there are recommendations of addressing policy changes and reporting in the future. No action was taken on this information item.

Utah State University – Donation of Caine Home Contiguous Property to the Logan Campus (TAB S)

Regent Marquardt reported on the acceptance of a gifted property. The donor's intent is for the property, which consists of a house, parking lot and landscaped yard, to benefit the Caine College of the Arts programs and activities. No action was taken on this information item.

University of Utah Health Care System Remodeling Projects (TAB T)

Regent Marquardt reported on three impending University of Utah Health Care System remodeling projects. No action was taken on this item.

University of Utah – Series 2015A General Revenue and Refunding Bonds (TAB U)

Regent Marquardt reported on the University of Utah refinancing of their general bond system. No action was taken on this information item.

USHE – Annual Report on Institutional and Revenue Bonded Indebtedness (TAB V)

USHE – Report of Auxiliary Funds (TAB W)

Regent Marquardt reported on the above annual reports. No action was taken on these information items.

Chair Campbell expressed appreciation for the work that went behind all of the board items today. He also reminded the Regents to complete the annual Public Officer's Disclosure Statements.

**It was moved by Regent Theurer and seconded by Regent Harris to adjourn. The motion carried and the Committee of the Whole adjourned at 1:46 p.m.**

---

Kirsten Schroeder, Executive Secretary

Date Approved:

STATE BOARD OF REGENTS  
 GENERAL CONSENT CALENDAR – ATTACHMENT  
 UTAH STATE UNIVERSITY – NOVEMBER 14, 2014 BOARD OF REGENTS MEETING FOLLOW-UP ITEMS  
 MARCH 2015

At the November 14, 2014 Board Meeting there were three Utah State University (USU) agenda items that were approved pending verification that specified provisions of the final documents, when available, would be in compliance with Regents' policies and procedures. The three relevant items and their resolution are as follows:

- A. Acquisition of Properties Contiguous to the Logan Campus – The three-party transactions included exchange of a USU property in North Logan and \$600,000 in cash for three properties on Darwin Avenue that are contiguous to the Logan campus. All transactions were completed at the appraised value of the properties as follows:

Appraised Value of Acquired Darwin Ave. Properties	\$1,525,000
Appraised Value of Exchanged North Logan Property	<u>925,000</u>
USU Cash Payment for the Balance	\$ 600,000

- B. Long-term Ground Lease on the Utah State University Eastern Campus – This is a lease of land to Carbon County for their development of two soccer fields and related amenities. The terms and conditions of the final lease document are consistent with the "Tentative Ground Lease Parameters" document presented to the Regents and have been verified by the Attorney General's office as compliant with statutory provisions as to form and legal authority.
- C. Uintah Basin Regional Campus Ground Lease Approval – The prospective lessee of the property decided to not go forward with the proposed project, so this transaction did not occur.



## R209, Evaluation of Presidents<sup>1</sup>

**R209-1. Purpose:** The purpose of this policy is to establish procedures for the comprehensive and formal evaluation of the performance of each president in the Utah System of Higher Education in order to ensure high quality education at each institution. These procedures are designed to assess the quality of the president's administrative performance within the context of the institution's mission, vision, strategic goals, and in fulfillment of his or her presidential charge. The comprehensive evaluation process is intended to reflect the full scope of administrative duties expected of the president, and to provide meaningful, substantive feedback from key constituents, e.g., colleagues, members of the institutional Board of Trustees, Regents, and leaders in the community, regarding the president's efforts and areas of strength as well as the areas that need improvement.

### R209-2. References

- 2.1. Utah Code §53B-2-102 (Board to Appoint President of Each Institution)
- 2.2. Policy and Procedures R120, Bylaws; 3.3.3., Institutional Governance and Administration
- 2.3. Policy and Procedures R208, Resource and Review Teams

### R209-3. Definitions

- 3.1. **Commissioner:** the Commissioner of Higher Education.
- 3.2. **Institution:** for evaluations of presidents this refers to the college or university for which the president is the chief executive officer. For evaluation of the Commissioner this refers to the Office of the Commissioner and Board of Regents.
- 3.3. **President:** the chief executive officer of each college or university within the Utah System of Higher Education.

