

**STATE BOARD OF REGENTS
SALT LAKE COMMUNITY COLLEGE – SALT LAKE CITY, UTAH
SOUTH CITY CAMPUS, 1575 S STATE STREET
FRIDAY MAY 18, 2018**

8:00 AM – 9:20 AM	BREAKFAST MEETING – STATE BOARD OF REGENTS, SALT LAKE COMMUNITY COLLEGE BOARD OF TRUSTEES, PRESIDENT HUFTALIN, COMMISSIONER BUHLER <u>Location: Room 1-010</u>
9:20 AM – 9:30 AM	TRANSITION BREAK
9:30 AM – 10:30 AM	DISCUSSION – REGENTS, COMMISSIONER, AND PRESIDENTS Topics: Providing Community College Services in Utah <u>Location: Room 1-010</u>
10:30 – 10:45 AM	TRANSITION BREAK
10:45 AM – 11:15 AM	PRESIDENT’S REPORT – PRESIDENT DENECE HUFTALIN <u>Location: Room 1-030 & 1-032</u>
11:15 – 11:45 PM	REPORT ON GOVERNOR’S OFFICE OF MANAGEMENT AND BUDGET PILOT PROJECTS – KRISTEN COX, EXECUTIVE DIRECTOR, OFFICE OF MANAGEMENT AND BUDGET, UTAH <u>Location: Room 1-030 & 1-032</u>
11:45 PM – 12:45 PM	LUNCH <u>Location: Atrium – 1-019</u>
12:45 PM – 2:15 PM	COMMITTEE OF THE WHOLE <u>Location: Room 1-030 & 1-032</u>

ACTION:

- | | |
|-------------------------------------------------------------------------------------|-------|
| 1. Resolution | |
| 2. General Consent Calendar | TAB A |
| 3. Report from the Nomination Committee and Election of Chair and Vice Chair | |
| 4. Adoption of Policy R211, <i>President Emeritus Designation</i> | TAB B |
| 5. Designation of David W. Pershing as President Emeritus of the University of Utah | |

DISCUSSION:

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| 1. 2018 Strategic Initiatives | TAB C |
|-------------------------------|-------|

ACADEMIC AND STUDENT AFFAIRS

Regent Marlin K. Jensen, Vice Chair

ACTION:

- | | |
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| 1. Revision of Policy R312, <i>Configuration of the Utah System of Higher Education and Institutional Missions and Roles</i> | TAB D |
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FINANCE AND FACILITIES

Regent Mark R. Stoddard, Chair

ACTION:

- | | |
|-------------------------------------------|-------|
| 1. University of Utah – Property Disposal | TAB E |
|-------------------------------------------|-------|

2. University of Utah – Lease Renewal	TAB F
3. Utah State University – Series 2018 Research Revenue Bonds	TAB G
4. Dixie State University – Property Acquisition	TAB H
5. Utah Valley University – Institutional Residence Repurposing	TAB I
6. Utah Valley University – Non-Traditional Arrangement	TAB J
7. Salt Lake Community College – Series 2018 Student Building Fee Revenue Bonds	TAB K
8. Snow College – Tuition Schedule Implementation for 2018-2019	TAB L
9. USHE – Enrollment Forecasts	TAB M
10. USHE – 2018-19 Performance Funding Model and Allocations	TAB N
11. USHE - Revision of Policy R513, <i>Tuition Waivers and Reductions</i>	TAB O
12. USHE – Capital Development Prioritization (CDP) Cycle 2019-20 – Adoption of Priority Guidelines	TAB P

INFORMATION:

1. Salt Lake Community College – Trustee Property Actions	TAB Q
2. USHE – Capital Improvement Update for 2018-19	TAB R
3. USHE – Institutions’ Health Plan Changes 2018-19	TAB S

2:15 PM – 2:30 PM TRANSITION BREAK

2:30 PM – 3:30 PM EXECUTIVE SESSION (if needed)
Location: Room 1-010

Projected times for the various meetings are estimates only. The Board Chair retains the right to take action at any time. In compliance with the Americans with Disabilities Act, individuals needing special accommodations (including auxiliary communicative aids and services) during this meeting should notify ADA Coordinator, 60 South 400 West, Salt Lake City, UT 84180 (801-321-7124), at least three working days prior to the meeting. TDD # 801-321-7130.

May 9, 2018

MEMORANDUM

TO: State Board of Regents

FROM: David L. Buhler

SUBJECT: General Consent Calendar

The Commissioner recommends approval of the following items on the Regents' General Consent Calendar:

A. Minutes

1. Minutes of the Board Meeting March 30, 2018, Dixie State University, St. George, Utah (Attachment), Regent Study Session April 12 & 13, 2018, Thomas S Monson Center, Salt Lake City, Utah (Attachment), Board of Regents Meeting April 20, 2018, Utah Valley University, Orem, Utah (Attachment).

B. Grant Proposals

1. University of Utah – DHHS National Institutes of Health; “Hermetically Packaged Chiplet”; \$3,981,551. Ross M. Walker, Principal Investigator.
2. University of Utah – NIH Natl Inst Neurology Disorders Stroke; “Trauma Cerebral Vessel”; \$2,050,464. Kenneth L Monson, Principal Investigator.
3. University of Utah – National Science Foundation; “NSF EFRI Feb 2018”; \$1,999,910. Jake J Abbott, Principal Investigator.
4. University of Utah – National Science Foundation; “Near-Field Nano-Electrospin”; \$1,599,671. Bruce K Gale, Principal Investigator.
5. University of Utah – DOE Advanced Research Projects Agency-Energy; “Power Outage Forecast”; \$1,400,000. Ge Ou, Principal Investigator.
6. University of Utah – National Science Foundation; “Adaptive Robotic Therapy”; \$1,028,284. John M Hollerbach, Principal Investigator.
7. University of Utah – DHHS National Institutes of Health; “Glycolysis-Linked Pathway”; \$3,212,480. John David Symons, Principal Investigator.
8. University of Utah – DHHS National Institutes of Health; “R01 PA-19-034 Summers CERS2”; \$2,953,328. Scott Summers, Principal Investigator.

9. University of Utah – DHHS National Institutes of Health; “R01 PR-16-121 Summers DES1”; \$2,564,725. Scott Summers, Principal Investigator.
10. University of Utah – NIH National Institutes of Nursing Research; “Nursing T32”; \$2,109,912. Lee Ellington, Principal Investigator.
11. University of Utah – NIH National Institutes of Nursing Research; “Telehealth”; \$1,601,250. Gwen A Latendresse, Principal Investigator.
12. University of Utah – DHHS National Institutes of Health; “Targeted Genome Resubmit”; \$3,046,654. Randall Theodore Peterson, Principal Investigator.
13. University of Utah – NIH National Inst Neurology Disorders Stroke; “K99/R00 for Penny Lam”; \$1,433,501. Randall Theodore Peterson, Principal Investigator.
14. University of Utah – DHHS National Institutes of Health; “Pathogen Virulence”; \$1,906,250. Wayne K Potts, Principal Investigator.
15. University of Utah – DOE National Renewable Energy Lab; “Choice”; \$1,200,000. Zeev Valentine Vardeny, Principal Investigator.
16. University of Utah – NIH National Cancer Institute; “Obesity-Cancer Link”; \$3,848,967. Cornelia Ulrich, Principal Investigator.
17. University of Utah – DHHS National Institutes of Health; “FQHCS”; \$3,751,063. David Wetter, Principal Investigator.
18. University of Utah NIH National Cancer institute; “KEPKA_03.05.18_Ro1_Resub”; \$3,146,871. Deanna Lee Kepka, Principal Investigator.
19. University of Utah – NIH Natl Inst Allergy & Infectious Dis; “Transcription Coactivator OCA”; \$2,509,859. Roland D Tantin, Principal Investigator.
20. University of Utah – NIH National Inst Deurology Disorders Stroke; “NIH R01 – Mar 05 2018_Holmen”; \$1,906,250. Sheri L Holmen, Principal Investigator.
21. University of Utah – NIH National Cancer Institute; “Estrogen Receptor Alpha Gene”; \$1,906,250. Jason Gertz, Principal Investigator.
22. University of Utah – JB 7 MK Pritzker Family Foundation; “Pritzker Fellows Management”; \$1,463,422. Jenifer Fraser Nelson, Principal Investigator.

23. University of Utah – NIH National Institute on Aging; “Procare R01”; \$4,554,814. Norman Foster, Principal Investigator.
24. University of Utah – National Science Foundation; “I-Corps Node”; \$4,216,888. John Thomas Langell, Principal Investigator.
25. University of Utah – NIH national Cancer Institute; “Underhill R01 Resub Mar 2018”; \$2,663,741. Hunter Underhill, Principal Investigator.
26. University of Utah – DHHS National Institutes of Health; “Monroe R01 Feb2018”; \$3,533,779. Marcus M Monroe MD, Principal Investigator.
27. University of Utah – DHHS National Institutes of Health; “Jeyapalina R01 Resub Mar2018”; \$2,154,467. Sugjeevini Jeyapalina, Principal Investigator.
28. University of Utah – NIH National Institutes of Health; “NIH Trial March2018”; \$3,108,455. Jayant Agarwal, Principal Investigator.
29. University of Utah – DHHS National Institutes of Health; “Ultrasound for Breast Cancer”; \$2,672,501. Allison Hampshire Payne, Principal Investigator.
30. University of Utah – DHHS National Institutes of Health; “Susceptibility to Osteoarthritis”; \$2,641,883. David Grunwald, Principal Investigator.
31. University of Utah – DHHS Agency for Healthcare Research & Quality; “AHRQ R01 Agarwal Mar2018”; \$2,481,223. Jayant Agarwal, Principal Investigator.
32. University of Utah – DHHS National Institutes of Health; “R01 RFA-NS-18_009 Wachowiak”; \$2,216,648. Dale Matthew Wachowiak, Principal Investigator.
33. University of Utah – DHHS National Institutes of Health; “Nerve R01 Agarwal March2018”; \$2,127,200. Jayant Agarwal, Principal Investigator.
34. University of Utah – NIH National Institute of Mental Health; “Epigenetic Allelic Effects In”; \$2,022,500. Christopher T Gregg, Principal Investigator.
35. University of Utah – DHHS National Institutes of Health; “Dorsky’s R01 PA-18-484”; \$1,906,250. Richard Dorskey, Principal Investigator.

36. University of Utah – DHHS National Institutes of Health; “Acute Lung Injury”; \$1,906,250. Weiquan Zhu, Principal Investigator.
37. University of Utah – DHHS National Institutes of Health; “R01 PA-17-091 Corrine Welt”; \$1,906,250. Principal Investigator.
38. University of Utah – DHHS National Institutes of Health; “Brown R01”; \$1,906,250. Jessica C S Brown, Principal Investigator.
39. University of Utah – NIH National Institute of Mental Health; “Functions and Mechanisms of EP”; \$1,906,250. Christopher T Gregg, Principal Investigator.
40. University of Utah – DHHS National Institutes of Health; “Choreographing Jun Stability”; \$1,906,250. Anthea Letsou, Principal Investigator.
41. University of Utah – DHHS National Institutes of Health; “R01 Par-17-159 Karen Eilbeck”; \$1,446,185. Karen Eilbeck, Principal Investigator.
42. University of Utah – DHHS Agency for Healthcare Resh & Quality; “O’Neil R18 Jan2018”; \$1,200,000. Brock B O’Neil, Principal Investigator.
43. University of Utah – NIH Natl Inst Neurology Disorders Stroke; “Bonkowsky R21/R33 Feb 2018”; \$1,134,625. Joshua Leitch Bonkowsky, Principal Investigator.
44. University of Utah – DHHS National Institutes of Health; “R61-R33 Pannucci Feb2018”; \$1,104,818. Christopher Pannucci, Principal Investigator.
45. University of Utah – NIH National Inst of General Medical Sci”; \$3,050,000. Marc D Porter, Principal Investigator.
46. University of Utah – DHHS National Institutes of Health; “IBBM Summer Course”; \$1,720,006. Robert S Macleod, Principal Investigator.

C. Awards

1. University of Utah – Army Research Office; “Minteer Muri Multistep Catalys”; \$1,713,343. Shelley D Minteer, Principal Investigator.
2. University of Utah – Denver Research Institute; “Couples Crisis Planning”; \$1,481,100. Craig Bryan, Principal Investigator.

3. University of Utah – Beat AML; “LLS_Beat_AML”; \$1,019,411. Michael W N Deininger, Principal Investigator.
4. University of Utah – George Washington University; “MFMU Study Protocols”; \$1,564,500. Michael W Varneresley I Sundquist, Principal Investigator.
5. University of Utah – DOE National Nuclear Security Admn; “PSAAPII”; \$1,000,000. Philip J Smith, Principal Investigator.
6. Utah State University – US National Science Foundation; “WF 2339 CRISP 2.0 Type II”; \$1,999,337. David E Rosenberg, Principal Investigator, Patrick Belmont, Emily Kay Burchfield, Courtney Gail Flint, Peter David Howe, Alfonso Faustino Torres, Co-Investigators.

D. Academic Items Received and Approved

1. Information Items

- Weber State University – Associate of Arts/Science in Workplace Communication & Writing
- Weber State University – Bachelor of Arts in Spanish Translation
- Weber State University – Bachelor of Science in Manufacturing Systems Engineering
- Weber State University – Master of Science in Computer Science
- Weber State University – Master of Science in Electrical Engineering
- Utah State University – Master of Science in Communication Sciences
- Utah State University – Master of Arts/Science in Communication Studies
- Utah State University – Bachelor of Arts/Science in Integrated Studies

2. New Program

- Weber State University – Minor in Data Analytics
- Weber State University – Minor in MBA Prerequisite
- Weber State University – Minor in Sound Production/Recording
- Southern Utah University – Certificate of Proficiency in Computer Science
- Southern Utah University – Emphasis in Business Analytics within the BS in Economics
- Southern Utah University – Emphasis in Business Analytics within the MAcc
- Southern Utah University – Emphasis in Business Analytics within the MBA
- Southern Utah University – Emphasis in Cybersecurity within the MBA
- Southern Utah University – Emphasis in Cybersecurity within the BS in Information Systems
- Southern Utah University – Emphasis in Management Information Systems within the BS in Information Systems
- Southern Utah University – Emphasis in Network Administration within the BS in Information Systems

- Southern Utah University – Emphasis in Rhetoric & Writing within the BA in English
- Dixie State University – Certificate of Completion in General Education
- Dixie State University – Emphasis in Global Studies within the BA/BS in Integrated Studies
- Dixie State University – Emphasis in Pre-Occupational Therapy within the BS in Exercise Science
- Dixie State University – Emphasis in Pre-Physical Therapy within the BS in Exercise Science
- Dixie State University – Minor in Dance
- Dixie State University – Minor in Global Studies
- Salt Lake Community College – Certificate of Proficiency in Biotechnology

3. Name Change

- University of Utah – Department of Bioengineering to Department of Biomedical Engineering
- University of Utah – Master of Science in Bioengineering to Master of Science in Biomedical Engineering
- University of Utah – Doctor of Philosophy in Bioengineering to Doctor of Philosophy in Biomedical Engineering
- Weber State University – Associate of Science in Pre-Education to Associate of Science in Education
- Weber State University – Department of Chemistry to Department of Chemistry and Biochemistry
- Weber State University – Department of Radiologic Sciences to School of Radiologic Sciences
- Dixie State University – Department of Computer and Information Technology to Department of Computing and Design
- Dixie State University – Department of Life Sciences to Department of Biological Sciences
- Salt Lake Community College – AS in Environmental Geology to Geology
- Salt Lake Community College – AS in Geography to Geographic Science
- Salt Lake Community College – AS in Pre-Health Sciences to Pre-Medical and Health Sciences

4. Program Transfer/Name Change

- Weber State University – Minor in Architectural Engineering Technology to Minor in Building Design & Construction moved from the Department of Engineering Technology to the Department of Construction Management Technology

5. New Administration Unit

- Southern Utah University – Department of Integrative and Engaged Studies

6. Discontinuation

- Salt Lake Community College – Associate of Applied Science in Biotechnology
- Salt Lake Community College – Associate of Science in Paraeducation
- Salt Lake Community College – Certificate of Proficiency in Paraeducation
- Salt Lake Community College – Certificate of Completion in Medical Assistant

7. Out of Area Service Delivery

- University of Utah – Master of Physician Assistant Studies to be offered in St. George, Utah

David L. Buhler
Commissioner of Higher Education

DLB/LO
Attachments

STATE BOARD OF REGENTS
DIXIE STATE UNIVERSITY, ST GEORGE, UTAH
GARDNER CENTER & HOLLAND CENTENNIAL COMMONS
MARCH 30, 2018

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STATE BOARD OF REGENTS
DIXIE STATE UNIVERSITY, ST GEORGE, UTAH
GARDNER CENTER & HOLLAND CENTENNIAL COMMONS
FRIDAY, MARCH 30, 2018

COMMITTEE OF THE WHOLE
MINUTES

Regents Present

Daniel W. Campbell, Chair
Harris Simmons, Vice Chair
Jesselie B. Anderson
Nina Barnes
Marlin K. Jensen
Ron Jibson
Patricia Jones
Robert S. Marquardt
Mark Stoddard
Teresa L. Theurer
Alex Trujillo
Joyce P. Valdez
Thomas E. Wright

Regents Absent

Wilford Clyde
Steven Lund
Robert W. Prince
Cristina Ortega

Office of the Commissioner

David L. Buhler, Commissioner of Higher Education
Kimberly L. Henrie, Associate Commissioner for Planning, Finance and Facilities
Elizabeth Hitch, Associate Commissioner of Academic Affairs

Institutional Presidents Present

President Pershing, University of Utah
Noelle Cockett, Utah State University
Scott L Wyatt, Southern Utah University
President Carlston, Snow College
Matthew S. Holland, Utah Valley University
Richard B. Williams, Dixie State University
Denece G. Huftalin, Salt Lake Community College
Norm Tarbox, Weber State University

Other Commissioner's Office and institutional personnel were also present. The signed role is on file in the Commissioner's Office.

Discussion – Space Utilization, Tuition and Fees

A discussion regarding Policy R571, Institutional Facilities Space Utilization, USHE space inventory and classroom utilization. Also discussed was tuition and fees including tuition strategy, annual tuition and fee increases, regional comparisons, and how tuition relates to institutional cost.

Vice Chair Harris H Simmons called the Committee of Whole meeting to order at 12:35 p.m. He opened and turn the time over to President Williams for the President's Report.

President's Report

President Williams started by saying Dixie has been focused on their strategic plan which is focused on six goals, but noted today he would be talking about the two Regent initiatives which are Completion and Mental Health. Goal one of the strategic goal is promoting student success and as a new university they have focused a lot on building the right infrastructure. He stated it begins with recruitment and over the last several years Dixie has had the largest freshman class and they have record enrollment. They are working on communication and making sure Dixie is communicating with students on what happens when you attend Dixie. Last year they started a pilot program that provided a mentor for each freshman, and with this program maintained 72% of those students. They also recognized students want more activities and in doing so incorporated more internships and active learning.

Last year the Regents charged the intuitions with addressing mental health issues. Dixie has made progress by developing a health and counseling center and hired their first mental health counselor. The center's utilization numbers are off the charts and not only are they meeting mental health needs but they are able to meet medical needs as well. Dixie students are also invested in addressing mental health needs and they approved a fee increase to help offset co-payments for students. Another program the students got involved with for this first the time is the HOPE squad. And lastly the students got involved with Camp Kesem, a national program that supports children through and beyond their parent's cancer.

Goal two is completion. Last year Dixie offered seven new bachelorette programs. This year they have their first graduate degree program approved, which is a masters of accounting. The Human Performance Center is under construction; this helps with the mental health and physical health initiatives. They are also focusing on the science and technology building and said this is a completion initiative because they don't currently have enough room for labs and other needs. They also have the Innovation Plaza which is the hub for K-12 pipeline for technology, and have started a new class called entrepreneurial practicum, which is basically how to launch a business; this is active learning at its finest. President Williams said the last thing he wants to share relates to their goal of diversity inclusion. 27% of students on campus are minorities so they have focused on having 20 diversity events throughout the year. Students, faculty and staff, and the community are enjoying these events. They have held multiple workshops on how to prevent micro-aggressions, addressing and utilizing the diversity on campus. They have set three goals: Women hired into leadership roles – the baseline was 25%, the goal was to reach 31% last year and they reached 35%. Minorities in administration – the baseline was 5%, the goal was to reach 10% this year and they reached 12%. Minorities in faculty and staff – the baseline was 7%, the goal was to reach 10% this year and they reached 13%. They are embracing diversity and are excited about the progress on campus.

Resolutions

Resolution for George Emert – Regent Theurer shared comments regarding the resolution for George Emert. **Regent Theurer made a motion to approve the resolution; the motion was seconded by Regent Jibson and the motion carried.**

Resolution for President Charles A. Wight – Regent Marquardt shared comments regarding the resolution for President Wight. **Regent Marquardt made a motion to approve the resolution; the motion was seconded by Regent Anderson and the motion carried.**

Resolution for President Matthew S. Holland – Regent Barnes shared comments regarding the resolution for President Holland. **Regent Barnes made a motion to approve the resolution; the motion was seconded by Regent Trujillo and the motion carried.**

Resolution for President David W. Pershing – Regent Campbell shared comments regarding the resolution for President Pershing. **Regent Campbell made a motion to approve the resolution; the motion was seconded by Regent Wright and the motion carried.**

Consent Calendar (TAB A)

On a motion by Regent Wright, and seconded by Regent Jensen, the following items were approved on the Regents' General Consent Calendar:

- A. Minutes – Minutes of the Board meeting January 18, 2018, University of Utah, Salt Lake City, Utah, January 19, 2018, Utah Valley University, Orem, Utah, February 9, 2018, Executive Committee Meeting, Board of Regents Office, Salt Lake City, Utah.
- B. Grant Proposals
- C. Awards
- D. Academic items Received and Approved
- E. Ratification of action taken by the Executive Committee on February 9, 2018 to approve revisions to Regent Policy R208, Resource and Review Teams, and Regent Policy R209, Evaluation of Presidents.

USHE – 2018 Legislative Session Report including Budget & Capital Update (TAB B)

Commissioner Buhler made note that Regents approved the budget in a new way this year; this allowed flexibility for presidents. Overall we received a 7.9% increase in ongoing funds and noted priority was on compensation for employees, workface capacity, and growth. On the capital side it was a bit different. The priority was to fund projects approved last year by the legislature, and they did fully fund those projects. In addition they approved the second phase of Utah State's Biology Building and funded a renovation for the football stadium at Snow College. They also provided intent language for the top Regent priorities. Also of significance is the approval of "Our Schools Now".

Associate Commissioner Spencer Jenkins briefly highlighted a number of other bills including SB 104 – Talent Development and Retention Strategy, HB 370 – Suicide Prevention and Medical Examiner Provisions, SB 207 – Student Data protection Amendments, HB 349 – Higher Education Legacy Scholarship Amendments, SB 300 – Higher Education Modifications.

USHE – Proposed Tuition Adjustments for 2018-2019 (TAB C)

Commissioner Buhler noted we are proposing a first-tier tuition rate increase of 1.5 percent for all institutions. This reflects the compensation match from the legislature. Presidents may also bring forward a request for a second-tier increase; four institutions have proposed a second-tier increase. Associate Commissioner Kimberly Henrie noted Regents will be approving the first-tier and second-tier increase as one rate for each institution. She also noted there are five differential tuition increase requests being made,

a removal of an earlier Board approved exception for Utah State University, and on online tuition and fees schedule for Dixie State University.

Vice Chair Simmons opened the floor for a public hearing on the proposed tuition increases. No comments were made and Vice Chair Simmons closed the public hearing.

Chair Campbell made a motion to approve as outlined in Tab C; the motion was seconded by Regent Jibson and the motion carried.

USHE – Proposed Fee Adjustments for 2018-2019 (TAB D)

Commissioner Buhler noted by Regent policy if a proposed fee increase exceeds the proposed first-tier tuition rate, institutions must provide evidence of student support; we have a number of these requests. Associate Commissioner Henrie stated she is confident that the processes were followed by the institutions and would recommend approval as outlined in Tab D. **Regent Stoddard made a motion to approve as outlined in Tab D, including the amended adjustment to SUU fee's schedule of an increase of \$6 a year; the motion was seconded by Regent Wright and the motion carried.**

Revision of Policy R120, *Bylaws of the State Board of Regents* (TAB E)

Commissioner Buhler noted as the legislature makes changes to statute, we need to update policy to comply with those changes. He also noted once a law is changed, we follow the law even if the policy has not been updated. There are no substantive changes. **Regent Jensen made a motion to approve as outlined in Tab E; the motion was seconded by Regent Wright and the motion carried.**

Revision of Policy R203, *Search Committee Appointment and Function, and Regents' Selection of Presidents* (TAB F)

Commissioner Buhler noted that although we have already been following this law, this brings the policy in line with statute. The main change is the search committee is to be co-chaired by a Regent and the chair of the board of trustees, a candidate must have a two thirds vote from the committee to advance them to the Regents, and the Regents may only select a president from the finalists forwarded by the search committee. **Regent Valdez made a motion to approve as outlined in Tab F; the motion was seconded by Regent Jones and the motion carried.**

Appointment of Interim President at Weber State University effective May 1, 2018

Chair Campbell noted it is required that we appoint an interim president while the search for a new president of Weber State University continues. **Chair Campbell moved to approve Norm Tarbox as the Interim President effective May 1, 2018; the motion was seconded by Regent Anderson and the motion carried.**

Updates from Working Groups

Timely Completion: Regent Barnes noted they have continued to have presentations on campuses and are receiving tremendous feedback from students. They have identified barriers consistent across the campuses including: underprepared for college courses, financial, family life obligations, course scheduling, transfer difficulties, and failure to make a connection to the campus. They are looking at analytics and how they are implemented on campuses, guided pathways, graduation initiatives, peer-to-peer mentoring,

incentive grants, and performance funding. They are looking at what the next steps should be and define what completion is.

Workforce and Research – Regent Marquardt noted the goal is to try and improve the services higher education provides to our growing economy. They have chosen to focus on two areas. The first is supply and demand. What are the degrees we are producing from each vocational area? Where are the jobs and where are the shortages? The second is developing pathways for students to get into the gap areas. This is a complicated mission that involves more than just higher education. The group had a presentation from the State Board of Education and learned they have a good system of beginning to involve students in public education, encouraging them to begin to think about career aptitude, interests, and start to get focused on a career. One of the biggest obstacles schools have is the ratio of counselors to students; the amount of time a student has with a counselor is very limited. One suggestion for the group is to focus on statewide transferability of stackable credentials. Building more partnerships with UTEC is another focus as well as reaching out to underrepresented groups.

Access & Affordability – Regent Jensen said the metric the group chose is to increase the percentage of Utahns who enroll in college within five years of high school graduation. They feel access is the larger topic; trying to get people to make the choice to enroll and succeed. With minority groups being the largest growing demographic in Utah, this makes affordability difficult. They have discussed discounts in the form of student aid and tuition waivers. Their time so far has been spent on affordability and the next meeting will be on access. They have identified barriers including: lack of career and college planning, difficulty navigating systems and processes in higher education, affordability, awareness and ability to apply for student aid. After their next meeting scheduled in April, they will identify one or two key initiatives that will be the most worth for the majority of students in the system. There may be a need to focus less on merit based aid and more on need based aid.

Chair Campbell asked for one, maybe two significant and measurable items be brought forward from the working groups at the May meeting.

ACADEMIC AND STUDENT AFFAIRS

New Century and Regents' Scholarship Award Amounts for 2018-19 College Academic Year (TAB G)

Regent Theurer noted this is to approve amounts for the 2018-19 year. Julie Hartley, OCHE, noted these are both merit based awards and recommends they be approved at the full amount allowed by statute. For the New Century Scholarship it is \$1,250 per semester for four semesters. The Regents' Scholarship is a base award of \$1000, if students meet the exemplary requirement they receive \$1,250 per semester for four semesters. If they met the base award and contributed to a my529 savings account, they are eligible for up to an additional \$400 in match per year. **Regent Theurer made a motion to approve as outlined in Tab G; the motion was seconded by Regent Wright and the motion carried.**

FINANCE AND FACILITIES

University of Utah – Series 2018 General Revenue Bond Issue (TAB H)

President Pershing noted this request is for the new housing facility, South Campus Student Housing and Dining Complex, and will provide 992 beds. This will be located on the main campus and is for up to

\$105,217,000 with an interest rate not to exceed 5.5%. **Regent Jibson moved to approved as outlined in Tab H; the motion was seconded by Regent Jones and the motion carried.**

University of Utah – Soccer and Lacrosse Field Non-State Funded Project (TAB I)

Robin Burr, Chief Design and Construction Officer University of Utah, noted the current soccer field is located at the proposed site for the new student housing and dining project. This provides the opportunity to move the soccer stadium to take advantage of space by the existing Dumke Softball Stadium. The work will take place in two phases and today's request is for phase one, which is the relocation of the field, lights and infrastructure. The budget is \$4,500,000 to be paid with non-state funds. **Regent Wright made a motion to approve as outlined in Tab I; the motion was seconded by Regent Jensen and the motion carried.**

Utah State University – Swenson House Non-State Funded Project (TAB J)

Dave Cowley, Vice President for Business and Finance Utah State University, noted this is to request approval to build the Swenson house, which is to honor May Swenson. May Swenson grew up in Logan, attended USU, and gain national recognition as a poet. The house will resemble Swenson's childhood home. The house will serve the college of humanities and social sciences. The project is expected to cost \$800,000 and will be paid using non-state funds. **Regent Theurer moved to approve as outlined in Tab J; the motion was seconded by Regent Campbell and the motion carried.**

Weber State University – Outdoor Recreation Center Non-State Funded Project (TAB K)

Norm Tarbox, Vice President for Administrative Services Weber State University, noted Weber is seeking authorization to construct a new Outdoor Recreation Building to accommodate student demand and provide a welcome center for prospective students. WSU has student support and this will not require a fee increase but will be funded with donations, current student fees and other non-appropriated funds. They are also seeking a 2 million dollar gift to complete the project. **Regent Anderson made a motion to approve as outlined in Tab K; the motion was seconded by Regent Valdez and the motion carried.**

Adoption of Policy R751, *Institutional Facilities Space Utilization* (TAB L)

Associate Commissioner Kimberly Henrie noted this item is dealing specifically with policy language. The recommendation is to delete the current policy in its entirety and advance the new R571 policy. There are four main elements: adopt a policy for annual reporting requirements; set standards with a caveat that they apply to fall and spring terms; continue to collect and monitor space utilization; and central scheduling. **Regent Stoddard moved to delete the current Regent Policy R571, *Capital Facilities Space Scheduling and Assignment* and adopt Policy R571, *Institutional Facilities Space Utilization* in its place, effective immediately, with an additional clarification that the adopted utilization standards apply to Spring and Fall terms and main campuses; the motion was seconded by Regent Theurer and the motion carried.**

University of Utah – Student Housing Lease Update (TAB M)

President Pershing noted this is to inform the Regents of a change in location. The new location is less than a block away located at 340 S 500 E. This is an information item only; no action was taken.

Snow College – Capital Facility Funding Update (TAB N)

President Carlston noted this is for 5 million to improve the football stadium. This will allow Snow College to address locker rooms, fitness classroom, and restrooms. This is an information item only; no action was taken.

USHE – Regent Audit Subcommittee Annual Report (TAB O)

Regent Marquardt noted that he, Regent Anderson, Barnes, and Jensen met with the audit chairs from each institution. This system is doing very well, each institution has an assessment tool to identify where their greatest risks are. These help determine which audits will take place in the next year. There has been a new trend in the last few years to increase or expand the risk assessment to include enterprise risk analysis. Several models are being developed to look at risk of shooters, environmental, and sexual harassment. In the past each institution has been a silo effort of people working independently and not having communication across institutions and that has changed. Now there are regular meetings with internal auditors from the institutions and they share best practices, problems, and concerns. The commissioner's staff has implemented training for all institutions. Overall the system is working well. He noted the biggest concern for institutions is in the IT area. To address this, the chief information officers at each institution are meeting as a group and performing audits across the system, with each institution having an audit every other year. The team comes in and tries to hack into every system, finding the integrity of passwords and is having a positive result. President Huftalin asked about training for institutions and presidents. Associate Commissioner Henrie said OCHE is starting this training and has visited four of the eight institutions. OCHE is looking at additional ways to help institutions. Vice Chair Simmons said there are two types of problems, external and internal, and maybe this is something we should look into. Commissioner Buhler indicated this may be something to address during the July combined Regent/Trustee meeting. Chair Campbell said we have requested funding from the legislature for years to increase data security and it has not been funded. He is interested in knowing from presidents how they are going to address this issue. President Pershing said he believes, for all presidents, this is the issue they need to grapple with. He said we are building beautiful buildings but inside those buildings are old switches and gears that in some cases are 20 years old and it needs to be addressed. This is an information item only; no action was taken.

