

**UTAH BOARD OF HIGHER EDUCATION
TELECONFERENCE
Friday, August 21, 2020**

AGENDA

8:00 AM – 8:45 AM Finance and Facilities Committee

- | | |
|---|-------|
| 1. Dixie State University – Series 2020 Revenue Bonds | TAB A |
| 2. USHE – 2021-2022 Budget Process Guidelines | TAB B |
| 3. USHE – 2020-21 Performance Funding Allocations | TAB C |
| 4. USHE – Student Loan Revenue Bond Adjustment | TAB D |

9:00 AM – 5:00 PM COMMITTEE OF THE WHOLE

- | | |
|---|-------|
| 1. Call to Order | |
| 2. Swearing in Board members by Justice John Pearce, Utah Supreme Court | |
| 3. Board Member Introductions | |
| 4. Distinguished Guests Remarks: | |
| a. Brad Wilson, Speaker of the Utah House of Representatives | |
| b. Stuart Adams, President of the Utah State Senate | |
| c. Spencer Cox, Lieutenant Governor of Utah | |
| 5. Resolution of Appreciation | TAB E |
| 6. Institutional Updates: | |
| a. Mountainland Technical College, President Christensen | |
| b. Utah Valley University, President Tuminez | |

10 Min Break

ACTION ITEMS:

- | | |
|---|-------|
| 7. Board Training: | |
| a. Board of Higher Education Statutory Responsibilities | TAB F |
| b. Institutional Roles and Mission | TAB G |
| c. Delegated Duties and Responsibilities | TAB H |
| d. Board Workflow | TAB I |
| e. Board Policy-making Process | |
| f. Committee Responsibilities | TAB J |
| g. Ethics Act | |
| h. Bridge Training | |

Lunch 12:30-1:00 pm

- | | |
|--|-------|
| 8. Equity Resolution | TAB K |
| 9. Learn and Work Program | TAB L |
| 10. 2021-2022 Budget Process Guidelines | TAB B |
| 11. Dixie State University – Series 2020 Revenue Bonds | TAB A |
| 12. General Consent Calendar | TAB M |

10 Min Break

INFORMATION ITEMS:

13. COVID-19 Update:
 - a. Mountainland Technical College and University of Utah
 - b. Online Course Demo - Robert Wagner
 - c. Federal Stimulus Funds
14. UHEAA and my529 Update
15. Transfer Council Update

Projected times for the various meetings are estimates only. The Board Chair retains the right to take action at any time. In compliance with the Americans with Disabilities Act, individuals needing special accommodations (including auxiliary communicative aids and services) during this meeting should notify ADA Coordinator, 60 South 400 West, Salt Lake City, UT 84180 (801-321-7124), at least three working days prior to the meeting. TDD # 801-321-7130.



MEMORANDUM

TAB A

August 21, 2020

Dixie State University – Series 2020 Revenue Bonds

[Regent Policy R590, Issuance of Revenue Bonds for Colleges and Universities](#), requires the Board to review and approve the issuance and sale of revenue bonds that have been affirmatively authorized by the Utah State Legislature. Dixie State University requests Board authorization to issue up to \$11,000,000 of General Revenue Bonds to expand the Greater Zion Stadium as authorized by the 2020 Legislative Session ([H.B. 9, Revenue Bond and Capital Facilities Amendments](#)). Tourism marketing revenues from Washington County, donations, and institutional funds will be the primary source of repayment for the bonds.

In the [Debt Ratio Analysis](#), presented to the Finance and Facilities Committee in April 2020, the viability ratio and composite index measures for DSU were lower than the recommended standards. DSU addresses the reasons for the lower measures in the attached request letter, including institutional growth and increased debt for capital facilities.

The relevant parameters of the requested issue are:

- Principal amount not to exceed \$11,000,000 (including costs of issuance and capitalized interest)
- Interest rate not to exceed 5.5%
- Discount from par not to exceed 2%
- Final maturity not to exceed 32 years from the date of issue

A copy of the request letter from the university, the Approving Resolution, and a financing summary from the financial advisor are attached. Representatives from the university will attend the meeting to provide additional information and answer questions from the Board.

Commissioner's Recommendations

The Commissioner recommends approval of the proposed Authorizing Resolution to issue General Revenue bonds for Dixie State University as proposed.

Attachments

Paul C. Morris
Vice President for Administrative Services
Phone: 435-652-7504
Email: morris@dixie.edu

July 28, 2020

Dr. David R. Woolstenhulme
Commissioner of Higher Education
60 South 400 West
Salt Lake City, Utah 84101

Dear Dr. Woolstenhulme:

Purpose

Dixie State University (DSU) is requesting Utah Board of Higher Education authorization to issue revenue bonds for the purpose of constructing Phase III of the Greater Zion Stadium Expansion.

Background

During the 2020 General Session of the Utah State Legislature, Dixie State University received authorization to bond for up to \$10,000,000 in project costs for the Greater Zion Stadium Expansion.

The repayment revenue source is primarily based on a January 21, 2020, agreement between the Washington County Commission and Dixie State University for a marketing agreement to promote tourism in Washington County. The marketing agreement includes naming rights to the stadium, formerly known as Trailblazer Stadium. DSU's stadium is now known as "Greater Zion Stadium." As part of the agreement, Washington County agreed to pay Dixie State University \$10,000,000 (\$500,000 annually for 20 years).

The University intends to bond for \$10,000,000 over 30 years. DSU intends to use the proceeds of the marketing agreement and Auxiliary Services' net revenues as funding sources to repay the bond.

To complete the Greater Zion Stadium expansion project by Fall 2021, and to take advantage of historic low interest rates, Dixie State University is moving as quickly as possible into position to offer revenue bonds. To accomplish this, Dixie State University acquired the services of Chapman and Cutler as bond counsel and Zions Bank as financial advisor.

To facilitate the current bond offering, Dixie State University put a bonding revenue umbrella in place that includes revenue streams in excess of those needed to cover existing bonds and sufficient coverage to support future bonds. The strategy of including pledged revenues in excess of those needed for immediate bonding requirements is to facilitate future bond offerings and to provide an excess revenue cushion for debt coverage ratios and debt service. A description of DSU's financial position related to long-term debt is included in Addendum #1.

Description of the Project

Dixie State University envisions the West Stadium Building as a prominent campus icon. The structure itself will rise above the existing stadium seating on the west side to improve Greater Zion Stadium's visibility, making it a beacon for the campus. The West Stadium project will be constructed to meet the University's space needs and budget requirements. The following is a brief description of the physical form of the building, as envisioned by Dixie State University.

Phase III of the Greater Zion Stadium Expansion will include a new top structure, a remodel of the existing under-stadium structure, and the following programming elements:

- New space for press box operations
- Premier seating area with private suites and a club area
- Fan experience upgrades, such as a new ticketing office, welcome center, additional restrooms rooms, and a merchandise sales area
- Renovation and expansion of the existing under-stadium building to improve athletic training space, provide updated football locker rooms, and equipment facilities.

In support of this request for authorization to issue revenue bonds, a copy of the financing summary provided by Zions Bank and approving resolution as prepared by Chapman and Cutler accompany this letter. Thank you for your consideration to approve the resolution to bond on behalf of Dixie State University.

Sincerely,



Paul C. Morris
Vice President for Administrative Affairs

Addendum #1

DSU Bonded Indebtedness

Dixie State University is rapidly growing and evolving as Utah's newest university. This transformation, coupled with aged, inadequate, or non-existent infrastructure, has placed the institution in the position of needing to build new facilities that will accommodate a rapidly growing student-body. Many of the needed new facilities are self-support operations (new buildings must be financed by bonds and repaid by user fees) as the State of Utah does not pay for these facilities.

DSU's need to bond to build new facilities at today's high construction cost results in a Viability Ratio below industry standards. However, as DSU continues to evolve into a stronger economy of scale, the institution will improve its Viability Ratio over time, as the institution continues to increase in enrollments, resulting in increased revenues (Expendable Net Assets) relative to debt levels. On a more positive note, DSU exceeds the industry standards on the Debt Burden Ratio. The Debt Burden Ratio does a better job of matching annual expenditure levels with annual debt service payments that give a more accurate benchmark of the institution's ability to service its annual debt. Finally, the Composite Index at DSU has improved over the past couple of years. However, this ratio will continue to be difficult for DSU as the Viability Ratio is a significant component of the Composite Index.

The majority of the bonding debt at Dixie State University is for new student housing, followed by the Human Performance Center, improvements to the Greater Zion Stadium, and a small outstanding bond for the Avenna Center. A schedule of current outstanding bonds is below.

	Date Issued	Maturity Date	Original Issue	Balance 6/30/20
Avenna Center Lease	6/20/06	5/1/23	\$ 4,845,000	\$ 995,000
Campus View I	6/24/2015	6/1/2046	21,315,000	19,600,000
HPC/East Grandstand	7/18/2017	6/1/2049	20,770,000	20,395,000
Campus View II	12/18/2019	6/1/2051	42,040,000	42,040,000
			\$88,970,000	\$83,030,000

As previously described, Dixie State University put a bonding umbrella in place for the Campus View Suites student housing bond that pledged nearly all of the institution's non-appropriated general (gross) revenues for repayment of bonds. This bonding umbrella gives the institution a debt coverage ratio far beyond the typical 1.1X. In fact, the debt coverage ratio for FY20 is 5.73X under the bonding umbrella. The advantage of the general revenue bond is to guard against technical default as the revenues committed to pay bond payments is not limited to the specific user fee. Although the bonding umbrella is a good safety net to support the bonds, DSU has been able to fund the bond payments from the specific user fees. Debt coverage ratio, for both gross and net revenues, is presented in tables on the accompanying pages: See "Historical and Projected General Revenues Available for Debt Service Coverage" and "Historical and Projected Net Revenues Available for Debt Service Coverage."

In summary, DSU is well positioned to make its annual debt service payments. As the institution continues to grow, DSU will become stronger in its financial ratios related to long-term debt.

Historical And Projected General Revenues Available For Debt Service Coverage

Fiscal Year Ended June 30[illegible]

Historical And Projected Net Revenues Available For Debt Service Coverage

Fiscal Year Ended June 30										
	Historical					Projected				
	2015	2016	2017	2018	2019	2020	2021	2022	2023	2024
Net Revenues:										
Auxiliary Systems:										
Bookstores.....	\$ 80,639	\$ 74,398	\$ (78,757)	\$ 84,751	\$ 248,600	\$ 100,000	\$ 125,000	\$ 150,000	\$ 175,000	\$ 200,000
Food services.....	8,594	39,781	(15,937)	22,371	(71,299)	(10,000)	10,000	30,000	50,000	50,000
Gardner Student Center.....	(23,838)	3,177	(93,837)	9,088	(35,531)	(115,000)	0	0	0	0
Road Scholar.....	35,434	100,982	213,913	318,215	368,773	(20,000)	0	50,000	100,000	150,000
Student Housing Facilities.....	84,130	198,989	1,050,915	1,219,850	1,283,389	1,240,000	1,280,000	3,320,000	3,425,000	3,530,000
Student Building Fees.....	485,271	850,363	1,583,277	1,685,701	1,650,769	1,365,000	1,430,000	1,485,000	1,545,000	1,605,000
Investment Income/Amount of Unrestricted Gifts..	0	0	0	0	0	0	0	0	0	0
Parking services.....	158,981	(168,970)	49,353	(22,830)	39,018	(30,000)	350,000	500,000	650,000	800,000
Greater Zion sponsorship.....	0	0	0	0	0	500,000	500,000	500,000	500,000	500,000
Total Net Revenues available for debt service.....	<u>\$ 829,211</u>	<u>\$ 1,098,720</u>	<u>\$ 2,708,926</u>	<u>\$ 3,317,146</u>	<u>\$ 3,483,718</u>	<u>\$ 3,030,000</u>	<u>\$ 3,695,000</u>	<u>\$ 6,035,000</u>	<u>\$ 6,445,000</u>	<u>\$ 6,835,000</u>
Debt service:										
2020 General Revenue Bonds (estimated).....	\$ —	\$ —	\$ —	\$ —	\$ —	\$ 0	\$ 375,000	\$ 500,000	\$ 500,000	\$ 500,000
2019 General Revenue Bonds.....	—	—	—	—	—	651,138	1,438,100	2,178,100	2,201,100	2,186,100
Capitalized interest (from 2019 Bonds).....	—	—	—	—	—	(651,140)	(1,438,100)	(359,525)	—	—
2017B General Revenue Bonds.....	—	—	—	734,931	845,288	845,288	845,288	945,288	1,248,288	1,247,088
Capitalized interest (from 2017B Bonds).....	—	—	—	(734,931)	(845,288)	—	—	—	—	—
2017A General Revenue Bonds.....	—	—	—	20,465	23,538	398,538	401,038	302,375	—	—
Capitalized interest (from 2017A Bonds).....	—	—	—	(20,465)	(23,538)	—	—	—	—	—
2015 General Revenue Bonds.....	—	752,446	1,218,800	1,220,500	1,222,000	1,218,300	1,220,100	1,221,900	1,222,900	1,218,050
Capitalized interest (from 2015 Bonds).....	—	(752,446)	(401,900)	—	—	—	—	—	—	—
Total debt service payments.....	<u>\$ —</u>	<u>\$ 0</u>	<u>\$ 816,900</u>	<u>\$ 1,220,500</u>	<u>\$ 1,222,000</u>	<u>\$ 2,462,124</u>	<u>\$ 2,841,426</u>	<u>\$ 4,788,138</u>	<u>\$ 5,172,288</u>	<u>\$ 5,151,238</u>
Net Revenue coverage to Debt Service.....	<u>—</u>	<u>—</u>	<u>3.32X</u>	<u>2.72X</u>	<u>2.85X</u>	<u>1.23X</u>	<u>1.30X</u>	<u>1.26X</u>	<u>1.25X</u>	<u>1.33X</u>