### R209-4. Policy

- 4.1. **Comprehensive Evaluation:** The performance of each president will be comprehensively evaluated following the first year of his or her tenure (during year 2) and every four years thereafter (during years 6 and 10). The evaluations under this policy shall occur in the spring in lieu of the spring review under R208. The Regents or the president may request a comprehensive evaluation at a shorter interval.
- 4.2. **Resource and Review Team Assessment:** The performance of each president will be assessed annually by a Resource and Review Team, as provided in Regents' Policy R208. During the year of comprehensive evaluation, the Resource and Review Team shall conduct a more limited spring review, i.e. not meet with members of the President's cabinet, for the purposes of compensation adjustments per R205 (Presidential Appointment, Term of Office, and Compensation and Benefits) and participate in the fall meeting. The Resource and Review Team may meet with the president throughout the year by mutual

<sup>1</sup> Adopted April 26, 1977; amended July 27, 1977; May 17, 1983; September 11, 1987; July 21, 1989; November 4, 1994; November 3, 1995, April 22, 2005, April 3, 2009, April 1, 2010, March 29, 2013 and March 28, 2014.

agreement with the president. The information and reports gathered by the Resource and Review Team will be made available to the Evaluation Committee.

**4.3. Guidelines for Evaluation:** The comprehensive evaluation required by this policy shall adhere to the following guidelines in order to make the evaluation process fair, meaningful, and effective:

**4.3.1. Objectivity:** Objectivity extends to the criteria to be assessed, the process for the completion of the evaluation, and the selection of persons who will participate in the evaluation.

**4.3.2. Clearly-defined criteria that relate to the institution's missions and goals:** The criteria for evaluation must encompass an appropriate scope. The criteria shall include outcome standards that relate the actions of the individual to the mission and goals of the institution as well as process criteria that describe the critical behaviors of effective leaders.

**4.3.3. Meaningful evaluation:** Appraisal of an individual's job performance should be made only by those in a position to observe that performance. Opinions concerning the president's performance will be limited to those faculty, students, staff, and others in positions that afford them enough interaction with the president to make meaningful judgments.

**4.3.4. Well-planned schedule of implementation:** A timetable for evaluation will be utilized in order to provide an adequate period for data collection, review, and feedback.

**4.3.5. Clear policy for reporting and use:** An Evaluation Committee will carry out the evaluation, and the results of each evaluation are to be shared with the president. The results of the evaluation shall remain confidential. Documentation that the evaluation has taken place will be maintained for accreditation records.

**4.3.6. Opportunity for response and self-assessment:** By engaging in the planning for the performance evaluation, i.e., the setting of performance goals, the presentation of evidence related to the attainment of those goals, and discussion of the performance plan with the Evaluation Committee, each president will have the opportunity to complete a self-assessment and provide a response to the evaluation.

**4.3.7. Review of the evaluation process:** The evaluation process outlined herein must be periodically reviewed and revised as necessary.

## R209-5. Procedures

### 5.1. Evaluation Committee

**5.1.1. Composition of Evaluation Committee:** The evaluation will be conducted by an Evaluation Committee of no fewer than three (3) members, including an Evaluation Consultant. The president shall submit a list of potential committee members to the Commissioner for consideration. The Chair of the Board of Regents shall appoint the Evaluation Committee members upon the recommendation of the Commissioner and the Vice Chair of the Board of Regents.

**5.1.2. Evaluation Consultant/Chair of Evaluation Committee:** The Evaluation Committee shall be chaired by an Evaluation Consultant who has extensive experience in higher education, and who has knowledge of the type of institution involved. The president shall submit a list of potential consultants to the Commissioner for consideration. The Commissioner, in consultation

with the Vice Chair of the Board of Regents, will then recommend the appointment of a Consultant to the Chair of the Board of Regents, who shall make the appointment.

**5.1.3. Appointment of Evaluation Committee:** The Evaluation Consultant and the other members of the Evaluation Committee shall be appointed by the Chair of the Board of Regents, after consultation with the president, the Commissioner, and the Board of Regents Vice Chair.

## 5.2. Evaluation Planning

**5.2.1. Planning Meeting:** In advance of the evaluation, the Evaluation Committee Chair (Evaluation Consultant), the Commissioner, and the president may discuss the details of the evaluation and any issues that pertain to the evaluation process.

**5.2.2. Selection of Interviewees:** The president shall submit a list of potential interviewees for approval by the Commissioner (for evaluation of presidents) or the Chair of the Board of Regents (for evaluation of the Commissioner) for consideration by the Evaluation Committee. This list shall normally consist of individuals both internal and external to the institution who are knowledgeable about the institution, and who have had enough interaction with the President to make meaningful judgments.

**5.2.3. Preparation for Interviews:** Prior to conducting confidential interviews, the Evaluation Committee shall meet with the president and his or her Resource and Review Team for the purpose of reviewing strategic plans, goals, objectives, resource allocation policies, major challenges and successes.

**5.2.4. Self-Report:** The president shall prepare a confidential self-evaluation based upon the criteria of evaluation outlined in Section 5.4. of this policy as well as the presidential charge received from the Chair of the Board of Regents at the beginning of his/her presidency. The self-report shall be submitted to the Commissioner or Evaluation Consultant and provided to the Evaluation Committee.