USHE – Review of 2017 Financial Statements (TAB P)

Regent Stoddard noted as part of the ongoing review of the institutions fiscal health, the Finance and Facilities Committee reviewed the 2017 Annual Audited Financial Statements. Overall, the FY 2017 audited financials support the fact that USHE institutions are financially strong and are meeting industry standards for key financial ratios. They also met with the University of Utah for a budget deep dive. This is an information item only; no action was taken.

USHE – Debt Ratio Analysis (TAB Q)

Regent Stoddard noted staff provided the annual debt ratio report for the system institutions for FY 2017. Similar to last year, Snow College and Dixie State University had viability ratios below the expected standard. Both institutions are actively working on ways to improve their viability ratio for future reports. There were no other concerns or issues raised. This is an information item only; no action was taken.

USHE – Annual Money Management Report for the Fiscal Year ending June 30, 2017 (TAB R)

Regent Stoddard noted annually the Board is required to compile a summary report regarding all investment activities to the Governor and Legislature. This report meets that requirement. This is an information item only; no action was taken.

USHE – Fall 2017 & Spring 2018 Enrollment Report (TAB S)

Regent Stoddard noted the updated enrollment reports for Fall 2017 end-of-term and Spring 2018 third week were shared with the committee. Our system continues to grow as we expect and our student Headcount and FTE numbers are up in both Fall and Spring.

USHE – Utah State University Bond Final Details (TAB T)

Regent Stoddard noted as required by Regent Policy, USHE staff provided an update on the final bond issuance details for the USU industrial bond approved at the January Board meeting.

Regent Stoddard motioned to move into executive session for the sole purpose of discussing the character, professional competence, or physical or mental health of individuals. The motion was seconded by Regent Valdez and the motion carried.

The meeting adjourned at 3:33 p.m.

Loreen Olney, Executive Secretary

Date Approved: May 18, 2018

STATE BOARD OF REGENTS
THOMAS S MONSON CENTER – BOYER CARRIAGE HOUSE
411 E SOUTH TEMPLE, SALT LAKE CITY, UTAH
APRIL 12, 2018

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STATE BOARD OF REGENTS
THOMAS S MONSON CENTER – BOYER CARRIAGE HOUSE
411 E SOUTH TEMPLE, SALT LAKE CITY, UTAH
APRIL 12, 2018

COMMITTEE OF THE WHOLE
MINUTES

Regents Present

Daniel W. Campbell, Chair
Harris H. Simmons, Vice Chair
Jessellie B. Anderson
Marlin K. Jensen
Ron Jibson
Patricia Jones
Steven Lund
Robert S. Marquardt
Cristina Ortega
Mark R. Stoddard
Teresa Theurer
Alex Trujillo
Joyce P. Valdez

Regents Absent

Nina R. Barnes
Wilford W. Clyde
Robert W. Prince
Thomas E. Wright

Office of the Commissioner

David L. Buhler, Commissioner of Higher Education
Kimberly L. Henrie, Associate Commissioner for Planning, Finance and Facilities
Elizabeth Hitch, Associate Commissioner of Academic Affairs

Chair Campbell called the meeting to order at 7:10 p.m.

It was moved by Regent Stoddard and seconded by Regent Theurer to meet in Executive Session for the sole purpose of discussing the character, professional competence, or physical or mental health of individuals. The motion carried.

On a motion from Regent Stoddard and seconded by Regent Valdez the executive session was adjourned at 8:43 p.m.

Loreen Olney, Executive Secretary

Date Approved: May 18, 2018

STATE BOARD OF REGENTS
THOMAS S MONSON CENTER – BOYER CARRIAGE HOUSE
411 E SOUTH TEMPLE, SALT LAKE CITY, UTAH
APRIL 13, 2018

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STATE BOARD OF REGENTS – STUDY SESSION
THOMAS S MONSON CENTER – BOYER CARRIAGE HOUSE
411 E SOUTH TEMPLE, SALT LAKE CITY, UTAH
APRIL 13, 2018

COMMITTEE OF THE WHOLE
MINUTES

Regents Present

Daniel W. Campbell, Chair
Harris H. Simmons, Vice Chair
Jesselie B. Anderson
Nina R. Barnes
Marlin K. Jensen
Ron Jibson
Patricia Jones
Steven Lund
Robert S. Marquardt
Cristina Ortega
Mark R. Stoddard
Teresa Theurer
Alex Trujillo
Joyce P. Valdez
Thomas E. Wright

Regents Absent

Wilford W. Clyde
Robert W. Prince

Office of the Commissioner

David L. Buhler, Commissioner of Higher Education
Kimberly L. Henrie, Associate Commissioner for Planning, Finance and Facilities
Elizabeth Hitch, Associate Commissioner of Academic Affairs

Chair Campbell called the meeting to order at 9:0 a.m.

Study Session

Regents discussed Regents' Roles and Responsibilities, reviewed the Boards Strategic Objectives, and the progress and work of the Regents' Work Groups. This was a study session only; no action was taken.

The meeting adjourned at 3:15 p.m.

Loreen Olney, Executive Secretary

Date Approved: May 18, 2018

STATE BOARD OF REGENTS
UTAH VALLEY UNIVERSITY, OREM, UTAH
SORENSEN CENTER
APRIL 20, 2018

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STATE BOARD OF REGENTS
UTAH VALLEY UNIVERSITY, OREM, UTAH
SORENSEON CENTER
APRIL 20, 2018

COMMITTEE OF THE WHOLE
MINUTES

Regents Present

Daniel W. Campbell, Chair
Harris H. Simmons, Vice Chair
Jessellie B. Anderson
Nina R. Barnes
Wilford W. Clyde
Marlin K. Jensen
Patricia Jones
Steven Lund
Robert S. Marquardt
Cristina Ortega
Robert W. Prince
Harris H. Simmons
Mark R. Stoddard
Alex Trujillo
Joyce P. Valdez
Thomas E. Wright

Regents Absent

Teresa Theurer

Office of the Commissioner

David L. Buhler, Commissioner of Higher Education

Chair Campbell called the meeting to order at 8:05 a.m.

It was moved by Regent Stoddard and seconded by Regent Barnes to meet in Executive Session for the sole purpose of discussing the character and professional competence of the applicants for the Utah Valley University Presidential Search. The motion carried.

Chair Campbell called the Board of Regents Committee of the Whole to order again at 6:12 p.m.

Acknowledgements

Chair Campbell recognized the Utah Valley University Trustees who are in attendance and who have been engaged in this process. "We have reached a pivotal moment for the Utah Valley University. Back in November, President Holland announced he was called to be an LDS mission president. His impact on the university cannot be overstated. Under his leadership during the last nine years, the university has transformed into a national leader in the Dual mission model, and exemplary example of student engagement and hands-on-learning, and has maintained a focus on inclusivity and opportunity. President Holland's strong and steady leadership has guided the university beyond even the most optimistic expectations. We are grateful for his service and dedication on behalf of this institution. We also recognize

the significant contributions of Paige" said Chair Campbell. He also recognized the efforts of the search committee, chaired by Regent Steven Lund and Trustee Chair Elaine Dalton. He thanked the Commissioner's staff and others at the University, with particular thanks to Loreen Olney and Candice Gardner. He turned the time to Regent Lund and then Trustee Chair Elaine Dalton. Regent Lund commended the committee on their work and the many others who were part of this process. Trustee Chair Elaine Dalton said it has been a privilege being a part of Utah Valley University. She said she is grateful for those involved and thanked President Holland for his leadership.

Utah Valley University Presidential Appointment

Regent Lund, Chair of the Search Committee, moved to appoint Astrid S. Tuminez as the next president of the Utah Valley University. It was seconded by Regent Barnes. The motion carried unanimously.

Chair Campbell said "President Tuminez was chosen from an outstanding field of international and national candidates. She is a proven dynamic and effective leader. She is focused on bridging gaps in education and opportunity to make a difference in people's lives. President Tuminez is currently the Regional Director for Corporate, External, and Legal Affairs in Southeast Asia for Microsoft. Prior to her current role, she was the Vice Dean of Research and Assistant Dean of Executive Education at the Lee Kuan Yew School of Public Policy at the National University of Singapore. There she trained over 2,000 government officials and private-sector professionals in leadership and organizational change. Her previous positions include senior consultant to the U. S. Institute of Peace, Director of Research at AIG Global Investment, and program officer at Carnegie Corporation of New York. She previously ran the Moscow office of the Harvard Project on Strengthening Democratic institutions. She holds a bachelor's degree from BYU, a master's degree from Harvard University, and a doctoral degree from MIT. Her impressive accomplishment throughout her career show President Tuminez has the strength and ability to continue the university on its current upward trajectory. We are pleased that she has accepted this new responsibility and look forward to her leadership. We also welcome her husband Jeffrey Tolf."

Commissioner Buhler congratulated President Tuminez and on behalf of the Utah System of Higher Education welcomed President Tuminez as a valued member of the Council of Presidents. He said she would be working with him, Presidents, Regents, and Trustees to further expand educational opportunities for the people of Utah.

President Tuminez said she is amazed and humbled, and she thanked Commissioner Buhler, Chair Campbell and the Board of Regents for this opportunity. She also thanked the search committee, Board of Trustees, Students, President's Council, as well as the other candidates. She thanked President Holland for his work and said she would work to build on that and maintain his legacy. She thanked her parents and family. She continued by saying she believes in the vision of inclusion and the dual mission that UVU has.

Chair Campbell adjourned the meeting at 6:31 p.m.

Loreen Olney, Executive Secretary

Date Approved: May 18, 2018

May 9, 2018

MEMORANDUM

TO: State Board of Regents

FROM: David L Buhler

SUBJECT: Adoption of Regents Policy R211, *Emeritus Status*

Issue

The Board of Regents requested a policy for granting emeritus status to high-performing past presidents who meet certain criteria.

Background

Emeritus status is an honorary designation that the Board would make available to former presidents who have served the institution with honor and success. Emeritus status is a common designation throughout higher education, though the criteria for granting the status to a former president varies widely. The proposed policy R211 adopts the most frequently adopted criteria from peer institutions and establishes additionally criteria not found in other systems, making this proposed policy one of the most restrictive for institutions that allow for emeritus status.

R211 specifically requires the president to have served for ten years in a high level administrative capacity to have had announced his or her intent to effectively retire from university leadership and return to the faculty or permanently retire. Lastly, a president emeritus cannot hold another leadership position and retain the emeritus status.

Having a policy that standardizes the criteria for emeritus status will ensure only the most deserving receive the honor and that the Board of Regents will be the sole conferring body.

Commissioner's Recommendation

The Commissioner recommends Regents approve R211, *Emeritus Status* effective immediately.

David L Buhler
Commissioner of Higher Education

DLB/GLS
Attachments

R211-1. Purpose: To provide direction and criteria for granting a former institutional president emeritus status.

R211-2. References

- 2.1. Utah Code §53B-2-103, Establishment of State Board of Regents -- Powers, Duties, and Authority.

R211-3. Emeritus Status

3.1. Board Designation: The Board of Regents may grant a former institutional president emeritus status at its discretion or at the request of a board of trustees.

3.2. Emeritus Status: Emeritus status is an honorary designation available to former presidents who have served the institution with honor and success and who have contributed to the institution in other capacities for an extended period of time. A former president who receives emeritus status shall be granted the title *President Emeritus*. The title signifies the institution's lasting respect and gratitude. Emeritus status, however, does not grant any authority or benefits such as salary, employment benefits, office space, tenure or other terms of employment.

3.3. Required Criteria for Emeritus Status: To be eligible for emeritus status, a former president must meet the following criteria:

3.3.1. The president was in good standing with the Board and with the institution at the time of resignation or retirement.

3.3.2. The president served the institution as president and in other senior administrative capacities for a total of at least ten years.

3.3.3. The president has earned tenure status at the institution.

3.3.4. The president has expressed his or her intent to effectively retire from future institutional leadership and either return to the faculty or formally retire.

3.4. Duration of Emeritus Status

3.4.1. *President Emeritus* is generally a lifetime designation. The Board, however, may withdraw emeritus status at its sole discretion.

3.4.2. A *President Emeritus* will not serve in an administrative capacity at the institution but may serve than as a faculty member. If a *President Emeritus* is subsequently appointed to an administrative position, he or she will no longer be designated as *President Emeritus*.

¹ Adopted May 18, 2018.

May 9, 2018

MEMORANDUM

TO: State Board of Regents

FROM: David L. Buhler

SUBJECT: 2018 Strategic Initiatives

The Board of Regents met on April 12-13, 2018 in a Study Session to discuss potential system initiatives proposed by the Regent Work Groups established at the November 2017 Board Meeting. The Board identified six potential initiatives in addition to the current efforts on Mental Health (adopted September 2017) and strategic communications.

In late 2017, the Board established three Work Groups made up of Regents, institutional subject matter experts and legislators focusing on the Board's three strategic objectives established in 2015: *Affordable Participation, Timely Completion, and Research & Workforce*. The Work Groups were charged with identifying and advising on specific initiatives that can most influence Utah's college attainment rate.

As part of the Board's efforts in these groups, the Board reaffirmed its major strategic focus identified in the 2025 Strategic Plan:

***Increase the educational attainment of Utahns
to enhance their overall quality of life,
and to meet Utah's current and future workforce needs.***

The Board also identified one additional strategic objective: *Capacity and Growth* to understand and better measure the operational and business efforts of USHE institutions in support of the Board's major strategic issue. The Board's clarification of its strategic priorities better align to the combined efforts of the Commissioner, Institutions and the Legislature in support of public higher education in Utah.

In April 2018, the Regent Work Groups, with assistance from Commissioner's staff, identified several potential or pending initiatives in support of its strategic objectives. The Board also reviewed plans for a statewide strategic communications plan, as well as current efforts to streamline and focus the Board's current outreach programs to the most impacting areas of the state. Additionally, in September 2017 the Board adopted a statewide strategy to begin addressing mental health issues among college students.

As a result of the April 2018 meeting, the following initiatives outline the efforts and recommendations of the Board and Commissioner's Office of the last several months. Associated project plans with timelines, milestones, and metrics for the upcoming 12 months are being developed by the Commissioner's staff with the oversight of the Board. Not all initiatives will immediately have detailed project plans, however work will continue on all projects to varying degree. Their implementations will be prioritized based on Regent discretion as well as the pragmatic realities of available resources, statutory requirements, timing with the

academic year, engagement of institutions and other external stakeholders, and overall potential impact in advancing the Board's Strategic Objectives for higher education in Utah:

Immediate Priority Initiatives

1. Automatic Acceptance Notifications
2. Student Aid and Tuition Policy Review and Evaluation
3. StepUp Schools

Longer-term Initiatives

- Stackable Bachelor's Degree
- Statewide Data Strategy (including transfer and predictive analytics)

Ongoing Projects (currently implementing)

- Strategic Communications Plan/2019 - 50th Anniversary of the Board of Regents
- Improve Information to Students on Workforce Options (UtahFutures)
- Mental Health Recommendations

Attached is a brief description of each initiative. Additional details including objectives, metrics, milestones and timelines for the Immediate Priority Initiatives will be provided to the Board in its combined meeting with Trustees in July 2018.

Commissioner's Recommendation

The Commissioner recommends the Board review and formally approve the initiatives, with instruction that additional details be developed by Commissioner's staff, in coordination with institutions and the Regent Working Groups. Additional details of the Immediate Priority Initiatives will be presented to the Board for information at the July Board Meeting, along with regular updates on all initiatives going forward.

David L. Buhler
Commissioner of Higher Education

DLB/SJ

Board of Regents' Strategic Initiatives 2018

The Board of Regents adopted a ten-year strategic plan in 2016, and provided an update to its efforts in 2017. In 2018, with renewed focus by state leaders on the role of the Board and its leadership of the state's higher education system, the Board is refocusing on its primary mission to "provide strategic leadership and link system capacity to the economy and workforce needs," according to the duties defined in 53B-1-103-3 of the Utah Code. With that focus, the Board reaffirms its strategic goal:

Increase the educational attainment of Utahns to enhance their overall quality of life, and to meet Utah's current and future workforce needs.

The Board of Regents adopted the following strategic objectives in support of this strategic goal:

Affordable Participation | Timely Completion | Research & Workforce | Capacity & Growth

In late 2017, the Board established three work groups made up of Regents, institutional subject matter experts and legislators with a charge to identify and endorse specific initiatives that would most influence Utah's college attainment rate. The following initiatives outline the work groups' efforts and recommended strategic focus for the Board and Commissioner's Office. Associated project plans with timelines, milestones, and metrics for the upcoming 12 months are being developed by the Commissioner's staff with the oversight of the Board.

Additional details for the Immediate Priority Initiatives will be presented to the Board in its combined meeting with Trustees in July 2018, along with regular updates on all initiatives and projects below.

Immediate Priority Initiatives:

1. **Automated Acceptance Notifications** (Access & Affordability)

A basic step in helping set proper college-going expectations among high school students is affirming the opportunities for all high school graduates to attend Utah's colleges and universities. At a time when a large majority of jobs being filled requires a college education, a notification to all high school seniors and their parents reminding them of the affordable and accessible college opportunities in Utah is one obvious step in reinforcing a college-seeking culture, and improving the college-going rate of high school seniors. In Utah, the participation of high school graduates in higher education the year after graduation has hovered around 65%. Expanding the pipeline of incoming students is a foundational step to increasing college attainment rates in Utah by reaching out to high school seniors.

The Commissioner's Office will work with USBE and the USHE institutions to establish an automated system to identify and notify graduating high school students that they qualify and are eligible to attend college in Utah. This system would result in the generation of a comprehensive welcome letter for every high school senior and her/his parent, notifying them of their acceptance into any of the state's open access universities and colleges. Additionally, students who meet the admissions eligibility of the selective admissions schools will be notified of early acceptance. The letter will be sent to the graduating class of 2020. States like Idaho with similar initiatives have seen a 7% increase in overall enrollment.

2. **Student Aid and Tuition Policy Review and Evaluation** (Access & Affordability, Timely Completion)

The Board recognizes that a college education is more important than ever and that the return on investment for both the student and the state over the long-term will ultimately outweigh the initial investment made. However, that does not mean that paying for college is easy for students and their families, and many students believe higher education is not affordable to them.

The cost of college for an individual is largely a function of tuition costs and student financial aid, but also is impacted by student fees, housing, transportation, and other personal costs that students incur during their post-secondary experience. The Board has asked for the staff to develop a comprehensive initiative that will result in an evaluation and possible redesign of existing tuition policy and student aid policies (including tuition waivers) for both resident and nonresident students.

The Board has requested that institutions be more strategic in their use of tuition waivers, specifically to apply certain waivers to better support students facing significant financial barriers to enrollment. The Board will consider changes to its policies on tuition waivers at its May 2018 meeting for implementation during the upcoming academic year.

3. **StepUp Schools Initiative** (Access & Affordability)

The StepUp Schools Initiative leverages USHE's current outreach efforts (StepUp to Higher Education, Regents' Scholarship, Concurrent Enrollment, StepUp Utah Scholars, Utah College Application Week, FAFSA Nights, etc.) in a more comprehensive, streamlined, and focused outreach to targeted partner schools where a measurable impact can be made with better-defined outcomes. The Commissioner's Office has identified seventeen partner high schools (and 22 of their associated "feeder" junior high schools) as part of the StepUp Schools Initiative based on data such as students qualifying for free and reduced lunch, race/ethnicity indicators, FAFSA completion rates, and college enrollment rates.

The OCHE/UHEAA outreach teams will partner with identified schools to offer tailored, comprehensive outreach programming in these areas, including professional development for counselors and staff, on-the-ground support for implementing college-readiness activities, and a college-readiness grant. In addition to existing programming mentioned above, this initiative will work with counselors to use data to identify students and actively recruit them to apply for the Regents' Scholarship and participate in Concurrent Enrollment. A peer and near-peer mentor program, StepUp Ambassadors, will be placed in select partner schools to assist in staffing these efforts and helping counselors reinforce a college-going culture in their school. The Commissioner's Office is currently scheduling initial contact meetings with high school and junior high school principals in preparation for implementation during the 2018-19 academic year.

Longer-term Initiatives:

• **Stackable Bachelor's degree** (Timely Completion, Research & Workforce)

The Commissioner's Office will work to implement policy and funding levers that encourage institutions to increase opportunities for students to complete baccalaureate degrees in key occupational areas and, as appropriate, provide flexible requirements in the first two years, thus increasing stackability of credentials throughout the state.

A key part of this effort will be to define a new type of baccalaureate degree that can be awarded by USHE institutions, similar to what has been done in other states. The proposed new degree differs from a Bachelor of Science (BS) and Bachelor of Arts (BA) degree because it centers on flexible preparation in the first two years and strong foundations of applied knowledge within the discipline. New and revised baccalaureate programs created using the degree will rely heavily on partnerships with K-12, UTech colleges, and industry that link students to the program and to the discipline, and provide for use of certificate and/or associate degree programs from institutions throughout the state in completing degree requirements.

Revisions to Policy R401 are necessary to give institutions guidance in developing and revising baccalaureate programs that utilize the degree's characteristics and align to funding sources provided through initiatives such as Talent Ready Utah Grant Requests, Strategic Workforce Investments and Talent Development and Retention. Once in place, institutions can leverage policy and funding direction to build statewide stackable credential programs through the baccalaureate level in key occupational areas, in concert with state and regional economic development priorities.

- **Statewide Data Strategy** (Timely Completion, Capacity & Growth)
For the past several years, USHE institutions have pursued newfound data solutions to enhance student learning, help students identify degree pathways and stay on-track to graduation, reduce time and cost to completion, and to drive efficiencies in the face of continued student enrollment growth. However, institutions face significant barriers in implementing effective data solutions due to factors often outside their direct influence, including: a high transfer rate of Utah students (two-thirds of Utah college students attend two or more institutions); a diversifying student population; the inability to track students across the system; the lack of a common language, definitions, and metrics that could make inter- and intra-agency analyses of student pathways stronger; and sometimes a lack of local technological expertise to customize data products to meet institutional needs as well as the need to provide critical pathways to the state's workforce. A strategic agenda set on data is foundational to make any significant system-wide strides in increasing the state's attainment rates. A statewide data strategy would include:
 - Coordinated licensing and implementation of enterprise data solutions for cost and data quality.
 - Improved articulation and transfer of students among USHE and UTech institutions that enables the flexible, innovative and competency-based delivery methods that better supports today's variety of students.
 - Dashboards and metrics with solid baseline data.
 - Data quality that provides transparency and intra-system compatibility.
 - IT infrastructure with the requisite security and usability.
 - Predictive analytics to allow institutions to maximize efforts of their advisors, faculty, and other staff to provide early interventions to keep students on-track to graduation.

Ongoing Projects:

- **Strategic Communications Plan/50th anniversary** (Access & Affordability, Research & Workforce)
To increase momentum for the state-level strategic direction of higher education, USHE will engage with a communications agency and develop a robust communications strategy that will heighten the engagement of stakeholders, promote the importance of achieving a higher education and being

prepared for college, speak to the value-add of state-level higher education leadership, and promote the initiatives and projects the Board will be implementing in the coming months.

Different from institutional marketing and recruitment efforts, the Board of Regents operates at a state level and advocates for the importance of higher education in general. This campaign strategy will be structured with an overarching advocacy message for higher education in Utah with supporting, actionable initiatives propping up that message. This structure sets up a clear, proactive, and compelling narrative for the Board's vision for higher education in Utah. This will also be the springboard communications effort as the Board enters its 50th year in 2019.

- **LaunchMyCareer Exploration Tool on UtahFutures (Research & Workforce)**

The Commissioner's Office will work with the K-12, Talent Ready Utah and UtahFutures to implement a workforce exploration tool based on state data on postsecondary completers and their employment patterns. This tool is easily accessible via a web interface and allows users to explore and compare potential educational and career opportunities. The tool will inform high school students of the return on investment (ROI) and the projected breakeven cost of specific programs based on aggregated state graduate and wage data.

The use of UtahFutures has increased significantly since the Utah Education and Telehealth Network (UETN) has assumed supervision: registered accounts have tripled in two years, and users are spending more time on the site. As envisioned at its inception, it is becoming the backbone of student and parent college-planning efforts. Currently UtahFutures uses a tool based on national census data that is less current and reliable than the Utah-specific data that Launch My Careers will provide.

- **Mental Health Recommendations (Timely Completion)**

In September 2017, the Board of Regents approved the following recommendations of the Regents' Mental Health Working Group, directing institutions to:

- (1) Assess the mental health and wellness needs of USHE students
- (2) Improve mental health education at USHE institutions
- (3) Increase access to mental health services
- (4) Develop institutional five-year mental health implementation plans

Each recommendation includes specific strategies to achieve the desired outcomes. Institutions will create five-year implementation plans based on the recommendations which will incorporate the strategies outlined.

May 9, 2018

MEMORANDUM

TO: State Board of Regents

FROM: David L. Buhler

SUBJECT: Revision of Policy R312, Utah System of Higher Education Institutional Missions and Roles

Issue

During the 2017 legislative session, the Legislature passed SB 238, which provided specific statutory language regarding the respective institutions' roles and missions. This revision of Regent Policy R312 incorporates the specific language of SB 238 and aligns language of the policy with the intent of the statute change.

Background

Regents Policy R312 establishes the roles and missions of USHE institutions. The Legislature provided added specificity to those roles, identifying the following specific roles: Research Universities, Regional Universities, and Comprehensive Community Colleges to align with the new statutory language.

Major changes to this policy include:

- Added clarity on the meaning of open admissions and the expectations for admission practices at regional universities.
- Clear expectations for new master's degree program sustainability measures.
- Recognition of the dual missions of regional universities.

Regarding open admissions, the policy allows for the Board to consider exceptions to strict open admissions, such as Southern Utah University's current practice of open admissions for its service area and selective admissions for those outside the service area.

These revisions will ensure the Board complies with governing law and will better reflect the institutional roles and missions.

Commissioner's Recommendation

The Commissioner recommends the Regents approve revisions to policy R312 effective immediately and with the approval of the exception for Southern Utah University to use selective admissions.

David L. Buhler
Commissioner of Higher Education

DLB/ /GTL
Attachments

R312, Configuration of the Utah System of Higher Education and Institutional Missions and Roles¹

R312-1. Purpose: To ~~recognize~~ establish the distinct ~~and unique~~ missions and roles of the institutions in the Utah System of Higher Education (USHE); ~~to configure a system of colleges and universities to that~~ meet Utah's the educational needs ~~of the Utah's citizens of the State of Utah; and to maintain system integrity by defining institutional categories. The Regents will review institutional roles and missions at least every five years in light of the educational needs and resources in the State of Utah~~ and foster economic growth and innovation.

R312-2. References

- 2.1. Utah Code §53B-6-101, Master Plan for Higher Education
- 2.2. Utah Code Section 53B-16-101, Establishment of Institutional Roles and General Courses of Study
- 2.3. Regents Policy ~~and Procedures~~ R301, Master Plan Executive Summary
- 2.4. Regents Policy ~~and Procedures~~ R310, System-wide Vision and Mission Statement
- 2.5. Regents Policy ~~and Procedures~~ R315, Service Area Designation and Coordination Off-Campus Courses and Programs
- 2.6. Regents Policy R485, Faculty Workload Guidelines

R312-3. Definitions

3.1. ~~Classification~~ "Roles": the general category within which an institution fits as ~~approved by the Regents and based largely upon the Carnegie Center for the Advancement of Teaching classification system~~ described in Utah Code §53B-16-101, Establishment of Institutional Roles and General Courses of Study.

3.2. ~~"Institutional Definition":~~ definitions of institutions have been adapted from the Carnegie Classification of Institutions of Higher Education (<http://www.carnegiefoundation.org>).

3.3. ~~3.2.~~ "Mission Statements": written statements of the general purposes and functions of various institutions approved by the Regents.

3.4.3.3. "Teaching Load": the institutional average teaching workload for full-time faculty at the various institutions.

3.4. ~~"Land Grant Institution":~~ an institution that may offer associate's degrees and fulfill a community college role in areas of need pursuant to Policy R315 through its extension services.

3.5. ~~3.4.~~ "Open Admission": an admissions policy that allows all applicants to be admitted. An open admission policy does not necessarily grant students access to all courses until the institution has assessed the student's level of preparedness.

¹ Approved on May 30, 2003; amended May 29, 2009. Revisions approved by the Board of Regents on May 29, 2009, May 20, 2011, September 16, 2011; May 17, 2013; January 22, 2016; April 1, 2016; and July 15, 2016.

R312-4. Institutional Roles and Missions

4.1. Doctorate-granting Research Universities: ~~[University of Utah (U of U), Utah State University (USU)]~~ Doctorate-granting Universities generally include institutions that award at least 20 doctoral degrees per year (excluding doctoral level degrees that qualify recipients for entry into professional practice, such as the JD, MD, PharmD, DPT, etc.). The mission of a Doctorate-granting University is A research university's role is to provide undergraduate, graduate and research programs and to discover, create, and transmit knowledge through education and training academic programs at the undergraduate, graduate, and professional levels through research and development and through service and extension programs associated with a major teaching and research university. with emphasis is placed on teaching, research, and service. Transfer programs allow students to seamlessly seek degrees at other institutions within the system. The institution contributes to the quality of life and economic development at the local, state, and national levels. Many research efforts generate grants and contracts that also impact economic development, which underwrites the cost of their products. The University of Utah and Utah State University are research universities.

4.1.1. University of Utah Mission Statement (2016): The University of Utah fosters student success by preparing students from diverse backgrounds for lives of impact as leaders and citizens. We generate and share new knowledge, discoveries, and innovations, and we engage local and global communities to promote education, health, and quality of life. These contributions, in addition to responsible stewardship of our intellectual, physical, and financial resources, ensure the long-term success and viability of the institution.

4.1.2. Utah State University Mission Statement (2003): The mission of Utah State University is to be one of the nation's premier student-centered land grant and space grant universities by fostering the principle that academics come first; by cultivating diversity of thought and culture; and by serving the public through learning, discovery, and engagement.

4.2. Master's Colleges and Regional Universities: ~~[Weber State University (WSU), Southern Utah University (SUU), Utah Valley University (UVU)]~~ Master's Colleges and Universities generally include institutions that award at least 50 master's degrees and fewer than 20 doctoral degrees per year. Some institutions above the master's degree threshold are included among Baccalaureate Colleges, and some below the threshold are included among Master's Colleges and Universities. The mission of a Master's University The regional university's role is to transmit knowledge and skills primarily through undergraduate programs at the associate's and baccalaureate levels, including career and technical education programs and selected graduate programs in high-demand areas is to provide career and technical education, undergraduate associate and baccalaureate programs and select master's degree programs to fill regional or state workforce demands. After a new master's degree program's fifth year, the program should be awarding a minimum average of five degrees per year as measured over a previous three-year period to be considered sustainable. After a new master's degree program's fifth year, it, and each program must award on average a minimum of a minimum average of five degrees per year over the previous three years for programs that are five years old.² Emphasis is placed on Regional universities emphasize teaching, scholarly, and creative achievements that are complementary to complement the teaching role, and community service. Transfer programs allow students to seamlessly seek degrees at other institutions within the system. The institution contributes to the quality of life and economic development at the local and state levels. Student success is supported through developmental programs and services associated with a comprehensive community

² ~~Regional institutions that offer more than ten master's degrees at the time of this amendment will be grandfathered.~~

college. [Weber State University, Southern Utah University, Utah Valley University, and Dixie State University](#) are ~~designated as~~ regional universities.

4.2.1. Weber State University Mission Statement (2007): Weber State University provides associate, baccalaureate and master degree programs in liberal arts, sciences, technical and professional fields. Encouraging freedom of expression and valuing diversity, the university provides excellent educational experiences for students through extensive personal contact among faculty, staff and students in and out of the classroom. Through academic programs, research, artistic expression, public service and community-based learning, the university serves as an educational, cultural and economic leader for the region.

4.2.2. Southern Utah University Mission Statement (2016): Southern Utah University is a dynamic teaching and learning community that engages students in experiential education leading to personal growth, civic responsibility, and professional excellence.