August 21, 2020

The Utah Board of High Education (formerly known as the State Board of Regents of the State of Utah) (the “*Board*”) met in regular session via electronic conference on August 21, 2020, commencing at 8:00 a.m. The following members of the Board were present:

Harris H. Simmons	Chair
Nina Barnes	Vice Chair
Jessellie Barlow Anderson	Member
Mike Angus	Member
Jera L. Bailey	Member
Stacey K. Bettridge	Member
Lisa-Michele Church	Member
Wilford Clyde	Member
Candyce Damron	Student Representative
Sanchaita Datta	Member
Alan E. Hall	Member
Patricia Jones	Member
Crystal Maggelet	Member
Arthur E. Newell	Member
Shawn Newell	Member
Aaron V. Osmond	Member
Glen Rivera	Student Representative
Scott L. Theurer	Member.

ABSENT: _____.

As required by Section 52-4-203, Utah Code Annotated 1953, as amended, written minutes and a recording of this meeting are being kept.

After the meeting had been duly convened and called to order by the Chair and the roll had been called with the above result, and after other business had been conducted, the Chair announced that one of the purposes of the meeting was the consideration of various matters with respect to the issuance and sale of the Utah Board of Higher Education, Dixie State University General Revenue Bonds.

The following resolution was introduced in written form and, after full discussion, pursuant to motion made and seconded, was adopted by the following vote:

YEA:

ABSTAIN: _____.

NAY: _____.

ABSENT: _____.

The resolution is as follows:

RESOLUTION

A RESOLUTION OF THE UTAH BOARD OF HIGHER EDUCATION AUTHORIZING THE ISSUANCE AND SALE OF ITS DIXIE STATE UNIVERSITY GENERAL REVENUE BONDS IN THE AGGREGATE PRINCIPAL AMOUNT OF NOT TO EXCEED \$11,000,000; AUTHORIZING THE EXECUTION OF A SUPPLEMENTAL INDENTURE OF TRUST, AN OFFICIAL STATEMENT AND OTHER DOCUMENTS REQUIRED IN CONNECTION THEREWITH; AUTHORIZING THE TAKING OF ALL OTHER ACTIONS NECESSARY TO THE CONSUMMATION OF THE TRANSACTIONS CONTEMPLATED BY THIS RESOLUTION; AND RELATED MATTERS.

WHEREAS, the Utah Board of Higher Education (formerly known as the State Board of Regents of the State of Utah) (the “*Board*”) is established and exists under and pursuant to Section 53B-1-402, Utah Code Annotated 1953, as amended (the “*Utah Code*”);

WHEREAS, pursuant to the provisions of Title 53B Chapter 1, Utah Code, the Board is authorized to act as the governing authority of Dixie State University (the “*University*”) for the purpose of exercising the powers contained in Title 53B, Chapter 21, Utah Code (the “*Act*”);

WHEREAS, pursuant to the Act, the Board is, for and on behalf of the University, authorized to issue bonds payable from a special fund into which the revenues of the University may be deposited;

WHEREAS, pursuant to the provisions of Section 63B-30-201(4) of the Utah Code (the “*Authorization*”), the Board is authorized to issue revenue bonds on the credit, revenues and reserves of the University, other than appropriations by the Utah Legislature, to provide up to \$10,000,000 to finance the cost of constructing an expansion of the Greater Zion Stadium (the “*Project*”) together with other amounts necessary to pay costs of issuance, pay capitalized interest, and fund any debt service reserve requirements;

WHEREAS, the Project will include the acquisition, construction, improvement, equipping and furnishing of an expansion of the Greater Zion Stadium and related facilities;

WHEREAS, the Board considers it desirable and necessary for the benefit of the residents of the State of Utah to issue its “Utah Board of Higher Education, Dixie State University General Revenue Bonds” (the “*Bonds*”), for the purpose of financing, together with any available funds of the University to be used for such purpose, the cost of the Project, satisfying any debt service reserve requirements, providing capitalized interest on the Bonds and paying costs of issuance of the Bonds;

WHEREAS, the Bonds will be issued in an aggregate principal amount of not to exceed \$11,000,000 and will be issued pursuant to the General Indenture of Trust, as heretofore amended

and supplemented (the "*General Indenture*"), and as further amended and supplemented by a Supplemental Indenture of Trust (the "*Supplemental Indenture*" and, together with the General Indenture, the "*Indenture*"), each by and between the Board, acting for and on behalf of the University, and Zions Bancorporation, National Association, as successor trustee (the "*Trustee*");

WHEREAS, the Bonds shall be payable solely from the revenues and other moneys pledged therefor under the Indenture and shall not constitute nor give rise to a general obligation or liability of the State of Utah (the "*State*"), the Board or the University or constitute a charge against the general credit of the State, the Board or the University;

WHEREAS, there have been presented to the Board at this meeting a form of a Preliminary Official Statement relating to the Bonds (the "*Preliminary Official Statement*") and a form of the Supplemental Indenture;

WHEREAS, expenditures relating to the Projects (the "*Expenditures*") (a) have been paid from the University's general fund (the "*Fund*") within 60 days prior to the passage of this resolution (the "*Resolution*") or (b) will be paid from the Fund on or after the passage of this Resolution and prior to the issuance of the Bonds; and

WHEREAS, pursuant to Section 53B-21-102(3)(m) of the Act and subject to the Authorization being effective, the Board desires to grant to the Chair, the Vice Chair of the Board and the Chair of the Budget and Finance Subcommittee of the Board (each a "*Designated Board Officer*") the authority to approve the final principal amounts, discounts, maturities, interest rates, redemption provisions, purchase prices and other terms of the Bonds (including the amount, if any, of the debt service reserve requirement for the Bonds) and any changes with respect thereto from those terms which were before the Board at the time of adoption of this Resolution, provided such terms do not exceed the parameters set forth in this Resolution, and the authority to approve and execute all documents relating to the issuance of the Bonds;

NOW, THEREFORE, BE IT RESOLVED BY THE UTAH BOARD OF HIGHER EDUCATION, AS FOLLOWS:

Section 1. All terms defined in the foregoing recitals hereto shall have the same meanings when used herein. Capitalized terms used and not otherwise defined herein shall have the meanings assigned to such terms in the Indenture.

Section 2. All action heretofore taken (not inconsistent with the provisions of this Resolution) by the Board and the officers of the Board or the University directed toward the issuance of the Bonds are hereby ratified, approved and confirmed.

Section 3. The Supplemental Indenture, in substantially the form presented to the Board at this meeting, is in all respects authorized, approved and confirmed. Each Designated Board Officer and the Secretary of the Board and the University's President, the Vice President of Administrative Affairs or any Executive Director of the University (each a "*Designated University Officer*" and collectively with the Designated Board Officers, the "*Designated Officers*") are hereby authorized to execute and deliver the Supplemental Indenture, in the form and with

substantially the same content as presented at this meeting, for and on behalf of the Board and the University with such alterations, changes or additions as may be authorized pursuant to the terms of this Resolution.

Section 4. For the purpose of providing funds to be used for the purpose of (a) financing all or a portion of the cost of the Project, (b) satisfying the debt service reserve requirement, if any, (c) providing capitalized interest on the Bonds, and (d) paying costs of issuance of the Bonds, including the cost of any bond insurance policy, surety bond or other credit enhancement for the Bonds, the Board hereby authorizes the issuance of the Bonds in the aggregate principal amount of not to exceed \$11,000,000. The Bonds shall bear interest at the rates, shall mature in the principal amounts and on the dates, and shall be subject to redemption, as shall be approved by a Designated Board Officer as provided below, all within the parameters set forth in *Schedule A* attached hereto and incorporated herein by reference.

Section 5. The form, terms and provisions of the Bonds and the provisions for the signatures, authentication, payment, registration, transfer, exchange, redemption and number shall be as set forth in the Indenture. Any Designated Board Officer and the Secretary of the Board and any Designated University Officer are hereby authorized to execute and seal the Bonds and to deliver the Bonds to the Trustee for authentication. All terms and provisions of the Indenture and the Bonds are hereby incorporated in this Resolution. The appropriate officials of the Board and the University are hereby authorized to execute and deliver to the Trustee the written order of the Board for authentication and delivery of the Bonds in accordance with the provisions of the Indenture.

Section 6. The Board hereby authorizes, approves and directs the use and distribution of the Preliminary Official Statement in such form as shall be approved by the Chair or Vice Chair of the Board or the President or Vice President for Administrative Affairs of the University. Each such Designated Officer is authorized to execute such certificates as shall be necessary to “deem final” the Preliminary Official Statement for purposes of Rule 15c2-12 of the Securities and Exchange Commission. Any such Designated Officer is hereby authorized to execute and deliver on behalf of the Board and the University a final Official Statement in substantially the form and with substantially the same content as the Preliminary Official Statement, with such alterations, changes or additions as may be necessary to conform to the terms of the Bonds and finalize the Official Statement. The use and distribution of the Official Statement are hereby authorized.

Section 7. The Bonds shall be sold to the initial purchaser (the “*Underwriter*”) pursuant to a public sale at a discount of not to exceed 2.0% of the face amount of the Bonds plus accrued interest, if any. Pursuant to Section 53B-21-102(3)(m) of the Act, a Designated Board Officer (with concurrence of a Designated University Officer) is hereby authorized to specify and agree as to the final principal amounts, discounts, maturities, interest rates, redemption provisions, purchase prices and other terms of the Bonds (including the amount, if any, of the debt service reserve requirement for the Bonds) for and on behalf of the Board and the University by the execution of the Indenture, such bond purchase contract or other instrument or instruments as may be necessary to confirm the award of the Bonds to the Underwriter, and any changes to the Supplemental Indenture from those terms which were before the Board at the time of adoption of this Resolution, provided such terms are within the parameters set by this Resolution.

Section 8. The appropriate officers of the Board and the University, including without limitation the Designated Board Officers, Commissioner of Higher Education (including any Interim Commissioner), the Chief Financial Officer and Secretary of the Board and the Designated University Officers are hereby authorized to take all action necessary or reasonably required by the Indenture to carry out, give effect to and consummate the transactions as contemplated thereby and are authorized to take all action necessary in conformity with the Act.

Section 9. The appropriate officials of the Board and the University, including without limitation the Designated Officers, are authorized to make any alterations, changes or additions to the Supplemental Indenture, the Bonds, the Preliminary Official Statement, or any other document herein authorized and approved as authorized by this Resolution, including such alterations, changes or additions which may be necessary to correct errors or omissions therein, to remove ambiguities therefrom, or to conform the same to other provisions of said instruments, to the provisions of this Resolution or any resolution adopted by the Board or the provisions of laws of the State or the United States.

Section 10. The appropriate officials of the Board and the University, including without limitation the Designated Board Officers, Commissioner of Higher Education (including any Interim Commissioner), the Chief Financial Officer and Secretary of the Board and the Designated University Officers, are hereby authorized and directed to (a) accept a commitment for, and agree to the terms of, a bond insurance policy, surety bond, or other credit enhancement that such officer or officers determine to be in the best interests of the Board and the University, and (b) execute and deliver for and on behalf of the Board and the University any or all additional certificates, documents, instruments and other papers and perform all other acts they may deem necessary or appropriate in order to implement and carry out the matters authorized in this Resolution and the documents authorized and approved herein, including (without limitation) such (i) continuing disclosure undertakings or agreements as shall be necessary under Rule 15c2-12 of the Securities and Exchange Commission; (ii) certificates and agreements as shall be necessary to establish and maintain the tax status of the Bonds under the provisions of the Internal Revenue Code of 1986, as amended, and (iii) letter of representations with The Depository Trust Company (“DTC”) shall be necessary to qualify the Bonds for DTC; book-entry system.