## 5.3. Evaluation Process

**5.3.1. Confidential Interviews:** Confidentiality shall be observed throughout the interview process. The Evaluation Committee will assure those being interviewed that their responses will remain confidential and that only a composite of responses will be made available to the Regents and the president.

**5.3.2. Required Interviews:** In addition to the interviewees identified by the president during the planning of the evaluation, the Evaluation Committee will interview a representative sample of vice presidents, deans, academic and administrative department heads, faculty, students, and community and alumni leaders. The Evaluation Committee shall also take into consideration input provided by the Faculty Senate, Board of Trustees, and Board of Regents. The Evaluation Consultant may also solicit written comments about the president's performance from various internal and external constituencies. Any written comments provided must be signed and will remain confidential. The Consultant shall not utilize a questionnaire or survey as part of the evaluation procedure.

**5.3.3. Format of Interviews:** The Evaluation Committee will normally spend at least two days at the institution conducting interviews. Appropriate accommodations will be made for conducting interviews at the campus location(s).

**5.3.4. Exit Meeting:** Prior to the end of the campus evaluation visit, the Evaluation Committee Chair (Evaluation Consultant) will meet with the president to review the preliminary results and to follow up on any questions that may remain.

**5.4. Subject of Interviews:** The Evaluation Committee will function as a fact-finder, and should review and carry out its duties consistent with this statement. In conducting the interviews, the Evaluation Committee members should ask those being interviewed to express their best judgment as to the performance of the chief executive officer in the following areas. All of the items below may not be appropriate as items of inquiry for all individuals being interviewed. In such cases the items should be omitted from the interview process.

**5.4.1. Budgetary Matters and Fiscal Management**

5.4.1.1. Evidence of sound fiscal management, including the ability to address budgetary matters in a way that achieves more efficient and effective use of resources.

5.4.1.2. Ability to allocate fiscal resources in a manner that is conducive to achieving institutional goals and objectives.

5.4.1.3. Ability to comprehend and evaluate fiscal and budgetary matters.

5.4.1.4. Ability to attract funds for the institution.

**5.4.2. Academic Administration and Academic Planning**

5.4.2.1. Existence of well developed and widely understood institutional goals and objectives.

5.4.2.2. Ability to link planning, resource allocation, and evaluation functions and a quality of judgment demonstrated in establishing ultimate priority in those areas.

5.4.2.3. Existence of a good academic program review procedure designed to serve as a basis for staff allocation and budgetary support, the evaluation of the quality of instruction, and to assist in the implementation of the university's or college's institutional goals and objectives.

5.4.2.4. Ability to initiate curricular change in response to student and societal interests and needs.

5.4.2.5. Awareness of educational ideas, trends, and innovations.

**5.4.3. Personnel**

5.4.3.1. Evidence of ability to relate to faculty and staff within the particular governance structure of the institution.

5.4.3.2. Effectiveness in forming, developing, and supervising an administrative network for making and implementing policies.

5.4.3.3. Evidence of the chief executive officer's commitment to make personnel changes when those changes are necessary to further enhance the effectiveness of the institution.

5.4.3.4. Evidence of ability to select strong subordinates.

5.4.3.5. Ability of the chief executive officer to have trust and confidence of subordinates.

5.4.3.6. Evidence of ability to seek and use counsel of immediate subordinates.

5.4.3.7. Ability to determine those issues which are the proper responsibility of subordinates and those which require the action of the chief executive officer.

5.4.3.8. Evidence of ability to delegate responsibility to subordinate managers and to support them in carrying out their responsibilities.

5.4.3.9. Evidence of an ongoing procedure for evaluation of other members of the institutional management team.

#### **5.4.4. Decision Making and Problem Solving**

5.4.4.1. Ability to assume responsibility for decisions.

5.4.4.2. Sensitivity to individuals affected by decisions.

5.4.4.3. Ability to deal with reaction to unpopular decisions.

5.4.4.4. Ability to identify and analyze problems and issues confronting the institution.

5.4.4.5. Ability to identify potential areas of conflict.

5.4.4.6. Ability to comprehend the inter-related nature of such factors as budgeting, curriculum, social and political realities, group interests and pressures, laws, and rules and regulations having implications for the management of the institution.

5.4.4.7. Ability to initiate new ideas and change.

5.4.4.8. Ability to make decisions in critical situations and to handle crises.

5.4.4.9. Ability to communicate ideas, information, and resources for decisions.

5.4.4.10. Awareness of implications of decisions.

5.4.4.11. Ability to re-evaluate and if necessary retract decisions.

5.4.4.12. Where appropriate, ability to involve institutional groups and individuals in support of decisions and in their implementation.