4.2.3. Utah Valley University Mission Statement (2007): Utah Valley University is a teaching institution which provides opportunity, promotes student success, and meets regional educational needs. UVU builds on a foundation of substantive scholarly and creative work to foster engaged learning. The university prepares professionally competent people of integrity who, as lifelong learners and leaders, serve as stewards of a globally interdependent community.

4.2.4. Dixie State University Mission Statement (2016): [Dixie State University is a public comprehensive university dedicated to rigorous learning and the enrichment of the professional and personal lives of its students and community by providing opportunities that engage the unique Southern Utah environment and resources.](#)

4.3. ~~Baccalaureate Colleges and Universities:~~ ~~[Dixie State University (DSU)] Baccalaureate Colleges and Universities generally include institutions where baccalaureate degrees represent at least 10 percent of all undergraduate degrees and that award fewer than 50 master's degrees or 20 doctoral degrees per year. Some institutions above the master's degree threshold are also included. The mission of a Baccalaureate College or University is to provide training and educational programs developed as a part of a dynamic continuum of opportunities that includes certificate, associate's degrees, baccalaureate degrees, and continuing education offerings responsive to a rapidly growing and divergent economic region. Emphasis is placed on teaching and training, scholarly, professional and creative efforts complementing its teaching and training role and community service. The institution contributes to the quality of life and economic development of the community, the state, and the region. Student success is supported through developmental programs and services provided by a comprehensive community college.~~

4.3.1. ~~Dixie State University Mission Statement (2016):~~ ~~Dixie State University is a public comprehensive university dedicated to rigorous learning and the enrichment of the professional and personal lives of its students and community by providing opportunities that engage the unique Southern Utah environment and resources.~~

4.3 Comprehensive Community ~~or Associate's~~ Colleges: ~~[Snow College (Snow), Salt Lake Community College (SLCC)]~~ [The comprehensive community college's](#) ~~(or Associate's Colleges) generally include institutions where all degrees are at the associate's level, or where bachelor's degrees account for less than 10 percent of all undergraduate degrees. The mission of a Comprehensive Community or Associate's College~~ [role](#) is to transmit knowledge and skills through transfer education at the associate of arts and associate of science degree level along with offering associate of applied science degrees, career and technical education, customized training for employers, developmental education, and strong student services to support these functions. Transfer programs ~~are intended to~~ prepare graduates to begin upper-

division work. ~~Emphasis is placed on~~ The institution emphasizes teaching, training, scholarly, professional, and creative achievement, ~~and~~ community service, ~~and~~ ~~.The institution~~ contributes to the quality of life and economic development of the community and the state. ~~Student success is supported through developmental programs and services associated with a comprehensive community college. Within the organization of the institution~~ The comprehensive community college's function role is identifiable in its organizational structure and, is supported through programs, services, and specific administrative responsibility, ~~and includes transfer education, career and technical education, customized training for employers, developmental education, and strong student services.~~ Salt Lake Community College and Snow College are designated as comprehensive community colleges. WSU, UVU, and DSU also All institutions except the University of Utah provide a comprehensive varying degrees of community college function functions to the state of Utah along with Snow, and SLCC in their respective service areas.

4.3.1. Snow College Mission Statement (2011): Snow College continues a tradition of excellence, encourages a culture of innovation, and cultivates an atmosphere of engagement to advance students in the achievement of their educational goals.

4.3.2. Salt Lake Community College Mission Statement (2016): Salt Lake Community College is your community college. We engage and support students in educational pathways leading to successful transfer and meaningful employment.

R312-5. Accreditation: ~~Regional and~~ Specialized accreditation is a goal for all programs for which this accreditation is available and appropriate for the institution's mission and role.

~~**R312-6. Land Grant Institution:** When a land grant institution is acting as a community college through its extension efforts, students are granted open admission to associate's degree programs with appropriate academic preparatory support. USU fulfills this role for the state of Utah in areas of need. The land grant designation makes USU responsible for statewide programs in agriculture, business, education, engineering, natural resources, sciences, family life, 4-H youth, and the traditional core of liberal learning: humanities, arts, and social sciences.~~

R312-6. Faculty

6.1. Criteria for Selection, Retention, and Advancement

6.1.1. ~~Doctorate-granting~~ Research Universities: Faculty are selected, retained, and promoted on the basis of evidence of effective teaching; research, scholarship, and creative achievements; and service and extension activities.

6.1.2. ~~Master's Colleges and~~ Regional Universities: Faculty are selected, retained, and promoted primarily on the basis of evidence of effective teaching. Additional criteria include scholarly, professional and creative achievements, and service that complements the teaching role.

~~**6.1.3. —Baccalaureate Colleges and Universities:** Faculty are selected, retained, and promoted primarily on the basis and evidence of effective teaching and training. Secondary criteria include scholarly, professional, creative achievements, and service that complement the teaching role.~~

6.1.3. Comprehensive Community ~~or Associate's~~ Colleges: Faculty are selected, retained, and promoted primarily on the basis and evidence of effective teaching and training. Secondary criteria include scholarly, professional, creative achievements, and service that complement the teaching role.

6.2. Educational Preparation

6.2.1. ~~Doctorate-granting~~ Research Universities: Regular full-time tenure-track faculty will have earned the appropriate terminal degree for their field and specialty.

6.2.2. ~~Master's Colleges and~~ Regional Universities: Regular full-time tenure-track faculty will have earned or be working toward the appropriate terminal degree for their field and specialty. Faculty in career and technical education or professional fields also will have practical, related work experience.

~~**6.1.4. —Baccalaureate Colleges and Universities:** Regular full-time tenure-track faculty will have practical, related work experience and recognized professional credentials for their discipline and teaching level. To teach courses in general education or other special transfer programs, the master's degree is a standard requirement. Faculty teaching upper-division courses will have earned or be working toward the appropriate terminal degree for their field and specialty.~~

6.2.3. Comprehensive Community ~~or Associate's~~ Colleges: Regular full-time tenure-track faculty will have practical, related work experience and recognized professional credentials for their discipline and teaching level. To teach courses in general education or other special transfer programs, the master's degree is a standard requirement.

6.3. Teaching Loads and Research Activities

6.3.1. ~~Doctorate-granting~~ Research Universities: Average teaching loads are expected to be lower than that of faculty in ~~Master's~~ regional universities reflecting necessary faculty involvement with research, scholarship, and creative achievements. Institutional teaching loads will average at least 18 credit hour equivalents each academic year.

6.3.2. ~~Master's Colleges and~~ Regional Universities: Average teaching loads are higher than those of faculty in ~~Doctorate-granting research~~ universities ~~and somewhat lower than those of faculty in Baccalaureate Colleges~~. These teaching loads reflect ~~Master's~~ regional Universities having fewer graduate programs and less emphasis on research and scholarship than ~~Doctorate-granting research~~ institutions. Institutional teaching loads will average at least 24 credit hour equivalents each academic year.

~~**6.1.5. —Baccalaureate Colleges and Universities:** Average teaching loads are higher than those of faculty in Master's Universities. Institutional teaching loads will average at least 27 credit hour equivalents each academic year.~~

6.3.3. Comprehensive Community ~~or Associate's~~ Colleges: Average teaching loads are somewhat higher than those of faculty in ~~Baccalaureate Colleges~~ regional universities, because faculty ~~are not involved in upper-division and graduate-level instruction~~ do not have extensive research responsibilities. Institutional teaching loads will average at least 30 credit hour equivalents each academic year.

R312-7. Student Admission

7.1. ~~Doctorate-granting~~ Research Universities: ~~Students are admitted on the basis of their projected ability to succeed at the institution. Projected ability to succeed is based primarily on past performance, such~~

~~as grade point average and standardized test scores. Satisfactory completion of prerequisite courses and work experience may also be factors, among others. these institutions are selective in admissions~~ Research universities are selective in admissions.

7.2. ~~Master's Colleges and~~ Regional Universities: ~~Students are granted admission primarily on the basis of their projected ability to succeed at the institution. Projected ability to succeed is based in part on past performance such as grade point average and standardized test scores. Satisfactory completion of developmental courses, prerequisite courses, and work experience may also be factors, among others~~ Regional universities are open-admission institutions unless otherwise excepted by the Board of Regents. Regional universities may test or assess students for directed course placement, the ability to benefit from specific courses for financial aid purposes, and the students' projected ability to succeed.

7.3. ~~Baccalaureate Colleges and Universities~~: ~~All incoming students are tested for course placement and advising purposes. Satisfactory completion of developmental and/or prerequisite courses and work experience also may be factors. Lower division courses are primarily open admission. Students must meet admissions' criteria for upper division courses and programs.~~

7.3. ~~Comprehensive Community or Associate's Colleges~~: ~~Comprehensive Community Colleges are open-admission institutions. Incoming students may be tested or assessed for course placement and the ability to benefit from specific courses for financial aid purposes. Satisfactory completion of other developmental or prerequisite courses and work experience may also be factors.~~

R312-8. Support Services

8.1. ~~Doctorate-granting~~ Research Universities: These institutions provide library services, support services, equipment, and other resources to support undergraduate and graduate programs, and student and faculty research.

8.2. ~~Master's Colleges and~~ Regional Universities: These institutions provide library services, support services, equipment, and other resources to support undergraduate programs, a limited number of master's programs, and the ~~intellectual~~ needs of students and faculty.

8.3. ~~Baccalaureate and Comprehensive Community or Associate's Colleges~~: These institutions provides library services, student support services, equipment, and other resources to support lower-division programs in career and technical education, general education, ~~baccalaureate programs, and the intellectual~~ developmental education, transfer programs, and the needs of students, ~~and~~ faculty, and the community.

R312-1. Purpose: To establish the distinct missions and roles of the institutions in the Utah System of Higher Education (USHE) and foster economic growth and innovation.

R312-2. References

- 2.1. Utah Code §53B-6-101, Master Plan for Higher Education
- 2.2. Utah Code Section 53B-16-101, Establishment of Institutional Roles and General Courses of Study
- 2.3. Regents Policy R301, Master Plan Executive Summary
- 2.4. Regents Policy R310, System-wide Vision and Mission Statement
- 2.5. Regents Policy R315, Service Area Designation and Coordination Off-Campus Courses and Programs
- 2.6. Regents Policy R485, Faculty Workload Guidelines

R312-3. Definitions

- 3.1. **"Roles"**: the general category within which an institution fits as described in Utah Code §53B-16-101, Establishment of Institutional Roles and General Courses of Study.
- 3.2. **"Mission Statements"**: written statements of the general purposes and functions of various institutions approved by the Regents.
- 3.3. **"Teaching Load"**: the institutional average teaching workload for full-time faculty at the various institutions.
- 3.4. **"Open Admission"**: an admissions policy that allows all applicants to be admitted. An open admission policy does not necessarily grant students access to all courses until the institution has assessed the student's level of preparedness.

R312-4. Institutional Roles and Missions

- 4.1. **Research Universities:** A research university's role is to provide undergraduate, graduate and research programs and to discover, create, and transmit knowledge through academic programs at the undergraduate, graduate, and professional with emphasis on teaching, research, and service. Transfer programs allow students to seamlessly seek degrees at other institutions within the system. The institution contributes to the quality of life and economic development at the local, state, and national levels. Many research efforts generate grants and contracts that also impact economic development, which underwrites the cost of their products. The University of Utah and Utah State University are research universities.

¹ Approved on May 30, 2003; amended May 29, 2009. Revisions approved by the Board of Regents on May 29, 2009, May 20, 2011, September 16, 2011; May 17, 2013; January 22, 2016; April 1, 2016; and July 15, 2016.

4.1.1. University of Utah Mission Statement (2016): The University of Utah fosters student success by preparing students from diverse backgrounds for lives of impact as leaders and citizens. We generate and share new knowledge, discoveries, and innovations, and we engage local and global communities to promote education, health, and quality of life. These contributions, in addition to responsible stewardship of our intellectual, physical, and financial resources, ensure the long-term success and viability of the institution.

4.1.2. Utah State University Mission Statement (2003): The mission of Utah State University is to be one of the nation's premier student-centered land grant and space grant universities by fostering the principle that academics come first; by cultivating diversity of thought and culture; and by serving the public through learning, discovery, and engagement.

4.2. Regional Universities: The regional university's role provide career and technical education, undergraduate associate and baccalaureate programs and select master's degree programs to fill regional or state workforce demands. After a new master's degree program's fifth year, the program should be awarding a minimum average of five degrees per year as measured over a previous three-year period to be considered sustainable. Regional universities emphasize teaching, scholarly, and creative achievements that complement teaching-and community service. Transfer programs allow students to seamlessly seek degrees at other institutions within the system. The institution contributes to the quality of life and economic development at the local and state levels. Student success is supported through developmental programs and services associated with a comprehensive community college. Weber State University, Southern Utah University, Utah Valley University, and Dixie State University are regional universities.

4.2.1. Weber State University Mission Statement (2007): Weber State University provides associate, baccalaureate and master degree programs in liberal arts, sciences, technical and professional fields. Encouraging freedom of expression and valuing diversity, the university provides excellent educational experiences for students through extensive personal contact among faculty, staff and students in and out of the classroom. Through academic programs, research, artistic expression, public service and community-based learning, the university serves as an educational, cultural and economic leader for the region.

4.2.2. Southern Utah University Mission Statement (2016): Southern Utah University is a dynamic teaching and learning community that engages students in experiential education leading to personal growth, civic responsibility, and professional excellence.

4.2.3. Utah Valley University Mission Statement (2007): Utah Valley University is a teaching institution which provides opportunity, promotes student success, and meets regional educational needs. UVU builds on a foundation of substantive scholarly and creative work to foster engaged learning. The university prepares professionally competent people of integrity who, as lifelong learners and leaders, serve as stewards of a globally interdependent community.

4.2.4. Dixie State University Mission Statement (2016): Dixie State University is a public comprehensive university dedicated to rigorous learning and the enrichment of the professional and personal lives of its students and community by providing opportunities that engage the unique Southern Utah environment and resources.

4.3 Comprehensive Community Colleges: The comprehensive community college's role is to transmit knowledge and skills through transfer education at the associate of arts and associate of science degree level along with offering associate of applied science degrees, career and technical education, customized training for employers, developmental education, and strong student services to support these functions. Transfer programs prepare graduates to begin upper-division work. The institution emphasizes

teaching, training, scholarly, professional, and creative achievement, community service, and contributes to the quality of life and economic development of the community and the state. The comprehensive community college's role is identifiable in its organizational structure and is supported through programs, services, and specific administrative responsibility. Salt Lake Community College and Snow College are comprehensive community colleges. All institutions except the University of Utah provide varying degrees of community college functions in their respective service areas.

4.3.1. Snow College Mission Statement (2011): Snow College continues a tradition of excellence, encourages a culture of innovation, and cultivates an atmosphere of engagement to advance students in the achievement of their educational goals.

4.3.2. Salt Lake Community College Mission Statement (2016): Salt Lake Community College is your community college. We engage and support students in educational pathways leading to successful transfer and meaningful employment.

R312-5. Accreditation: Specialized accreditation is a goal for all programs for which this accreditation is available and appropriate for the institution's mission and role.

R312-6. Faculty

6.1. Criteria for Selection, Retention, and Advancement

6.1.1. Research Universities: Faculty are selected, retained, and promoted on the basis of evidence of effective teaching; research, scholarship, and creative achievements; and service and extension activities.

6.1.2. Regional Universities: Faculty are selected, retained, and promoted primarily on the basis of evidence of effective teaching. Additional criteria include scholarly, professional and creative achievements, and service that complements the teaching role.

6.1.3. Comprehensive Community: Faculty are selected, retained, and promoted primarily on the basis and evidence of effective teaching and training. Secondary criteria include scholarly, professional, creative achievements, and service that complement the teaching role.

6.2. Educational Preparation

6.2.1. Research Universities: Regular full-time tenure-track faculty will have earned the appropriate terminal degree for their field and specialty.

6.2.2. Regional Universities: Regular full-time tenure-track faculty will have earned or be working toward the appropriate terminal degree for their field and specialty. Faculty in career and technical education or professional fields also will have practical, related work experience.

6.2.3. Comprehensive Community Colleges: Regular full-time tenure-track faculty will have practical, related work experience and recognized professional credentials for their discipline and teaching level. To teach courses in general education or other special transfer programs, the master's degree is a standard requirement.

6.3. Teaching Loads and Research Activities

6.3.1. Research Universities: Average teaching loads are expected to be lower than that of faculty in regional universities reflecting necessary faculty involvement with research, scholarship, and creative achievements. Institutional teaching loads will average at least 18 credit hour equivalents each academic year.

6.3.2. Regional Universities: Average teaching loads are higher than those of faculty in research universities. These teaching loads reflect regional universities having fewer graduate programs and less emphasis on research and scholarship than research institutions. Institutional teaching loads will average at least 24 credit hour equivalents each academic year.

6.3.3. Comprehensive Community Colleges: Average teaching loads are somewhat higher than those of faculty in regional universities, because faculty do not have extensive research responsibilities. Institutional teaching loads will average at least 30 credit hour equivalents each academic year.

R312-7. Student Admission

7.1. Research Universities: Research universities are selective in admissions.

7.2. Regional Universities: Regional universities are open-admission institutions unless otherwise excepted by the Board of Regents. Regional universities may test or assess students for directed course placement, the ability to benefit from specific courses for financial aid purposes, and the students' projected ability to succeed.

7.3. Comprehensive Community Colleges: Comprehensive Community Colleges are open-admission institutions. Incoming students may be tested or assessed for course placement and the ability to benefit from specific courses for financial aid purposes.

R312-8. Support Services

8.1. Research Universities: These institutions provide library services, support services, equipment, and other resources to support undergraduate and graduate programs, and student and faculty research.

8.2. Regional Universities: These institutions provide library services, support services, equipment, and other resources to support undergraduate programs, a limited number of master's programs, and the needs of students and faculty.

8.3. Comprehensive Community Colleges: These institutions provide library services, student support services, equipment, and other resources to support lower-division programs in career and technical education, general education, developmental education, transfer programs, and the needs of students, faculty, and the community.

May 9, 2018

MEMORANDUM

TO: State Board of Regents

FROM: David L. Buhler

SUBJECT: University of Utah – Property Disposal

Issue

Regent Policy R704, *Disposal of Real Property* requires the Board of Regents to approve institutional property disposals that exceed \$500,000. The University of Utah requests Board approval to sell 0.26 acres of property located at 427 East on South Temple in Salt Lake City to the Orrin G. Hatch Foundation for the mutually beneficial development of an Orrin G. Hatch Center for Legislative Leadership and Public Policy Studies at an appraised value of \$850,000.

Background

The University of Utah owns property on the 400 East block of South Temple in Salt Lake City which is home to the Kem C. Gardner Policy Institute and the Thomas S. Monson Center. The University seeks Board approval to sell a small 0.26 acre property east of the Monson Center at 427 East South Temple that is currently a parking lot to the Hatch Foundation, which is a 501(c)(3) non-profit corporation organized to preserve the legacy of Senator Orrin G. Hatch's service in the United State Senate. The Foundation would use the property to construct an Orrin G. Hatch Center for Legislative Leadership and Public Policy. The proximity of the University to the Hatch Center would provide for collaborations between the University and the Center including active engagement of the Hinckley Institute of Politics and the Kem C. Gardner Policy Institute as well as the potential for student internships and faculty activities. The property will be sold for the appraised fair market value of \$850,000.

Additional information about this request may be found in the attached letter from the University with an accompanying map and the executive summary of the property appraisal. Representatives from the University of Utah will be in attendance at the meeting to provide additional information and respond to questions from the Board.

Commissioner's Recommendation

The Commissioner recommends that the Board authorize the University of Utah to sell property located at 427 East South Temple in Salt Lake City, Utah as proposed.

David L. Buhler
Commissioner of Higher Education

DLB/KLH/RPA
Attachments

April 30, 2018

Mr. David Buhler
Commissioner
Board of Regents Building, The Gateway
60 South 400 West
Salt Lake City, Utah 84101-1284

Dear Commissioner Buhler:

The University of Utah hereby requests approval from the Board of Regents' for the disposition of the parking lot located at 427 East South Temple in Salt Lake City, Utah east of the Monson Center. The purchase price shall be the fair market value of the property as established via appraisal and is currently estimated at \$850,000 for the ~0.26 acres. Attached for your reference is an aerial view of the property outlined in yellow.

The buyer of the property is the Hatch Foundation for the future development of the Orrin G. Hatch Center for Legislative Leadership and Public Policy. The following are other components of the terms of the transaction:

- The University will have an opportunity to review and comment on the site plans including floor plans and architectural renderings.
- The University will retain a right of first refusal to purchase the property and building from the Foundation should it ever be offered for sale.
- The University, through its Hinckley Institute of Politics, Kem C. Gardner Policy Institute, and other appropriate colleges and departments will collaborate with the Hatch Center.

Accordingly, Jason Perry, Vice President of Government Relations, would appreciate an opportunity to present this disposition to the Finance and Facilities Committee at the May 18, 2018 Board of Regents meeting.

Thanks, as always, for your consideration and support.

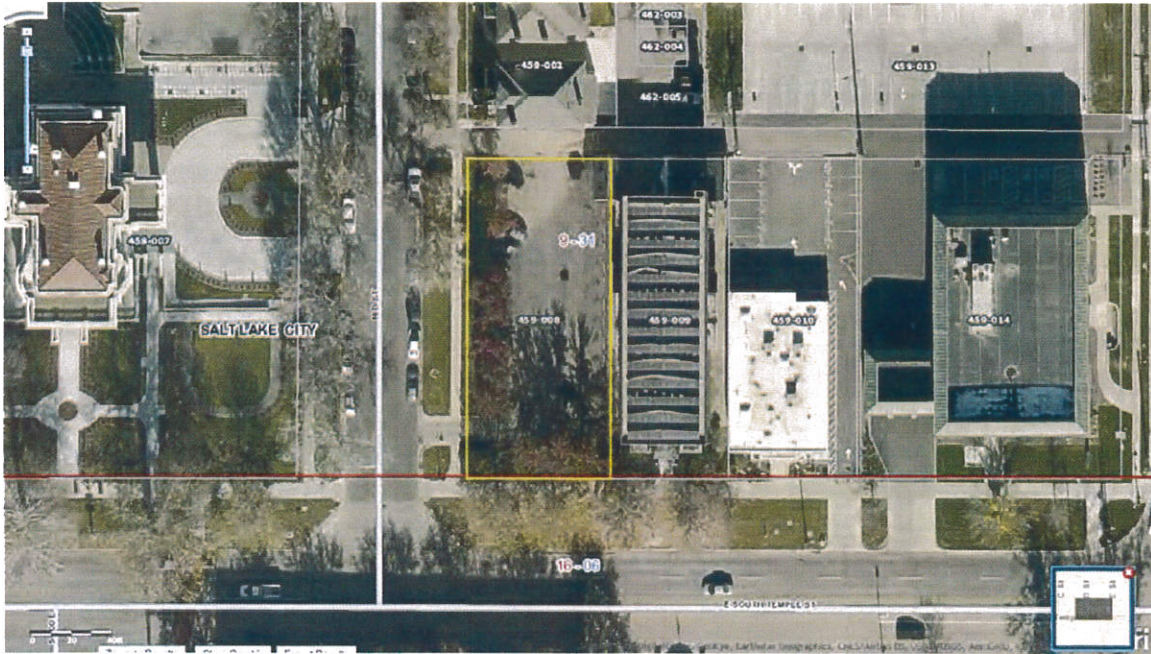
Sincerely,



Patricia A. Ross
Chief Strategy Officer

c: Dr. Ruth V. Watkins
Dr. Kimberly Henrie
Richard P. Amon
Jason Perry
Jonathon Bates

Attachment



Executive Summary

Property Name	University of Utah Parking Lot		
Location	427 East South Temple, Salt Lake City, Salt Lake County, Utah 84111		
Highest and Best Use	As If Vacant		
Property Rights Appraised	Fee Simple Estate		
Date of Report	April 12, 2018		
Date of Inspection	April 11, 2018		
Estimated Exposure Time	6 Months		
Estimated Marketing Time	6 Months		
Land Area	0.26 AC	11,326 SF	
Buyer Profile	Developer		
VALUATION	Total	Per SF	
Land Value	\$850,000	\$75.05	

CONCLUDED MARKET VALUE			
Appraisal Premise	Interest Appraised	Date of Value	Value
As Is	Fee Simple Estate	April 11, 2018	\$850,000
Compiled by CBRE			

EXTRAORDINARY ASSUMPTIONS

An extraordinary assumption is defined as “an assignment-specific assumption as of the effective date regarding uncertain information used in an analysis which, if found to be false, could alter the appraiser’s opinions or conclusions.” ¹

- None noted

HYPOTHETICAL CONDITIONS

A hypothetical condition is defined as “a condition, directly related to a specific assignment, which is contrary to what is known by the appraiser to exist on the effective date of the assignment results, but is used for the purposes of analysis.” ²

- None noted

¹ The Appraisal Foundation, *USPAP*, 2017-2019

² The Appraisal Foundation, *USPAP*, 2017-2019

May 9, 2018

MEMORANDUM

TO: State Board of Regents

FROM: David L. Buhler

SUBJECT: University of Utah – Lease Renewal

Issue

Regent Policy R705, *Leased Space* requires the Board of Regents to approve institutional leases with state-appropriated funds that are more than \$250,000 per year or commit the institution for ten or more years. The University of Utah (U) seeks Board approval to renew a lease for 38,856 square feet at \$960,000 average annual rent in the University's Research Park in Salt Lake City, Utah.

Background

The University currently leases 38,856 square feet in Suite A-100 at 375 Chipeta in Salt Lake City for the Department of Family and Preventative Medicine in the University's School of Medicine. The Department administers six Master's Degree programs including the Master of Public Health and the Master of Physician Assistant Studies, two doctorate programs, two medical residency programs, and two fellowship programs in Obstetrics and Sports Medicine. The lease extension will allow the University time to complete the large construction project for the School of Medicine, which will influence the availability of space in the future. The U requests approval to renew the lease for an additional five years at the average annual rental rate of \$960,000.

Additional information about this request may be found in the attached letter from the University and representatives from the U will be in attendance at the meeting to provide additional information and respond to questions from the Board.

Commissioner's Recommendation

The Commissioner recommends that the Board authorize the University of Utah to extend the lease at 375 Chipeta, Suite A-100 for an additional five years as proposed.

David L. Buhler
Commissioner of Higher Education

DLB/KLH/RPA
Attachment

April 30, 2018

Mr. David Buhler
Commissioner
Board of Regents Building, The Gateway
60 South 400 West
Salt Lake City, Utah 84101-1284

Dear Commissioner Buhler:

The University of Utah hereby requests approval from the Board of Regents to enter into an Amendment to Lease for the following leased space:

375 Chipeta, Suite A-100, Salt Lake City, Utah

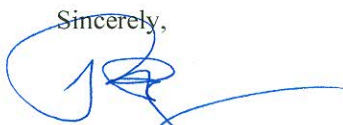
- School of Medicine – Department of Family & Preventative Medicine (DFPM)
- 38,856 sf
- Average annual rent: \$960k (Full Service)
- Total lease term commitment: \$4.8M
- Term: Five (5) years (April 1, 2018 – March 31, 2023)
 - University will have a termination right any time on or after March 31, 2020
- Purpose: Faculty offices with classroom and research components

DFPM has occupied this space since 2005 and this extension will give the University needed flexibility to finalize plans associated with the allocation of space across the Health Sciences Campus associated with the Transformation Project for the School of Medicine.

Accordingly, Jonathon Bates, Executive Director of Real Estate Administration, would appreciate an opportunity to present this Lease Amendment to the Finance and Facilities Committee at the May 18, 2018 Board of Regents meeting.

Thank you, as always, for your consideration and support.

Sincerely,



Patricia A. Ross
Chief Strategy Officer

c: Dr. Ruth V. Watkins
Dr. Kimberly Henrie
Richard P. Amon
Jonathon Bates

May 9, 2018

MEMORANDUM

TO: State Board of Regents

FROM: David L. Buhler

SUBJECT: Utah State University – Series 2018 Research Revenue Bonds

Issue

Regent Policy R590, *Issuance of Revenue Bonds for Colleges and Universities* requires the Board to review and approve the issuance and sale of revenue bonds that have been affirmatively authorized by the Utah State Legislature. Utah State University (USU) requests Board authorization to issue up to \$35,000,000 of Research Revenue Bonds to construct Phase II of the Space Dynamics Laboratory as authorized by the 2018 Legislature.

Background

During the 2018 General Session the Legislature passed House Bill 9, *Revenue Bond and Capital Facilities Authorizations* which authorized USU to issue revenue bonds for the construction of the Space Dynamics Laboratory Phase II. The Laboratory develops intelligence, surveillance and reconnaissance technologies including technologies used for tactical sensors, data compression, flight testing, and cyber security. These technologies are in heavy demand by industry, the scientific community, and the military. The project was initially approved by the Board in the September 2017 meeting. Research revenue will pay the debt service on these bonds.

The legislative authorization allows for the issuance of up to \$31,400,000 for the project in addition to costs of issuance, capitalized interest, and debt service reserve requirements. The attached resolution authorizes USU to bond for up to \$35,000,000 for the Space Dynamics Laboratory Phase II project. The relevant parameters of the requested issue are:

- Principal amount not to exceed \$35,000,000 (including costs of issuance and capitalized interest)
- Interest rate not to exceed 5%
- Discount from par not to exceed 2%
- Final maturity not to exceed 32 years from the date of issue

A copy of the request letter from the University, the Approving Resolution, and a financing summary from the financial advisor are attached. Representatives from USU will be in attendance at the meeting to provide additional information and answer questions from the Board.

Commissioner's Recommendation

The Commissioner recommends approval of the proposed Authorizing Resolution to issue Research Revenue Bonds for Utah State University as proposed.

David L. Buhler
Commissioner of Higher Education

DLB/KLH/RPA
Attachments

May 1, 2018

Commissioner David L. Buhler
Utah State Board of Regents
Board of Regents Building The Gateway
60 South 400 West
Salt Lake City, Utah 84101-1284

Dear Commissioner Buhler:

Utah State University requests that the Board of Regents approve issuing revenue bonds totaling \$31,400,000, together with other amounts necessary to pay costs of issuance, pay capitalized interest, and fund any debt service reserve requirement to finance construction of the Space Dynamics Laboratory Phase II facility. Bonds will be repaid with funds received from reimbursed overhead on research projects. The acquisition was previously approved by the Board of Trustees, Board of Regents, State Building Board, and the Legislature. This item will be presented to the Board of Trustees during the May 4, 2018 meeting.

We appreciate your support and ask that you present this item for Regents approval.

Sincerely,



David T. Cowley
Vice President for
Business and Finance

C: Kimberly Henrie, Associate Commissioner for Finance and Facilities
Noelle Cockett, President
Dan Christensen, Controller
Dwight Davis, Associate Vice President for Business and Finance

**Utah State University
Research Revenue Bonds, Series 2018B
Preliminary Summary Sheet**

Proposed Issue: Research Revenue Bonds

Total Approximate Issue Size: \$33,165,000

Use of Funds: To finance the cost of constructing the Space Dynamics Laboratory Phase II, fund capitalized interest and a debt service reserve fund, if needed; and pay associated costs of issuance.

Detail of Proposed Series 2018B Bonds:

Principal Amount: Not to exceed \$35,000,000

Interest Rate: Not to exceed 5.0%

Maturity Date: Not to exceed 32 years

Aggregate Discount: Not to exceed 2%

Bond Rating: AA from S&P

Source of Repayment: Research Revenues

Timetable Considerations: Regent approval will be sought at the May 18 meeting. The University is proceeding with plans to sell bonds soon after Regent approval is received, with a tentative sale date planned for Thursday, May 31, 2018. The University anticipates selling bonds by competitive sale, and the underwriter will be whichever provides the lowest borrowing cost as a combination of each bidder's proposed rates and fees. The anticipated closing date is June 21, 2018.

The University has also issued a Request for Proposals for Trustee Services. Depending on the results of that RFP, the University may change bond trustee in conjunction with this transaction.