Section 11. Upon their issuance, the Bonds will constitute special limited obligations of the Board payable solely from and to the extent of the sources set forth in the Indenture. No provision of this Resolution, the Bonds, the Indenture or any other instrument, shall be construed as creating a general obligation of the Board or the University, or of creating a general obligation of the State or any political subdivision thereof, nor as incurring or creating a charge upon the general credit of the Board, the University, the State or any political subdivision thereof.

Section 12. Reimbursement Intent. To the extent the requirements have not previously been satisfied, in satisfaction of certain requirements under the Internal Revenue Code of 1986, as amended, the Board reasonably expects to reimburse the Expenditures with proceeds of the Bonds to be issued in the maximum principal amount of not more than \$11,000,000.

Section 13. All proceedings, resolutions and actions of the Board and the University and their officers and employees taken in connection with the Bonds are hereby ratified, confirmed and approved.

Section 14. After any of the Bonds are delivered by the Trustee to the Underwriter and upon receipt of payment therefor, this Resolution shall be and remain irrevocable until the principal of, premium, if any, and interest on the Bonds are deemed to have been fully discharged in accordance with the terms and provisions of the Indenture.

Section 15. If any provisions of this Resolution should be held invalid, the invalidity of such provisions shall not affect the validity of any of the other provisions of this Resolution.

Section 16. All resolutions of the Board or parts thereof inconsistent herewith, are hereby repealed to the extent only of such inconsistency. This repealer shall not be construed as reviving any bylaw, order or resolution or part thereof.

Section 17. This Resolution shall become effective immediately upon its adoption.

(Signature page follows.)

PASSED AND APPROVED by the Utah Board of Higher Education this 21st day of August,
2020.

UTAH BOARD OF HIGHER EDUCATION

Chair

[SEAL]

ATTEST:

Secretary

After the conduct of other business not pertinent to the above, the meeting was, on motion duly made and seconded, adjourned.

Chair

[SEAL]

ATTEST:

Secretary

STATE OF UTAH)
 : ss.
COUNTY OF SALT LAKE)

I, the undersigned, do hereby certify that I am the duly qualified and acting Secretary of the Utah Board of Higher Education.

I further certify that the above and foregoing constitutes a true and correct copy of an excerpt of the minutes of a meeting of said Board held on August 21, 2020 and of a resolution adopted at said meeting, as said minutes and resolution are officially of record in my possession.

IN WITNESS WHEREOF, I have hereunto subscribed my official signature and impressed hereon the official seal of said Board this 21st day of August, 2020.

Secretary

[SEAL]

STATE OF UTAH)
 : ss.
COUNTY OF SALT LAKE)

I, the undersigned, the duly qualified and acting Secretary of the Utah Board of Higher Education (the “*Board*”), do hereby certify, according to the records of the Board in my official possession, and upon my own knowledge and belief, that:

(a) in accordance with the requirements of Section 52-4-202, Utah Code Annotated 1953, as amended, I gave public notice of the agenda, date, time and place of the August 21, 2020 public meeting held by the members of the Board by causing a Notice of Public Meeting to be posted at the principal office of the Utah Board of Higher Education at 60 South 400 West in Salt Lake City, Utah, on August __, 2020, at least 24 hours prior to the convening of such meeting, in the form attached hereto as *Exhibit A*, said Notice of Public Meeting having continuously remained so posted and available for public inspection during the regular office hours of the Board until the convening of the meeting; causing a copy of said Notice of Public Meeting in the form attached hereto as *Exhibit A* to be provided on August __, 2020, at least 24 hours prior to the convening of such meeting; causing a Notice of Public Meeting to be posted on August __, 2020, at the Utah Public Notice Website at least 24 hours before the convening of the meeting; and providing a copy of said Notice of Public Meeting in the form attached hereto as *Exhibit A* to at least one newspaper of general circulation within the geographic jurisdiction of the Board or a local media correspondent, by virtue of posting such Notice on the Utah Public Notice Website as aforesaid, in accordance with Sections 52-4-202(3) and 63F-1-701(d), Utah Code Annotated 1953, as amended;

(b) in accordance with the requirements of Section 52-4-202, Utah Code Annotated 1953, as amended, public notice of the 2020 Annual Meeting Schedule of the Board was given specifying the date, time and place of the regular meetings of the Board scheduled to be held during the year, by causing a Notice of Annual Meeting Schedule for the Board in the form attached as *Exhibit B* to be posted during or before [January 2020], at the principal office of the Board in Salt Lake City, Utah; such Notice of Annual Meeting Schedule having continuously remained so posted and available for public inspection during the regular office hours of the undersigned until the date hereof; and by causing a copy of such Notice of Annual Meeting Schedule to be provided during or before [January 2020] to a newspaper of general circulation within the geographic jurisdiction of Salt Lake City, Utah; and causing a Notice of Annual Meeting Schedule to be posted during or before [January 2020] at the Utah Public Notice Website; and

(c) the Board has adopted written procedures governing the holding of electronic meetings in accordance with Section 52-4-207 Utah Code Annotated 1953, as amended (a copy of which is attached hereto as *Exhibit C*). In accordance with such provisions and the aforementioned procedures, notice was given to each member of the Board and to members of the public at least 24 hours before the meeting to allow members of the Board and the public to participate electronically in the meeting, including a description of how they could be connected to the meeting. [The Board held the meeting at a regularly designated location and provided space and facilities at such anchor location so that interested persons and the public could attend and participate.]

IN WITNESS WHEREOF, I have hereunto subscribed my official signature and impressed hereon the official seal of the Utah Board of Higher Education, this 21st day of August, 2020.

Secretary

[SEAL]

SCHEDULE A

PARAMETERS

PRINCIPAL AMOUNT:	Not to exceed \$11,000,000
TERM:	Not to exceed 32 years from their date or dates.
INTEREST RATE:	Fixed rates such that no coupon rate exceeds 5.50% per annum.
REDEMPTION FEATURES:	Optional redemption at not more than 100% of par within 11 years of issuance.
SALE PRICE:	Not less than 98% of the principal amount of the Bonds.

EXHIBIT A

[ATTACH NOTICE OF PUBLIC MEETING]

EXHIBIT B

[ATTACH NOTICE OF ANNUAL MEETING SCHEDULE]

EXHIBIT C

[ATTACH ELECTRONIC MEETING POLICY]

**Dixie State University
General Revenue Bonds, Series 2020
Preliminary Summary Sheet**

Proposed Issue: General Revenue Bonds

Total Approximate Issue Size: \$9,160,000

Use of Funds: To fund the design and construction of an expansion to the Greater Zion Stadium (legislatively approved for up to \$10,000,000 in project costs); satisfy any reserve requirements; pay capitalized interest; and pay associated costs of issuance.

Details of Proposed Series 2020 Bonds:

Principal Amount: Not to exceed \$11,000,000

Interest Rate: Not to exceed 5.5%

Maturity Date: Not to exceed 32 years

Aggregate Discount: Not to exceed 2%

Bond Rating: AA from S&P

Bond Insurance: Bids will be received from Assured Guaranty and Build America Mutual

Tax Exemption: The bonds will be tax exempt

Source of Repayment: Tourism revenue from Washington County

Timetable Considerations: Approval will be sought at an interim meeting of the Board of Higher Education on August 21st. The University is proceeding with plans to sell bonds soon after approval is received, with a tentative competitive sale date planned for September 10th. The underwriter will be whichever bidder delivers the lowest combination of rates and fees as determined by the True Interest Cost (TIC). The bond closing date will be September 23rd, 2020.



MEMORANDUM

TAB B

August 21, 2020

USHE 2021-2022 Budget Process Guidelines

One of the primary statutory responsibilities of the Utah Board of Higher Education is to recommend a unified budget request to the Governor and Utah Legislature for the Utah System of Higher Education. The Board creates the budget request with the dual objective of justifying appropriations for the System, consistent with institutional needs, and equitably distributing funds to USHE institutions. The budget request includes five categories required by statute: employee compensation, mandatory costs, performance funding, statewide priorities, and enrollment growth. Recognizing the unique fiscal environment the state faces with uncertain and reduced revenues, the 2021-2022 USHE budget will be fiscally responsible.

Commissioner's Recommendations

The Commissioner recommends the Board approve the general Budget Guidelines and budget framework for the Utah System of Higher Education operating and capital budget requests for fiscal year 2021-2022.

Attachment:

USHE 2021-2022 Budget Process Guidelines

The Office of the Commissioner developed the following budget guidelines for institutions to follow in creating FY 2021-2022 budget requests for approval by the Utah Board of Higher Education in the October 2020 meeting.

Budget Request Alignment with Tuition-Setting Process: The intent of the Board's budget-setting process is to review and account for potential institutional needs such that tuition may be held to minimal or no increases. While the current fiscal environment may not accommodate all institutional budgetary needs, and the novel coronavirus has significantly reduced institutional revenues, the Board intends tuition and fee increases for the 2021-2022 academic year will be minimal.

Mandatory Cost Increases: The Board will request funding for mandatory cost increases to insurance premiums and other state-provided internal service funds, as well as employee health insurance premium increases comparable to state employees. The Board will further request that all mandatory increases be funded entirely from state funds without an obligatory tuition match.

Compensation: The Board will request funds for the same compensation increases to salaries and retirement benefits as other state employees. Institutions may also submit requests for 2021-2022 faculty promotion and tenure adjustments. The Board will request that all compensation increases be funded entirely from state funds without an obligatory tuition match.

Degree-Granting Performance Funding: The Board will request performance funding for degree-granting institutions commensurate with state revenues in addition to any revenue available from growth in targeted jobs. Degree-granting institutions shall submit detailed plans for how they will use their portion of the performance funds based on estimates provided by the Commissioner's office. The Board will make a final budget recommendation for the amount of performance funding in the October meeting. Actual allocations and awards will be calculated by the Board in the spring of 2021 based on 2019-20 annualized FTE and 2020-21 budget appropriations.

Growth Funding: The Board will request growth funding for degree-granting institutions as calculated by the growth funding model (adopted by the former Board of Regents) using the academic year 2018-19 as a base to calculate the academic year 2019-20 growth.

Technical Education Program Growth: The Board will request funding for technical education program growth. USHE institutions with technical education programs shall submit detailed funding requests for programs experiencing significant demand and growth that cannot be accommodated through reductions in programs experiencing decreased demand.

Technical Education Equipment: The Board will request funding for technical education equipment that is needed for growing programs. USHE institutions with technical education programs shall submit detailed funding requests for equipment needs that are necessary due to increased program demand.

State Funded Capital Projects: The Board will not prioritize, hear, nor recommend new USHE institutional building projects for 2021-2022 that require state funding. If state funds become available, the Board will advocate for the projects prioritized last year that had funding rescinded by the legislature.

Non-State Funded Capital Projects: Institutions may propose non-state-funded capital projects that require State Building Board or legislative approval if the costs of construction, design, programming, operation, and maintenance, and capital improvements are entirely funded from non-state sources. The Board will not hear, nor recommend any building project for 2021-2022 that requests state funding for any portion of the project. The Board may request state funding for operations and maintenance for facilities approved in FY 2020-2021 that had O&M funding rescinded by the legislature.



MEMORANDUM

TAB C

August 21, 2020

USHE – 2020-21 Performance Funding Allocations

The Utah Board of Higher Education annually allocates performance funds appropriated by the state legislature to USHE institutions based on performance metrics approved by the Board. Any funds not earned by an institution are allocated one-time in the current year and then added ongoing to the next year's distribution. In FY 2019-20 a total of \$1,243,200 (\$1,005,800 for degree-granting institutions and \$237,400 for technical colleges) were not earned and were allocated one-time to support cybersecurity and technical education systemwide. Those funds remain to be reallocated ongoing to institutions in FY 2020-21 as described in the table below. As the legislature rescinded all new performance funding for FY 2020-21, there are no additional funds to allocate. Institutions shall report planned uses for the allocated funds in the November Finance and Facilities Committee meeting.