5.4.4.13. Ability to surmount personal criticism.

#### **5.4.5. External Relations**

5.4.5.1. Ability to relate to and communicate with the community in which the institution is located.

5.4.5.2. Evidence of an active alumni program.

5.4.5.3. Ability to meet the social obligations of a chief executive officer.

5.4.5.4. Ability to work with other chief executive officers in the System.

5.4.5.5. Ability to understand the role of politics and governmental offices in higher education.

5.4.5.6. Ability to relate to legislators, the Governor's office, other state and federal agencies, and with other public officials on matters affecting the institution.

5.4.5.7. Ability to represent the institution to its various public's.

**5.4.6. Relationship to the Institutional Board of Trustees and to the Board of Regents**

5.4.6.1. Ability to provide professional leadership for the institutional Board of Trustees or in the case of the Commissioner for the Board of Regents and to supply it with professional judgments on matters affecting the institution.

5.4.6.2. Effectiveness in keeping the institutional Board of Trustees and the Board of Regents informed of all relevant issues affecting or having bearing on managerial policies of the institution.

5.4.6.3. Effectiveness in keeping the institutional Board of Trustees and the Board of Regents abreast of local, state, and regional affairs affecting the institution.

5.4.6.4. Ability to identify for the Trustees and the Regents problems confronting the institution and to assess alternative solutions and to recommend appropriate action.

5.4.6.5. Ability to carry out duties which have been or may be delegated or assigned to the chief executive officer by the Board of Regents or by the institutional Board of Trustees.

5.4.6.6. Ability to review and analyze budgetary problems and to make effective presentations on the same to the institutional Board of Trustees and the Board of Regents.

**5.4.7. Student Affairs**

5.4.7.1. Evidence of formal and informal mechanisms for involving students in decision making.

5.4.7.2. Evidence of effective recruitment, admission, counseling, and placement programs.

5.4.7.3. Ability to relate to students as individuals and in groups.



5.4.7.4. Evidence of sensitivity on the part of the chief executive officer to individual differences and tolerance of and respect for such differences.

## 5.5. Evaluation Report

5.5.1. **Report to be Factual:** The Evaluation Committee Chair shall compile factual information gathered during the course of the evaluation in a written report documenting the president's strengths and areas for future focus and improvement.

5.5.2. **Opportunity for Response:** The Chair will submit the final, confidential report to the Commissioner for transmittal to the president, and the president shall be given the opportunity to prepare a written response to the report.

5.5.3. **Review by Regents' Officers:** the Evaluation Report, together with the president's response to the Report and the president's self-evaluation, will be sent to the Chair and Vice Chair of the Board of Regents, and to the president's Resource and Review Team.

5.5.4. **Review by Board of Regents:** As soon as practical after the submission of the evaluation reports, the president will meet with the Commissioner, the Chair and Vice Chair of the Board of Regents to review the findings and recommendations of the Evaluation Report.

5.5.5. **Recommendations to Board of Regents:** At the conclusion of the evaluation process, the Chair of the Board of Regents may recommend commendations or other actions to the Board of Regents.

5.5.6. **Retention of Report in Personnel File:** A copy of the Evaluation Report, together with a copy of the president's self-evaluation and response to the Report, will be retained as a confidential record in the president's personnel file.

5.5.7. **Confidentiality of Report:** The Evaluation Report, including all documents pertaining thereto, including all notes, drafts, records of meetings conducted during the course of the evaluation, and all recommendations and responses, are confidential personnel records protected from disclosure by Utah law.

## 5.6. Application of Evaluation Procedures to Commissioner

5.6.1. **General Procedures to Be Followed:** The evaluation of the Commissioner shall generally follow the procedures outlined in this policy for the evaluation of presidents.

5.6.2. **Variations to be Determined in Consultation with Commissioner:** Variations in the specific procedures and timelines specified for the evaluation of presidents may be needed for the evaluation of the Commissioner, and shall be determined by the Chair and Vice Chair of the Board of Regents upon consultation with the Commissioner.

### SCHEDULE FOR EVALUATION OF PRESIDENTS

Institution	Year of CEO Appointment	First Evaluation	Second Evaluation	Third Evaluation
Dixie State University	<del>2010</del> 2014	<del>2011</del> 2016	<del>2015</del> 2020	<del>2019</del> 2024
Salt Lake Community College (interim)	2014	2016	2020	2024
Snow College (interim)	2014	2016	2020	2024

Southern Utah University	2014	2016	2020	2024
University of Utah	2012	2014	2018	2022
Utah State University	2005	2007	2011	2015
Utah Valley University	2009	2010	2014	2018
Weber State University	2013	2015	2019	2023
Commissioner of Higher Education	2012	2014	2018	2022

The evaluations under this policy shall occur in the spring in lieu of the spring review under R208. Evaluations begin in year 2 and occur every four years thereafter (during years 6, 10, etc).