APPROVING RESOLUTION
UTAH STATE UNIVERSITY
RESEARCH BONDS – SPACE DYNAMICS LABORATORY (PHASE II)

Salt Lake City, Utah

May 18, 2018

The State Board of Regents of the State of Utah (the “Board”) met in regular session (including by electronic means) at Salt Lake Community College in Salt Lake City, Utah on May 18, 2018, commencing at 9:00 a.m. The following members were present:

Daniel W. Campbell	Chair
Harris H. Simmons	Vice Chair
Jessellie B. Anderson	Member
Nina Barnes	Member
Wilford W. Clyde	Member
Marlin K. Jensen	Member
Ronald W. Jibson	Member
Patricia Jones	Member
Steven J. Lund	Member
Robert S. Marquardt	Member
Christina Ortega	Member
Robert W. Prince	Member
Mark R. Stoddard	Member
Teresa L. Theurer	Member
Alex Trujillo	Student Regent
Joyce P. Valdez	Member
Thomas Wright	Member

Absent:

Also Present:

David L. Buhler	Commissioner of Higher Education
Loreen Olney	Secretary

,

After the meeting had been duly convened and called to order by the Chair, the roll had been called with the above result, the Chair announced that one of the purposes of the meeting was the consideration of various matters with respect to the issuance and sale of the State Board of Regents of the State of Utah, Utah State University Research Revenue Bonds, Series 2018B.

The following resolution was introduced in written form and after full discussion, pursuant to motion made by Regent _____ and seconded by Regent _____, was adopted by the following vote:

YEA:

NAY:

The resolution is as follows:

RESOLUTION

A RESOLUTION OF THE STATE BOARD OF REGENTS OF THE STATE OF UTAH AUTHORIZING THE ISSUANCE AND SALE OF ITS UTAH STATE UNIVERSITY RESEARCH REVENUE BONDS, IN THE AGGREGATE PRINCIPAL AMOUNT OF NOT TO EXCEED \$35,000,000; AUTHORIZING THE EXECUTION OF A SUPPLEMENTAL INDENTURE, OFFICIAL STATEMENT, AND OTHER DOCUMENTS REQUIRED IN CONNECTION THEREWITH; AUTHORIZING THE TAKING OF ALL OTHER ACTIONS NECESSARY TO THE CONSUMMATION OF THE TRANSACTIONS CONTEMPLATED BY THIS RESOLUTION; AND RELATED MATTERS.

WHEREAS, the State Board of Regents of the State of Utah (the “Board”) is established and exists under and pursuant to Section 53B-1-103, Utah Code Annotated 1953, as amended (the “Utah Code”); and

WHEREAS, pursuant to the provisions of Title 53B, Chapter 1, Utah Code, the Board is authorized to act as the governing authority of Utah State University (the “University”) for the purpose of exercising the powers contained in Title 53B, Chapter 21, of the Utah Code and the specific authorization of Section 63B-28-102(2) of the Utah Code (collectively, the “Act”); and

WHEREAS, pursuant to a General Indenture of Trust dated as of August 1, 1995, as heretofore amended and supplemented (the “General Indenture”), between the Board and Wells Fargo Bank, N.A., as trustee (along with any replacement trustee, the “Trustee”), the Board, acting for and on behalf of the University has issued various series of its Research Revenue Bonds (the “Outstanding Bonds”); and

WHEREAS, the General Indenture authorizes the issuance of additional bonds to be issued on a parity with the Outstanding Bonds; and

WHEREAS, in accordance with the provisions of the Act, the Board is authorized to issue revenue bonds for and on behalf of the University for the purpose of financing all or part of the costs of constructing a Space Dynamics Laboratory Phase II (the “2018B Project”) in an aggregate principal amount of not to exceed \$31,400,000 for acquisition and construction proceeds, together with other amounts necessary to pay costs of issuance, pay capitalized interest, and fund any debt service reserve requirements); and

WHEREAS, the Board intends to issue revenue bonds for and on behalf of the University for the purpose of financing the 2018B Project and to pay costs of issuance and fund reserves, all pursuant to the General Indenture, as further supplemented and amended by a Supplemental Indenture of Trust (the “Supplemental Indenture” and together with the General Indenture, the “Indenture”) between the Board and the Trustee, which bonds will be designated as the “State Board of Regents of the State of Utah, Utah State University

Research Revenue Bonds, Series 2018B (the “Bonds”) in an aggregate principal amount of not to exceed \$35,000,000; and

WHEREAS, the Bonds shall be payable solely from the University’s revenues and other moneys pledged therefor in the Indenture and shall not constitute nor give rise to a general obligation or liability of the Board, the University or the State of Utah or constitute a charge against their general credit; and

WHEREAS, there has been presented to the Board at this meeting a form of a Preliminary Official Statement relating to the Bonds (the “Preliminary Official Statement”), including a form of an Official Notice of Bond Sale (the “Official Notice of Bond Sale”), in the event the Bonds are publicly sold, a form of Supplemental Indenture and a form of a Bond Purchase Agreement (the “Bond Purchase Agreement”), in the case where the Bonds are sold at a negotiated sale or private placement, to be entered into among the Board, the University and the underwriters or purchasers for the Bonds (the “Purchaser”); and

WHEREAS, the University has circulated a Request for Proposals for Trustee services and the Board desires to authorize the University to take all actions necessary to replace the trustee for bonds outstanding under the Indenture and the other bonds of the University if deemed advantageous; and

WHEREAS, the Board desires to grant to the Chair and/or Vice Chair of the Board and/or the Chair of the Finance and Facilities Committee of the Board, the authority to approve the method of sale, interest rates, principal amount, terms, maturities, redemption features, and purchase prices at which the Bonds shall be sold and any changes with respect thereto from those terms which were before the Board at the time of adoption of this Resolution; provided such terms do not exceed the parameters set forth in this Resolution.

NOW, THEREFORE, BE IT RESOLVED BY THE STATE BOARD OF REGENTS OF THE STATE OF UTAH, AS FOLLOWS:

Section 1. All terms defined in the foregoing recitals hereto shall have the same meanings when used herein.

Section 2. All actions heretofore taken (not inconsistent with the provisions of this resolution) by the Board and the University and the officers of the Board or the University directed toward the issuance of the Bonds are hereby ratified, approved and confirmed.

Section 3. The Board hereby authorizes, approves and directs the use and distribution of the Preliminary Official Statement substantially in the form of the Preliminary Official Statement presented to the Board at this meeting in connection with the offering and sale of the Bonds, in the event the Bonds are publicly sold. The Chair, Vice Chair and/or Chair of the Finance and Facilities Committee of the Board and the President and/or Vice President for Business and Finance of the University are hereby authorized to execute and deliver on behalf of the Board and the University the final

Official Statement in substantially the same form and with substantially the same content as the form of the Preliminary Official Statement presented to this meeting with any such alterations, changes or additions as may be necessary to finalize the Official Statement. The preparation, use and distribution of the Official Statement is also hereby authorized. The Board and the University may elect to privately place the Bonds with or without the use of an Official Statement.

Section 4. The Supplemental Indenture in substantially the form presented to this meeting is in all respects authorized, approved and confirmed. The Chair, Vice Chair and/or Chair of the Finance and Facilities Committee and Secretary of the Board and the President and/or Vice President for Business and Finance of the University are hereby authorized to execute and deliver the Supplemental Indenture in substantially the same form and with substantially the same content as the form of such document presented to this meeting for and on behalf of the Board and the University with such alterations, changes or additions as may be authorized by Section 8 hereof.

Section 5. For the purpose of providing funds to be used for (i) financing all or part of the 2018B Project, (ii) funding a reserve fund and (iii) paying costs of issuance of the Bonds, the Board hereby authorizes the issuance of the Bonds, from time to time and in one or more series, in the aggregate principal amount of not to exceed \$35,000,000. The Bonds shall mature on such date or dates, be subject to redemption, and bear interest at the rates as shall be approved by the Chair or Vice Chair of the Board or the Chair of the Finance and Facilities Committee, all within the parameters set forth on Exhibit A attached hereto and incorporated herein by reference. The issuance of the Bonds shall be subject to the final advice of Bond Counsel and to the approval of the office of the Attorney General of the State of Utah.

Section 6. The form, terms and provisions of the Bonds and the provisions for the signatures, authentication, payment, registration, transfer, exchange, interest rates, redemption and number shall be as set forth in the Indenture. The Chair, Vice Chair and/or Chair of the Finance and Facilities Committee and the Secretary of the Board and the President and/or Vice President for Business and Finance of the University are hereby authorized to execute and seal by manual or facsimile signature the Bonds and to deliver the Bonds to the Trustee for authentication. All terms and provisions of the Indenture and the Bonds are hereby incorporated in this Resolution. The appropriate officials of the Board and the University are hereby authorized to execute and deliver to the Trustee the written order of the Board for authentication and delivery of the Bonds in accordance with the provisions of the Indenture.

Section 7. In the case where the Bonds are sold at a competitive sale pursuant to an Official Notice of Bond Sale, the Chair or Vice Chair of the Board and/or the Chair of the Finance and Facilities Committee and the President and/or Vice President for Business and Finance of the University are hereby authorized to execute and deliver a Certificate of Award (the "Certificate of Award") in substantially the same form and with substantially the same content as the form of the Certificate of Award presented at this meeting for and on behalf of the Board with final terms as may be established for the Bonds within the parameters set forth herein. In the case where the Bonds are sold at a negotiated

sale or private placement, the Bond Purchase Agreement in substantially the form presented to this meeting is hereby authorized, approved and confirmed. The Chair or Vice Chair of the Board and/or the Chair of the Finance and Facilities Committee and the President and/or Vice President for Business and Finance of the University are hereby authorized to execute and deliver the Bond Purchase Agreement in substantially the same form and with substantially the same content as the form of the Bond Purchase Agreement presented at this meeting for and on behalf of the Board with final terms as may be established for the Bonds within the parameters set forth herein and with such alterations, changes or additions as may be necessary or as may be authorized by Section 8 hereof. The Chair or Vice-Chair of the Board and/or the Chair of the Finance and Facilities Committee and the President and/or Vice President for Business and Finance of the University are hereby authorized to specify and agree as to the final principal amounts, terms, discounts, maturities, interest rates, redemption features and purchase price with respect to the Bonds for and on behalf of the Board and the University and any changes thereto from those terms which were before the Board at the time of adoption of this Resolution, provided such terms are within the parameters set by this Resolution, with such approval to be conclusively established by the execution of the Certificate of Award or the Bond Purchase Agreement, as applicable, and the Supplemental Indenture. In the event that the foregoing officers determine that the Bonds should be privately placed, the Bond Purchase Agreement and Supplemental Indenture may be modified to conform to the agreement with such Purchasers, including agreement to pay breakage fees, default rates, taxable rates and other similar provisions customary in such placements, provided that such obligations are limited to the sources provided under the Indenture.

Section 8. The appropriate officials of the Board and the University, including without limitation the Chair or Vice Chair of the Board and/or the Chair of the Finance and Facilities Committee and the President and/or Vice President for Business and Finance of the University are authorized to make any alterations, changes or additions to the Indenture, the Bonds, the Bond Purchase Agreement, the Certificate of Award, the Official Notice of Bond Sale, the Preliminary Official Statement, the Official Statement, or any other document herein authorized and approved which may be necessary to correct errors or omissions therein, to complete the same, to remove ambiguities therefrom, to conform the same to other provisions of said instruments, to the provisions of this Resolution or any resolution adopted by the Board or the provisions of the laws of the State of Utah or the United States or to permit the private placement or public sale of the Bonds, to conform such documents to the terms established for the Bonds and to update such documents with current information and practices.

Section 9. The appropriate officials of the Board and the University, including without limitation the Chair, Vice Chair, Chair of the Finance and Facilities Committee, Commissioner of Higher Education and Secretary of the Board and the President and/or Vice President for Business and Finance of the University, are hereby authorized and directed to execute and deliver for and on behalf of the Board and the University any or all additional certificates, documents and other papers and to perform all other acts they may

deem necessary or appropriate in order to implement and carry out the matters authorized in this Resolution and the documents authorized and approved herein.

Section 10. The appropriate officers of the Board and the University, including without limitation the Chair, Vice Chair, Chair of the Finance and Facilities Committee, Commissioner of Higher Education and Secretary of the Board and the President and/or Vice President for Business and Finance of the University are hereby authorized to take all action necessary or reasonably required by the Indenture, the Preliminary Official Statement, the Official Statement, the Official Notice of Bond Sale, or the Bond Purchase Agreement to carry out, give effect to and consummate the transactions as contemplated thereby and are authorized to take all action necessary in conformity with the Act.

In addition, the appropriate officers of the Board and the University are authorized to take all action and to execute such documents as may be reasonably required to replace any existing trustee for bonds outstanding under the Indenture and the other bonds of the University in the event that the University determines such replacement to be in the best interest of the University.

Section 11. Upon their issuance, the Bonds will constitute special limited obligations of the Board payable solely from and to the extent of the sources set forth in the Indenture. No provision of this Resolution, the Bonds, the Bond Purchase Agreement, the Official Statement, the Indenture or any other instrument executed in connection with the issuance of the Bonds, shall be construed as creating a general obligation of the Board or the University, or of creating a general obligation of the State of Utah or any political subdivision thereof, nor as incurring or creating a charge upon the general credit of the Board, the University, the State of Utah or any political subdivision thereof.

Section 12. After the Bonds are delivered by the Trustee to or for the account of the Purchaser and upon receipt of payment therefor, this Resolution shall be and remain irrevocable until the principal of, premium, if any, and interest on the Bonds are deemed to have been fully discharged in accordance with the terms and provisions of the Indenture.

Section 13. If any provisions of this Resolution should be held invalid, the invalidity of such provisions shall not affect the validity of any of the other provisions of this Resolution.

Section 14. All resolutions of the Board or parts thereof inconsistent herewith, are hereby repealed to the extent only of such inconsistency. This repealer shall not be construed as reviving any bylaw, order, resolution or ordinance or part thereof.

Section 15. This Resolution shall become effective immediately upon its adoption.

PASSED AND APPROVED BY THE STATE BOARD OF REGENTS OF THE
STATE OF UTAH THIS 18TH DAY OF MAY, 2018.

STATE BOARD OF REGENTS OF THE
STATE OF UTAH

Chair

(S E A L)

ATTEST:

Secretary

how they could be connected to the meeting. The State Board of Regents held the meeting (the anchor location) in the building where it would normally meet and provided space and facilities at the anchor location so that interested persons and the public could attend and participate.

IN WITNESS WHEREOF, I have hereunto subscribed my official signature and impressed hereon the official seal of the State Board of Regents of the State of Utah, this 18th day of May, 2018.

(S E A L)

Secretary

SCHEDULE 1

NOTICE OF PUBLIC MEETING

(See Transcript Document No. ____)

SCHEDULE 2

NOTICE OF ANNUAL MEETING SCHEDULE

(See Transcript Document No. ____)

SCHEDULE 3

ELECTRONIC MEETING POLICY

EXHIBIT A

PARAMETERS

Principal amount not to exceed	\$35,000,000
Coupon Interest rates not to exceed	5.0%
Discount from par not to exceed	2.0%
Final Maturity not to exceed	32 years from date of issuance
May be non-callable or callable at the option of University as determined at the time of sale	

May 9, 2018

MEMORANDUM

TO: State Board of Regents

FROM: David L. Buhler

SUBJECT: Dixie State University – Property Acquisition

Issue

Regent Policy R703, *Acquisition of Real Property* requires the Board of Regents to approve institutional property purchases that exceed \$500,000. Dixie State University requests Board approval to purchase 1.29 acres of property located adjacent to University property south of campus at 955 East 500 South in St. George for an agreed upon sales price of \$1,130,000 using state appropriated and other institutional funds.

Background

The University is land-locked between the I-15 highway and the surrounding residential neighborhoods. The property to be purchased is located to the south of campus directly across the street from the LDS Institute building and bordering on I-15. The property is some of the last remaining vacant land in the area that the University has attempted to acquire for many years. The property has come available for purchase and the University would like authorization to make this strategic acquisition for future use. The University has agreed on a purchase price of \$1,130,000 with the seller, which is in-between the appraised values made by the University (\$900,000) and the seller (\$1,290,000). Existing state funds from within the University budget will be used for the acquisition of the property.

Additional information about this request may be found in the attached letter from the University with an accompanying map and excerpts from both the seller and University property appraisal reports. Representatives from Dixie State University will be in attendance at the meeting to provide additional information and respond to questions from the Board.

Commissioner's Recommendation

The Commissioner recommends that the Board authorize Dixie State University to acquire property located at approximately 955 East 500 South in St. George, Utah.

David L. Buhler
Commissioner of Higher Education

DLB/KLH/RPA
Attachments

Paul C. Morris
Vice President for Administrative Services
Phone: 435-652-7504
Email: morris@dixie.edu

April 20, 2018

Dr. David L. Buhler
Commissioner of Higher Education
60 South 400 West
Salt Lake City, UT 84101

Dear Dr. Buhler:

Purpose

Dixie State University (DSU) is requesting Utah State Board of Regents' approval for the purchase of three parcels of property totaling 1.29 acres located at approximately 955 East 500 South in St George, Utah. The property is located along I-15, directly south of the LDS Institute building.

Issue

Dixie State University's campus is relatively small at approximately 110 acres. The purchase of additional property contiguous or adjacent to campus is important in accommodating continued enrollment growth and in supporting the expanding mission of the University.

Background

Dixie State University has been acquiring property south of the current campus perimeter for many years. Currently, DSU owns three parcels of property adjacent to this property. DSU has been unsuccessful in purchasing this property in the past. However, its current owner, Ponderosa Place, LLC, has expressed an interest to sell the property to the University.

The property consists of three parcels of property described below:

- SG-883-A-1-A
 - .72 acres of land with a 1,362 sq. ft. single family residence and 484 sq. ft. garage (Both structures are uninhabited and need to be demolished.)
- SG-883-A-3-B
 - .43 acres of land with no improvements
- SG-882
 - .14 acres of land with no improvements

Dixie State University has been negotiating to acquire this property for the past 9 months. In preparation for the possibility of seeking the Utah State Board of Regents' approval to

purchase the property, DSU has approached the Commissioner's Office staff to discuss the need to make an offer to purchase the property prior to the upcoming Board of Regents meeting. The Commissioner's office agreed that an offer to purchase the property contingent upon State Board of Regents' approval is an acceptable method to put the property under contract.

As a basis for the creation of a formal offer, the property owner and DSU representative have agreed upon a sale price of \$1,130,000. Based on an appraisal that DSU ordered, the property is valued at \$900,000. The property owner also had the property appraised. The owner's appraisal valued the property at \$1,290,000. In consideration of the differing valuations of the property, the parties have agreed to base the offer on a price of \$1,130,000. A formal offer to purchase the property, contingent upon SBR approval, was accepted by the seller on April 20, 2018.

Attachments

- Attachment 1: Aerial view of properties
- Attachment 2: DSU ordered appraisal prepared by Johnson Appraisal, Inc.
- Attachment 3: Ponderosa Place, LLC ordered appraisal prepared by Moss & Associates, Inc.

Sincerely,



Paul C. Morris

cc: Richard Williams, Sherry Ruesch

Sincerely,

Attachment 1: Aerial View of Parcels



Attachment 2: DSU Ordered Appraisal

JOHNSON APPRAISAL, INC.

REAL ESTATE APPRAISERS
782 S. RIVER ROAD, #104
ST. GEORGE, UTAH 84790

DANIEL JOHNSON, MAI, SRA
RYAN JOHNSON

TELEPHONE
(435) 674-2191
FAX (435) 674-2192

August 31, 2017

LETTER OF TRANSMITTAL

Paul Morris
Vice President of Administrative Affairs
Dixie State University
225 South 700 East
St. George, Utah 84770

Dear Mr. Morris:

We have completed an investigation and appraisal of the vacant multiple-family residential parcel located along the southern boundary of 400 South Street east of 900 East Street, in the eastern section of the central business district of the City of St. George, County of Washington, State of Utah. The property is legally described on page 6 of this appraisal report.

The subject property is a parcel of land that contains a total of 1.29 acres of area. It is an irregular shaped parcel with frontage on 400 South Street and 500 South Street. The property is zoned R-4 by the City of St. George. This zoning designation permits most types of multiple family housing, including student housing units.

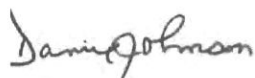
This report is subject to the Code of Professional Ethics and Standards of Professional Appraisal Practice of the Appraisal Institute and the 2016-2017 Edition of the Uniform Standards of Professional Appraisal Practice of the Appraisal Foundation.

The market value of the property is an estimate assuming an exposure time of six months to one year prior to the hypothetical consummation of a sale based on the effective date of the appraisal. Based on an inspection of the property, and the analyses contained in this report, it is our opinion that the "As Is" Fair Market Value of the subject property, with an effective date of August 29, 2017, is:

**NINE HUNDRED THOUSAND DOLLARS
(\$900,000)**

This appraisal is made subject to the Certification and Assumptions and Limiting Conditions, which are contained on pages 2 through 4 of this report, and govern the use and validity of this appraisal.

Sincerely,



Daniel Johnson, MAI, SRA
Utah State Certified General Appraiser
License No. 5452150-CG00
Expires July 31, 2019



Ryan Johnson
Utah State Certified General Appraiser
License No. 5791778-CG00
Expires November 30, 2018

Attachment 3: Ponderosa Place, LLC Ordered Appraisal

MOSS & ASSOCIATES INC

March 12, 2018

Mr. Grant Collard
Redstone Residential
1322 North Freedom Boulevard
Provo, UT 84604

Re: Appraisal Report: Vintage at Dixie, a 1.29 acre student housing parcel located between 400 South and 500 South, St. George, Utah.

Dear Mr. Collard:

At your request, I have completed an appraisal addressing market value of the fee simple estate of the above-referenced property. This report contains the data, bases, and analyses upon which the value estimate is based. The intended use of this report is to assist with potential sale negotiations.

This appraisal report conforms with the Appraisal Foundation's Uniform Standards of Professional Appraisal Practice (USPAP).

The subject was inspected on March 9, 2018, which is the valuation date. After careful consideration of available information, I am of the opinion market value of the subject property, as defined, is:

ONE MILLION TWO HUNDRED NINETY THOUSAND DOLLARS
(\$1,290,000)

May 9, 2018

MEMORANDUM

TO: State Board of Regents

FROM: David L. Buhler

SUBJECT: Utah Valley University – Institutional Residence Repurposing

Issue

Regent Policy R207, *Institutional Residences for Colleges and Universities in the Utah System of Higher Education* provides that the Board of Regents designates an official institutional residence for each college and university. Utah Valley University (UVU) requests Board approval to repurpose the current UVU Institutional Residence into an Alumni House and provide a housing allowance to future presidents as allowed under R207.

Background

In 1991 UVU constructed an institutional residence for the president using institutional funds. The location of that residence is less conducive to residential living and more appropriate for external events and alumni relations. The University therefore proposes to repurpose the existing institutional residence to create an Alumni House using institutional funds and to provide a housing allowance for future presidents. The attached letter from the University provides additional details on this proposal.

Commissioner's Recommendation

The Commissioner recommends that the Board authorize Utah Valley University to repurpose the Institutional Residence into an Alumni House and to provide a housing allowance for future presidents.

David L. Buhler
Commissioner of Higher Education

DLB/KLH/RPA
Attachment



UTAH VALLEY UNIVERSITY

VICE PRESIDENT FOR FINANCE & ADMINISTRATION

April 25, 2018

Dr. Dave Buhler, Commissioner
Utah System of Higher Education
Board of Regents Building, The Gateway
60 South 400 West
Salt Lake City UT 84101-1284

Dear Commissioner Buhler,

Utah Valley University's Institutional Residence was constructed on University property in 1991. The construction of an institutional residence was directed by the Board of Regents. The funds utilized to build this facility were generated by the sale of the previous institutional residence.

Utah Valley University continues to grow and expand as it meets the needs of the community it serves. As the University continues to mature, graduates and alumni become an increasingly important constituency to the University. The University's outreach to these important publics is requiring additional space and resources. In order to serve our alumni better, Utah Valley University is requesting approval to repurpose the institutional residence as an Alumni House.

If you have any questions, please feel to contact me.

Sincerely,

A handwritten signature in green ink, appearing to read 'Val Peterson', with a large, stylized initial 'V'.

Val L. Peterson
Vice President
Finance and Administration

May 9, 2018

MEMORANDUM

TO: State Board of Regents

FROM: David L. Buhler

SUBJECT: Utah Valley University – Non-Traditional Arrangement for Indoor Soccer Facility

Issue

Regent policy R712, *Non-Traditional Arrangements for Development of Facilities on Campuses* requires the Regents to approve development projects by outside entities on institutional land. Utah Valley University (UVU) requests authorization to contract with the Real Salt Lake (RSL) Youth Academy Foundation to lease six acres and construct, maintain, own, and operate an indoor soccer training facility on the Vineyard Campus.

Background

Real Salt Lake Youth Academy Foundation is a non-profit corporation organized to provide soccer training opportunities for youth. Utah Valley University requests authorization to enter into a 40-year contract with the RSL Foundation to lease six acres of land on the Vineyard campus to the Foundation for \$1 per year and to allow the Foundation to construct an indoor soccer facility on the leased land. The six acres of land appraised for \$2,610,000. The RSL Youth Academy Foundation would construct, operate, and maintain an indoor soccer facility that would include a field, locker rooms, and offices. The Foundation and UVU would share access to the facility. No institutional funds will be used to construct, operate, or maintain the facility. As an additional concession, the UVU Foundation will receive a \$2,610,000 donation with the stipulation that the gift be used to improve the land adjacent to the to-be-constructed indoor soccer facility with multiple outdoor grass soccer fields and accompanying bleacher facilities.

As required by Regent policy R712, *Non-Traditional Arrangements for Development of Facilities on Campuses* the University will work with the Attorney General's Office to ensure that proposals meet statutory requirements. The final contract will also ensure that third-party construction meets applicable University and State requirements.

The University's Board of Trustees approved this project in the April 25, 2018 meeting. Additional information about the project is provided in the attached letter from the University, map of the property, photo of a similar development in Logan, and the executive summary of the property appraisal. Representatives from UVU will be present at the meeting to provide additional information and respond to questions from the Board.

Commissioner's Recommendation

The Commissioner recommends that the Board authorize Utah Valley University to enter into a contract with the Real Salt Lake Youth Academy Foundation to lease property on the Vineyard campus and allow the Foundation to construct an indoor soccer facility contingent on review of the contract by the Attorney General's Office.

David L. Buhler
Commissioner of Higher Education

DLB/KLH/RPA
Attachments



UTAH VALLEY UNIVERSITY

VICE PRESIDENT FOR FINANCE & ADMINISTRATION

25 April 2018

Dr. Dave Buhler, Commissioner
Utah System of Higher Education
Board of Regents Building, The Gateway
Salt Lake City UT 84101-1284

Dear Commissioner Buhler,

Utah Valley University is requesting approval to lease 6 acres of real property to Real Salt Lake Youth Academy Foundation, Inc. The term of the lease is 40 years on the Vineyard campus.

UVU has been working with Real Salt Lake to build an indoor training facility on the UVU Vineyard Campus. This facility would house an Indoor soccer field, locker rooms and offices. Utah Valley University would receive 9 ½ hours of training time from 6 am to 3:30 pm. Real Salt Lake Academy would receive 8 hours of training time from 4 pm to 12 midnight. Real Salt Lake Academy would also receive 20 hours per week of training time on 1 outdoor field currently being constructed.

Real Salt Academy would be responsible for the construction, operation, and maintenance of the facility. UVU would be responsible for the snow removal. UVU in consultation with the Real Salt Lake Academy could move the facility to an alternate location at its expense.

The value of the property was determined to be \$2.6 million by a licensed commercial appraiser. The lease would be per \$1 per year with the University receiving a \$2.6 million gift.

The University will comply with Regents Policy R712-5, Nontraditional Arrangements for Development of Facilities on Campuses. The Agreement between the University and the third-party owner of the indoor practice facility will include provisions called for by R712-5 to protect the interests of the University and ensure appropriate quality control of the design and construction. Consistent with the requirements of R712-5 approval by the Attorney General's Office of the agreement as to form and legal authority will be obtained before the University executes the agreement.

This project was approved by the University's Board of Trustees on Feb 22, 2018 and the terms were ratified by the Board of Trustees executive committee on April 25, 2018.

If you have any questions please feel free to contact me.

Sincerely,

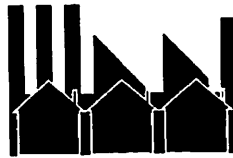
Val L. Peterson
Vice President
Finance and Administration

a. Exhibit 1 – Proposed plot plan.



b. Exhibit 2 – Site Image of the North Logan RSL Youth Training Facility.





GURNEY & ASSOCIATES

REAL ESTATE APPRAISERS

Todd Gurney, MAI
Tyler Gurney

September 28, 2017

Utah Valley University
Attn: Val Peterson
800 W. University Parkway
Orem, Utah 84058

**RE: 6.00 Acres with Commercial Potential
Appx. 475 North Mill Road
Vineyard, Utah 84058**

Dear Mr. Peterson:

At your request, I have performed an appraisal analysis of the above-referenced property. The subject site is currently zoned PF Public Facility; however, it has good potential be rezoned to allow commercial uses. The subject is a portion of parcel 40-443-0001 on Utah County records; no legal description was provided, but the subject is clearly identified on the Site Plan and on the other exhibits of this report.

This appraisal provides an estimate of the current as-is market value. The property rights appraised are fee simple. Based on the results of my analysis, I estimate the market value of the subject property, as of the effective appraisal date (August 12, 2017), to be \$10.00 per sq. ft., or the following rounded total:

\$2,610,000

**** TWO MILLION SIX HUNDRED TEN THOUSAND DOLLARS ****

This value is contingent upon the Extraordinary Assumptions on pages 9-10 of this report.

May 9, 2018

MEMORANDUM

TO: State Board of Regents

FROM: David L. Buhler

SUBJECT: Salt Lake Community College – Series 2018 Student Building Fee Revenue Bonds

Issue

Regent Policy R590, *Issuance of Revenue Bonds for Colleges and Universities* requires the Board to review and approve the issuance and sale of revenue bonds that have been affirmatively authorized by the Utah State Legislature. Salt Lake Community College (SLCC) requests Board authorization to issue \$15,000,000 of Student Building Fee Revenue Bonds to construct a student center on the Jordan Campus as authorized by the 2018 Legislature.

Background

During the 2018 General Session the Legislature passed House Bill 9, *Revenue Bond and Capital Facilities Authorizations* which authorized SLCC to issue revenue bonds for the construction of a student center on the Jordan Campus. The student center will provide space for student government, student life, and other student services and organizations. The project was initially approved by the Board in the September 2017 meeting. Student fees will be the source of revenue to pay the debt service on these bonds which will have a final maturity of 15 years or less.

The legislative authorization allows for the issuance of up to \$13,000,000 for the project in addition to costs of issuance, capitalized interest, and debt service reserve requirements. The attached resolution authorizes SLCC to bond for up to \$15,000,000 for the student center project. The relevant parameters of the requested issue are:

- Principal amount not to exceed \$15,000,000 (including costs of issuance and capitalized interest)
- Interest rate not to exceed 5.5%
- Discount from par not to exceed 2%
- Final maturity not to exceed 15 years from the date of issue

A copy of the request letter from the College, the Approving Resolution, and a financing summary from the financial advisor are attached. Representatives from SLCC will be in attendance at the meeting to provide additional information and answer questions from the Board.

Commissioner's Recommendation

The Commissioner recommends approval of the proposed Authorizing Resolution to issue Student Building Fee Revenue Bonds for Salt Lake Community College as proposed.

David L. Buhler
Commissioner of Higher Education

DLB/KLH/RPA
Attachments



May 1, 2018

Commissioner David L. Buhler
Utah State Board of Regents
Board of Regents Building – The Gateway
60 South 400 West
Salt Lake City, UT 84101-1284

Re: Salt Lake Community College, Jordan Campus, Student Center

Dear Commissioner Buhler:

Salt Lake Community College requests Board of Regents authorization to issue revenue bonds for the purpose of expanding and remodeling the SLCC Jordan Campus Student Pavilion to create a full service Student Center on SLCC's third largest campus.

During the 2018 General Session of the Utah State Legislature, Salt Lake Community College received authorization to Bond for up to \$13 million, together with other amounts necessary to pay costs of issuance, and capitalized interest to complete funding on the Jordan Campus Student Center project. The revenues pledged to pay the debt service on these bonds will come from existing student fees that will not be increased and have been approved by SLCC Student Government to be used for this purpose.

SLCC's Board of Trustees approved the bond for the Jordan Student Center improvements at the June 8, 2016 BOT meeting.

Gilmore and Bell has been appointed to serve as bond and disclosure counsel.

We appreciate your support and ask that you present this item for Regents approval.

Sincerely,

A handwritten signature in black ink, appearing to read "DKL", written over a horizontal line.