Institution	Amount	Institution	Amount*
University of Utah	\$302,100	Bridgerland Technical College	\$29,675
Utah State University	\$204,900	Davis Technical College	\$29,675
Weber State University	\$108,800	Dixie Technical College	\$29,675
Southern Utah University	\$57,600	Mountainland Technical College	\$29,675
Snow College	\$29,600	Ogden-Weber Technical College	\$29,675
Dixie State University	\$49,700	Southwest Technical College	\$29,675
Utah Valley University	\$163,800	Tooele Technical College	\$29,675
Salt Lake Community College	\$89,300	Uintah Basin Technical College	\$29,675
Degree-Granting Total	\$1,005,800	Technical Education Total*	\$237,400

** In the April meeting the Board of Trustees for the Utah System of Technical Colleges allocated the residual performance funds equally among the eight institutions.*

Commissioner's Recommendation

The Commissioner recommends the Board approve the distribution of \$1,243,200 of 2019-20 unallocated performance funds ongoing to institutions as proposed above.

Attachment

Performance Funding Detail by Institution

Recalibrated Amounts

Research Universities

	Completion	Underserved	Market Demand	Research	Awards per 100	Total
<i>Weighting</i>	<i>15%</i>	<i>Students</i>		<i>10%</i>	<i>FTE</i>	
<i>100%</i>	<i>15%</i>	<i>10%</i>	<i>25%</i>	<i>10%</i>	<i>40%</i>	<i>100%</i>
University of Utah						
Available Allocation (29.31%)	\$ 44,205	\$ 29,470	\$ 73,675	\$ 29,470	\$ 117,880	\$ 294,700
1% Progress Measure (increase/decrease)	3.51%	92.07%	4.92%	24.64%	2.32%	
Actual Award within Available Alloca	\$ 44,205	\$ 29,470	\$ 73,675	\$ 29,470	\$ 117,880	\$ 294,700
Percent (%) Funded	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Balance	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$
Utah State University						
Available Allocation (20.27%)	\$ 29,985	\$ 19,990	\$ 49,975	\$ 19,990	\$ 79,960	\$ 199,900

1% Progress Measure (increase/decrease)	14.16%	49.95%	16.37%	20.33%	8.57%	
Actual Award within Available Alloca	\$ 29,985	\$ 19,990	\$ 49,975	\$ 19,990	\$ 79,960	\$ 199,900
Percent (%) Funded	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%
Balance	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$

Regional Universities

	Completion	Underserved	Market Demand		Awards per FTE	Total
<i>Weighting</i>	<i>15%</i>	<i>Students</i>	<i>25%</i>		<i>50%</i>	
<i>100%</i>	<i>15%</i>	<i>10%</i>	<i>25%</i>		<i>50%</i>	<i>100%</i>
Weber State University						
Available Allocation (10.61%)	\$ 15,915	\$ 10,610	\$ 26,525		\$ 53,050	\$ 106,100
1% Progress Measure (increase/decrease)	6.70%	65.03%	12.05%		4.09%	
Actual Award within Available Alloca	\$ 15,915	\$ 10,610	\$ 26,525	\$	\$ 53,050	\$ 106,100
Percent (%) Funded	100.0%	100.0%	100.0%		100.0%	100.0%
Balance	\$ 0	\$ 0	\$ 0		\$ 0	\$

Southern Utah University

Available Allocation (5.18%)	\$ 8,430	\$ 5,620	\$ 14,050		\$ 28,100	\$ 56,200
1% Progress Measure (increase/decrease)	34.15%	85.44%	10.78%		25.93%	
Actual Award within Available Alloca	\$ 8,430	\$ 5,620	\$ 14,050	\$	\$ 28,100	\$ 56,200
Percent (%) Funded	100.0%	100.0%	100.0%		100.0%	100.0%
Balance	\$ 0	\$ 0	\$ 0		\$ 0	\$

Regional Universities

	Completion	Underserved	Market Demand		Awards per FTE	Total
<i>Weighting</i>	<i>15%</i>	<i>Students</i>	<i>25%</i>		<i>50%</i>	
<i>100%</i>	<i>15%</i>	<i>10%</i>	<i>25%</i>		<i>50%</i>	<i>100%</i>
Dixie State University						
Available Allocation (4.62%)	\$ 7,275	\$ 4,850	\$ 12,125		\$ 24,250	\$ 48,500
1% Progress Measure (increase/decrease)	15.30%	20.26%	21.33%		4.27%	
Actual Award within Available Alloca	\$ 7,275	\$ 4,850	\$ 12,125	\$	\$ 24,250	\$ 48,500
Percent (%) Funded	100.0%	100.0%	100.0%		100.0%	100.0%
Balance	\$ 0	\$ 0	\$ 0		\$ 0	\$

Utah Valley University

Available Allocation (15.3%)	\$ 23,970	\$ 15,980	\$ 39,950		\$ 79,900	\$ 159,800
1% Progress Measure (increase/decrease)	17.37%	69.78%	27.83%		2.69%	
Actual Award within Available Alloca	\$ 23,970	\$ 15,980	\$ 39,950	\$	\$ 79,900	\$ 159,800
Percent (%) Funded	100.0%	100.0%	100.0%		100.0%	100.0%
Balance	\$ 0	\$ 0	\$ 0		\$ 0	\$

Performance Funding Detail by Institution
Recalibrated Amounts

Community Colleges						
<i>Weighting</i>	Completion <i>15%</i>	Underserved Students <i>10%</i>	Market Demand <i>25%</i>		Awards per FTE <i>50%</i>	Total
Snow College						
Available Allocation (2.88%)	\$ 4,335	\$ 2,890	\$ 7,225		\$ 14,450	\$ 28,900
1% Progress Measure (increase/decrease)	16.45%	28.85%	1.09%		17.98%	
Actual Award within Available Alloca	\$ 4,335	\$ 2,890	\$ 7,225	\$	\$ 14,450	\$ 28,900
Percent (%) Funded	100.0%	100.0%	100.0%		100.0%	100.0%
Balance	\$ 0	\$ 0	\$ 0		\$ 0	\$
Salt Lake Community College						
Available Allocation (11.83%)	\$ 16,755	\$ 11,170	\$ 27,925		\$ 55,850	\$ 111,700
1% Progress Measure (increase/decrease)	-7.36%	25.82%	15.60%		0.86%	
Actual Award within Available Alloca	\$	\$ 11,170	\$ 27,925	\$	\$ 48,000	\$ 87,100
Percent (%) Funded	0.0%	100.0%	100.0%		85.9%	78.0%
Balance	\$ 16,755	\$ 0	\$ 0		\$ 7,850	\$ 24,600
Remaining Balances (to be reallocated)						
	Completion	Underserved Students	Market Demand	Research	Awards/100 FTE	Total
	16,755	0	0.0	0	7,850	\$ 24,600
Institution	Available Allocation	Award Amount	Percent of Total Award	Residual to Allocate	Total Award Amount	
University of Utah	\$ 294,700	\$ 294,700	30.0%	\$ 7,400	\$ 302,100	
Utah State University	199,900	199,900	20.4%	5,000	204,900	
Weber State University	106,100	106,100	10.8%	2,700	108,800	
Southern Utah University	56,200	56,200	5.7%	1,400	57,600	
Snow College	28,900	28,900	2.9%	700	29,600	
Dixie State University	48,500	48,500	4.9%	1,200	49,700	
Utah Valley University	159,800	159,800	16.3%	4,000	163,800	
Salt Lake Community College	111,700	87,100	8.9%	2,200	89,300	
Total	\$ 1,005,800	\$ 981,200	100.0%	\$ 24,600	\$ 1,005,800	

USHE FY 2021 ELIGIBLE PERFORMANCE FUNDING AMOUNT

\$1,005,800

DISTRIBUTION 50% ENROLLMENT 50% APPROPRIATIONS

Institution	2018-19 Annualized Budget Related FTE	% of FTE	\$ 50% 502,900	2019-20 Total State Funded Appropriations	% of Approps	\$ 50% 502,900	Eligible Performance Funding Amount	% of Total
UU	30,213	24.30%	\$122,200	\$352,292,700	34.29%	\$172,500	\$294,700	29.30%
USU	21,835	17.56%	\$88,300	\$228,002,300	22.19%	\$111,600	\$199,900	19.87%
WSU	14,691	11.82%	\$59,400	\$95,423,600	9.29%	\$46,700	\$106,100	10.55%
SUU	7,998	6.43%	\$32,400	\$48,572,100	4.73%	\$23,800	\$56,200	5.59%
SNOW	3,594	2.89%	\$14,600	\$29,278,700	2.85%	\$14,300	\$28,900	2.87%
DSU	6,765	5.44%	\$27,400	\$43,193,000	4.20%	\$21,100	\$48,500	4.82%
UVU	24,457	19.67%	\$98,900	\$124,351,600	12.10%	\$60,900	\$159,800	15.89%
SLCC	14,770	11.88%	\$59,700	\$106,205,000	10.34%	\$52,000	\$111,700	11.11%
Total	124,323	100.0%	\$502,900	\$1,027,319,000	100.0%	\$502,900	\$1,005,800	100.0%

Sources: 2020 Data Book Tab C, Table 2. 2019-20 Appropriations Detail (sideways sheets).



MEMORANDUM

TAB D

August 21 , 2020

USHE – Series 2020 Student Loan Revenue Bonds

Utah Code 53B-13, empowers the Utah Board of Higher Education to make or purchase student loan notes and other debt obligations and to issue and sell bonds for such purposes. On May 15, 2020, the former Utah Board of Regents approved a resolution to issue bonds and notes to restructure the Student Loan portfolio for economic benefit. The resolution contemplated that the notes would bear variable rates of interest. Following the adoption of the resolution, the Utah Higher Education Assistance Authority and its financial advisors re-examined market events and identified an opportunity to issue up to 65% of the notes at fixed rates of interest and improve the overall financial benefit to the Board.

UHEAA requests Board authorization to amend the previous resolution to permit (but not require) the issuance of fixed-rate notes in an amount not to exceed 65% of the total amount of notes issued with an interest rate not-to-exceed 4%. All other parameters of the original resolution will remain unchanged. A copy of the amending resolution is attached. Representatives from UHEAA, Gilmore and Bell (bond counsel), and Hilltop Securities (financial advisor) will attend the meeting to provide additional information and answer questions from the Board.

Commissioner's Recommendations

The Commissioner recommends approval of the proposed Amending Resolution to allow for the issuance of fixed-rate bonds with the associated not-to-exceed parameters.

Attachments

*AMENDING RESOLUTION
THE UTAH SYSTEM OF HIGHER EDUCATION*

WHEREAS, the Utah Board of Higher Education, formerly known as the Utah Board of Regents, is established and exists under and pursuant to Section 53B-1-103, Utah Code Annotated 1953, as amended; and

WHEREAS, on May 15, 2020, the Board adopted a resolution regarding a proposed Student Loan Bond Restructure Authorization (the "Resolution") and authorized certain bonds or notes to be issued thereunder (the "Notes"); and

WHEREAS, EXHIBIT D to the Resolution contained (among other things) certain parameters for the Notes to be issued pursuant to the Resolution and such EXHIBIT contemplated that the Notes would bear interest at variable rates; and

WHEREAS, following the adoption of the Resolution, market events have given rise to a potential opportunity to issue up to 65% of the Notes at fixed rates of interest and improve the overall expected financial benefit to the Board; and

WHEREAS, the Board desires to amend said EXHIBIT D to permit the additional option (but not a requirement) to issue the Notes as fixed rate bonds or notes.

NOW, THEREFORE, BE IT RESOLVED BY THE UTAH BOARD OF HIGHER EDUCATION, AS FOLLOWS:

1. All terms defined in the foregoing recitals hereto shall have the same meanings when used herein.
2. EXHIBIT D to the Resolution is hereby amended by adding the following sentence at the end of said EXHIBIT:

"Notwithstanding the foregoing, the Interest Rate for up to 65% of the 2020 Notes may be at fixed rates that shall not exceed 4.0% per annum."

3. Save and except as amended hereby, the Resolution remains in full force and effect.
4. This Amending Resolution shall become effective immediately upon its adoption.

PASSED AND APPROVED BY THE UTAH BOARD OF HIGHER EDUCATION THIS 21ST DAY OF AUGUST, 2020.