Dennis R. Klaus
Vice President for Business Services

cc: Kimberly Henrie, Associate Commission for Finance & Facilities
Rich Amon, Assistant Commissioner for Business & Facilities
Denece Huftalin, President
Debra Glenn, Controller
Travis Kartchner, Associate Controller/Treasurer
Kelly Murdock, Sr. Vice President, George K. Baum & Co.
Blake Wade, Attorney at Law, Gilmore & Bell

APPROVING RESOLUTION
SALT LAKE COMMUNITY COLLEGE
STUDENT BUILDING FEE REVENUE BONDS – STUDENT CENTER

Salt Lake City, Utah

May 18, 2018

The State Board of Regents of the State of Utah (the “Board”) met in regular session (including by electronic means) at Salt Lake Community College in Salt Lake City, Utah on May 18, 2018, commencing at 9:00 a.m. The following members were present:

Daniel W. Campbell	Chair
Harris H. Simmons	Vice Chair
Jessellie B. Anderson	Member
Nina Barnes	Member
Wilford W. Clyde	Member
Marlin K. Jensen	Member
Ronald W. Jibson	Member
Patricia Jones	Member
Steven J. Lund	Member
Robert S. Marquardt	Member
Christina Ortega	Member
Robert W. Prince	Member
Mark R. Stoddard	Member
Teresa L. Theurer	Member
Alex Trujillo	Student Regent
Joyce P. Valdez	Member
Thomas Wright	Member

Absent:

Also Present:

David L. Buhler	Commissioner of Higher Education
Loreen Olney	Secretary

,

After the meeting had been duly convened and called to order by the Chair, the roll had been called with the above result, the Chair announced that one of the purposes of the meeting was the consideration of various matters with respect to the issuance and sale of the State Board of Regents of the State of Utah, Salt Lake Community College Student Building Fee Revenue Bonds, Series 2018.

The following resolution was introduced in written form and after full discussion, pursuant to motion made by Regent _____ and seconded by Regent _____, was adopted by the following vote:

YEA:

NAY:

The resolution is as follows:

RESOLUTION

A RESOLUTION OF THE STATE BOARD OF REGENTS OF THE STATE OF UTAH AUTHORIZING THE ISSUANCE AND SALE OF ITS SALT LAKE COMMUNITY COLLEGE STUDENT BUILDING FEE REVENUE BONDS, IN THE AGGREGATE PRINCIPAL AMOUNT OF NOT TO EXCEED \$15,000,000; AUTHORIZING THE EXECUTION OF A GENERAL INDENTURE AND A SUPPLEMENTAL INDENTURE, AN OFFICIAL STATEMENT, AND OTHER DOCUMENTS REQUIRED IN CONNECTION THEREWITH; AUTHORIZING THE TAKING OF ALL OTHER ACTIONS NECESSARY TO THE CONSUMMATION OF THE TRANSACTIONS CONTEMPLATED BY THIS RESOLUTION; AND RELATED MATTERS.

WHEREAS, the State Board of Regents of the State of Utah (the “Board”) is established and exists under and pursuant to Section 53B-1-103, Utah Code Annotated 1953, as amended (the “Utah Code”); and

WHEREAS, pursuant to the provisions of Title 53B, Chapter 1, Utah Code, the Board is authorized to act as the governing authority of Salt Lake Community College (the “College”) for the purpose of exercising the powers contained in Title 53B, Chapter 21, of the Utah Code and the specific authorization of Section 63B-28-102(3) of the Utah Code (collectively, the “Act”); and

WHEREAS, in accordance with the provisions of the Act, the Board is authorized to issue revenue bonds for and on behalf of the College for the purpose of financing all or part of the cost of constructing a student center on the College’s Jordan Campus (the “2018 Project”) in an aggregate principal amount of not to exceed \$13,000,000 for acquisition and construction proceeds, together with other amounts necessary to pay costs of issuance, pay capitalized interest, and fund any debt service reserve requirements; and

WHEREAS, the Board intends to issue revenue bonds for and on behalf of the College for the purpose of financing the 2018 Project and to pay costs of issuance and fund reserves, all pursuant to a General Indenture of Trust and a Supplemental Indenture of Trust (collectively, the “Indenture”) between the Board and ZB, National Association, dba Zions Bank, as trustee (the “Trustee”), which bonds will be designated as the “State Board of Regents of the State of Utah, Salt Lake Community College Student Building Fee Revenue Bonds, Series 2018, with any changes to said designation as determined by the College (the “Bonds”) in an aggregate principal amount of not to exceed \$15,000,000; and

WHEREAS, the Bonds shall be payable solely from the College’s revenues and other moneys pledged therefor in the Indenture and shall not constitute nor give rise to a general obligation or liability of the Board, the College or the State of Utah or constitute a charge against their general credit; and

WHEREAS, there has been presented to the Board at this meeting a form of a Preliminary Official Statement relating to the Bonds (the “Preliminary Official

Statement”), a form of a Bond Purchase Agreement (the “Bond Purchase Agreement”), in the case where the Bonds are sold at a negotiated sale or private placement, to be entered into among the Board, the College and the underwriters or purchasers for the Bonds (the “Purchaser”), a form of a General Indenture and a Supplemental Indenture and a form of an Official Notice of Bond Sale (the “Official Notice of Bond Sale”), in the event the Bonds are publicly sold at a competitive bid; and

WHEREAS, the Board desires to grant to the Chair and/or Vice Chair of the Board and/or the Chair of the Finance and Facilities Committee of the Board, the authority to approve the method of sale, interest rates, principal amount, terms, maturities, redemption features, and purchase prices at which the Bonds shall be sold and any changes with respect thereto from those terms which were before the Board at the time of adoption of this Resolution; provided such terms do not exceed the parameters set forth in this Resolution.

NOW, THEREFORE, BE IT RESOLVED BY THE STATE BOARD OF REGENTS OF THE STATE OF UTAH, AS FOLLOWS:

Section 1. All terms defined in the foregoing recitals hereto shall have the same meanings when used herein.

Section 2. All actions heretofore taken (not inconsistent with the provisions of this resolution) by the Board and the College and the officers of the Board or the College directed toward the issuance of the Bonds are hereby ratified, approved and confirmed.

Section 3. The Board hereby authorizes, approves and directs the use and distribution of the Preliminary Official Statement substantially in the form of the Preliminary Official Statement presented to the Board at this meeting in connection with the offering and sale of the Bonds, in the event the Bonds are publicly sold. The Chair, Vice Chair and/or Chair of the Finance and Facilities Committee of the Board and the President and/or the Vice President for Business Services of the College are hereby authorized to execute and deliver on behalf of the Board and the College the final Official Statement in substantially the same form and with substantially the same content as the form of the Preliminary Official Statement presented to this meeting with any such alterations, changes or additions as may be necessary to finalize the Official Statement. The preparation, use and distribution of the Official Statement is also hereby authorized. The Board and the College may elect to privately place the Bonds with or without the use of an Official Statement.

Section 4. The General Indenture and Supplemental Indenture in substantially the forms presented to this meeting are in all respects authorized, approved and confirmed. The Chair, Vice Chair and/or Chair of the Finance and Facilities Committee and Secretary of the Board and the President and/or the Vice President for Business Services of the College are hereby authorized to execute and deliver the General Indenture and Supplemental Indenture in substantially the same forms and with substantially the same content as the forms of such documents presented to this meeting for and on behalf of the

Board and the College with such alterations, changes or additions as may be authorized by Section 8 hereof.

Section 5. For the purpose of providing funds to be used for (i) financing all or part of the 2018 Project, (ii) funding a reserve fund and (iii) paying costs of issuance of the Bonds, the Board hereby authorizes the issuance of the Bonds, from time to time and in one or more series, in the aggregate principal amount of not to exceed \$15,000,000. The Bonds shall mature on such date or dates, be subject to redemption, and bear interest at the rates as shall be approved by the Chair or Vice Chair of the Board or the Chair of the Finance and Facilities Committee, all within the parameters set forth on Exhibit A attached hereto and incorporated herein by reference. The issuance of the Bonds shall be subject to the final advice of Bond Counsel and to the approval of the office of the Attorney General of the State of Utah.

Section 6. The form, terms and provisions of the Bonds and the provisions for the signatures, authentication, payment, registration, transfer, exchange, interest rates, redemption and number shall be as set forth in the Indenture. The Chair, Vice Chair and/or Chair of the Finance and Facilities Committee and the Secretary of the Board and the President and/or the Vice President for Business Services of the College are hereby authorized to execute and seal by manual or facsimile signature the Bonds and to deliver the Bonds to the Trustee for authentication. All terms and provisions of the Indenture and the Bonds are hereby incorporated in this Resolution. The appropriate officials of the Board and the College are hereby authorized to execute and deliver to the Trustee the written order of the Board for authentication and delivery of the Bonds in accordance with the provisions of the Indenture.

Section 7. In the case where the Bonds are sold at a negotiated sale or private placement, the Bond Purchase Agreement in substantially the form presented to this meeting is hereby authorized, approved and confirmed. The Chair or Vice Chair of the Board and/or the Chair of the Finance and Facilities Committee and the President and/or the Vice President for Business Services of the College are hereby authorized to execute and deliver the Bond Purchase Agreement in substantially the same form and with substantially the same content as the form of the Bond Purchase Agreement presented at this meeting for and on behalf of the Board with final terms as may be established for the Bonds within the parameters set forth herein and with such alterations, changes or additions as may be necessary or as may be authorized by Section 8 hereof. In the case where the Bonds are sold at a competitive sale pursuant to an Official Notice of Bond Sale, the Chair or Vice Chair of the Board and/or the Chair of the Finance and Facilities Committee and the President and/or the Vice President for Business Services of the College are hereby authorized to execute and deliver a Certificate of Award (the "Certificate of Award") in substantially the same form and with substantially the same content as the form of the Certificate of Award presented at this meeting for and on behalf of the Board with final terms as may be established for the Bonds within the parameters set forth herein. The Chair or Vice-Chair of the Board and/or the Chair of the Finance and Facilities Committee and the President and/or the Vice President for Business Services of the College are hereby authorized to specify and agree as to the final principal amounts, terms, discounts, maturities, interest rates, redemption features and purchase price with respect to the Bonds

for and on behalf of the Board and the College and any changes thereto from those terms which were before the Board at the time of adoption of this Resolution, provided such terms are within the parameters set by this Resolution, with such approval to be conclusively established by the execution of the Certificate of Award or the Bond Purchase Agreement, as applicable, and the Indenture. In the event that the foregoing officers determine that the Bonds should be privately placed, the Bond Purchase Agreement and Indenture may be modified to conform to the agreement with such Purchasers, including agreement to pay breakage fees, default rates, taxable rates and other similar provisions customary in such placements, provided that such obligations are limited to the sources provided under the Indenture.

Section 8. The appropriate officials of the Board and the College, including without limitation the Chair or Vice Chair of the Board and/or the Chair of the Finance and Facilities Committee and the President and/or the Vice President for Business Services of the College are authorized to make any alterations, changes or additions to the Indenture, the Bonds, the Bond Purchase Agreement, the Certificate of Award, the Official Notice of Bond Sale, the Preliminary Official Statement, the Official Statement, or any other document herein authorized and approved which may be necessary to correct errors or omissions therein, to complete the same, to remove ambiguities therefrom, to conform the same to other provisions of said instruments, to the provisions of this Resolution or any resolution adopted by the Board or the provisions of the laws of the State of Utah or the United States or to permit the private placement or public sale of the Bonds, to conform such documents to the terms established for the Bonds and to update such documents with current information and practices.

Section 9. The appropriate officials of the Board and the College, including without limitation the Chair, Vice Chair, the Chair of the Finance and Facilities Committee, Commissioner of Higher Education and Secretary of the Board and the President and/or the Vice President for Business Services of the College, are hereby authorized and directed to execute and deliver for and on behalf of the Board and the College any or all additional certificates, documents and other papers and to perform all other acts they may deem necessary or appropriate in order to implement and carry out the matters authorized in this Resolution and the documents authorized and approved herein.

Section 10. The appropriate officers of the Board and the College, including without limitation the Chair, Vice Chair, the Chair of the Finance and Facilities Committee, Commissioner of Higher Education and Secretary of the Board and the President and/or the Vice President for Business Services of the College are hereby authorized to take all action necessary or reasonably required by the Indenture, the Preliminary Official Statement, the Official Statement, the Official Notice of Bond Sale, or the Bond Purchase Agreement to carry out, give effect to and consummate the transactions as contemplated thereby and are authorized to take all action necessary in conformity with the Act.

Section 11. Upon their issuance, the Bonds will constitute special limited obligations of the Board payable solely from and to the extent of the sources set forth in the Indenture. No provision of this Resolution, the Bonds, the Bond Purchase Agreement, the Official Statement, the Indenture or any other instrument executed in connection with

the issuance of the Bonds, shall be construed as creating a general obligation of the Board or the College, or of creating a general obligation of the State of Utah or any political subdivision thereof, nor as incurring or creating a charge upon the general credit of the Board, the College, the State of Utah or any political subdivision thereof.

Section 12. After the Bonds are delivered by the Trustee to or for the account of the Purchaser and upon receipt of payment therefor, this Resolution shall be and remain irrevocable until the principal of, premium, if any, and interest on the Bonds are deemed to have been fully discharged in accordance with the terms and provisions of the Indenture.

Section 13. If any provisions of this Resolution should be held invalid, the invalidity of such provisions shall not affect the validity of any of the other provisions of this Resolution.

Section 14. All resolutions of the Board or parts thereof inconsistent herewith, are hereby repealed to the extent only of such inconsistency. This repealer shall not be construed as reviving any bylaw, order, resolution or ordinance or part thereof.

Section 15. This Resolution shall become effective immediately upon its adoption.

PASSED AND APPROVED BY THE STATE BOARD OF REGENTS OF THE
STATE OF UTAH THIS 18TH DAY OF MAY, 2018.

STATE BOARD OF REGENTS OF THE
STATE OF UTAH

Chair

(S E A L)

ATTEST:

Secretary

STATE OF UTAH)
 : ss.
COUNTY OF SALT LAKE)

I, Loreen Olney, do hereby certify that I am the duly qualified and acting Secretary of the State Board of Regents of the State of Utah.

I further certify that the above and foregoing constitutes a true and correct copy of an excerpt of the minutes of a meeting of said Board held on May 18, 2018, and of a resolution adopted at said meeting, as said minutes and resolution are officially of record in my possession.

IN WITNESS WHEREOF, I have hereunto subscribed my official signature and impressed hereon the official seal of said Board this 18th day of May, 2018.

Secretary

(S E A L)

how they could be connected to the meeting. The State Board of Regents held the meeting (the anchor location) in the building where it would normally meet and provided space and facilities at the anchor location so that interested persons and the public could attend and participate.

IN WITNESS WHEREOF, I have hereunto subscribed my official signature and impressed hereon the official seal of the State Board of Regents of the State of Utah, this 18th day of May, 2018.

(S E A L)

Secretary

SCHEDULE 1

NOTICE OF PUBLIC MEETING

(See Transcript Document No. ____)

SCHEDULE 2

NOTICE OF ANNUAL MEETING SCHEDULE

(See Transcript Document No. ____)

SCHEDULE 3

ELECTRONIC MEETING POLICY

EXHIBIT A

PARAMETERS

Principal amount not to exceed	\$15,000,000
Coupon Interest rates not to exceed	5.50%
Discount from par not to exceed	2.0%
Final Maturity not to exceed	15 years from date of issuance
May be non-callable or callable at the option of College as determined at the time of sale	



George K. Baum & Company

INVESTMENT BANKERS SINCE 1928

FINANCING SUMMARY*

For

\$13,000,000*

State Board of Regents of the State of Utah

SALT LAKE COMMUNITY COLLEGE

Student Building Fee Revenue Bonds

Series 2018

*Prepared April 23, 2018***

Purpose:	For the State Board of Regents of the State of Utah to issue, on behalf of Salt Lake Community College (the “College”) Student Building Fee Revenue Bonds (the “Series 2018 Bonds”) for the purpose of financing a portion of the costs of renovating and expanding a student center on the College’s Jordan Campus (the “Project”), and to pay costs of issuance.
Par Amount:	Not-to-exceed \$13,000,000 for Project costs plus all applicable costs of issuance.
Security:	The Series 2018 Bonds will be payable from and secured solely by a pledge of student building fee revenues as well as certain investment income of the College. A debt service reserve fund, if required, will be funded through the application of available College monies.
Rating(s):	‘AA’ rating is expected to be issued by S&P Global Ratings as a result of the Series 2018 Bonds being backed by a moral obligation pledge of the State of Utah.
Method of Sale:	Negotiated public offering
True Interest Cost:	TBD (Estimates in today’s market** range from 2.59% to 2.82%)
Underwriters:	The College will issue a Request for Proposals from well-known higher education underwriters from around the country. From the responses received, it is expected that one firm will be selected to handle the underwriting duties for the College’s Series 2018 Bonds.
Sale Date:	TBD (Late May is goal)
Closing Date:	TBD (Late June is goal)
Principal Payment Dates:	March 1, beginning March 1, 2019
Interest Payment Dates:	September 1 and March 1, beginning September 1, 2018
Interest Basis:	30/360

Optional Redemption:	7-year par call expected*
Results of Sale:	TBD
Parameters Recap:	Par amount of Bonds: Not-to-exceed \$15 million Maximum Term: 15-years Maximum Coupon: 5.50% Maximum Discount from Par: Not-to-Exceed 2.00%
College Contacts:	Mr. Dennis Klaus, Vice President of Business Services and CFO (801-957-4250) Ms. Debra Glenn, Controller (801-957-4084) Mr. Travis Kartchner, Associate Controller/Treasurer (801-957-4224)
Bond Counsel:	Mr. Blake Wade, Gilmore & Bell (801-258-2725)
Municipal Advisor:	Mr. Kelly Murdock, George K. Baum & Company (801-538-0351)

*Preliminary, subject to change

May 9, 2018

MEMORANDUM

TO: State Board of Regents

FROM: David L. Buhler

SUBJECT: Snow College – Tuition Schedule Implementation for 2018-2019

Issue

Snow College is requesting a one-time exception to policy R510-3.4, *Tuition and Fees* that requires institutions to implement approved tuition schedules the subsequent semester. The request is for a fall semester implementation instead of summer, and is due to significant per credit hour tuition increases in the first two credit hours and insufficient time for publication before students registered.

Background

Regents' policy R510-4.1.1 states "Where the plateau model is used, tuition per credit hour between one credit hour and the beginning of the plateau range shall increase in linear increments." Snow College has adjusted their 2018-2019 tuition rate for the first, second, and third credit hours on their schedule to comply with this policy requirement. Regents' policy R510-3.4 also states "Tuition changes approved by the Board take effect for the subsequent semester". Since all 2018-2019 tuition schedules were approved during the March 30th, 2018 Regents meeting, which falls during spring semester, which would go into effect for summer semester 2018, Snow College is requesting an exception which would allow them to implement the rate change starting fall semester 2018.

Commissioner's Recommendation

The Commissioner recommends approval of a one-time exception to policy R510-3.4 *Tuition and Fees* thereby allowing Snow College to implement their 2018-2019 tuition schedule fall semester instead of summer semester.

David L. Buhler
Commissioner of Higher Education

DLB/KLH/BLS
Attachment

May 2, 2018

MEMORANDUM

TO: State Board of Regents
FROM: Gary Carlston, President of Snow College
SUBJECT: Delay of Tuition Schedule Implementation

ISSUE

Snow College adjusted the tuition schedule to be in alignment with the other USHE schools providing a linear incremental tuition table. The change about doubled the tuition cost for 1 and 2 credit courses. This does affect a number of our summer students who had registered for courses before the new tuition schedule was approved. We are asking for a delay in implementation of our FY19 tuition schedule until Fall semester.

BACKGROUND

In the past, Snow College has had a greater increase in tuition between our 2 and 3 credits compared to the rest of the tuition schedule. This was intended to provide the ability for residents to take a 1-2 credit class at an affordable rate. We were asked by USHE to adjust the tuition rates to be in alignment with USHE policies and have a linear model.

The following is a sample of Snow's tuition schedules for the first 5 credits of tuition in FY 12/18/19:

<u>Tuition per credit</u>	<u>FY12</u>	<u>Inc./Cr.</u>	<u>FY18</u>	<u>Inc./Cr.</u>	<u>FY19</u>	<u>Inc./Cr.</u>
1 Credit	111		146		313	
2 Credits	170	59	220	74	463	150
3 Credits	454	284	583	363	613	150
4 Credits	568	114	734	151	763	150
5 Credits	684	116	885	151	913	150

SNOW COLLEGE'S RECOMMENDATION

Given the timing of the publication of these changes, we are requesting a delay in implementation of the tuition schedule until Fall semester of FY19 due to the increase in 1 and 2 credit courses more than doubling in the amount of tuition charged compared to the prior year.


Gary Carlston
President of Snow College

May 9, 2018

MEMORANDUM

TO: State Board of Regents

FROM: David L. Buhler

SUBJECT: USHE – Enrollment Forecasts

Issue

Annually, USHE institutions are asked to update their respective 10-year enrollment forecast estimates for Board review and approval. These estimates are prepared to help inform Regents and state-level policymakers about future enrollment levels at USHE institutions; assist in identifying capital development needs; and develop mid- to long-range operation plans.

Background

Each of the USHE institutions have developed a unique projection model to estimate future enrollments based on their distinct missions and respective enrollment management strategies. The enrollment projection models use state and institutional data sets that include (but are not limited to) variables such as: Utah population by age (Kem C. Gardner Policy Institute, Utah Population Committee) high school enrollments (Utah State Board of Education), and unemployment estimates (Bureau of Labor Statistics). The models use estimation methods such as Least Squared Regression, Bayesian Structural Time Series Analysis, Weighted Growth, and Trend Analysis to estimate future enrollments. The institution enrollment projection models are evaluated annually in an open, peer review forum of Institutional Research professionals from each of the eight USHE institutions. This year's discussion was held on April 18th, 2018. The data presented on behalf of each of the institutions was accepted as valid and being built on reasonable assumptions.

When comparing the 2017 enrollment projection to last years' actual reported data, the fall end-of-term 2017 headcount projections were understated by 541 students (difference of 0.3%); fall end-of-term FTE projections were overstated by 1,248 FTE (difference of 1%) and the 2015-16 annualized FTE projections were overstated by 2,611 FTE (a difference of 1.9%). Most of the significant differences between the projected and reported data in the 2017 FTE estimates can be attributed to specific enrollment data that did not meet projections from one or two of the institutions, depending on the metric. As a result, these institutions have revised their estimation models for the 2018 year projection data sets to try to more accurately project enrollment for the next year.

With regards to the enrollment performance as compared to the goals established in the 2025 Board of Regents Strategic Plan, USHE originally projected that it would grow by 50,000 students by the year 2025 (Utah: A State of Opportunity pg. 3), The new, adjusted projections, along with the growth of 12,151

students gained at USHE institutions since 2015, estimate that the USHE System will have approximately 49,000 additional students enrolled by 2025 and an estimated growth of over 57,000 students by 2027 compared to 2015.

While the projected increase is smaller than earlier projections, the more conservative estimates predict growth to approximately 231,000 students (162,000 FTE) by the fall 2027 semester, with an annualized enrollment of 172,500 full-time equivalent students for the 2027-28 academic year. These projections still represent an increase of 45,000 (40,000 FTE) students enrolled at USHE institutions over the next 10 years. This is more than the 2017 enrollment of the University of Utah and Southern Utah University combined.

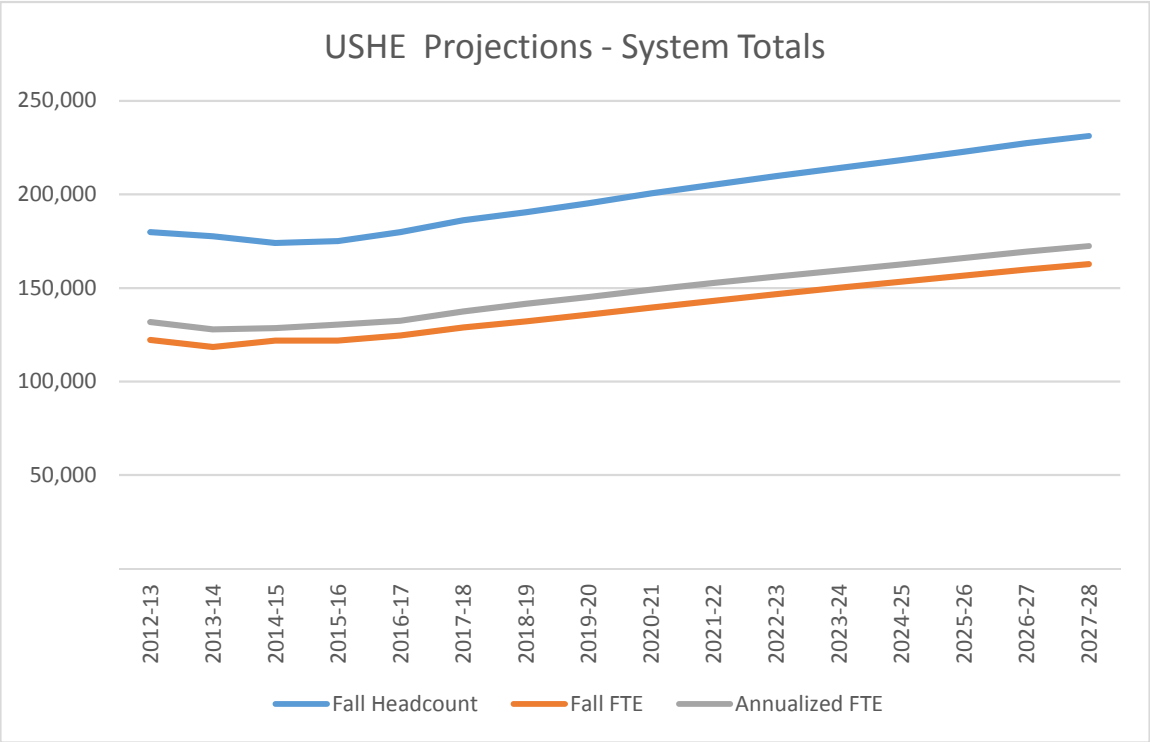
The attached tables display these projections on an institution-by-institution basis. These estimates may be used in concert with additional data points when conducting mid to long-range planning.

Commissioner's Recommendation

The Commissioner recommends the Board approve the 10-year enrollment projections for the Utah System of Higher Education.

David L. Buhler
Commissioner of Higher Education

DLB/KLH/JAC
Attachments



Enrollment Projections

Utah System of Higher Education

System Total Projections - Proposed to Board of Regents, May 2018

Institution	Fall (End of Term) Headcount											Growth Rate
	Actual 2017	2018	2019	2020	2021	2022	2023	2024	2025	2026	2027	
University of Utah	33,153	34,024	34,892	35,619	36,179	36,643	37,055	37,442	37,830	38,130	38,333	
Annual Growth	2.2%	2.6%	2.6%	2.1%	1.6%	1.3%	1.1%	1.0%	1.0%	0.8%	0.5%	1.5%
Utah State University*	29,026	29,113	29,375	29,816	30,412	31,020	31,641	32,273	32,919	33,577	34,249	
Annual Growth	0.1%	0.3%	0.9%	1.5%	2.0%	2.0%	2.0%	2.0%	2.0%	2.0%	2.0%	1.7%
Weber State University	28,379	28,500	28,970	29,747	30,416	31,064	31,503	31,896	32,180	32,516	32,854	
Annual Growth	4.2%	0.4%	1.6%	2.7%	2.2%	2.1%	1.4%	1.2%	0.9%	1.0%	1.0%	1.5%
Southern Utah University	10,245	10,642	11,078	11,488	11,941	12,420	12,888	13,377	13,947	14,544	15,184	
Annual Growth	6.7%	3.9%	4.1%	3.7%	3.9%	4.0%	3.8%	3.8%	4.3%	4.3%	4.4%	4.0%
Snow College	5,589	5,744	5,956	6,184	6,410	6,627	6,859	7,074	7,278	7,482	7,687	
Annual Growth	3.2%	2.8%	3.7%	3.8%	3.7%	3.4%	3.5%	3.1%	2.9%	2.8%	2.7%	3.2%
Dixie State University	9,707	10,559	11,504	12,564	13,266	14,026	14,758	15,526	16,338	17,159	17,957	
Annual Growth	8.0%	8.8%	8.9%	9.2%	5.6%	5.7%	5.2%	5.2%	5.2%	5.0%	4.7%	6.3%
Utah Valley University	37,785	39,807	41,230	42,708	43,925	45,084	46,205	47,472	48,780	50,253	51,125	
Annual Growth	7.6%	5.4%	3.6%	3.6%	2.8%	2.6%	2.5%	2.7%	2.8%	3.0%	1.7%	3.1%
Salt Lake Community College	32,277	32,069	32,240	32,413	32,609	32,847	33,099	33,339	33,527	33,694	33,885	
Annual Growth	0.4%	- 0.6%	0.5%	0.5%	0.6%	0.7%	0.8%	0.7%	0.6%	0.5%	0.6%	0.5%
USHE Totals	186,161	190,458	195,244	200,539	205,159	209,732	214,008	218,399	222,800	227,355	231,274	
Annual Growth	3.5%	2.3%	2.5%	2.7%	2.3%	2.2%	2.0%	2.1%	2.0%	2.0%	1.7%	2.2%

Enrollment Projections

Utah System of Higher Education

System Total Projections - Proposed to Board of Regents, May 2018

Fall Semester (End-of-Term) Full Time Equivalent												
Institution	Actual 2017	2018	2019	2020	2021	2022	2023	2024	2025	2026	2027	Growth Rate
University of Utah	28,188	29,009	29,818	30,475	30,955	31,352	31,704	32,035	32,367	32,623	32,798	
Annual Growth	1.8%	2.9%	2.8%	2.2%	1.6%	1.3%	1.1%	1.0%	1.0%	0.8%	0.5%	1.5%
Utah State University*	22,813	23,041	23,272	23,621	24,093	24,575	25,066	25,568	26,079	26,601	27,133	
Annual Growth	1.9%	1.0%	1.0%	1.5%	2.0%	2.0%	2.0%	2.0%	2.0%	2.0%	2.0%	1.7%
Weber State University	17,221	17,258	17,516	18,041	18,538	18,994	19,305	19,595	19,822	20,078	20,323	
Annual Growth	4.0%	0.2%	1.5%	3.0%	2.8%	2.5%	1.6%	1.5%	1.2%	1.3%	1.2%	1.7%
Southern Utah University	7,761	8,077	8,392	8,705	9,076	9,441	9,821	10,193	10,623	11,074	11,568	
Annual Growth	4.9%	4.1%	3.9%	3.7%	4.3%	4.0%	4.0%	3.8%	4.2%	4.2%	4.5%	4.1%
Snow College	4,097	4,176	4,278	4,377	4,522	4,676	4,837	5,004	5,190	5,418	5,612	
Annual Growth	1.4%	1.9%	2.4%	2.3%	3.3%	3.4%	3.4%	3.4%	3.7%	4.4%	3.6%	3.2%
Dixie State University	7,398	7,919	8,628	9,423	9,949	10,520	11,068	11,644	12,253	12,869	13,468	
Annual Growth	8.0%	7.0%	8.9%	9.2%	5.6%	5.7%	5.2%	5.2%	5.2%	5.0%	4.7%	6.2%
Utah Valley University	25,198	26,449	27,426	28,442	29,515	30,564	31,501	32,430	33,274	34,126	34,725	
Annual Growth	6.0%	5.0%	3.7%	3.7%	3.8%	3.6%	3.1%	2.9%	2.6%	2.6%	1.8%	3.3%
Salt Lake Community College	16,297	16,260	16,347	16,435	16,534	16,654	16,782	16,904	17,000	17,084	17,181	
Annual Growth	2.5%	- 0.2%	0.5%	0.5%	0.6%	0.7%	0.8%	0.7%	0.6%	0.5%	0.6%	0.5%
USHE Totals	128,974	132,189	135,675	139,518	143,182	146,777	150,085	153,373	156,608	159,873	162,806	
Annual Growth	3.5%	2.5%	2.6%	2.8%	2.6%	2.5%	2.3%	2.2%	2.1%	2.1%	1.8%	2.4%

Enrollment Projections

Utah System of Higher Education

System Total Projections - Proposed to Board of Regents, May 2018

Annualized FTE - Academic Year													
Institution	Actuals 2016-17	2017-18	2018-19	2019-20	2020-21	2021-22	2022-23	2023-24	2024-25	2025-26	2026-27	2027-28	Growth Rate
University of Utah	30,363	31,024	31,870	32,683	33,364	33,889	34,324	34,709	35,071	35,435	35,716	35,907	
Annual Growth	1.1%	2.2%	2.7%	2.6%	2.1%	1.6%	1.3%	1.1%	1.0%	1.0%	0.8%	0.5%	1.5%
Utah State University*	23,584	23,820	24,058	24,299	24,663	25,156	25,659	26,173	26,696	27,230	27,775	28,330	
Annual Growth	0.1%	1.0%	1.0%	1.0%	1.5%	2.0%	2.0%	2.0%	2.0%	2.0%	2.0%	2.0%	1.7%
Weber State University	17,434	17,687	17,987	18,194	18,716	19,242	19,709	20,025	20,329	20,576	20,848	21,100	
Annual Growth	1.1%	1.5%	1.7%	1.1%	2.9%	2.8%	2.4%	1.6%	1.5%	1.2%	1.3%	1.2%	1.7%
Southern Utah University	7,915	8,254	8,514	8,758	8,988	9,285	9,558	9,831	10,077	10,402	10,730	11,104	
Annual Growth	3.1%	4.3%	3.1%	2.9%	2.6%	3.3%	2.9%	2.9%	2.5%	3.2%	3.2%	3.5%	3.1%
Snow College	3,931	4,109	4,285	4,469	4,662	4,865	5,076	5,298	5,530	5,773	6,028	6,295	
Annual Growth	2.3%	4.5%	4.3%	4.3%	4.3%	4.3%	4.4%	4.4%	4.4%	4.4%	4.4%	4.4%	4.4%
Dixie State University	6,806	7,286	8,025	8,743	9,549	10,082	10,660	11,216	11,800	12,417	13,041	13,647	
Annual Growth	6.5%	7.0%	10.1%	8.9%	9.2%	5.6%	5.7%	5.2%	5.2%	5.2%	5.0%	4.7%	6.5%
Utah Valley University	25,069	27,582	29,059	30,098	31,177	32,065	32,911	33,730	34,655	35,609	36,685	37,321	
Annual Growth	3.9%	10.0%	5.4%	3.6%	3.6%	2.8%	2.6%	2.5%	2.7%	2.8%	3.0%	1.7%	3.7%
Salt Lake Community College	17,430	17,645	17,740	17,834	17,930	18,039	18,170	18,309	18,442	18,547	18,638	18,744	
Annual Growth	- 1.2%	1.2%	0.5%	0.5%	0.5%	0.6%	0.7%	0.8%	0.7%	0.6%	0.5%	0.6%	0.7%
USHE Totals	132,531	137,406	141,538	145,077	149,049	152,623	156,068	159,290	162,599	165,988	169,460	172,448	
Annual Growth	1.6%	3.7%	3.0%	2.5%	2.7%	2.4%	2.3%	2.1%	2.1%	2.1%	2.1%	1.8%	2.4%

* Utah State projection data does not include enrollments at USUE-DWS campus

2017 Projections - Outcome Review

Fall 2017 EOT Headcount			
Institution	Actual	Projected	Difference
University of Utah	33,153	33,228	- 75
Utah State University	29,026	28,986	40
Weber State University	28,379	29,367	- 988
Southern Utah University	10,245	9,905	340
Snow College	5,589	5,604	- 15
Dixie State University	9,707	9,798	- 91
Utah Valley University	37,785	36,397	1,388
Salt Lake Community College	32,277	32,335	- 58
USHE Totals	186,161	185,620	541

System Percentage Difference	0.3%
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Fall 2017 EOT FTE			
Actual	Projected	Difference	
28,188	28,397	-	209
22,813	22,614		199
17,221	18,530	-	1,309
7,761	7,610		151
4,097	4,385	-	288
7,398	7,349		49
25,198	24,671		527
16,297	16,665	-	368
128,973	130,221	-	1,248

-1.0%

2016-17 Annualized FTE			
Actual	Projected	Difference	
30,363	30,390	-	27
23,584	23,550		34
17,434	19,386	-	1,952
7,915	7,964	-	49
3,931	3,895		36
6,806	6,785		21
25,069	25,200	-	131
17,430	17,973	-	543
132,532	135,143	-	2,611

-1.9%

May 9, 2018

MEMORANDUM

TO: State Board of Regents

FROM: David L. Buhler

SUBJECT: USHE – 2018-19 Performance Funding Model and Allocations

Issue

The 2018 Legislature appropriated \$3,850,000 in ongoing funds to the Board of Regents to support institution performance based initiatives focused on improving student outcomes. Regents are asked to review last year's institutional performance information and approve the proposed allocation using the 2018 USHE Performance Funding model. A final report on how institutions plan to use these funds will be presented at the July 2018 Board meeting.

Background

While most of the Performance Funding model calculations and metrics used in 2017 remain in place, some have changed based on Senate Bill 117, *Higher Education Performance Funding* language passed during the 2017 Legislative session. Details regarding specific source data for each metric and the associated calculations have been discussed and approved by the Chief Sponsor, Ann Millner and shared with the Governor's Office of Management and Budget.

The 2018 performance funding model requires that the institution's eligible award amount now be calculated based on its share of annualized full-time equivalent students from the previous academic year (2016-17), and on its respective share of USHE previous year state tax funds budget (2017-18) - equally weighted at 50 percent. Other major changes made by SB 117 include:

- Moving from a five-year rolling average comparison to a single year.
- Adjusting the weighting for the Market Demand (responsiveness to workforce needs) to 25 percent of an institutions allocation from 10 percent in the current model.
- Identifying the new list of Market Demand programs that will be used to both generate the fund and measure completion in the Market Demand metric.
- Changing the metric focused on underserved populations from an access metric to a completion metric.

For each institution, the eligible award amount was divided and weighted among the various performance metrics included in the model. The proposed final award amounts were calculated based on the actual performance achieved for each metric using a five-year rolling average comparison to a single year. In order for institutions to earn 100 percent of their eligible award amount, they must demonstrate progress of at least 1 percent in the most current year when compared to the previous 5 years average. If an institution achieves between 0 percent and 1percent for a particular metric it will be awarded the prorated percentage.

All funds not earned in the model were redistributed to institutions based on their successful performance and will be considered as part of the institutions an ongoing base for FY20, similar to last year.

Attached for Board review:

- Performance Funding Allocation Detail by Institution
- FY19 Reallocation of Unearned Funds and Total Earned Funds

Commissioner's Recommendation

The Commissioner recommends the Board of Regents approve the following recommendations regarding the 2018-19 USHE Performance Funding model:

1. Approve the following fund allocation for fiscal year 2018-19

<u>University of Utah</u>	<u>\$1,300,400</u>
<u>Utah State University</u>	<u>\$ 899,500</u>
<u>Weber State University</u>	<u>\$ 482,800</u>
<u>Southern Utah University</u>	<u>\$ 235,700</u>
<u>Snow College</u>	<u>\$ 113,100</u>
<u>Dixie State University</u>	<u>\$ 94,900</u>
<u>Utah Valley University</u>	<u>\$ 314,300</u>
<u>Salt Lake Community College</u>	<u>\$ 409,300</u>
<u>Total</u>	<u>\$3,850,000</u>
2. Direct institutions to use the performance based funding to enhance the performance of the institution including in the areas of total number of graduates, graduates in high market-demand areas, service to underserved populations, and improving retention and graduation rates; and
3. Report back to the Board at the July 2018 Board meeting highlighting planned uses of these funds.

David L. Buhler
Commissioner of Higher Education

DLB/KLH/BLS
Attachments

Performance Funding Detail by Institution
Recalibrated Amounts

Research Universities

	Completion	Underserved Students	Market Demand	Research	Awards per FTE	Total
<i>Weighting</i>	15%	10%	25%	10%	40%	100%
University of Utah						
Available Allocation (29.31%)	\$ 169,260	\$ 112,840	\$ 282,100	\$ 112,840	\$ 451,360	\$1,128,400
1% Progress Measure (increase/decrease)	4.41%	147.22%	13.34%	11.80%	1.44%	
Actual Award within Available Allocation	\$ 169,260	\$ 112,840	\$ 282,100	\$ 112,840	\$ 451,360	\$1,128,400
Percent (%) Funded	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Balance	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$

Utah State University

Available Allocation (20.27%)	\$ 117,075	\$ 78,050	\$ 195,125	\$ 78,050	\$ 312,200	\$ 780,500
1% Progress Measure (increase/decrease)	8.98%	102.18%	7.70%	11.74%	1.81%	
Actual Award within Available Allocation	\$ 117,075	\$ 78,050	\$ 195,125	\$ 78,050	\$ 312,200	\$ 780,500
Percent (%) Funded	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Balance	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$

Regional Universities

	Completion	Students	Demand		FTE	Total
<i>Weighting</i>	15%	10%	25%		50%	
Weber State University						
Available Allocation (10.61%)	\$ 61,260	\$ 40,840	\$ 102,100		\$ 204,200	\$ 408,400
1% Progress Measure (increase/decrease)	6.09%	117.32%	12.25%		2.27%	
Actual Award within Available Allocation	\$ 61,260	\$ 40,840	\$ 102,100	\$	\$ 204,200	\$ 408,400
Percent (%) Funded	100.0%	100.0%	100.0%		100.0%	100.0%
Balance	\$ 0	\$ 0	\$ 0		\$ 0	\$

Southern Utah University

Available Allocation (5.18%)	\$ 29,910	\$ 19,940	\$ 49,850		\$ 99,700	\$ 199,400
1% Progress Measure (increase/decrease)	22.34%	165.81%	2.32%		8.86%	
Actual Award within Available Allocation	\$ 29,910	\$ 19,940	\$ 49,850	\$	\$ 99,700	\$ 199,400
Percent (%) Funded	100.0%	100.0%	100.0%		100.0%	100.0%
Balance	\$ 0	\$ 0	\$ 0		\$ 0	\$

Performance Funding Detail by Institution
Recalibrated Amounts

Regional Universities

	Completion	Underserved Students	Market Demand	Awards per FTE	Total
<i>Weighting</i>	15%	10%	25%	50%	
Dixie State University					
Available Allocation (4.62%)	\$ 26,670	\$ 17,780	\$ 44,450	\$ 88,900	\$ 177,800
1% Progress Measure (increase/decrease)	6.44%	29.69%	15.52%	-7.94%	
Actual Award within Available Allocation	\$ 26,670	\$ 17,780	\$ 44,450	\$	\$ 88,900
Percent (%) Funded	100.0%	100.0%	100.0%	0.0%	50.0%
Balance	\$ 0	\$ 0	\$ 0	\$ 88,900	\$ 88,900

Utah Valley University					
Available Allocation (15.3%)	\$ 88,365	\$ 58,910	\$ 147,275	\$ 294,550	\$ 589,100
1% Progress Measure (increase/decrease)	2.52%	105.39%	5.48%	-2.97%	
Actual Award within Available Allocation	\$ 88,365	\$ 58,910	\$ 147,275	\$	\$ 294,550
Percent (%) Funded	100.0%	100.0%	100.0%	0.0%	50.0%
Balance	\$ 0	\$ 0	\$ 0	\$ 294,550	\$ 294,550

Community Colleges

	Completion	Underserved Students	Market Demand	Awards per FTE	Total
<i>Weighting</i>	15%	10%	25%	50%	
Snow College					
Available Allocation (2.88%)	\$ 16,635	\$ 11,090	\$ 27,725	\$ 55,450	\$ 110,900
1% Progress Measure (increase/decrease)	16.54%	64.32%	71.88%	0.75%	
Actual Award within Available Allocation	\$ 16,635	\$ 11,090	\$ 27,725	\$ 41,567	\$ 97,017
Percent (%) Funded	100.0%	100.0%	100.0%	75.0%	87.5%
Balance	\$ 0	\$ 0	\$ 0	\$ 13,883	\$ 13,883

Salt Lake Community					
Available Allocation (11.83%)	\$ 68,325	\$ 45,550	\$ 113,875	\$ 227,750	\$ 455,500
1% Progress Measure (increase/decrease)	20.19%	174.21%	-41.33%	62.76%	
Actual Award within Available Allocation	\$ 68,325	\$ 45,550	\$	\$ 227,750	\$ 341,625
Percent (%) Funded	100.0%	100.0%	0.0%	100.0%	75.0%
Balance	\$ 0	\$ 0	\$ 113,875	\$ 0	\$ 113,875

Remaining Balances (to be reallocated based on performance)

	Completion	Underserved Students	Market Demand	Research	Efficiency	Total
	0	0	113,875.0	0	397,333	\$ 511,208

Percent of Total Funded Applied to Unallocated	<i>Completion</i>	<i>Underserved Students</i>	<i>Market Demand</i>	<i>Awards/FTE</i>	<i>Research</i>	<i>Total</i>	<i>% of Total</i>
5-year Average Unallocated	\$ -	\$ -	\$ 113,875	\$ 397,333	\$ -	\$ 511,208	
University of Utah	-	-	37,854	134,159	-	172,013	33.65%
Utah State University	-	-	26,183	92,796	-	118,979	23.27%
Weber State University	-	-	13,701	60,695	-	74,395	14.55%
Southern Utah University	-	-	6,689	29,634	-	36,323	7.11%
Dixie State University	-	-	5,965	-	-	5,965	1.17%
Utah Valley University	-	-	19,762	-	-	19,762	3.87%
Snow College	-	-	3,720	12,355	-	16,075	3.14%
Salt Lake Community College	-	-	-	67,695	-	67,695	13.24%
Total	\$ -	\$ -	\$ 113,875	\$ 397,333	\$ -	\$ 511,208	100.00%

TOTAL ALLOCATION

Funded and Redistribution of Unallocated	<i>Completion</i>	<i>Underserved Students</i>	<i>Market Demand</i>	<i>Awards/FTE</i>	<i>Research</i>	<i>Total</i>	<i>% of Total</i>
5-year Average							
University of Utah	169,260	112,840	319,954	585,519	112,840	1,300,400	33.78%
Utah State University	117,075	78,050	221,308	404,996	78,050	899,500	23.36%
Weber State University	61,260	40,840	115,801	264,895	-	482,800	12.54%
Southern Utah University	29,910	19,940	56,539	129,334	-	235,700	6.12%
Dixie State University	26,670	17,780	50,415	-	-	94,900	2.46%
Utah Valley University	88,365	58,910	167,037	-	-	314,300	8.16%
Snow College	16,635	11,090	31,445	53,922	-	113,100	2.94%
Salt Lake Community College	68,325	45,550	-	295,445	-	409,300	10.63%
Total	577,500	385,000	962,500	1,734,110	190,890	3,850,000	100.00%

UTAH SYSTEM OF HIGHER EDUCATION
PERFORMANCE FUNDING HISTORY
FISCAL YEARS 2014 TO 2019

Institution	Fiscal Year 2014		Fiscal Year 2015		Fiscal Year 2016		Fiscal Year 2017		Fiscal Year 2018		Fiscal Year 2019		Total	
	On-going	One-time	On-going	One-time	On-going	One-time	On-going	One-time	On-going	One-time	On-going	One-time	On-going	One-time
University of Utah	-	194,500	-	328,620	555,700	2,011,400	-	1,387,306	1,872,900	-	1,319,000	-	3,747,600	3,921,826
Utah State University	-	200,000	-	328,620	420,100	1,520,600	-	1,072,945	1,343,400	-	912,300	-	2,675,800	3,122,165
Weber State University	-	120,000	-	118,860	242,000	876,100	-	651,510	713,400	-	491,200	-	1,446,600	1,766,470
Southern Utah University	-	55,200	-	131,450	91,600	331,400	-	220,916	319,800	-	239,800	-	651,200	738,966
Snow College	-	53,600	-	82,480	51,400	186,200	-	139,173	180,900	-	94,900	-	327,200	461,453
Dixie State University	-	53,600	-	118,310	102,600	371,200	-	243,446	289,800	-	314,300	-	706,700	786,556
Utah Valley University	-	120,000	-	197,170	285,500	1,033,200	-	718,993	1,000,900	-	59,800	-	1,346,200	2,069,363
Salt Lake Community College	-	60,000	-	98,590	251,100	908,900	-	565,711	778,900	-	418,700	-	1,448,700	1,633,201
Total	-	856,900	-	1,404,100	2,000,000	7,239,000	-	5,000,000	6,500,000	-	3,850,000	-	12,350,000	14,500,000
Appropriated		1,000,000	-	1,500,000	2,000,000	7,000,000	-	5,000,000	6,500,000	-	3,850,000	-		
Carryforward		-	-	143,100	-	239,000	-	-	-	-	-	-		
Unallocated		(143,100)	-	(239,000)	-	-	-	-	-	-	-	-		
Allocated		856,900	-	1,404,100	2,000,000	7,239,000	-	5,000,000	6,500,000	-	3,850,000	-		

May 9, 2018

MEMORANDUM

TO: State Board of Regents

FROM: David L. Buhler

SUBJECT: Revision of Policy R513, *Tuition Waivers and Reductions*

Issue

The Board of Regents are asked to approve revisions to Regent Policy R513, *Tuition Waivers and Reductions*.

Background

At the July 2017 meeting, the Board received its annual report on the use of the statutory tuition waivers for both resident and nonresident students from the Commissioner's Office staff. Additionally, the Board heard from the University of Utah, Weber State University, and Dixie State University on the important role that tuition waivers play for their respective institutions including how waivers help to ensure a diverse student body population, maximize use of student housing and auxiliaries services, and augment tuition related revenue streams to enhance programs and services for all students – resident and nonresident. At that time, the Board asked that the Commissioner's Office staff prepare a follow up presentation to review how Utah tuition waiver practices compare to other states and to work with institutions to develop a course of action regarding tuition waivers for the Board to consider at a future meeting.

During the September 2017 meeting, the Board received its second presentation on tuition waivers from the Commissioner's Office staff focused on how Utah resident and nonresident tuition waivers compare to what is offered in other states. Additionally information regarding the percentage of Utah students receiving some type of student aid and how Utah compares to other states with respect to state based aid and total student aid was also provided. The Commissioner's Office staff recommended that the Board consider the following four recommendations:

1. Require USHE institutions to conduct annual graduation surveys that include questions for non-resident graduates specifically to help identify if nonresident students remain in the state after graduation – either as a resident who now works in the Utah market or is attending graduate school and to report on an annual basis the percentage of non-resident graduates that remained in Utah post-graduation.
2. Require institutions to actively engage in enrollment management efforts that include strategies on how to address need-based financial support for both resident and non-resident students and how tuition waivers can most effectively be used to achieve those strategies while maximizing completion rates and use of institutional resources.

3. Direct the Commissioner's Office to provide an annual report on the use of tuition waivers to the Board's Finance and Facilities Committee – which includes an overview of the impact of non-resident students on the Utah economy, based on institutional economic impact surveys.
4. Direct the Commissioner's Office to review the system's non-resident tuition differential surcharge and develop a recommendation for the Board to consider at a future meeting whether to change the nonresident differential surcharge.

There was no official action taken by the Board during the September meeting rather a request that the Commissioner's Office continue to work with the Council of Presidents on this issue.

In addition to the Board's interest in tuition waivers, the Higher Education Appropriations Subcommittee asked the Commissioner's Office to give a presentation on tuition waivers during its September 2017 interim meeting. The committee received a presentation based on the information that was shared with the Board at the July and September Board meetings. There was no follow up action or requests made of the Board or the Commissioner's Office from the legislative committee at that time.

During the 2018 Legislative Session the Higher Education Appropriation Subcommittee adopted a proposal from the Legislative Fiscal Analyst that recommended cutting state tax funds based on the USHE institutions utilization of tuition waivers as compared to the system average to calculate the budget reduction. The intent of the legislature was twofold by adopting this recommendation. First, to encourage institutions that have waived more nonresident tuition than other institutions to reexamine this practice, and reduce the amount waived in order to collect additional nonresident tuition revenue, with the hope that the additional revenue could be used to minimize the state tax funds budget reduction impact for the institution; and second to encourage the Board to take a more direct role in the management of tuition waivers offered at the USHE institutions, particularly as it relates to nonresident waivers.

As a result, during the course of the session it was recommended by the legislature to increase the Board's direct oversight related to the management of tuition waivers and new statutory language was added in HB300, *Higher Education Modifications* to allow the Board to establish policy that:

1. Develops definitions for meritorious and impecunious (need-based) as it relates to students who receive tuition waivers;
2. Establishes caps or limits on tuition waivers for both resident and nonresident tuition waivers;
3. Requires USHE institutions to regularly assess and report on how the institution's use of tuition waivers supports the Boards strategic goals and objectives.

Ultimately, the proposed budget cuts were restored by the Legislature.

In addition over the last several months, the Board's Affordability and Access Work Group has raised the importance of designating a portion of the Resident 10% tuition waivers for need based students in its discussions on college affordability and student aid.

The Commissioner's Office proposes revisions to Regent Policy R513, *Tuition Waivers and Reductions* to incorporate the statutory changes from HB300 and developed recommended percentages for institutions to use when awarding Resident 10% tuition waivers to impecunious students and meritorious nonresident

undergraduate tuition waivers moving forward. Institutions will be given until July 1, 2021 to realign its student financial aid efforts which use these specific tuition waivers to be compliant with the Regent policy.

Commissioner's Recommendation

The Commissioner recommends that the Board adopt the revised policy R513, *Tuition Waiver Reductions* and approve the following:

1. Direct the Commissioner's Office to conduct a study to examine the economic impact that nonresident students have on the institutions of higher education as well as the state of Utah as part of a more comprehensive review of tuition and student aid policies related to resident and nonresident students, and authorize the Commissioner to engage with a third-party consultant to assist with the study if necessary;
2. Effective immediately, recognize the WICHE WUE and WGRP programs as an authorized tuition rate rather than a tuition waiver, and ask that institutions continue to report the number of students that participate in the program;
3. Monitor the use of Alumni Legacy Tuition waivers and the transition of students receiving these waivers for the next 3 years to determine if removing the provision regarding residency helps to decrease the overall usage of these waivers. If there is not a significant impact (10% or more in three years) it is recommended that the Board consider establishing caps or limits on the use of these waivers at that time.

David L. Buhler
Commissioner of Higher Education

DLB/KLH
Attachments

Board of Regents Tuition Waiver Proposal
May 9, 2018

The Board of Regents asked the Commissioner's Office to work with the USHE institutions to develop a recommended course of action that would help to advance the following Board directives regarding the use of tuition waivers.

- The Board would like a tool to ensure that institutions use the Resident 10% tuition waiver to provide student aid support for both Impecunious (need-based) and Meritorious students.
- The Board requests a tool to manage the use of discretionary nonresident undergraduate tuition waivers across the system.

The Importance and Value of Tuition Waivers

All of the USHE institutions have strategic enrollment plans to ensure that they provide quality academic learning opportunities to promote cultural and economic development for the State of Utah. Utah higher education has always included resident, nonresident, and international students with the conviction that diversity of students makes our institutions and our state's economic and cultural capital stronger.

Tuition waivers are one component of ensuring strategic enrollment and student success at all USHE institutions. Waivers of tuition, nearly always partial waivers, play an important role:

- Tuition waivers attract academically prepared, talented students to Utah, many of whom remain in the state after college completion and strengthen our workforce in critical areas;
- Tuition waivers bring students from different backgrounds and with varied life experiences to our USHE institutions which produces a better learning environment; and
- Tuition waivers enhance the financial strength of USHE institutions, given that (a) the majority of waivers cover part of total tuition cost and the residual nonresident tuition paid remains well above average in-state tuition; and (b) the nonresident students may opt to not attend USHE institutions without some type of tuition reduction.

Tuition waivers are an essential tool in our institutions overall financial portfolio, but they are by no means the only tool. Scholarships, Pell grants, work-on-campus opportunities, and loans are also instrumental in ensuring access and supporting students to college completion. The full portfolio of financial tools allows our universities and colleges to support students through degree completion, and ensure that our institutions remain fiscally healthy.

USHE institutions must use these waivers strategically and judiciously but have enough flexibility to adapt to increasingly competitive national and international environments in order to provide strategic benefits to the state's economic and cultural capital.

Utah's year-over job growth stands at 3.3% (March 2017-March 2018). With such low unemployment and rapid job growth, an increase of in-migration of students to Utah colleges and universities will be an asset to our state.

Proposal Summary

In partnership with the USHE institutions, the Commissioner's Office staff has developed a tuition waiver proposal for the Board's consideration and action. The major elements of the proposal are highlighted in the following paragraphs.

- Direct the Commissioner's Office to conduct a study to examine the economic and broader impact that nonresident students have on the institutions of higher education as well as the state of Utah as part of a more comprehensive review of tuition and student aid policies.
- Revise of Regent Policy R513, *Tuition Waivers and Reductions*, to simplify the current policy framework, incorporate HB300 language changes, incorporate recommended caps and limit changes to specific waiver programs, and clarify reporting requirements.
- Implement the following Resident 10% Tuition Waiver Modifications
 - Create definitions for Impecunious and Meritorious as it applies to resident students
 - Identify a minimum set aside percentage of the Resident 10% tuition waivers to be dedicated to support impecunious student aid needs
 - Require that institutions develop a plan and associated goals on how to ensure that resident impecunious student needs are being supported and how the Resident 10% tuition waiver will help support their existing efforts.
 - Provide the institutions 3 academic years beginning July 1, 2018 to adjust their existing student financial aid policies and practices to be compliant with the policy no later than July 1, 2021.
 - Add a provision that allows for regular review by the Board every 3 years beginning July 2024.
- Nonresident Tuition Waiver Modifications
 - Remove WICHE WUE and WGRP from the annual USHE waiver report. These programs are part of the tuition agreement between Utah and the Western Interstate Commission for Higher Education.
 - Continue to require USHE institutions to monitor the number of students who participate in the WICHE program.
 - Monitor the use of Alumni Legacy Waivers for the next 3 years and track how many recipient students seek residency after the first year of the award.
 - If less than 10% of the recipients converts to residency, it is recommended that the Board set a cap on the use of these waivers moving forward.
 - Establish a cap/limit on the amount of tuition that an institution may waive for the Nonresident Merit Undergraduate tuition waivers.
 - Caps will be unique to each institution and reflect such factors as mission, location, enrollment management strategies, and existing tuition and student aid policies and practices.
 - Institutions would be given until July 2021 to adjust its financial aid awards practice to ensure that these percentages are not exceeded and are expected to be compliant with the policy for the 2022 Academic school year reports.
 - Not to exceed percentages will be reviewed initially in 2022 and then no longer than every five years thereafter by the Commissioner's office. If an institution would like to request a change before the five year review, a case can be made and presented to the Board for consideration.

Policy Revision Recommendation (R513 – Tuition Waivers and Reductions)

The Commissioner's Office recommends updating the tuition waiver policy to incorporate the new language changes associated with 2018 HB300 regarding tuition waivers, and realigning the policy to reflect resident vs. nonresident tuition waivers and overarching reporting requirements.

Resident 10% Tuition Waivers

As outlined in HB300 revisions, it is recommended that the Board adopt definitions of meritorious and impecunious students as it relates to tuition waivers in general, and more specifically the Resident 10% tuition waivers. The initial recommendation from staff is the following:

Impecunious Resident Student: A resident student whose demonstrated lack of financial resources presents a significant barrier to accessing higher education or completing a higher education degree or certificate.

Meritorious Resident Student: A resident student who has demonstrated exceptional academic and / or other achievements which qualify for recognition and reward.

The Board has asked that institutions be more strategic in their use of these waivers, and more specifically use these waivers to support not only meritorious students through "institutional scholarships" but also increase the amount of resident 10% tuition waivers offered to students who would qualify as impecunious.

To facilitate this change in practice the Board should consider the following course of action:

1. Adopt a system wide minimum standard of at least 10% of the Resident 10% tuition waivers to be designated to support impecunious/need based students. (In 2017, institutions reported using less than 1% of this waiver category to support students designated as impecunious and used 1% in 2016.)
2. Ask the institutions to develop a plan and associated goals to increase the use of these waivers for impecunious students to at least the 10% minimum by July 2021 for the AY2022 reporting cycle.
3. Review this minimum percentage after 3 years (end of AY2024) and every three years after that.

Nonresident Tuition Waivers

Both the Legislature and the Board of Regents have expressed concern about the dramatic growth in the use of nonresident tuition waivers in the last 3 years. When reviewing the usage of nonresident tuition waivers, there are 3 waiver categories that comprise over 75% of the tuition waived in a given year: WICHE WUE waivers, Alumni Legacy waivers, and Merit Nonresident Undergraduate waivers.

In addition to the following proposals for each of the highest utilized nonresident tuition waivers, it is recommended that the Board consider directing the Commissioner's Office to conduct a study to examine the economic and broader impact that nonresident students have on the institutions of higher education as well as the state of Utah as part of a more comprehensive review of tuition and student aid policies.

WICHE – Western Undergraduate Exchange (WUE) waivers

Effective immediately, the Board will no longer classify this program as a tuition waiver program but rather recognize it as a tuition rate. By participating in the WICHE compact, the state of Utah agrees to allow students that enroll as a WICHE student at USHE institution to pay 150% of resident tuition.

By making this change, the reported amount of tuition waivers will be reduced by approximately 15% each year. In 2017, the amount of WICHE WUE tuition waivers reported was \$15m of the \$91m in tuition waived (17% of the nonresident tuition waived). This program will no longer be included in the system report on tuition waivers, but the system will continue to track the number of students who receive this tuition rate at our USHE institutions.

Alumni Legacy Tuition Waiver/Scholarship

The Alumni Legacy Tuition Waiver program is the second most utilized nonresident tuition waiver program and it has seen dramatic growth in the last two years. This waiver was created in part to help provide a recruiting tool to assist USHE institutions offset enrollment decreases associated with the LDS missionary age change in 2012. As expected, this has been a very effective recruitment tool for several of the USHE institutions and has resulted in an increase in the nonresident students that enroll at their respective institution. In 2017, the amount of tuition waived associated with Alumni waivers was \$21m of the \$91m (23% of the nonresident tuition waived). Of the \$21m waived in 2017, \$19.8m was awarded by USU.

In identifying possible ways to manage the growth in this program, USU recommended that a statutory provision that prohibited students receiving this waiver to obtain residency in Utah be removed. As a result, Representative Val Potter ran HB349 in the 2018 Legislative session which strikes that provision from code so students that receive this waiver would be eligible to become a resident if they meet the qualifications. The actual impact of this change is yet to be determined and will depend on the number of students that seek residency moving forward and the number of students that seek and receive this award.

If USHE institutions were successful in converting at least 10% of the students to resident status, this would result in a decrease of \$2.1 million in the nonresident waivers immediately.

The recommended course of action for the Board to consider as it relates to this waiver is to:

1. Monitor and track the use of these waivers and the transition of students receiving these waivers for the next 3 years to determine if removing the provision regarding residency helps to decrease the overall usage of these waivers
2. If there is not a significant impact (10% or more in three years) it is recommend that the Board consider establishing caps or limits on the use of these waivers in the future.

Merit Nonresident Undergraduate Tuition Waivers

The most utilized nonresident tuition waiver program is the Merit Nonresident Undergraduate Tuition Waivers created by statute [53b – 8-101-\(2\)\(a\)](#). Regent policy R513-3.3 highlights the current expectations regarding the use of these tuition waivers. In 2017 these tuition waivers comprised \$31m of the \$91m in nonresident waived (34%). There has been significant growth in this tuition waiver since 2015 by approximately half of the USHE institutions.

In order to manage the use in the overall amount of nonresident tuition waivers awarded annually, it is recommended that the Board take specific action to cap or limit the amount of tuition that can be waived using this tuition waiver. Caps should be unique for each institution and reflect such factors as mission, location, enrollment management strategies, and existing tuition and student aid policies and practices.

The Board is asked to consider the following recommended course of action:

1. Revise Regent Policy R513 to specifically address merit nonresident undergraduate tuition waivers separate from the graduate and summer tuition waivers for nonresident students.

Proposed new language:

The president of each institution may waive all or part of the nonresident portion of tuition for meritorious nonresident undergraduate students to an amount not exceeding the designated percent of the total amount of tuition which, in the absence of the waivers, would have been collected from all nonresident students at the institution outlined in the chart below.

Institution	Not to exceed percentage
University of Utah	16%
Utah State University	13%
Weber State University	40%
Southern Utah University	35%
Snow College	27%
Dixie State University	30%
Utah Valley University	18%
Salt Lake Community College	10%

2. Institutions would be given 3 years from July 1, 2018 to adjust their financial aid awards practice to align with these percentages and would be asked to be compliant with the policy for the 2022 Academic school year reports.
3. The not to exceed percentages will be reviewed in 2022 and then no longer than every five years thereafter by the Commissioner's office. If an institution would like to request a change before the five year review, a case can be made and presented to the Board for consideration.