Harris H. Simmons, Chair
Utah Board of Higher Education



ATTEST:

Geoffrey T. Landward, Board Secretary



RESOLUTION OF APPRECIATION

WHEREAS, David A. Feitz has served as Executive Director and Associate Commissioner for Student Financial Aid for the Utah Higher Education Assistance Authority since 2006; and

WHEREAS, he served, in total, for more than 32 years as a member of UHEAA's executive team, leaving a lasting legacy and an extraordinary contribution to higher education and students; and

WHEREAS, Mr. Feitz is UHEAA's longest-serving executive director and has guided UHEAA through significant transformation and ensured its continued success as a stable source of student loans and financial aid; and

WHEREAS, he also served on the boards of directors for the Education Finance Council, the National Student Loan Clearinghouse, the Utah Private Activity Bond Authority, and the National Council of Higher Education Resources; and

WHEREAS, during his time with UHEAA, he directed its \$407 million annual budget and oversaw the issuance of \$4.4 billion in student loan revenue bonds all with favorable terms and top financial ratings, which allowed UHEAA to reduce the cost of education for hundreds of thousands of Utah students.

WHEREAS, Mr. Feitz, also oversaw its \$21 billion student loan portfolio, and administered its \$1.1 billion student loan bonding program, which has achieved top financial ratings and further cut the cost of going to college for students; and

WHEREAS, under Mr. Feitz's leadership, UHEAA's financial position has grown by \$144 million—an increase of 60%; and

WHEREAS, more than \$12 million was provided to more than 13,000 disadvantaged Utah students through the UHEAA Grant program which Mr. Feitz authored; and

WHEREAS, UHEAA incentivized on-time repayment and reduced Utah student education loan borrowing costs by \$257 million on 700,000 Utah student loans through its innovative borrower benefit programs, which Feitz also authored; and

WHEREAS, two student loan portfolios were acquired under Feitz's management totaling \$1.8 billion; and

WHEREAS, he also directed UHEAA's \$7 million investment in paying-for-college free seminars and workshops to help parents, counselors, and students navigate their paying for college questions; and

WHEREAS, under his leadership, 11,000 borrowers, with defaulted loans totaling more than \$133 million, were given a second chance through loan rehabilitation; and

WHEREAS, Mr. Feitz has remained tirelessly devoted to UHEAA and the students it serves throughout his career, and it is through his leadership that UHEAA has become more responsive, more innovative, more compliant, more competitive, and more efficient for the benefit of Utah students;

NOW, THEREFORE, BE IT RESOLVED, that the members of the Board of Directors for the Utah Higher Education Assistance Authority, joined by the Utah Board of Higher Education, the Commissioner of Higher

Education and his entire staff, express sincere appreciation to Mr. Feitz for his passion and deep commitment to higher education, and his steady leadership and unwavering dedication to his service at UHEAA.

Dated this 21st Day of August 2020.

*Lisa-Michele Church, Chair
UHEAA Board of Directors*

*Harris H. Simmons, Chair
Utah Board of Higher Education*

*Dave R. Woolstenhulme
Commissioner of Higher Education*





Utah Board of Higher Education Statutory Responsibilities and Authorities

The Utah Legislature established the Utah Board of Higher Education on July 1, 2020, and enacted specific and comprehensive responsibilities and authorities. Below are the Board's responsibilities and authorities, as stated in [Utah Code 53B-1-402](#).

The Utah Board of Higher Education is:

- the governing Board of Utah's public institutions of higher education, which controls, manages, and supervises the Utah System of Higher Education.

The Utah Board of Higher Education shall:

- establish and promote a state-level vision and goals for higher education that emphasize System priorities, including:
 - quality;
 - affordability;
 - educational opportunity, access, equity, and completion;
 - workforce alignment and preparation for high-quality jobs; and
 - economic growth;
- establish policies and practices that advance the vision and goals;
- establish metrics to demonstrate and monitor:
 - performance related to the goals; and
 - performance on measures of operational efficiency;
- collect and analyze data, including economic data, demographic data, and data related to the metrics;
- coordinate data collection across institutions;
- establish, approve, and oversee each institution's mission and role, in accordance with [Utah Code 53B-16-101](#);
- assess an institution's performance in accomplishing the institution's mission and role;
- participate in the establishment and review of programs of instruction, in accordance with [Utah Code 53B-16-102](#);
- perform duties related to an institution of higher education president, including:
 - appointing an institution of higher education president, in accordance with Utah Code [53B-2-102](#) and [53B-2a-107](#);

- providing support and guidance to an institution of higher education president; and
 - evaluating an institution of higher education president based on institutional performance and progress toward systemwide priorities;
- create and implement a strategic financial plan for higher education, by:
 - establishing a comprehensive budget and financial priorities for academic education and technical education;
 - allocating statewide resources to institutions;
 - setting tuition for each institution;
 - administering state financial aid programs;
 - administering performance funding, in accordance with Utah Code [53B-7-7](#); and
 - developing a strategic capital facility plan and prioritization process, in accordance with Utah Code [53B-2a-117](#) and [53B-2a-118](#) (commonly referred to as SB 102);
- create a seamless, articulated education system for Utah students that responds to changing demographics and workforce needs, by:
 - providing for statewide prior learning assessment, in accordance with Utah Code [53B-16-110](#);
 - establishing and maintaining clear pathways for articulation and transfer, in accordance with Utah Code [53B-16-105](#);
 - establishing degree program requirement guidelines, including credit hour limits;
 - aligning general education requirements across degree-granting institutions;
 - coordinating and incentivizing collaboration and partnerships between institutions in delivering programs;
 - coordinating distance delivery of programs; and
 - coordinating work-based learning;
- coordinate with the public education system:
 - regarding public education programs that provide postsecondary credit or certificates; and
 - to ensure that an institution of higher education providing technical education serves secondary students in the public education system;
- delegate to an institution's board of trustees certain duties related to institution governance including:
 - guidance and support for the institution president;
 - effective administration;
 - the institution's responsibility for contributing to progress toward achieving systemwide goals; and
 - other responsibilities determined by the Board;
- delegate to an institution of higher education president management of the institution of higher education;
- maximize efficiency throughout the Utah System of Higher Education by identifying and establishing shared administrative services;

- develop strategies for providing higher education, including career and technical education, in rural areas;
- manage and facilitate a process for initiating, prioritizing, and implementing education reform initiatives; and
- provide ongoing quality review of institutions.



UTAH SYSTEM OF
HIGHER EDUCATION

MEMORANDUM

TAB G

August 21, 2020

Roles and Missions of Institutions

When the Legislature passed S.B. 111 during the 2020 Session, it directed the newly formed Utah Board of Higher Education to “establish, approve, and oversee each institution's mission and role in accordance with [Section 53B-16-101.](#)” The statutory roles outlined in [Section 53B-16-101](#) are divided by institution type: research university, regional university, community college, and technical college. With two systems merging together, the potential for mission and role overlap required attention.

The Commissioner worked with the institutions' presidents to build upon the statutory roles and provide additional clarity. Their areas of focus included:

- defining the important differences between career and technical education (CTE) and technical education;
- designating technical colleges as the sole provider of technical education, while regional universities and community colleges provide CTE; and
- clarifying the distinct statutory technical college role Salt Lake Community College, Snow College and USU Eastern, Moab and Blanding have to provide technical education for their respective regions.

These roles will serve as the guiderails for institutions working within a system collaboratively and efficiently.

Commissioner's Recommendations

The Commissioner recommends the Board adopt the institutional missions and roles, which will be subsequently formalized in Board policy.

Attachments:

INSTITUTIONAL ROLES AND MISSIONS

One of the Utah Board of Higher Education's core responsibilities is to "establish, approve, and oversee each institution's mission and role." The Legislature establishes basic categories of roles, and the Board expands and clarifies those roles through policy. The statutory and expanded policy roles are listed below, along with statutory definitions, each institution's mission, assigned service regions, and institutional partners.

Statutory Definitions

53B-1-101.5

Academic education means an educational program that is offered by a degree-granting institution. Academic education does not include technical education.

Career and technical education means an educational program that is designed to meet industry needs, leads to a certificate or a degree, and may qualify for funding under the Carl D. Perkins Strengthening Career and Technical Education for the 21st Century Act.

Degree-granting institution of higher education or **degree-granting institution** means an institution of higher education described in Utah Code 53B-1-102(1)(a) as follows:

- The University of Utah
- Utah State University
- Weber State University
- Southern Utah University
- Snow College
- Dixie State University
- Utah Valley University
- Salt Lake Community College

Technical college means an institution of higher education described in Utah Code 53B-1-102(1)(b) as follows:

- Bridgerland Technical College
- Davis Technical College
- Dixie Technical College
- Mountainland Technical College
- Ogden-Weber Technical College
- Southwest Technical College
- Tooele Technical College
- Uintah Basin Technical College

Technical education means career and technical education that leads to an institutional certificate or is short-term training. Technical education does not include general education.

Roles and Missions

RESEARCH UNIVERSITY

Statutory Role (53B-16-101): Provide undergraduate, graduate, and research programs.

Policy Role (R312): A research university's role is to provide undergraduate, graduate, and research programs and to discover, create, and transmit knowledge through academic programs at the

undergraduate, graduate, and professional levels with an emphasis on teaching, research, and outreach. Articulation agreements allow students to seamlessly transfer within the System. The research institutions contribute to the quality of life and economic development at the local, state, and national levels. Many research efforts generate grants and contracts that also expand economic development. Research Universities have a responsibility to provide access to students from all parts of the state.

University of Utah

Mission (R312): The University of Utah fosters student success by preparing students from diverse backgrounds for lives of impact as leaders and citizens. We generate and share new knowledge, discoveries, and innovations, and we engage local and global communities to promote education, health, and quality of life. These contributions, in addition to responsible stewardship of our intellectual, physical, and financial resources, ensure the long-term success and viability of the institution.

Service Region (R315): Salt Lake County, Summit County (Park City only)

Utah State University

Mission (R312): The mission of Utah State University is to be one of the nation's premier student-centered land grant and space grant universities by fostering the principle that academics come first; by cultivating diversity of thought and culture; and by serving the public through learning, discovery, and engagement. Utah State University delivers associate, bachelor's, master's and doctorate degrees throughout its state-wide system as well as credit and non-credit technical education in the Southeastern region of the state. The institution also has a robust non-credit continuing education program.

Service Region (R315): Cache, Rich, Box Elder, Duchesne, Uintah, Daggett, Tooele, Carbon, Emery, Grand, San Juan.

Technical College Partners (53B-2a-112): Bridgerland Technical College, Tooele Technical College, Uintah Basin Technical College

REGIONAL UNIVERSITY

Statutory Role (53B-16-101): Provide career and technical education, undergraduate associate and baccalaureate degrees, and select master's degree programs to fill regional demands.

Policy Role (R312): The regional university's role is to provide open-access academic and career and technical education, undergraduate associate and baccalaureate programs and select graduate degree programs to fill regional or state workforce demands. Career and Technical Education programs are designed to meet workforce needs, lead to a certificate or degree, and include general education coursework. Articulation agreements allow students to transfer seamlessly from institutions offering Technical Education to CTE and academic pathways. Regional universities emphasize teaching, scholarly, and creative achievements that complement pedagogy, learning, and community service. The institution is a leading contributor to the quality of life and economic development at the local and state levels. Student success is supported through developmental programs and services associated with a comprehensive community college.

Weber State University

Mission (R312): Weber State University provides associate, baccalaureate and master degree programs in liberal arts, sciences, technical and professional fields. Encouraging freedom of expression and valuing diversity, the university provides excellent educational experiences for students through extensive personal contact among faculty, staff, and students in and out of the classroom. Through academic programs, research, artistic expression, public service, and community-based learning, the university serves as an educational, cultural and economic leader for the region.

Service Region (R315): Weber, Morgan, Davis

Technical College Partners (53B-2a-112): Davis Technical College, Ogden-Weber Technical College

Southern Utah University

Mission (R312): Southern Utah University is a dynamic teaching and learning community that engages students in experiential education leading to personal growth, civic responsibility, and professional excellence.

Service Region (R315): Iron, Garfield, Beaver

Technical College Partners (53B-2a-112): Southwest Technical College

Utah Valley University

Mission (R312): Utah Valley University is an integrated university and community college that educates every student for success in work and life through excellence in engaged teaching, services, and scholarship.

Service Region (R315): Utah, Wasatch, Summit (Concurrent Enrollment only within Park City)

Technical College Partners (53B-2a-112): Mountainland Technical College

Dixie State University

Mission (R312): Dixie State University is a public comprehensive university dedicated to rigorous learning and the enrichment of the professional and personal lives of its students and community by providing opportunities that engage the unique Southern Utah environment and resources.

Service Region (R315): Washington, Kane

Technical College Partners (53B-2a-112): Dixie Technical College

COMPREHENSIVE COMMUNITY COLLEGE

Statutory Role (53B-16-101): Provide associate programs.