R513-1. Purpose: To establish procedures for Utah System of Higher Education (USHE) institutions to administer tuition waiver and reduction programs.

R513-2. References

- 2.1. Utah Code §53B-8-101, Waiver of Tuition—Resident—National Guard—Nonresident—Critical Occupations—Summer School—Graduate Students
- 2.2. Utah Code §53B-8-103, Partial Waivers Pursuant to Reciprocal Agreements
- 2.3. Utah Code §53B-8-104, Nonresident Partial Tuition Scholarships—Border7 Waivers
- 2.4. Utah Code §53B-8-104.5, Nonresident Tuition Scholarships
- 2.5. Utah Code §53B-8-106, Resident Tuition Scholarships—Requirements—Rules
- 2.6. Utah Code Title 53B, Chapter 9, Higher Education for Senior Citizens
- 2.7. Utah Code Title 53B, Chapter 8c, Police Officer's and Firefighter's Survivor Tuition Waiver
- 2.8. Utah Code Title 53B, Chapter 8d, Tuition Waivers for Wards of the State
- 2.9. Utah Code Title 53B, Chapter 8e, Tuition Waivers for Purple Heart Recipients
- 2.10. Utah Code §53A-15-101.5, Concurrent Enrollment Instruction in Mandarin Chinese
- 2.11. Policy and Procedures R510, Tuition and Fees
- 2.12. Policy and Procedures R512, Determination of Resident Status
- 2.13. Utah Code §53B-8-107, Scott B. Lundell Waiver of Tuition for Dependents of Military Members Killed in Action
- 2.14. Utah Code §53B-8-103.5, Alumni Legacy Nonresident Scholarships
- 2.15. Utah Code §53B-8-102, Definition of Resident Student

¹ Approved October 19, 2001, amended November 8, 2002, March 14, 2003, April 16, 2004, March 10, 2006, June 9, 2006 and June 8, 2007. Revisions approved by the Board of Regents on May 29, 2009, November 18, 2011, March 29, 2013, May 15, 2015 and May 20, 2016.

R513-3. Definitions:

3.1. Impecunious Resident Student: A resident student whose demonstrated lack of financial resources presents a significant barrier to accessing higher education or completing a higher education degree or certificate.

3.2. Meritorious Resident Student: A resident student who has demonstrated exceptional academic and / or other achievements which qualify for recognition and reward.

R513-4. Waiver of Resident Tuition:

4.1 10% Resident Tuition Waivers: The president of each institution may waive all or part of the tuition in behalf of meritorious or impecunious resident students to an amount not exceeding 10 percent of the total amount of tuition which, in the absence of the waivers, would have been collected from all Utah resident students at the institution.

4.1.1. Of the amount waived in 4.1, at least 10 percent of total amount shall be used to support tuition waivers for impecunious resident students.

4.1.1.1. Each of the institutions will develop and present a plan to the Board of Regents on how it expects to be compliant with 4.1.1. for the reporting cycle beginning July 1, 2021.

4.1.1.2. The Board shall review the percentage set aside for impecunious students every three years beginning July 1, 2024.

4.2 National Guard Set Aside: Of the amount waived for resident students under 4.1, 2.5 percent of the total amount shall be set aside by institutions for waivers reserved for members of the Utah National Guard.

4.2.1. To ensure timely processing, the Utah National Guard Administration will provide to the institutions a prioritized list of qualified candidates for tuition waivers no later than 60 calendar days prior to the start of an academic term. Candidates for Utah National Guard tuition waivers must be full-time students. To ensure the highest number of candidates receive a tuition waiver, institutions may adjust the waiver amount between partial and full amounts.

4.2.2. An institution may, at its discretion and within its established criteria, allow recipients to use tuition waivers toward self-supported courses.

4.2.3. Any National Guard tuition waivers set aside but not claimed 30 days prior to the beginning of the term may be used for other qualified students.

4.3. Partial Tuition Waivers for Critical Occupations: Upon recommendation of the Board of Regents, a president shall grant additional full or partial tuition waivers to encourage students to enroll for instruction in specifically identified occupations critical to the state for which trained personnel are in short supply.

4.4. Senior Citizens Audit Waivers: Utah residents age 62 and over shall be permitted to enroll on a space available audit basis in classes for which they are qualified, in any USHE institution, without regular tuition charges, but subject to payment of the following:

4.4.1. A minimum administrative fee shall be charged, for the institution's cost of registration, record keeping, and report preparation. The fee shall be at least \$10 per semester of registration.

4.4.2. Where applicable, specific course fees also shall be charged.

4.4.3. No credit shall be awarded to a senior citizen for a course taken pursuant to the senior citizens waiver in 3.4. To receive credit a senior citizen must pay regular tuition.

4.5. Police Officer's and Firefighter's Survivor Tuition Waiver:

4.5.1. Definitions: As used in this part:

4.5.1.1. **"Child"** means an individual who (a) is a natural or adopted child of a deceased peace officer or deceased firefighter; and (b) was under the age of 25 at the time of the peace officer's or firefighter's death.

4.5.1.2. **"Department"** means the Department of Public Safety.

4.5.1.3. **"Killed"** means that the peace officer's or firefighter's death is the direct and proximate result of a traumatic injury incurred in the line of duty.

4.5.1.4. **"Line of Duty"** means an action that a peace officer or firefighter is obligated or authorized to perform by rule, regulation, condition of employment or service, or law, including a social, ceremonial, or athletic function that the peace officer or firefighter is assigned to or compensated for by the public agency being served.

4.5.1.5. **"Occupational Disease"** means a disease that routinely constitutes a special hazard in, or is commonly regarded as concomitant of, the peace officer's or firefighter's occupation.

4.5.1.6. **"Traumatic Injury"** means a wound or the condition of the body caused by external force, including an injury inflicted by bullet, explosive, sharp instrument, blunt object, or other physical blow, fire, smoke, chemical, electricity, climatic condition, infectious disease, radiation, or bacteria, but excluding an occupational disease.

4.5.1.7. **"Tuition"** means tuition at the rate charged for residents of the state.

4.5.1.8. **"Utah Firefighter" or "Firefighter"** means a member, including volunteer members and members paid on call, of a fire department or other organization that provides fire suppression and other fire related services, of a political subdivision who is responsible for or is in a capacity that includes responsibility for the extinguishment of fires. This does not include a person whose job description, duties, or responsibilities do not include direct involvement in fire suppression.

4.5.1.9. **"Utah Peace Officer" or "Peace Officer"** means an employee of a law enforcement agency that is part of or administered by the state or any of its political subdivisions, and whose duties consist primarily of the prevention and detection of

crime and the enforcement of criminal statutes or ordinances of this state or any of its political subdivisions.

4.5.2. Tuition Waivers for Surviving Spouses and Children: Subject to the limitations below, a USHE institution shall waive tuition for each child and surviving spouse of a Utah peace officer or Utah firefighter who has been killed or is killed in the line of duty if the individual meets the following requirements:

4.5.2.1. applies, qualifies, and is admitted as a full-time, part-time, or summer school student in a program of study leading to a degree or certificate;

4.5.2.2. is a resident student of the state as determined under R512, Determination of Resident Status;

4.5.2.3. applies to the Department for a waiver of tuition under this chapter and provides evidence satisfactory to the Department that (a) the applicant is the surviving spouse or child of a peace officer or firefighter who was killed in the line of duty; (b) the course or courses for which the applicant is seeking a tuition waiver meet the requirements of 3.5.3; and (c) the applicant meets the other requirements of this part;

4.5.2.4. for a child of a peace officer or firefighter killed in the line of duty, applies under section 3.5.2 for the first time before the age of 25;

4.5.2.5. is certified by the financial aid officer at the institution as needing the tuition waiver in order to meet recognized educational expenses, with the understanding that if the applicant's family income, excluding any income from death benefits attributable to the peace officer's or firefighter's death, is below 400 percent of the poverty level under federal poverty guidelines, then the income from any death benefits accruing to the applicant as a result of the death may not be counted as family income in determining financial need under this 3.5.3.1;

4.5.2.6. maintains satisfactory academic progress, as defined by the institution, for each term or semester in which the individual is enrolled, which may be measured by the definition used for federal student assistance programs under Title IV of the Higher Education Act of 1965; and

4.5.2.7. has not achieved a bachelor's degree and has received tuition reimbursement under this chapter for less than 124 semester credits or 180 quarter credits at an institution of higher education.

4.5.3. Limited Term for Waiver: A child or surviving spouse of a peace officer or firefighter who was killed in the line of duty is eligible for a tuition waiver under this section of not more than nine semesters or the equivalent number of quarters.

4.5.3.1. **Waiver Only If Tuition Not Otherwise Covered:** Tuition shall be waived only to the extent that the tuition is not covered or paid by any scholarship, trust fund, statutory benefit, or any other source of tuition coverage available for a waiver.

4.5.3.2. Waiver for Required Courses Only: An institution shall waive tuition under this chapter only for courses that are applicable toward the degree or certificate requirements of the program in which the child or surviving spouse is enrolled.

4.5.3.3. Prior Approval by Department: Upon receiving an application under Utah Code 53B-8c-103(1)(c), the Department shall determine whether the applicant and the courses for which tuition waiver is sought meet the requirements of Section 53B-8c-103 and, if so, shall approve the application and notify the higher education institution that the application has been approved.

4.5.3.4. Department Cooperation: The institutions shall cooperate with the Department in developing efficient procedures for the implementation of this program and shall use the forms and applications provided by the Department.

4.6. Tuition Waivers for Wards of the State:

4.6.1. Definitions: As used in this part:

3.5.1.1 4.6.1.1. "Division" means the Division of Child and Family Services.

4.6.1.2. "Long-term Foster Care" means an individual who remains in the custody of the Division, whether or not the individual resides with licensed foster parents or in independent living arrangements under the supervision of the Division.

4.6.1.3. "State Institution of Higher Education" means those institutions designated in Section 53B-1-102 and any public institution that offers postsecondary education in consideration of the payment of tuition or fees for the attainment of educational or vocational objectives leading to a degree or certificate, including business schools, technical schools, applied technology centers, trade schools, and institutions offering related apprenticeship programs.

4.6.1.4. "Tuition" means tuition at the rate for residents of the state.

4.6.1.5. "Ward of the State" means an individual (a) who is at least 17 years of age and not older than 26 years of age; (b) who had a permanency goal in the individual's treatment plan, as defined in Sections 62A-4a-205 and 78-3a-312, of long-term foster care while in the custody of the Division; and (c) for whom the custody of the Division was not terminated as a result of adoption.

4.6.2. Tuition Waivers for Wards of the State: Subject to the limitations in 4.6.2.1, 4.6.2.2., and 4.6.2.3, a state institution of higher education shall waive tuition for each ward of the state applicant who meets the following requirements:

4.6.2.1. applies, qualifies, and is admitted as a full-time, part-time, or summer school student in a program of study leading to a degree or certificate;

4.6.2.2. is a resident student of the state as determined under R512, Determination of Resident Status;

4.6.2.3. provides the institution with documentation from the Division that the Division has verified: (a) applicant is at least 17 years of age and not older than 26 years of age; (b) applicant had a permanency goal in the Division treatment plan, as defined in Sections 62A-4a-205 and 78-3a-312, of long-term foster care while in the custody of the Division; (c) applicant's custody was not terminated as a result of adoption; (d) applicant was in the custody of the Division for an aggregate period of not less than 24 months; (e) applicant applied for the first time under this program before the age of 22; and (f) applicant has not achieved a bachelor's degree, and has received tuition reimbursement under this program for less than 124 semester credits (or 180 quarter credits) and for not more than nine semesters at an institution of higher education.

4.6.2.4. verifies that the course or courses for which the applicant is seeking a tuition waiver meet the requirements of 4.6.2.3;

4.6.2.5. is certified by the financial aid officer at the higher education institution as needing the tuition waiver in order to meet recognized educational expenses;

4.6.2.6. maintains satisfactory academic progress, as defined by the institution of higher education, for each term or semester in which the individual is enrolled, which may be measured by the definition used for federal student assistance programs under Title IV of the Higher Education Act of 1965; and

4.6.3. Limited Term of Waiver: A ward of the state is eligible for a tuition waiver under this section for not more than nine semesters.

4.6.3.1. Waiver Only if Tuition Not Otherwise Covered: Tuition shall be waived (a) after the individual has applied for financial assistance, including scholarships and Pell Grants; and (b) only to the extent that the tuition is not covered or paid by any scholarship, trust fund, statutory benefit, Pell Grant, or any other source of tuition coverage available for a waiver.

4.6.3.2. Waiver for Required Courses Only: An institution of higher education shall waive tuition under this chapter only for courses that are applicable toward the degree or certificate requirements of the program in which the student is enrolled.

4.6.4. Reimbursement of Waivers by Division: The institutions shall seek reimbursement from the Division for any tuition waived under this chapter.

4.7. Tuition Exemption for Teachers:

4.7.1. Definitions: As used in this part

4.7.1.1. "Educator": means an educator is a person currently employed in the Utah public school system who is a licensed educator in good standing or has been issued a letter of authorization permitting such employment under [Utah Code 53A-6-104](#), the Board Licensure section of the Educator Licensure and Professional Practices Act.

4.7.1.2. "Tuition Waivers for Teachers" means an educator who enrolls in a course of study determined by the State Board of Education to satisfy the professional development requirements of §53A-6-104(2)(b)(i) is exempt from the tuition

charges for a class taken as part of that course of study provided that the following conditions are met:

4.7.1.3. "Master's and Doctoral Degree Candidates" means because of the extensive involvement of faculty members in committees, mentoring, and counseling of master's and doctoral degree candidates, the concept of surplus space does not apply and such educators are not eligible for the exemption from tuition under this section.

4.7.2. Administrative Semester Registration Fee: The institution may charge an educator an administrative semester registration fee not to exceed \$100 per semester to cover the actual increased costs associated with registration, verification of educator status, identification of eligible courses, certification of space availability, and record keeping.

4.7.3. Surplus Space Enrollment: The educator may be enrolled on the basis of surplus space as determined by the institution under these rules and guidelines as follows:

4.7.3.1. If a principal or substantial reason for the institution to offer the class is to serve educators, then no educator enrolled in that class can be considered to be enrolled on the basis of surplus space, and therefore cannot be eligible for this exemption from tuition charges;

4.7.3.2. If the class meets the requirements of 53A-6-104(2)(b)(i) but does not have as a principal or substantial purpose to serve educators, then the institution shall define the optimum class size of the class in accordance with regular procedures and normal teaching loads in that space within the institution's approved budget. The number of surplus space enrollments available to educators is determined by subtracting from the optimum class size the number of tuition paying students enrolled in the class. The surplus space enrollments may then be filled by educators on a first come first served basis. However, in order to maintain the optimum class size, educators exempt from tuition may be bumped (last in, first out) by regular tuition paying students who later register for the class.

4.8. Tuition Reimbursement for Sequential Mandarin Chinese Course:

4.8.1. Extended Sequential Study for Difficult Languages: Difficult languages require extended sequences of study to acquire proficiency in listening, speaking, reading, and writing.

4.8.2. Mandarin Chinese Program: The Board and the State Board of Education, in consultation with the Utah Education Network, may develop and implement a concurrent enrollment course of study in Mandarin Chinese. The course shall be taught over EDNET to high school juniors and seniors in the state's public education system.

4.8.3. Tuition Reimbursement: Students who successfully complete the concurrent enrollment course in Mandarin Chinese offered under the part shall receive tuition reimbursement for a sequential Mandarin Chinese course they successfully complete with a "B" grade or above at an institution within the USHE.

4.9. Scott B. Lundell Waiver of Tuition for Dependents of Military Members Killed in Action:

4.9.1. Tuition Waiver: USHE institutions shall waive undergraduate tuition for surviving dependents of a Utah resident, as defined in Utah Code §53B-8-107, who, as a member of the

armed forces of the United States, including the Utah National Guard or a reserve component, was killed or died of wounds or injuries received while serving on federal active duty, under orders of competent authority and not as a result of the member's own misconduct.

4.9.1.2. The dependent must be accepted by the institution as a student in accordance with the institution's admission guidelines.

4.9.1.3. The dependent must be a resident student as defined by Utah Code §53B-8-102 and Regent Policy R512.

4.9.1.4. The dependent may not be excluded from the waiver if the dependent has previously taken courses at, or has been awarded credit by, a USHE institution.

4.9.2. Certification by Adjutant General or Designee: The adjutant general, after consultation with federal authorities if necessary, shall certify to the institution that the dependent is a surviving dependent eligible for the waiver. The adjutant general may delegate this responsibility to the Utah Department of Veterans Affairs.

4.9.3. Definition of "Dependent": For purposes of this policy, the term "dependent" shall include a surviving spouse.

4.9.4. Limitations on Waiver: The waiver is subject to the following limitations:

4.9.4.1. The waiver is not applicable if the dependent has already completed an undergraduate degree.

4.9.4.2. The waiver is applicable for undergraduate study only.

4.9.4.3. The dependent may only utilize the waiver for courses that are applicable toward the degree or certificate requirement of the program in which the dependent is enrolled.

4.9.4.4. The waiver is not applicable to fees, books, or housing expenses, and tuition shall be waived only to the extent that tuition is not covered by scholarships, Pell Grants, statutory benefit, or any other form of non-loan tuition coverage.

4.10. Waiver of Tuition for Purple Heart Recipients: USHE institutions shall waive undergraduate tuition for each Purple Heart recipient who is admitted as a full-time, part-time, or summer school student in an undergraduate program of study leading to a degree or certificate, provided that the student is a resident of the state as determined under Section 53B-8-102, and that the student submits verification from the Division of Veterans Affairs that the student has earned a Purple Heart award as a result of military service.

4.11. When Verification of Lawful Presence is Not Required. As provided by Utah Code Ann. §63G-12-402, verification of lawful presence in the United States is not required of a student who is a graduate of a high school located in Utah and (1) is exempt from paying the nonresident portion of total tuition under Utah Code Ann. §53B-8-106; or (2) applies for, and may be awarded, a privately funded scholarship that is administered by a USHE institution.

R513 – 5. Waiver of Nonresident Tuition:

5.1. Waivers for Nonresident Undergraduate, Graduate, or Summer School Students:

The president of each institution may waive all or part of the nonresident portion of tuition for meritorious nonresident undergraduate students to an amount not exceeding the designated percent of the total amount of tuition which, in the absence of the waivers, would have been collected from all nonresident students at the institution outlined in the chart below.

Institution	Not to exceed percentage
University of Utah	16%
Utah State University	13%
Weber State University	40%
Southern Utah University	35%
Snow College	27%
Dixie State University	30%
Utah Valley University	18%
Salt Lake Community College	10%

5.1.1. In determining which students are meritorious for purposes of granting a tuition waiver under 5.1, a president shall consider students who are performing above the average at the institution, including having an admissions index higher than the average for the institution, if an admission index is used.

5.1.2. A president may continue to waive the nonresident portion of tuition for a student described in 4.1 for as long as the student is enrolled at the institution.

5.1.3. In addition to waiving the nonresident portion of tuition for a meritorious nonresident undergraduate student under 5.1, a president may waive the resident portion of tuition after the meritorious nonresident undergraduate student completes a year of full-time study at the institution.

5.1.4. Institutions will be given until July 2021 to adjust their financial aid awards practice to align with these percentages and would be asked to be compliant with the policy for the 2022 Academic school year reports.

5.1.5. The Commissioner's office will review the percentages in 2022 and then no longer than every five years thereafter and recommend changes if appropriate and justified.

5.1.5.1. Institutions may also request an adjustment to these percentages for the Board's consideration outside of the five year review period.

5.1.6. A president may waive all or part of the nonresident portion of tuition for nonresident graduate students.

5.1.7. A president may waive all or part of the nonresident portion of tuition for nonresident summer school students

5.2. Nonresident Tuition Scholarships:

5.2.1. In addition to the "border waiver" scholarships authorized under Section 53B-8-104, USHE presidents are authorized to grant scholarships for a waiver of the nonresident portion of total tuition charged to nonresident students when the scholarships will:

5.2.1.1. assist in maintaining an adequate level of service and related cost-effectiveness of auxiliary operations; and

5.2.1.2. promote enrollment of nonresident students with high academic aptitudes.

5.2.2. Policy Guidelines: Nonresident tuition scholarships may be awarded at the institutions with the following provisions:

5.2.2.1. the amount of the approved scholarship may be up to 100 percent of the differential tuition charged to nonresident students for an equal number of credit hours of instruction;

5.2.2.2. 675 of the approved scholarships may be at a level of more than 50 percent of the differential tuition charged to nonresident students for an equal number of credit hours of instruction;

5.2.2.3. a nonresident scholarship may be awarded initially only to a nonresident student who has not previously been enrolled in a college or university in Utah and who has enrolled full time for ten or more credit hours; and

5.2.2.4. a nonresident student who receives a scholarship of greater than 50 percent of the differential tuition charged to nonresident students for an equal number of credit hours of instruction may not be counted against the funded target for the institution attended.

5.2.3. Annual Number of Nonresident Tuition Scholarships: Each academic year the president of the following institutions may award nonresident tuition scholarships as set forth below, not to exceed a total of 900 such scholarships in effect at any one time:

Institution	Differential Tuition Scholarships (up to 100%)	Differential Tuition Scholarships (up to 50%)	Total
University of Utah	24	14	38
Utah State University	330	112	442
Weber State University	55	15	70
Southern Utah University	96	25	121
Snow College	2	7	9
Dixie State University	161	44	205
Utah Valley University	0	0	0
Salt Lake Community College	7	8	15
USHE Total	675	225	900

5.2.3.1. Institutions shall determine award eligibility on a meritorious basis, considering measures such as grade point averages and test scores.

5.2.3.2. In determining eligibility for these scholarships, institutions may consider the impact of maintaining critical enrollment levels in academic programs.

5.3. Partial Waivers Pursuant to Reciprocal Agreements:

5.3.1. Partial Waiver of Nonresident Differential: The Board may grant a full or partial waiver of the nonresident differential in tuition rates charged to undergraduate students pursuant to reciprocal agreements with other states. In making the determination, the Board considers the potential of the waiver to: (A) enhance educational opportunities for Utah residents; (B) promote mutually beneficial cooperation and development of Utah communities and nearby communities in neighboring states; (C) contribute to the quality of educational programs; and (D) assist in maintaining the cost effectiveness of auxiliary operations in Utah institutions of higher education.

5.3.2. Dixie State University Good Neighbor Waiver: Dixie State University may offer a good neighbor full waiver of the nonresident differential in tuition rates charged to undergraduate students pursuant to the reciprocal agreements with other states or to a resident of a county that has a portion of the county located within 70 miles of the main campus of Dixie State University. A student who attends Dixie State University under a good neighbor tuition waiver shall pay a surcharge per credit hour in addition to the regular resident tuition and fees of Dixie State University. The surcharge per credit hour shall be based on a percentage of the approved resident tuition per credit hour each academic year. The percentage assessed as a surcharge per credit hour shall be set by the State Board of Regents. Dixie State University may restrict the number of good neighbor tuition waivers awarded. A student who attends Dixie State University on a good neighbor tuition waiver may not count the time during which the waiver is received towards establishing resident student status in Utah.

5.3.3. Reciprocal Agreements with Other States: Consistent with its determinations made pursuant to section 4.3, the board may enter into agreements with other states to provide for a full or partial reciprocal waiver of the nonresident tuition differential charged to undergraduate students. An agreement shall provide for the numbers and identifying criteria of undergraduate students, and shall specify the institutions of higher education that will be affected by the agreement.

5.3.4. Policy Guidelines: Each Utah institution affected by tuition waivers authorized by this part shall establish policy guidelines for evaluating applicants for such waivers.

5.4. "Border Waiver" Nonresident Partial Tuition Scholarships:

5.4.1. Border Waivers: An institution may grant a scholarship for partial waiver of the nonresident portion of total tuition charged by public institutions of higher education to nonresident undergraduate students, subject to the limitations provided in this part, if the institution determines that the scholarship will (a) promote mutually beneficial cooperation between Utah communities and nearby communities in states adjacent to Utah; (b) contribute to the quality and desirable cultural diversity of educational programs in the institution; (c) assist in maintaining an adequate level of service and related cost effectiveness of auxiliary operations in the institution; and (d) promote enrollment of nonresident students with high academic aptitudes.

5.4.2. Policy Guidelines: The institution shall establish policy for the administration of any "border waiver" partial tuition scholarships authorized under this part and for

evaluating applicants for those scholarships. The institutional policy shall include the following provisions:

5.4.2.1. The amount of the approved scholarship may not be more than $\frac{1}{2}$ of the differential tuition charged to nonresident students for an equal number of credit hours of instruction;

5.4.2.2. a "border waiver" nonresident partial tuition scholarship may be awarded initially only to a nonresident undergraduate student who has not previously been enrolled in a college or university in Utah and who has enrolled full time for ten or more credit hours, whose legal domicile is within approximately 100 highway miles of the USHE institution at which the recipient wishes to enroll, or within such distance or such designated eligible communities or regions as the Board may establish for each institution;

5.4.2.3. the total number of "border waiver" nonresident partial tuition scholarships granted by the institution may not exceed a total of 600 such scholarships in effect at any one time as provided in the table below; and

5.4.2.4. the institution shall determine eligibility for "border waiver" nonresident partial tuition scholarships on the basis of program availability at the institution and on a competitive basis, using quantifiable measurements such as grade point averages and results of test scores.

Institution	Number of Scholarships
University of Utah	30
Utah State University	290
Weber State University	35
Southern Utah University	74
Dixie State University	157
Utah Valley University	10
Snow College	0
Salt Lake Community College	4
USHE Total	600

5.5. Exemption for Certain Students with Utah High School Graduation: A student, other than a non-immigrant alien within the meaning of paragraph (15) of subsection (a) of Section 1101 of Title 8 of the United States Code, shall be exempt from paying the nonresident portion of total tuition if the student:

5.5.1. attended high school in Utah for three or more years;

5.5.2. graduated from a high school in this state or received the equivalent of a high school diploma in Utah; and

5.5.3. registers as an entering student at a USHE institution not earlier than the fall of the 2002-03 academic year.

5.5.4. In addition to the requirements of R513-13, a student without lawful immigration status shall file an affidavit with the USHE institution stating that the student has filed an application to legalize his or her immigration status, or will file an application as soon as he or she is eligible to do so.

5.5.5. For the purposes of this section, "entering student" means a student whose first matriculation in any institution of higher education is in a public institution of higher education within the Utah System of Higher Education.

5.6. Exemption of Nonresident Tuition for Certain Foreign Nationals: A student shall be exempt from paying the nonresident portion of total tuition if the student

5.6.1. is a foreign national legally admitted to the United States;

5.6.2. attended a Utah high school in Utah for three or more years; and

5.6.3. graduated from a Utah high school or received the equivalent of a high school diploma in Utah.

5.7. Alumni Legacy Nonresident Scholarships:

5.7.1. **Alumni Legacy Nonresident Scholarships:** In addition to other nonresident tuition scholarships, USHE presidents may waive an amount up to the full nonresident portion of tuition for alumni legacy nonresident scholarships when the scholarship will:

5.7.1.1. assist in maintaining an adequate level of service and related cost-effectiveness of auxiliary operations in institutions of higher education; and

5.7.1.2. promote enrollment of nonresident students with high academic aptitudes;

5.7.1.3. recognize the legacy of past graduates and promote a continued connection to their alma mater.

5.7.2. **Policy Guidelines:** The institution shall establish institutional procedures for the administration of any Alumni Legacy Nonresident Scholarships authorized under this part and for evaluating applicants for those scholarships. The institutional procedures shall include the following criteria and provision:

5.7.2.1. enroll at an institution within the USHE for the first time; and

5.7.2.2. have at least one parent or grandparent who graduated with an associate's degree or higher from the same institution in which the student is enrolling.

4.1.1.1 ~~17.2.3.—A student who attends an institution within the USHE on an Alumni Legacy Nonresident Scholarship may not count the time during which the scholarship is received towards establishing resident student status in Utah.~~

5.8. Exemption of Nonresident Tuition as Athletic Scholarships: Pursuant to §53B-8-102. In addition to the waivers of nonresident tuition available to each institution under Utah Code Ann. § 53B-8-101 et seq., and this policy (R513), each institution may, at its discretion, grant as athletic scholarships full waiver of fees and nonresident tuition, up to the maximum number allowed by the appropriate athletic conference, and as recommended by the president of each institution.

R513-6. Annual Tuition Waiver Reporting Requirements:

6.1. Institutions shall annually submit to the Board a report that provides the following data:

6.1.1. An assessment of how the institutions use of tuition waivers support the goals established by the Board;

6.1.2. The total amount of all waivers established under this policy that each institution granted stated in gross totals and as a percentage of total tuition revenue that, in the absence of waivers, the institution would have collected.

6.1.3. The amount waived for each individual waiver established under this policy, stated in gross totals and as a percentage of total tuition revenue that, in the absence of waivers, the institution would have collected.

6.1.4. The number of tuition waivers awarded by student type for each waiver awarded.

6.2. The Board of Regents shall provide an annual report to the general Legislature and the Legislature's Higher Education Appropriations Subcommittee containing the following information:

6.2.1. A report and financial analysis of any waivers of tuition authorized under this part as part of the budget recommendations of the board for the USHE; and

6.2.2. A budget appropriation request for each institution, which include requests for funds sufficient in amount to equal the estimated loss of dedicated credits realized by tuition wavier type.

May 9, 2018

MEMORANDUM

TO: State Board of Regents

FROM: David L. Buhler

SUBJECT: USHE – Capital Development Prioritization (CDP) Cycle 2019-20 – Adoption of Priority Guidelines

Issue

As part of the annual Capital Development Prioritization (CDP) process, Regent policy R741 *Capital Development Prioritization* requires the Board to establish and adopt priority guidelines which outline how the Board will evaluate proposed capital facilities projects for the next request cycle.

Background

Regent policy R741 describes the Board's process for ranking state-funded capital facility projects. The prioritization process is comprised of a quantitative analysis of institutional needs and a qualitative assessment of how well the proposed project addresses the Board's priority guidelines and strategic plan. Policy requires the Board to establish annual priority guidelines for the qualitative review at the beginning of the project request cycle.

The Regents' Capital Facilities Subcommittee met in April to review the outcomes and lessons learned from last year's CDP process and to review and establish priority guidelines for the upcoming year. In consultation with the Commissioner's Staff, the Subcommittee recommends keeping the process largely the same as last year with a small adjustment to the Regent Criteria Points in order to reduce the number of criteria from ten to five and to connect the points to the Regents' strategic objectives.

Similar to last year, the Subcommittee recommends that the Commissioner's Office score the quantitative portion of the CDP and release preliminary scores to the institutions and the Regents prior to the September Regent prioritization meeting. Also like last year, the qualitative portion of the CDP process will be broken into two parts: Regent Criteria Points and Regent Discretionary Points.

Institutions requesting state funding consideration for a capital development project will present their project to the full Board of Regents on Thursday, September 20, 2018. Each Board member will score the presentation according to the criteria identified in the attached guidelines which will now consist of five criteria based on the Regents' strategic objectives of completion, capacity, affordability, workforce development, and access. Scores will be collected anonymously and will be aggregated and averaged for

the Capital Facilities Subcommittee to inform the committee's recommended Regent Criteria Point score for each institutional project.

The Subcommittee will meet after the institutional presentations on September 20 to review the Board's input on Regent Criteria points and information received from institutional tours and presentations. The Subcommittee will then develop final recommendation for the Board, including how to allocate the remaining fifteen (15) Discretionary Points. The priority guidelines for 2019-20 follow in the attachment.

Commissioner's Recommendation

The Commissioner recommends approval of the proposed guidelines for use by the Regents and institutions during the upcoming CDP cycle.

David L. Buhler
Commissioner of Higher Education

DLB/KLH/RPA
Attachment

Capital Development Priority Guidelines for 2019-2020

Regent Priority Points – In addition to the quantitative “Scoring Points,” Regent Policy R741, *Capital Development Prioritization*, allows the Regents to award up to 25 additional points per institution to address critical USHE needs. For the 2019-2020 funding cycle, the award of Regent Priority points will be made in two steps: 1. Regent Criteria Points (up to 10 points) awarded by the full Board based on Institutional presentations and 2. Regent Discretionary Points (up to 15 points) awarded by the Capital Facilities Committee based on facility tours, institutional presentation, and capital project needs statements.

Regent Criteria Points

0-10 Points

Each institution requesting state funding consideration for a capital development project will present the project to the full Board of Regents on Thursday, September 20, 2018. Each Board member will score the presentation according to the following criteria. Board member scores will be collected anonymously and will be aggregated and averaged to produce a score for each institutional project.