Policy Role (R312): The comprehensive community college's role is to provide affordable and open enrollment to community members, ensuring equitable access to higher education. Community colleges focus on teaching and applied learning environments, transmitting knowledge and skills which prepare

students to enter the workforce or transfer seamlessly to stackable degree programs. Comprehensive community colleges provide education through:

- Academic and career and technical education culminating in certificates and associate degrees
- Developmental education
- Strong student services to support all functions

The institution emphasizes teaching, training, scholarly, professional, and creative achievement, and community service and contributes to the quality of life and economic development of the community and the state.

Snow College

Mission (R312): Snow College continues a tradition of excellence, encourages a culture of innovation, and cultivates an atmosphere of engagement to advance students in the achievement of their educational goals.

Service Region (R315): Sanpete, Sevier, Wayne, Piute, Millard, Juab

Salt Lake Community College

Mission (R312): Salt Lake Community College is your community college. We engage and support students in educational pathways leading to successful transfer and meaningful employment.

Service Region (R315): Salt Lake for certificate, applied associate, and associate degrees

DEGREE-GRANTING INSTITUTION WITH A TECHNICAL COLLEGE ROLE

Salt Lake Community College

Statutory Role (53B-16-209): Provide technical education with an emphasis primarily on open-entry, open-exit programs at a low cost to adult students and no tuition cost to secondary students that will result in appropriate licensing, certification, or other evidence of completion of training, and qualification for specific employment, with an emphasis on high-demand, high wage, and high skill jobs in business and industry.

Secondary Service Region: Salt Lake

Snow College

Statutory Role (53B-16-205): Provide, through the Snow College Richfield Campus, for open-entry, open-exit competency-based technical education programs, at a low-cost tuition rate for adults and at no tuition cost to secondary students, that emphasize short-term job training or retraining for immediate placement in the job market.

Secondary Service Region: Juab School District, Millard School District, Tintic School District, North Sanpete School District, South Sanpete School District, Wayne School District, Piute School District, and Sevier School District

Utah State University

Statutory Role (53B-16-207): Provide for open-entry, open-exit competency-based technical education programs, at a low-cost tuition rate for adults and at no tuition cost to secondary students, that emphasize short-term job training or retraining for immediate placement in the job market

Secondary Service Region: For Utah State University Eastern, the Carbon School District and the Emery School District; for Utah State University Blanding, the San Juan School District; and for Utah State University Moab, the Grand School District.

TECHNICAL COLLEGE

Statutory Role (53B-16-101): Provide technical education.

Policy Role: Technical colleges meet the needs of Utah’s employers for technically skilled workers and promote local and statewide economic development by providing market-driven technical education to secondary and post-secondary students. Programs lead to institutional certificates and industry credentials, where applicable, but do not include general education. Technical colleges deliver competency-based instruction, allowing students to progress quickly and affordably with recognition of prior education, skill, and experience. Articulation agreements are in place with secondary education partners to reduce the duplication of course work for students. Pathway agreements with postsecondary college and university partners also provide opportunities for students to progress in their education.

Only technical colleges or statutorily authorized institutions may provide technical education without authorization from the Board.

Bridgerland Technical College

Mission: The mission of the Bridgerland Technical College is to deliver competency-based, employer-guided career and technical education to both secondary and postsecondary students through traditional and technology-enhanced methodologies. This hands-on technical education provides occupational education, skills training, and workforce development to support the educational and economic development of the Bear River Region.

Secondary Service Region (53B-2a-105): Box Elder, Cache, Logan, Rich

Regional Degree-granting Partner (53B-2a-112): Utah State University

Davis Technical College

Mission: We are a trusted learning community embracing technical education to promote student development and economic growth.

Secondary Service Region (53B-2a-105): Davis, Morgan

Regional Degree-granting Partner (53B-2a-112): Weber State University

Dixie Technical College

Mission: For students who want to improve their lives by improving their employability, Dixie Tech provides current, relevant industry-driven training in a professional and demanding environment. Dixie Tech certificates, and nationally recognized certifications or licensure, provides competence and work readiness to potential employers.

Secondary Service Region (53B-2a-105): Washington

Regional Degree-granting Partner (53B-2a-112): Dixie State University

Mountainland Technical College

Mission: To enhance the employability of individuals through market-driven career and technical education.

Secondary Service Region (53B-2a-105): Alpine, Nebo, Provo, South Summit, North Summit, Wasatch, Park City

Regional Post-Secondary Partner (53B-2a-112): Utah Valley University

Ogden-Weber Technical College

Mission: We build a prosperous community by creating a technically-skilled workforce one student at a time.

Secondary Service Region (53B-2a-105): Ogden, Weber

Regional Degree-granting Partner (53B-2a-112): Weber State University

Southwest Technical College

Mission: Southwest Technical College provides education and job skill training through individualized competency-based programs in response to the needs of students, employers and the communities we serve.

Secondary Service Region (53B-2a-105): Beaver, Garfield, Iron, Kane

Regional Post-Secondary Partner (53B-2a-112): Southern Utah University

Tooele Technical College

Mission: Tooele Technical College provides rewarding, competency-based, affordable, and accessible career preparation for youth and adults to meet the needs of Utah employers.

Secondary Service Region (53B-2a-105): Tooele

Regional Degree-granting Partner (53B-2a-112): Utah State University

Uintah Basin Technical College

Mission: Uintah Basin Technical College provides technical education and training for secondary and adult students, to fulfill labor market needs, and promote economic development in the Uintah Basin.

Secondary Service Region (53B-2a-105): Daggett, Duchesne, Uintah

Regional Degree-granting Partner (53B-2a-112): Utah State University



UTAH SYSTEM OF
HIGHER EDUCATION

MEMORANDUM

TAB H

August 21, 2020

Delegated Duties and Responsibilities

When the Utah Legislature passed [S.B. 111, Higher Education Amendments](#), during the 2020 Legislative Session, it directed the newly formed Utah Board of Higher Education to “delegate to an institution board of trustees certain duties related to institution governance including guidance and support for the institution president, effective administration, the institution's responsibility for contributing to progress toward achieving systemwide goals, and other responsibilities determined by the Board.” Similarly, the Legislature instructed the Board to “delegate to an institution of higher education president management of the institution of higher education.”

Over the last five months, the Commissioner has worked with the presidents, the leadership of each institution's board of trustees, and Board leadership to identify areas of responsibility that should be delegated to the boards of trustees, the presidents, and the Commissioner. The attached table delineates those delegated responsibilities while articulating the Board's ongoing role with those delegated responsibilities.

Commissioner's Recommendations

The Commissioner recommends the Board adopt the delegated duties and responsibilities, which will be subsequently formalized in Board policy.

Attachments:



SUMMARY: Delegation of Responsibilities and Authority of USHE Governing and Administrative Officers

The Utah System of Higher Education comprises 16 institutions, all with unique missions, but who are also working towards common goals and a unified vision. To ensure the System is efficient, effective, and delivers world-class instruction and research, the Utah Board of Higher Education and institutional boards of trustees must align responsibility and authority. The Board of Higher Education has established the following responsibilities and authorities for the Board, the boards of trustees, presidents, and the Commissioner.

BOARDS OF TRUSTEES & THE BOARD OF HIGHER EDUCATION			
STATUTORY GUIDANCE	RESPONSIBILITIES	AUTHORITY	
		Delegated to Institutional Board of Trustees	Board of Higher Education
Guidance and support for the institution president	Appointment of a President	Trustee chair serves as search committee co-chair; executive committee participates in finalist interviews, consults with the Board on appointments. Trustees may conduct first phase of a search and submit finalists to the Board of Higher Education if authorized.	Board chair appoints a Board member to serve as search committee co-chair; search committee submits three-five finalists. The Board appoints president from among the finalists who serves at the pleasure of the Board. Board sets and adjusts presidential salaries by policy. Board may remove a president.
	Evaluation of Presidents	On behalf of the Board of Higher Education, the trustees chair and R&R team annually evaluate president's performance based on factors including progress towards institutional strategic plan and statewide performance metrics and goals. R&R team and trustee chair reports findings and recommendations to the Board of Higher Education.	Board sets evaluation criteria and procedures. Board takes appropriate action based on presidential performance and recommendations, using identified benchmarks. Board conducts comprehensive evaluation every four years or if otherwise needed.

<p>The institution's responsibility for contributing to progress toward achieving systemwide goals</p>	<p>Institutional Master Planning, Strategic Planning and Goals</p>	<p>Trustees approve a strategic plan for the institution that is aligned with: state attainment goals, workforce needs, and the institution of higher education's role, mission, and distinctiveness.</p> <p>Trustees set performance metrics in consultation with the Board of Higher Education.</p> <p>Trustees monitor the institution's progress toward achieving the strategic plan and report to the Board of Higher Education institutional progress.</p> <p>Trustees approve institutional master plan and associated changes. The trustees submit master plans and changes to the Commissioner's office.</p>	<p>Board sets and assesses systemwide performance on statewide goals and system performance metrics.</p> <p>Board requires institutions to provide a five-year capital plan, which the Board must approve.</p> <p>Institutions provide ongoing financial reporting.</p>
	<p>Institutional Missions & Roles</p>	<p>Trustees approve institutional missions that align with the institution's role as established in statute and by the Board.</p>	<p>Except as institutional roles are specifically assigned by the Legislature, the Board shall establish and define the roles of the institutions of higher education.</p> <p>Board adopts the institutional mission into policy.</p>
	<p>Institutional Policies/System Policies</p>	<p>Trustees approve institutional policies needed for the effective administration of the institution, ensuring they maintain parameters set by the Board of Higher Education.</p>	<p>The Board of Higher Education may approve systemwide policies.</p>
	<p>Tuition and Fees</p>	<p>Institutions present tuition and fee needs to trustees; trustees are responsible to verify data supporting requests for increases in tuition or fees.</p> <p>Trustees, based on their assessment of the institution's request and underlying data, recommend tuition and fee increases to the Board.</p>	<p>Board establishes criteria trustees will use in evaluating tuition and fee requests.</p> <p>Board sets criteria and process trustees follow to conduct due diligence for tuition and fee requests.</p> <p>Board assesses whether the trustees have properly assessed the institution's request.</p> <p>Board reviews and may approve trustees' recommendations.</p>
	<p>Budget and Finance Oversight</p>	<p>Trustees establish and oversee internal accounting and operating controls, monitor use of discretionary funds, establish institutional debt policy, and oversee all auxiliary enterprises.</p>	<p>Board sets system parameters in policy and requires necessary reporting on budget and finance. The Board may intervene if institutions exceed parameters or policy.</p>

	Facilities	<p>Trustees may approve construction projects for new facilities less than \$500,000 and remodels or improvements of existing facilities less than \$3,500,000.</p> <p>Trustees may approve property acquisition or disposal less than \$500,000.</p> <p>Trustees may approve capital leases of less than \$100,000 a year (\$250,000 a year for the U of U).</p> <p>U of U trustees may approve all U of U Hospital/Health Sciences capital projects.</p> <p>Trustees review and may approve requests to submit to the Board for all other capital facilities.</p>	<p>Board sets System parameters in policy and requires necessary reporting on facilities.</p> <p>Board must approve capital projects that exceed threshold amounts.</p>
	Audit	Trustees form the institution's internal audit committee, sets internal audit priorities, review internal audits, and report audit findings at least annually to the Board of Higher Education.	Board audit committee identifies areas of risk within the System and prioritizes System audits accordingly.
	Academic Programs	Trustees approve new programs that fit in the institutions mission and role and meet Board of Higher Education criteria.	Board of Higher Education establishes institutional missions and roles and criteria for new programming, such as workforce demand. Institutions report all new programs to the Board.
	Technical Education Programs	Trustees over technical colleges or institutions with a technical college role approve technical education programs that meet Board of Higher Education criteria.	Board of Higher Education establishes institutional missions and roles and criteria for new programming, such as workforce demand. Institutions report all new programs to the Board.
	Academic Degree Approval	Trustees review, consider and approve new institutional degree requests (certificates, associate, bachelor's, master's and doctoral), minors and emphases added to approved programs that conform to the institution's role and mission.	The Board of Education may consider and approve new degree requests (certificates, associate, bachelors, master's, and doctoral) minors, and emphases added to approved programs that fall outside of the institution's role and mission.
	Research and Training Grants	Trustees approve research and training grant funding on behalf of the Board of Higher Education.	<p>Institutions report grants annually to the Board.</p> <p>Institutions report highlights most impactful research.</p>
	Community Relations/Advancement	Trustees facilitate communication between the institution and the community; assist in planning, implementing, and executing fund raising and development projects; and perpetuate and strengthen alumni and	

		community identification with the institution's tradition and goals.	
	Honorary Degrees	Trustees approve recipients for honorary degrees or recognitions.	

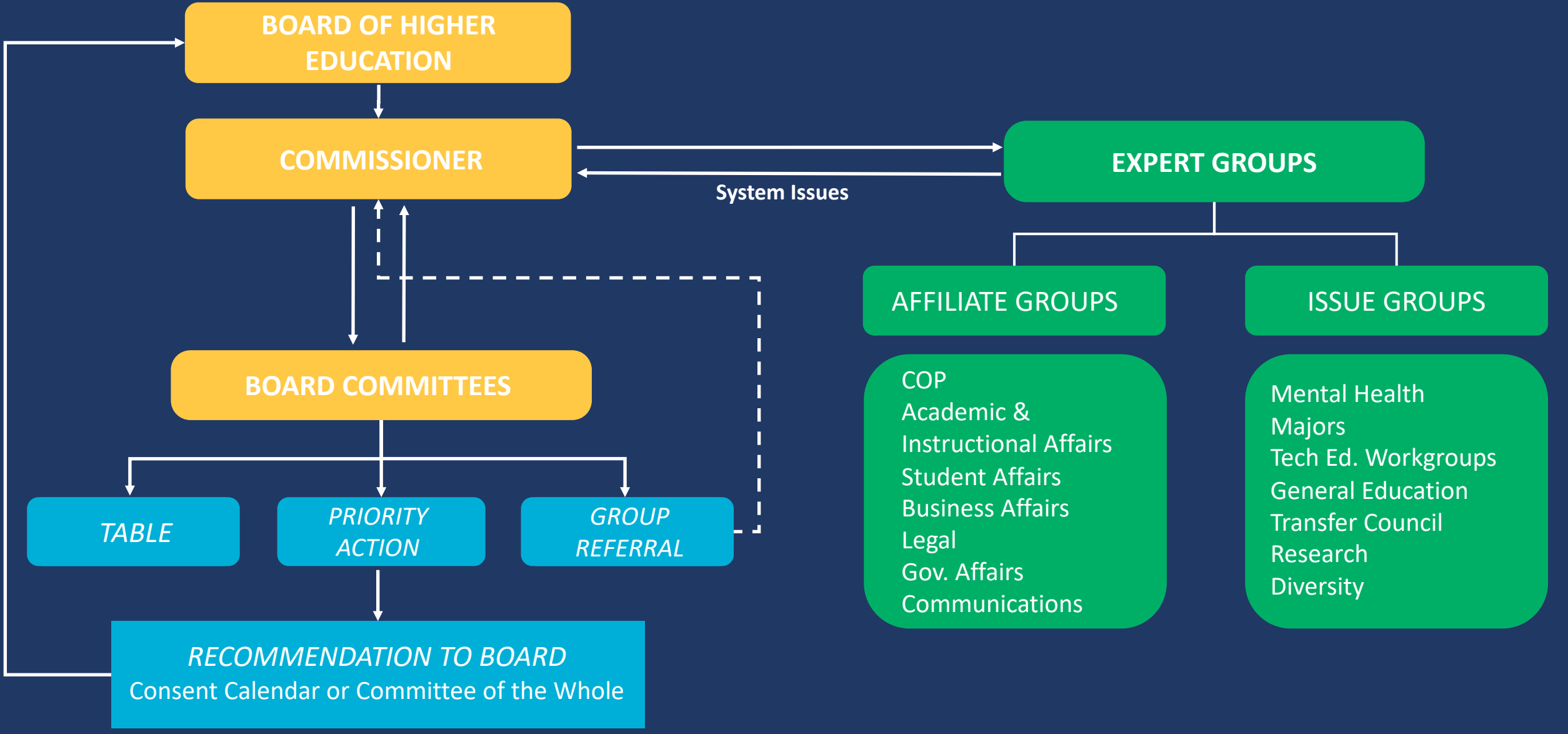
INSTITUTIONAL PRESIDENTS	
ROLE	AUTHORITY
Leadership	<p>An institution of higher education president develops and implements the institution's vision, mission, and goals, and manages progress toward achieving institutional aims.</p> <p>The president of each institution of higher education may exercise grants of power and authority to ensure the effective and efficient administration and operation of the institution of higher education.</p> <p>The president also guides the contributions of their institution to the vision, mission, and goals of the Utah System of Higher Education.</p> <p>The president is responsible to lead as part of a larger alliance of institutions whose presidents share a central mission to provide world-class, affordable higher education within the system of higher education. Presidents collaborate with and support each other, the Commissioner and the Board for the benefit of all students and Utah.</p>
External Relations	<p>The president serves as the representative of their institution with key stakeholder communities, including but not limited to:</p> <ul style="list-style-type: none"> • Alumni • Private, Foundation and Corporate Donors • State and Federal Legislators and other Governmental Leaders • Community Members and Non-Profit Partners • Employers, Industry • K-12 Education <p>The president is responsible for effective, high-integrity stewardship of both relationships with and resources from external stakeholders.</p>
Faculty and Staff	<p>The president may:</p> <ul style="list-style-type: none"> • Appoint a secretary, a treasurer, administrative officers, deans, faculty members, and other professional personnel, prescribe their duties, and determine their salaries • Appoint support personnel, prescribe their duties, and determine their salaries from the institution of higher education's position classification plan • Where applicable, provide for the constitution, government, and organization of the faculty and administration, and enact implementing rules, including the establishment of a prescribed of tenure • Enact rules for administration and operation of the institution which are consistent with the prescribed role established by the board, rules enacted by the board, or the laws of the state

	<p>The president may exercise powers relating to the institution's employees, including but not limited to:</p> <ul style="list-style-type: none"> • Furloughs • Reductions in force • Benefit adjustments • Program reductions or discontinuance • Early retirement incentives that provide cost savings to the institution of higher education • Other measures that may provide cost savings to the institution of higher education
Strategic Planning/Master Planning	<p>Presidents develop and execute strategic plans that lead to their institutions achieving institutional and systemwide goals and performance metrics.</p> <p>Presidents develop and maintain an institutional master plans and five-year financial plans associated with the master plans. As part of the master planning process, presidents identify needed capital improvements or new facilities and submit requests and planning to the trustees for approval.</p>
Finance and Budget	<p>The president establishes priorities for fiscal resources in a manner that help the institution achieve institutional goals and objectives and systemwide goals and performance measures.</p> <p>The president oversees the institution's fiscal health, including setting budgetary priorities and requiring efficient and effective use of resources.</p>
Student Success	<p>The president establishes goals and initiatives that encourage student success and well-being, including areas of retention, graduation rates, affordability, safety and mental health, career and academic counseling, and workforce attachment.</p> <p>The president prioritizes, fosters and celebrates a vibrant, challenging and positive learning environment for the institution's students.</p>

COMMISSIONER OF HIGHER EDUCATION	
ROLE	AUTHORITY
Leadership	<p>The Commissioner develops and implements the System's vision, mission, and goals, and manages progress toward achieving System aims.</p> <p>The Commissioner shall:</p> <ul style="list-style-type: none"> • Develop System strategic plan. • Ensure that the policies, programs align with the strategic and are properly executed. • Furnish information about the Utah System of Higher Education and make recommendations regarding that information to the Board. • Provide state-level leadership in any activity affecting an institution of higher education. • Develop and provide comprehensive training for Board members and trustees. • Perform other duties assigned by the Board in carrying out the Board's duties and responsibilities.

Coordination and Support for Presidents	<p>The Commissioner serves as Chair of the Council of Presidents, the duties of which include coordinating of meeting agendas and Council recommendations to the Board and facilitating communication and collaboration among the presidents.</p> <p>The Commissioner facilitates comprehensive presidential performance evaluations on behalf of the Board.</p> <p>The Commissioner may provide leadership, advice, and consultation as may be sought by institutional Presidents or directed by the Board.</p>
Audit	<p>The Commissioner is empowered to require information and reports from the institutions.</p> <p>The Commissioner has the authority, after giving due notice to the president, to assign his or her staff to audit records of institutions or otherwise verify data. Such audits shall be in response to Board instructions or to verify compliance with Board policy or applicable law. The Board audit committee shall prioritize the Commissioner's audits.</p>
System Policy	<p>The Commissioner develops policy that addresses statewide issues impacting the System for the Board to consider and adopt.</p> <p>The Commissioner shall be responsible for interpreting Board policy. The president of any institution may appeal the Commissioner's interpretation of policy to the Board.</p>
Communication	<p>The Commissioner:</p> <ul style="list-style-type: none"> • Supports the work of individual presidents and serves as a liaison between presidents and the Board. • Directs continuous communication between the colleges and universities, the Board, and the Office of the Commissioner, related to problems and issues of common interest to the Utah System of Higher Education. • Establishes and maintains positive, productive relationships with the Board, the institutional presidents, the boards of trustees, and the legislative and executive branches of state government. <p>The Commissioner, in consultation with the Board and in collaboration with the presidents, shall develop System legislative priorities and strategies related to federal and state legislation and funding impacting higher education.</p> <p>The Commissioner is authorized to advocate on behalf of the Board before the Governor and his or her staff, the Legislature, and other officials and governmental entities.</p>
System Budget and Finance	<p>The Commissioner, in collaboration with the presidents, develops a unified budget request for the System and presents budget priorities for the Board to consider and adopt.</p>

BOARD WORKFLOW



Board of Higher Education Committee and Commissioner Responsibilities

Academic Education Committee	Technical Education Committee	Finance & Facilities Committee	Student Affairs Committee	Executive Committee	Committee of the Whole	Commissioner
<ul style="list-style-type: none"> • Student Outcomes • Academic Program Approval • Concurrent Enrollment • Transfer & Articulation • Competency Based Education • Prior Learning Credit • Workforce Development • Workforce Attachment • Career Pathways • Industry Partnerships • Institutional Roles and Missions • Research • IP Commercialization 	<ul style="list-style-type: none"> • Student Outcomes • Technical Program Approval • Transfer & Articulation • Competency Based Education • Prior Learning Credit • Workforce Development • Workforce Attachment • Career Pathways • Institutional Roles and Missions • Industry Partnerships • Secondary Student Enrollment • Short-term training • Work-Based Activities and Apprenticeships • Institutional and Programmatic Accreditation 	<ul style="list-style-type: none"> • System Finance & Budget • Institutional Performance Metrics/Funding • Growth Funding • Campus Master Planning • Facilities • Space Utilization • Capital Improvements • Shared Services • Bonding • Tuition & Fees 	<ul style="list-style-type: none"> • Student Safety • Mental Health • Student Access • Retention & Completion • K-12 Partnerships • Equity and Diversity • Financial Aid 	<ul style="list-style-type: none"> • Board Oversight • Communications • Commissioner Performance • Board Meeting Planning • Fundraising 	<ul style="list-style-type: none"> • System Mission, Vision & Goals • Strategic Planning • Presidential Performance--Resource & Review Teams • Commissioner Performance • Trustee Relations • Audit* • Legal • Tuition and Fees • System Policy • UHEAA Oversight • my529 Oversight <p>*The Board Audit Committee Supervises Audit</p>	<ul style="list-style-type: none"> • Strategic Planning • Policy Compliance • Council of Presidents • Audit • Legal • Public Relations • Government Affairs • Marketing • Board Training • Board Meeting Planning • Staff Performance • Officer of the Commissioner Budget and Finance • UHEAA Management • my529 Management



ISSUE BRIEF

August 2020

Laís Martinez and Carrie Mayne

A new look at Utah's Growing Opportunity Gap

Preface: A call for systemwide equity and action

Last year, the Utah System of Higher Education published an issue brief on Utah's Opportunity Gap. The [2019 report](#)¹ focused on the long-range impacts of shifting demographics within Utah's population on enrollments and completions within USHE. What the report failed to do is address the responsibility of our System to evaluate the ways in which our System policies and procedures create structural barriers to the access and success of students, faculty, and staff who belong to underrepresented and marginalized groups.

In May, the world witnessed the senseless death of George Floyd at the hands of police, once again exhibiting the country's struggle with racial inequities. Frontline activists and engaged global citizens rallied together to bring increased attention and scrutiny to many troubling social issues, exacerbated and magnified by structural inequities impacting underrepresented communities. Further emphasizing these ongoing racial and ethnic disparities within the nation's systems has been the coronavirus pandemic, which the world has been grappling with since March. These current events ignited worldwide protests and opened up a national dialogue regarding systemic racism, growing structural disparities, and the institutions that have historically propagated them.