Strategic Objective	Regent Criteria	Possible Points	Full Points	Half Points	No Points
Completion	The project will improve timely completion of students graduating with degrees and certificates.	1	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Capacity	The project will improve space utilization through the elimination of space and equipment that is functionally obsolete.	3	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Affordability	The project leverages cost-effective partnerships with other USHE institutions, state agencies, or other external partners.	2	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Workforce	The project addresses a time-sensitive emerging opportunity to enhance state or regional workforce needs.	2	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Access	The project will improve institutional capacity to serve growing student populations	2	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Regent Discretionary Points

0-15 Points

These points are designed to position institutions to further develop and enhance their assigned missions and roles (see R741.3.4.1). Consideration will also be given to projects that directly improve performance or achieve the goals included in the *Strategic Plan 2025* or Regent Working Groups. Consideration will also be given, where deemed to be appropriate, to projects with prior approved Legislative design and programming funding.

Total Regents Points

25 Points

May 9, 2018

MEMORANDUM

TO: State Board of Regents

FROM: David L. Buhler

SUBJECT: Salt Lake Community College – Trustee Property Actions

Issue

As required by Regent Policy R703, *Acquisition of Real Property*, Salt Lake Community College (SLCC) is notifying the Board that its Board of Trustees approved the acquisition of property contiguous to the South City Campus at the July, 2017 meeting.

Background

Regent Policies R703, *Acquisition of Real Property* and R704, *Disposal of Real Property* delegates authority to institutional Board of Trustees to acquire and dispose of institutional property valued at less than \$500,000. These policies require institutions to notify the Board regarding any Trustee property transactions approved under these policies in the next regularly scheduled State Board of Regents Meeting.

During the July, 2017 meeting, the SLCC Board of Trustees approved the purchase of property at 1779 Kirkham Way in Salt Lake City, Utah adjacent to the South City Campus. The University purchased the property for the appraised amount of \$330,000. The attached letter from the University provides additional details on the Board of Trustee action and fulfills the requirement of the Regent policy.

Commissioner's Recommendation

This is an information item only; no action is required.

David L. Buhler
Commissioner of Higher Education

DLB/KLH/RPA
Attachment

19 April 2018

Board of Regents
c/o Commissioner David L. Buhler
Board of Regents Building, Two Gateway
60 South 400 West
Salt Lake City, UT 84101-1284

RE: Acquisition of property located at 1779 Kirkham Way, Salt Lake City, UT

Commissioner:

In accordance with the R710, Capital Facilities Policy; SLCC is requesting the property acquisition be placed on the information calendar agenda for the next Board of Regents meeting. The property is located at 1779 Kirkham Way, Salt Lake City, UT and is:

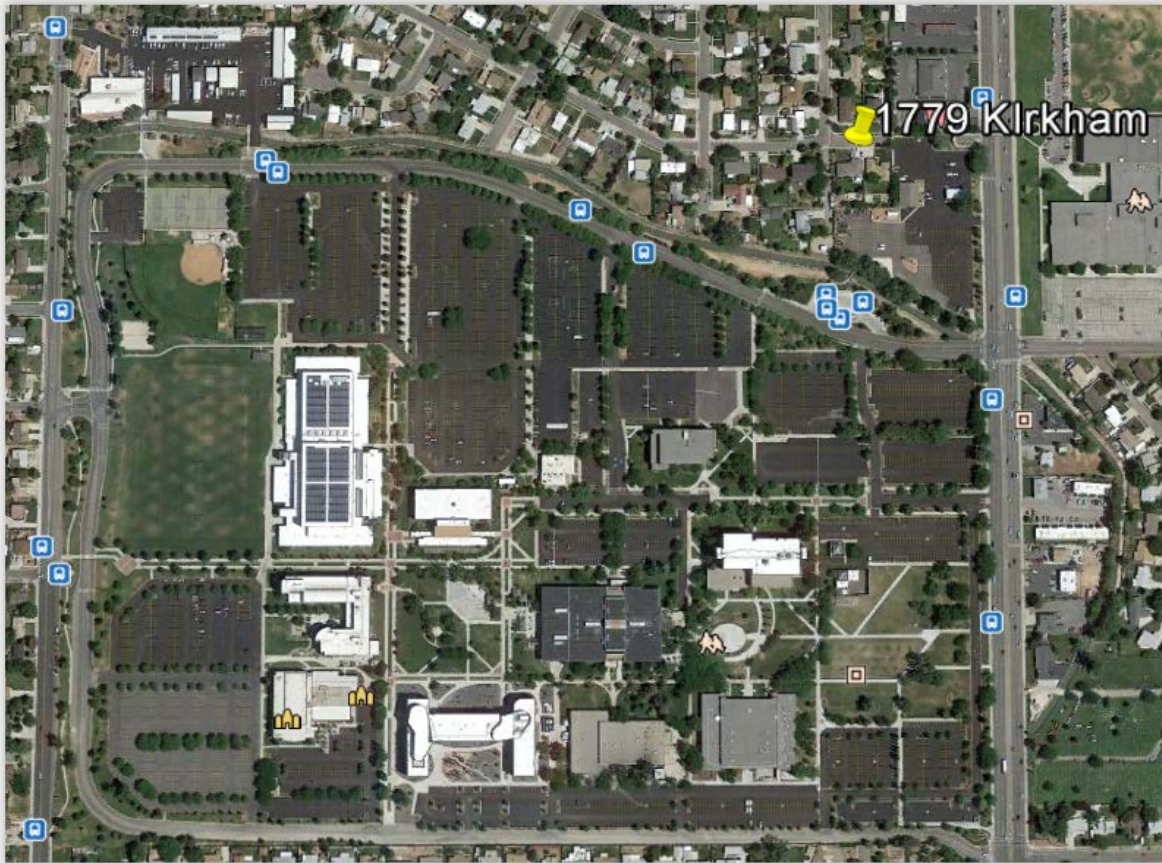
- (1) Contiguous to SLCC's current South City Campus property
- (2) Was purchased for under \$500,000
- (3) The property had an appraised value of \$330,000 for which the College paid \$330,000
- (4) The property requires no O&M funds.

The acquisition of this property supports the Master Plan. The purchase of this property was approved by the Board of Trustees in July 2017.

Thank you for your continued attention to the College's mission of providing great accommodations for our student body. Let me know if there is anything else you need regarding this proposal.

Respectfully submitted,

Malin B. Francis
Director, Planning & Design



May 9, 2018

MEMORANDUM

TO: State Board of Regents

FROM: David L. Buhler

SUBJECT: USHE – Capital Improvement Update for 2018-19

Issue

The State Building Board met on April 4, 2018 and allocated over \$62 million to USHE institutions for capital improvement projects in FY 2019 (55 percent of the allocation). Capital improvement projects help maintain existing facilities. The \$119 million appropriated by the State legislature for FY 2019 is the same amount as last year and the fifth year of funding at the statutorily required level.

Background

Capital improvement projects are also known as alterations, repairs, and improvements or AR&I. These projects help maintain existing facilities by repairing and replacing old and failing components, systems, and equipment. Typical projects include utility upgrades, correction of code violations, roofing repairs, structural improvement, hazardous materials abatement, and the remodeling of nonfunctional space.

Utah statute defines capital improvements as projects costing less than \$3.5 million and requires the state legislature to fund capital improvement projects at 1.1 percent of the replacement value of state facilities. Revenue shortfalls following the recession of 2008 slowly eroded capital improvement funding to a low in FY 2011 of \$50.7 million (0.6 percent of replacement value). Since that time the Utah state legislature has made a concerted effort to restore capital improvement funding to the 1.1 percent level required by statute. This year marks the fifth straight year of funding at the 1.1 percent level and the total funding of \$119,068,800 is the highest amount funded by the legislature for capital improvements.

By statute the state legislature delegates authority to the State Building Board to allocate capital improvement funds to specific agency and institutional projects. Institutions request projects each year based on each institution's inventory of needed capital improvements. Despite high levels of funding over the last several years, institutions continue to have greater need than available funding. For FY 2019 USHE institutions requested \$108,467,935 of capital improvement projects and received funding for \$62,058,112. It should also be noted that annual project requests are those hoped to be funded in a given year and do not include all of the capital improvement needs at an institution.

The materials attached provide additional information and detail on the capital improvement funding for FY 2019. The attachments include: (1) a five-year summary of capital improvement funding and (2) the detail of the projects requested and funded for USHE institutions in FY 2019.

Commissioner's Recommendation

This is an information item only; no action is required.

David L. Buhler
Commissioner of Higher Education

DLB/KLH/RPA
Attachments

ATTACHMENT A - Five-Year Capital Improvement Funding History

Agencies / Institutions	FY 2015	%	FY 2016	%	FY 2017	%	FY 2018	%	FY 2019	%
University of Utah	27,423,700	29%	28,960,402	28%	26,688,800	25%	26,877,755	24%	25,725,000	23%
Utah State University	8,690,000	9%	11,255,000	11%	12,365,000	12%	12,565,000	11%	12,821,000	11%
Weber State University	4,066,500	4%	4,394,000	4%	4,875,500	5%	4,828,130	4%	5,001,303	4%
Southern Utah University	2,393,500	3%	3,001,830	3%	3,547,387	3%	3,385,644	3%	3,442,855	3%
Snow College	1,907,295	2%	2,235,065	2%	2,651,368	2%	2,501,062	2%	2,666,629	2%
Dixie State University	2,009,137	2%	1,954,409	2%	2,646,000	2%	2,476,438	2%	2,789,840	2%
Utah Valley University	3,867,000	4%	4,010,961	4%	4,000,000	4%	4,245,000	4%	4,516,328	4%
Salt Lake Community College	4,383,566	5%	4,354,648	4%	4,906,361	5%	4,789,134	4%	5,095,157	4%
Total USHE	\$ 54,740,698	59%	\$ 60,166,315	58%	\$ 61,680,416	58%	\$ 61,668,163	56%	\$ 62,058,112	55%
UCAT	4,074,250	4%	5,609,668	5%	5,922,462	6%	5,317,235	5%	6,296,388	6%
Alcoholic Beverage Control	593,693	1%	459,049	0%	1,090,451	1%	742,625	1%	918,215	1%
Capitol Preservation Board	1,703,490	2%	1,617,550	2%	2,416,129	2%	2,255,000	2%	2,733,016	2%
Corrections	3,351,404	4%	3,757,918	4%	3,625,835	3%	3,594,057	3%	4,511,826	4%
Courts	3,103,602	3%	3,982,057	4%	4,527,120	4%	4,533,507	4%	5,598,419	5%
DFCM	4,844,668	5%	5,619,440	5%	5,632,305	5%	5,841,581	5%	5,660,411	5%
Fairpark	3,503,315	4%	1,737,839	2%	1,355,637	1%	2,123,764	2%	3,117,445	3%
Health	931,842	1%	1,131,779	1%	943,493	1%	1,317,175	1%	1,181,506	1%
Human Services	3,889,311	4%	5,279,033	5%	4,316,205	4%	5,239,484	5%	5,596,037	5%
National Guard	2,596,500	3%	2,800,610	3%	2,610,000	2%	5,441,496	5%	1,077,278	1%
Natural Resources	3,337,000	4%	5,963,715	6%	5,255,533	5%	5,008,800	5%	6,123,105	5%
Public Ed/Rehab/Deaf & Blind	1,409,000	2%	1,828,700	2%	1,621,000	2%	1,163,000	1%	1,282,715	1%
Transportation	1,695,000	2%	1,128,428	1%	2,054,634	2%	1,594,211	1%	2,369,333	2%
Workforces Services	820,783	1%	970,107	1%	1,085,838	1%	1,202,000	1%	1,348,458	1%
Other State Agencies	2,939,414	3%	2,324,732	2%	2,634,042	2%	3,391,702	3%	3,631,536	3%
Total State Agencies	34,719,022	37%	38,600,957	37%	39,168,222	37%	43,448,402	39%	45,149,300	40%
Subtotal	\$ 93,533,970	100%	\$ 104,376,940	100%	\$ 106,771,100	100%	\$ 110,433,800	100%	\$ 113,503,800	100%
High Priority Projects	\$ 0		\$ 1,500,000		\$ 5,822,000		\$ 4,150,000		\$ 220,000	
Statewide Funding	6,709,630		5,669,960		5,232,000		4,485,000		5,345,000	
Grand Total	\$ 100,243,600		\$ 111,546,900		\$ 117,825,100		\$ 119,068,800		\$ 119,068,800	

ATTACHMENT B – USHE Capital Improvement Requests and Allocations 2018-19

Capital Improvement Project Description	Amount Requested	Board Allocation
University of Utah		
Infrastructure Bond Debt Service	\$ 1,500,000	\$ 1,500,000
Bldg. 533, EIHG - Emergency Generator Replacement	1,300,000	1,300,000
Marriott Center for Dance Safety Improvements	400,000	419,300
Chemistry 3rd Floor East Lab Rebuild	3,000,000	3,400,000
Emergency Generators and Switches	1,000,000	1,000,000
North Chemistry Building Reroof	1,500,000	1,101,000
Wasatch Drive Area Road Rebuild	3,000,000	3,402,000
Sidewalk Improvements Mario Capecchi Drive and Ft. Douglas.	750,000	675,000
Study & Design Funds	250,000	250,000
Eye Wash Stations	500,000	500,000
Storm Water Retention/Drainage Systems	1,400,000	1,892,000
Chilled Water Distribution to Marriott Library & Social Work Complex	1,450,000	175,000
Eyring Chemistry South Tower East Fume hood Upgrade	2,500,000	1,870,000
Merrill Engineering Bldg. Curtain Wall Replacement	2,300,000	3,500,000
Bldg. 73 HVAC, Elevator & Fire Protection	1,875,000	2,197,000
1800 East Repaving & Sidewalk Improvements	300,000	-
Film & Media Arts Restroom Upgrade	200,000	200,000
Biology Fume hood & HVAC Upgrade	3,500,000	250,000
HTW Plant Seismic Upgrade - Floor Diaphragm & Equipment Bracing	3,500,000	1,493,700
HPER East HVAC Upgrades	200,000	400,000
Vendor Friendly Curbs and Sidewalks	400,000	200,000
Architecture & Arts Complex Pipe Replacement (Ph. 1)	350,000	
Public Safety Building Reroof	79,100	
Develop Secondary Water System for Landscape Irrigation - Main Campus	500,000	
Replace Turf on North Campus Dr.	400,000	
Historical Buildings Stair Replacement	914,900	
HPER Plaza System Reroofing	720,000	
Total University of Utah	\$ 33,789,000	\$ 25,725,000

Capital Improvement Project Description	Amount Requested	Board Allocation
Utah State University		
Medium Voltage Upgrade	\$ 1,500,000	\$ 1,500,000
Planning and Design Fund	175,000	175,000
Campus-Wide Health, Life Safety, Code Compliance & Asbestos Abatement	150,000	150,000
Campus Concrete Replacement	550,000	550,000
Campus-Wide Bike Racks & Site Furnishings	60,000	60,000
Campus-Wide Electronic Access Control	200,000	200,000
Campus Sign System	50,000	50,000
Campus Wide Classroom	250,000	250,000
Parking Lot Paving	750,000	750,000
Com D HVAC Upgrade	675,000	675,000
Education Atrium	750,000	750,000
Lab 339 fixed lab benches, fixtures, cabinetry and utilities	100,000	100,000
Tooele Classroom Building Reroof	175,000	175,000
MC Library 121 & 034 Reheat Coils	50,000	50,000
800 East Blue Square / Stadium Pedestrian Crossing.	400,000	400,000
Fire Sprinkling Upgrade	200,000	200,000
USU Reservoir Pump Station	350,000	350,000
Technology Building Roof	300,000	300,000
Ray B. West Roofing phase 2	420,000	420,000
UWRL Hot water hydronic heating system	175,000	175,000
MC Library 201 & 301 Floor Covering Replacement	200,000	200,000
NEHMA Glazing	450,000	450,000
HPER Swimming Pool	350,000	350,000
Main irrigation line replacement from Mtn. Aire park to Fine Arts Pump Station	375,000	375,000
Dairy Lab Mechanical Upgrade	950,000	950,000
Price Campus Fire Lane Improvements	500,000	500,000
Utility Upgrades USU Eastern	750,000	750,000
Slurry Seal Parking Lots	62,000	62,000
Steam and condensate piping replacement	75,000	75,000
Sky light and window replacement	350,000	350,000
Slurry Seal Parking Lots	29,000	29,000
Outdoor Lighting Upgrade	350,000	350,000
Concrete Panel Replacement @ FAC	450,000	450,000
Rock Garden Landscape	200,000	200,000
SDL Chiller Replacement	450,000	450,000
New Lathe house and equipment shed near Greenhouse	500,000	
Business Building HVAC Floors 5-9	1,250,000	
Stage Rebuild	150,000	
SER, Old Main renovation	1,500,000	
Geology Greenhouse	2,200,000	
Laboratory Ventilation System upgrade	1,400,000	
Site & Safety Lighting	350,000	
Business Building Window Replacement	950,000	
Business Building HVAC Floor 1-4	1,300,000	
Total Utah State University	\$ 22,421,000	\$ 12,821,000

Capital Improvement Project Description	Amount Requested	Board Allocation
Weber State University		
Student Services Roof Parapet Caps & Windows - Safety Issue	\$ 1,042,987	\$ 1,042,987
Differential Flow Switches for VRF - CS, MA, TY	145,709	145,709
Asphalt Maintenance (Cut, Patch and Seal) (CE Davis, Mail Services, Printing Service)	401,115	298,250
Visual Arts Roof Design	50,000	50,000
DEC - Dome Roof Repairs	71,180	71,180
Hazardous Material Surveys	96,000	96,000
DEC - Asphalt Maintenance - Phase 2	98,799	168,280
Pool System Repairs and Equipment Replacement	384,392	384,292
Lind Lecture MEP Replacement	2,146,162	2,146,162
Engineering Tech MEP - Design	200,000	200,000
Swenson Gym Fan Coil Replacement	62,296	62,296
Concrete Repair and Concrete Pathways	233,750	233,750
ADA Compliance Upgrades - Phase 1	102,397	102,397
Fire System Replacement - Phase 2	246,833	
Phase VII: High Voltage Substation and Building Switching Upgrades	190,000	
Student Services - Concrete Stair Replacement (Southwest)	50,000	
Engineering Technology MEP Replacement - Phase 1	2,000,000	
Library - East hill side water protection & pump replacement	40,000	
Irrigation Upgrades - Southeast of Lind Lecture - W4/W5	100,000	
Skyline Storm water and Concrete Access	220,000	
Visual Arts Roof	607,163	
Heat Plant System Engineering	20,000	
Landscape and Irrigation in the Areas Surrounding the Browning Center and Visual /	150,000	
Library - Mech Room Roof Replacement	200,000	
Boiler Replacement- Ground source conversion - Phase 3 - W4/W5	906,477	
Phase VII: High Voltage Substation and Building Switching Upgrades (Library Switch /	400,000	
Phase 1 - Culinary Water Line Replacement	500,000	
ADA Compliance Upgrades - Phase 2	102,397	
Fire System Replacement - Phase 3	246,833	
Engineering Tech MEP Replacement - Phase 2	2,000,000	
Phase IX: Steam Tunnel Repairs (Chilled water valving to support VRF systems)	400,000	
DEC - Interior Door Replacement	125,000	
Total Weber State University	\$ 13,539,490	\$ 5,001,303

Capital Improvement Project Description	Amount Requested	Board Allocation
Southern Utah University		
ADA Path and Pedestrian safety Phase II	\$ 200,000	\$ 200,000
ELC: Roof Replacement	270,000	322,855
Storm Water Infrastructure: Phase II	200,000	200,000
Electronic Access Control: Classroom Safety and Security	200,000	200,000
Engineering & Technology: Data Cable Upgrade	145,000	145,000
Library: Control Upgrades	230,000	230,000
Mountain Center: Safety Improvements	265,000	265,000
Randall Jones: Chiller replacement	195,000	195,000
General Classroom: Elevator modernization	140,000	140,000
Music Building: Concrete Replacement and ADA Path Upgrades	450,000	450,000
Campus: Irrigation system upgrade	140,000	140,000
SUU Campus: Rigging Upgrade	100,000	100,000
Campus wide: Transformer Upgrade Phase 2	175,000	175,000
Eccles Coliseum: Mechanical System Upgrade	290,000	290,000
Campus: ADA Deficiencies	150,000	150,000
Heat Plant: Med. Voltage Electrical Switch Gear Upgrade	240,000	240,000
Windsor Court: Structural Repairs	300,000	
Eccles Coliseum: Stadium Lighting Upgrade	380,000	
Harris Center: Data Cable Upgrade	23,000	
General Classroom Building: Data Cable Upgrade	150,000	
Science Center: Upgrade from pneumatic controls to DDC	400,000	
Technology: Window Replacement	250,000	
Hunter Conference Center: Upgrade from pneumatic controls to DDC	190,000	
Business, Bennion & Technology buildings: Replace Electrical Switch boards	175,000	
Bennion Building: Rest Rooms	110,000	
Multipurpose Gym: Room Divider	170,000	
Leadership Engagement Center: New Building Front	250,000	
Smith Center Theater Entrance: Landscape Slope	80,000	
Hunter Conference Center: Refurbish patio	120,000	
Utility Metering - Phase II	200,000	
Total Southern Utah University	\$ 6,188,000	\$ 3,442,855

Capital Improvement Project Description	Amount Requested	Board Allocation
Snow College		
Student Center Elevator Replacement 82% funded 18% Auxiliary	\$ 82,345	\$ 116,247
Asbestos Abatement Snow Hall	163,975	
Controls upgrade for Performing Arts and Sevier Valley Center Johnson Controls sof	653,725	653,725
Activity Center Mechanical Upgrade	450,000	
Lighting Panel Retrofit Controls PAC	47,088	47,088
Demolition of old TBSI house	331,535	396,237
Student Center Roof Replacement 82% funded 18% Auxiliary	495,466	406,282
Washburn Building Roof Replacement	580,096	597,050
Asphalt and Concrete Ephraim & Richfield	580,764	450,000
Activity Center Roof Replacement	1,213,849	
SVC LED lighting upgrade	166,724	
External Brick Staining PAC	42,999	
Re-Key Richfield Admin, Washburn & SVC Buildings	178,319	
High Tech Boiler Replacement	301,252	
Total Snow College	\$ 5,288,137	\$ 2,666,629
Dixie State University		
Bell Tennis Court Replacement	\$ 1,400,000	\$ 1,400,000
Overhead Power underground at 300 South/University Ave	40,000	52,240
Program Change - Automotive Building to Facilities Management	900,000	900,000
Chiller Plant Controls Upgrade	50,000	57,875
Science Rm 113 Upgrade	110,000	131,825
Fire Lane Re-route and fire line changes	480,000	
Facility Yard to Parking Lot	266,760	
Chiller Plant plate and heat exchanger	590,000	
Fire Alarm Upgrade - Multiple Buildings (Phase 1)	250,000	
Resurface North Campus Parking Lot - Phase 1	170,000	
Facility Yard - South Campus	350,000	
Sidewalk and Fire lane repair - campus wide	50,000	
Liquid Fertilizer addition to Main Irrigation System	20,000	
Replace outdated Rooftop HVAC units.	270,000	
SAC Sewage Ejector Replacement	20,000	
Sump Pump Replacement	30,000	
Innovation Plaza (east elementary) Roof Replacement	247,900	247,900
Total Dixie State University	\$ 5,244,660	\$ 2,789,840

Capital Improvement Project Description	Amount Requested	Board Allocation
Utah Valley University		
BMS Controls Upgrade	\$ 850,000	\$ 969,000
Domestic Cold water loop	400,000	400,000
CS Domestic Boiler replacement	150,000	161,000
CS Fan wall Systems	450,000	462,000
WC Condensing Boilers	350,000	350,000
PE Domestic Boiler Replacement and water softener	175,000	190,000
Wasatch Campus Boilers and Water Softener Replacement	310,000	-
Roof Replacement Institutional Residence	125,000	153,688
Install Heat exchanger in West Overflow	250,000	255,000
HP Replace Boilers	225,000	299,000
LA Building Fan Wall System	840,000	944,000
Panels around exteriors in Sparks Building	45,000	45,000
New Roof on Faculty Annex Building	120,000	147,640
GT Replace entry doors 3-6	60,000	60,000
Hand Rail and Fence in Tunnel on E. Campus Rd.	80,000	80,000
UCCU Replace valves in AHU and Evap upgrades	300,000	
LC and BA restroom remodel	450,000	
Road and Parking Lot Repair	300,000	
New roof on RL Building	500,000	
Brent Brown Ballpark Install new canopy	150,000	
Classroom Security Locks	200,000	
Replace Inefficient Air handlers BA	200,000	
Door Knob Replacement	150,000	
Security Camera Upgrades and Additions	150,000	
Remove Sidewalk Pavers/ Repair	200,000	
Dual Fuel Boilers CS Plant	500,000	
Carpet Replacement	200,000	
Sod Removal Retaining Walls	700,000	
Replace Dead Trees	35,000	
Irrigation Upgrades	115,000	
Total Utah Valley University	\$ 8,580,000	\$ 4,516,328

Capital Improvement Project Description	Amount Requested	Board Allocation
Salt Lake Community College		
RRC- CT Building Envelope Improvements	\$ 2,409,933	\$ 2,437,748
RRC- CT Restroom & Piping Replacement	1,511,536	1,499,539
RRC- CT Transformer, MDP & MCC Switchboard & Replacement	287,141	287,357
RRC- TB MDP Panel Replacement	116,184	117,611
RRC- BB MDP Panel Replacement	136,084	137,260
RRC- HP MDP Panel Replacement	619,854	
LHM- MCPC Roof Replacement	258,257	
LHM- MFEC Roof Replacement	479,956	500,000
RRC- Fire Alarm Upgrades	142,350	115,642
SLCC All Campuses – Upgrade Irrigation Controls	183,825	
RRC- CDL Child Dev Lab Kitchen Remodel	110,390	
SCC- Brick & Masonry Repair At Parapet Walls & Corners Phase III	950,105	
SLCC Mechanical Upgrades	1,237,500	
RRC- TB Roof Replacement	328,188	
RRC- BB Roof Replacement	527,380	
RRC- ATC Roof Replacement	1,020,523	
RRC- CT Roof Replacement	811,913	
JC- Dental Hygiene Clinic Flooring Replacement	125,545	
SCC - SCM Electrical Pull Box Replacement	42,000	
SLCC Dumpster Enclosure Modifications	138,000	
RRC- ATC HVAC & Mechanical Upgrades & Maintenance	1,064,734	
RRC- Heating Plant Boiler	585,000	
RRC- S&I Site Concrete & West Entrance Replacement	97,500	
RRC- S&I Countertop Replacement	60,000	
JC- Site Concrete Replacement	162,750	
LHM- CART Loading Dock Modifications	11,000	
Total Salt Lake Community College	\$ 13,417,648	\$ 5,095,157
UTAH SYSTEM OF HIGHER EDUCATION TOTALS	\$ 108,467,935	\$ 62,058,112

May 9, 2018

MEMORANDUM

TO: State Board of Regents

FROM: David L. Buhler

SUBJECT: USHE – Institutions' Health Plan Changes 2018-19

Issue

Annually, the Board of Regents receives an informational summary report about the USHE institutions' health benefit plans for the upcoming fiscal year.

Background

The health benefits package is a critical component of the overall compensation package provided to institutional employees and is subject to significant conversation and annual review at each institution. To help manage annual cost increases for both the institution and employees, several institutions' insurance plans will experience design changes as outlined in the attached document.

The 2018 Legislature provided ongoing funding to support 75 percent of a 4.1 percent estimated cost increase based on the State's PEHP plan. The remaining 25 percent of the anticipated cost increase was to be funded using first-tier tuition proceeds. If institution plan costs, after plan changes, still exceed the available funding from the Legislature, institutions will address remaining cost increases through a combination of reallocation of existing institutional resources and increasing employee premiums.

The attached report provides the Board with several key metrics for an institution's health benefit plans including:

- Number of plans available
- Annual costs for employer and employee
- Cost increases (total, employer, employee)
- Major plan design changes

Commissioner's Recommendation

This is an informational item only; no action is required.

David L. Buhler
Commissioner of Higher Education

DLB/KLH/BLS
Attachments

Summary of USHE Medical Benefit Changes **FY 2019**

Institution and Medical Provider	Number of Plans	Annual Medical Employer/ Employee Costs	Premium Split	Cost % Increase Total	Cost % Increase for Employer	Cost % Increase for Employee	Information (e.g., Plan Design Changes)
UU Health Care and Regence Blue Cross/ Blue Shield partnership (self-insured)	5	Advantage <u>Single</u> \$7,092/\$780 <u>Two-Party</u> \$12,408/\$1,368 <u>Family</u> \$18,720/\$2,064	90/10	4.1%	4.1%	4.2%	<ul style="list-style-type: none"> No major plan changes
USU Blue Cross/Blue Shield (self-insured)	6	Blue Plan <u>Single</u> \$5,652/\$1,170 <u>Two-Party</u> \$12,760/\$2,632 <u>Family</u> \$18,413/\$3,801	89.4/10.6	4.4%	4.1%	7.0%	<ul style="list-style-type: none"> Increased the prescription generic drug co-pay from \$5 to \$10.
WSU PEHP (not self-insured)	2	Traditional Advantage/Summit <u>Single</u> \$6,117/\$680 <u>Two-Party</u> \$12,612/\$1,402 <u>Family</u> \$16,838/\$1,871	90/10	4.1%	4.1%	4.1%	<ul style="list-style-type: none"> Co-pays remain the same, but some services are at different co-pay levels to better reflect comparative costs. Thus, IHC and U of U visits have \$10 higher co-pays for primary care providers and specialists. Approximately 16.5% of faculty/staff are on the HDHP and are given a Health Savings Account which Weber State University deposits funds. Singles are given \$33.09 per pay period and two-party/family are given \$66.18 per pay period. This expenditure is not included in the PEHP insurance cost and will total approximately \$337,000 for FY19.
SUU Educators Mutual (self-insured)	1	High Deductible <u>Single</u> \$5,028/\$564 <u>Two-Party</u> \$14,568/\$1,620 <u>Family</u> \$14,568/\$1,620	90/10	7.1%	7.1%	7.2%	<ul style="list-style-type: none"> No major plan changes
SNOW PEHP (not self-insured)	4	Traditional Advantage/Summit <u>Single</u> \$6,236/\$561 <u>Two-Party</u> \$12,858/\$1,156 <u>Family</u> \$17,166/\$1,543	92/8	4.1%	4.1%	4.1%	<ul style="list-style-type: none"> No major plan changes

Summary of USHE Medical Benefit Changes | FY 2019

Institution and Medical Provider	Number of Plans	Annual Medical Employer/ Employee Costs	Premium Split	Cost % Increase Total	Cost % Increase for Employer	Cost % Increase for Employee	Information (e.g., Plan Design Changes)
DSU PEHP (not self-insured)	2	Traditional Advantage/Summit <u>Single</u> \$6,236/\$560 <u>Two-Party</u> \$12,858/\$1,156 <u>Family</u> \$17,166/\$1,543	92/8	4.1%	4.1%	4.1%	<ul style="list-style-type: none"> No major plan changes
UVU Choice Plus Traditional (self-insured)	4	Traditional <u>Single</u> \$6,048/\$672 <u>Two-Party</u> \$13,971/\$1,552 <u>Family</u> \$20,179/\$2,242	90/10	8.0%	8.0%	8.0%	<p>Based on medical and prescription costs/claims experience the following changes were made.</p> <ul style="list-style-type: none"> Increased cost of generics from \$4 to \$10. Increased 90-day generics from \$10 to \$20. Implemented two-tier structure for specialty drugs.
SLCC Blue Cross/Blue Shield (self-insured)	1	Traditional ValueCare <u>Single</u> \$6,824/\$768 <u>Two-Party</u> \$15,373/\$1,704 <u>Family</u> \$21,505/\$2,228	90/10	4.2%	4.1%	5.0%	<p>We have seen heavier utilization of the plans this last year and, therefore, are recommending the following changes to the plans.</p> <ul style="list-style-type: none"> Change the Office Visit co-payment from the current \$30 for all providers regardless of specialty to \$35 per office visit for Primary Care Providers and \$45 for Secondary/Specialist Care Providers. Change the co-payment for Urgent Care/InstaCare visits from the current \$30 to \$50. Remove the vision exam benefit. This benefit will be available through the Vision Plan.