Utah's colleges and universities have long been engaged on issues of equity, diversity, and inclusion. They have been driving institutional change through equity initiatives that mitigate structural barriers and promote equity for many decades. System-level work and direction in these critical areas has been lacking and, now more than ever, we recognize our position and responsibility to continue facilitating dialogue and prompting action between state leaders, researchers, and industry experts on educational equity gaps to ensure all Utahns can access higher education and contribute to the overall state workforce.

The newly formed Utah Board of Higher Education is committed to working in concert with all 16 public higher education institutions in the state, to intervene in widening opportunity gaps for students, faculty, and staff who belong to underrepresented groups.

¹ Utah System of Higher Education, *Utah's Growing Opportunity Gap 2019*, https://ushe.edu/wp-content/uploads/2019/07/Utahs-Growing-Opportunity-Gap-Final_updated-template.pdf

Utah's Growing Opportunity Gap through a new lens

The call for structural change is not just driven by singular events, and the data included in this report suggests a need for action. The Board and System leadership will work in the coming months to develop an equity lens to better understand, identify, and address systemic equity issues to guide them in establishing priorities, setting goals, revising System policies, and governing USHE (more on this later). At the outset of their work, it is imperative to provide new context and reframing to such a critical topic.

With improved understanding surrounding equity, diversity, and inclusion, the data from the [2019 report on Utah's Growing Opportunity Gap](#)² has been reframed to better reflect the structural inequities impacting our state's communities, especially those who belong to underrepresented groups, as they access and complete higher education.

The impact of dismissing growing structural barriers on Utah's postsecondary educational attainment

State demographers and research experts suggest Utah's population will become increasingly diverse in the coming decades. [By 2065, the percentage of people of color in Utah, ages 18-35, will nearly double.](#)³ There is already a postsecondary education enrollment and completion gap represented through racial and ethnic disparities at colleges and universities within USHE. If intentional and significant changes are not made to address growing disparities within USHE, the enrollment gap at Utah's postsecondary colleges and universities will continue to increase as the population grows, and our communities will continue to be negatively impacted.

Should the System forego a critical review of its policies, procedures, and norms, the same pattern can be expected for completion rates, resulting in Utah students missing out on an estimated 290,000 degrees and awards from 2020-2065. This will have negative ramifications on Utah's economy and workforce, as well as the communities and students who enter the higher education landscape with hopes of upward mobility.

Method

This study was reframed to look at the long-range impact of shifting demographics within Utah's population on enrollments and completions if systemic and structural barriers within USHE are not addressed.

² Utah System of Higher Education, *Utah's Growing Opportunity Gap 2019*, https://ushe.edu/wp-content/uploads/2019/07/Utahs-Growing-Opportunity-Gap-Final_updated-template.pdf

³ Kem C. Gardner Policy Institute, *Race/Ethnicity Projections*, <https://gardner.utah.edu/demographics/projections/>

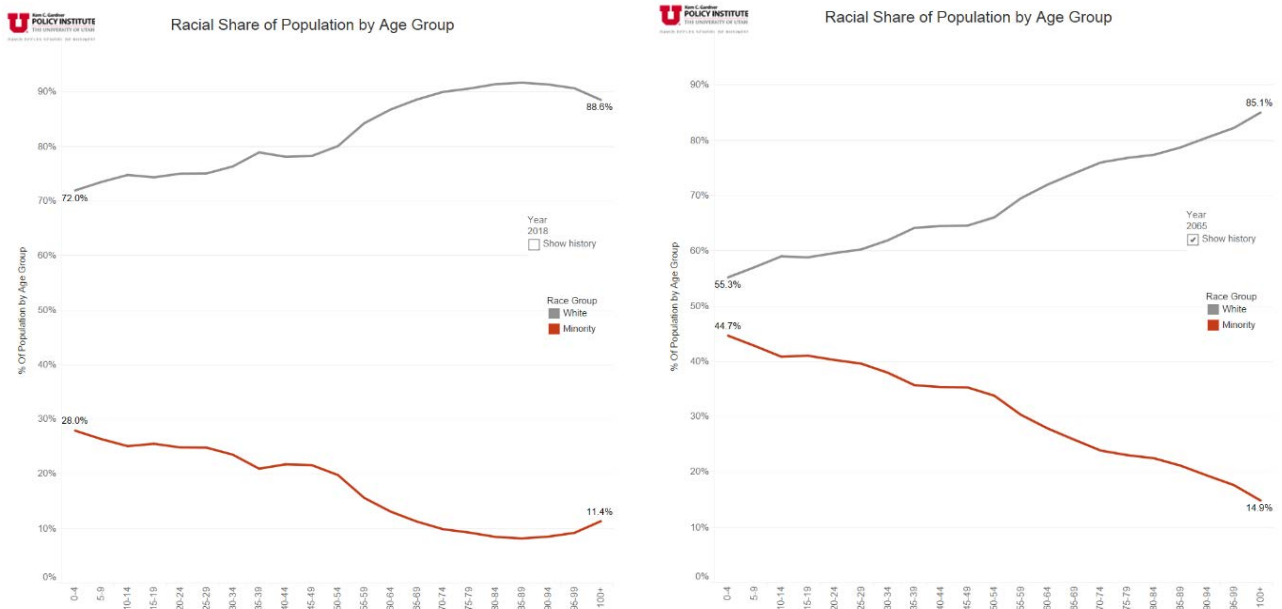
This analysis utilizes Utah [population projections provided by the Kem C. Gardner Policy Institute, which contains yearly estimates broken down by age, gender, and ethnicity from 2010 to 2065](#).⁴ The population age range of 18-35 is the focus of this analysis. This analysis also uses computed ratios of estimated state populations to USHE student enrollments, and the ratio of USHE student enrollment to USHE graduates, to estimate future postsecondary educational attainment in Utah. These ratios were used to estimate future enrollments and completions. Ratios were computed for each combination of age, gender, and race and ethnicity category. Detail data were then summarized for the entire 18-35 population.

Findings

Demographic shifts

In 2018, people of color made up 23%-25% of the 18-35 age population in Utah. By 2065, it is projected that the percentage of people of color in this age range will increase to make up 39-41% of the population.

Population trends of Utahns ages 18-35



Impact on enrollments

When comparing Utah college enrollment numbers of Utahns ages 18 to 35, enrollment rates of people of color are as much as 40% below that of white individuals.

⁴ Kem C. Gardner Policy Institute, *Race/Ethnicity Projections*, <https://gardner.utah.edu/demographics/projections/>

Young men of color are the population least represented attending USHE institutions. Male Native Americans (8.52%), male Hispanics (8.72%), and male Hawaiian/Pacific Islanders (9.74%) represent three of the lowest participation rates at USHE institutions. Only female, Hawaiian/Pacific Islanders had a lower participation rate (8.48%).

Percent of state population (18-35) enrolled at a USHE institution, 2018

Race/Ethnicity	Ages 18-35		
	Female	Male	Total
White	14.56%	15.61%	15.09%
Hispanic	10.45%	8.72%	9.59%
Asian	13.43%	13.01%	13.22%
Black	14.57%	11.43%	13.00%
Hawaiian/Pacific Islander	8.48%	9.74%	9.11%
Native American	10.98%	8.52%	9.75%
Two or More	14.66%	14.87%	14.76%
Total Average	12.45%	11.70%	12.07%

Growing structural disparities are also highlighted when reviewing enrollment of high school students one year after graduation, as reflected in USHE's report, *Utah High School Students Transition to College*.⁵ Utah's statewide average enrollment rate for students one year out of high school is 46%. When ranked by school district, Park City School District tops the list with 68% of students enrolling in college within one year of high school graduation. Conversely, rural school districts tend to exhibit lower-than-average first-year fall enrollment rates, with Uintah school district enrolling only 30% of their senior class. Urban school districts like Ogden similarly find themselves at the bottom of the list, with only 34% of their senior class enrolling in college within one year of high school graduation.

It should be noted that in Utah, measures of college enrollment within one year of high school graduation tend to underestimate the true picture of college attendance because of the ecclesiastical missionary service system of [Utah's predominant religion](#).⁶ Variations in religious mission activity of recent high school graduates could explain some of the variation between school districts. However, it is

⁵ Utah System of Higher Education, *Utah High School Students Transition to College 2020*, https://ushe.edu/wp-content/uploads/pdf/reports/issue_brief/2020/2018_HS_Feedback_IB.pdf

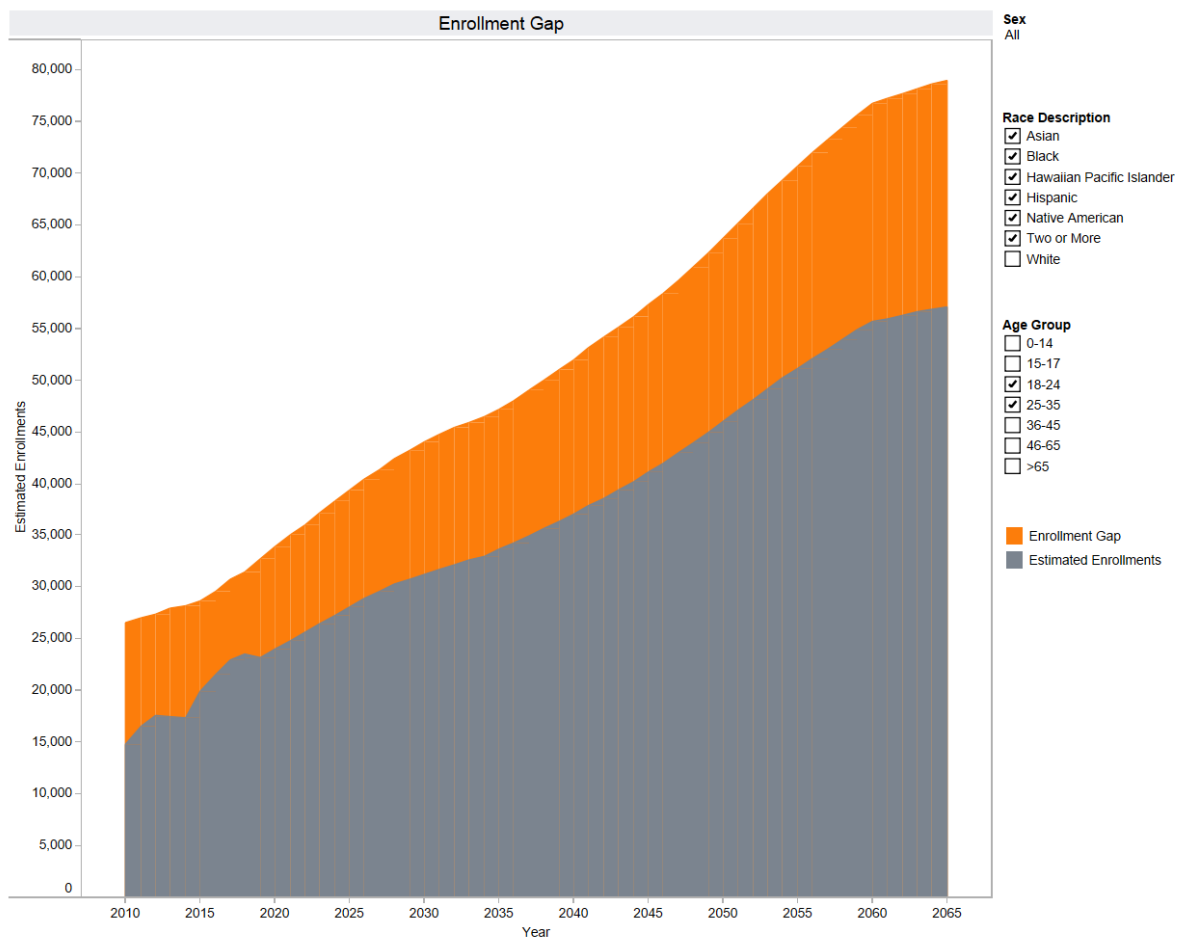
⁶ Pew Research Center, *Religious Landscape Study, Adults in Utah*, <https://www.pewforum.org/religious-landscape-study/state/utah/>

likely the case that socioeconomic status, marginalization, and structural inequities also play a role in whether a high school graduate attends college.

Utah's white population, ages 18-35, enrolled in college at an average rate of 15.1% over the last five years. Due to widening structural inequities, people of color in Utah enrolled at an average rate of 11.6% over the same period.

If disparities based on race, ethnicity, gender, and socioeconomic status persist, then the gap between the number of Utah residents expected to enroll at a USHE institution and the number that actually enroll will continue to increase unless the System intervenes.

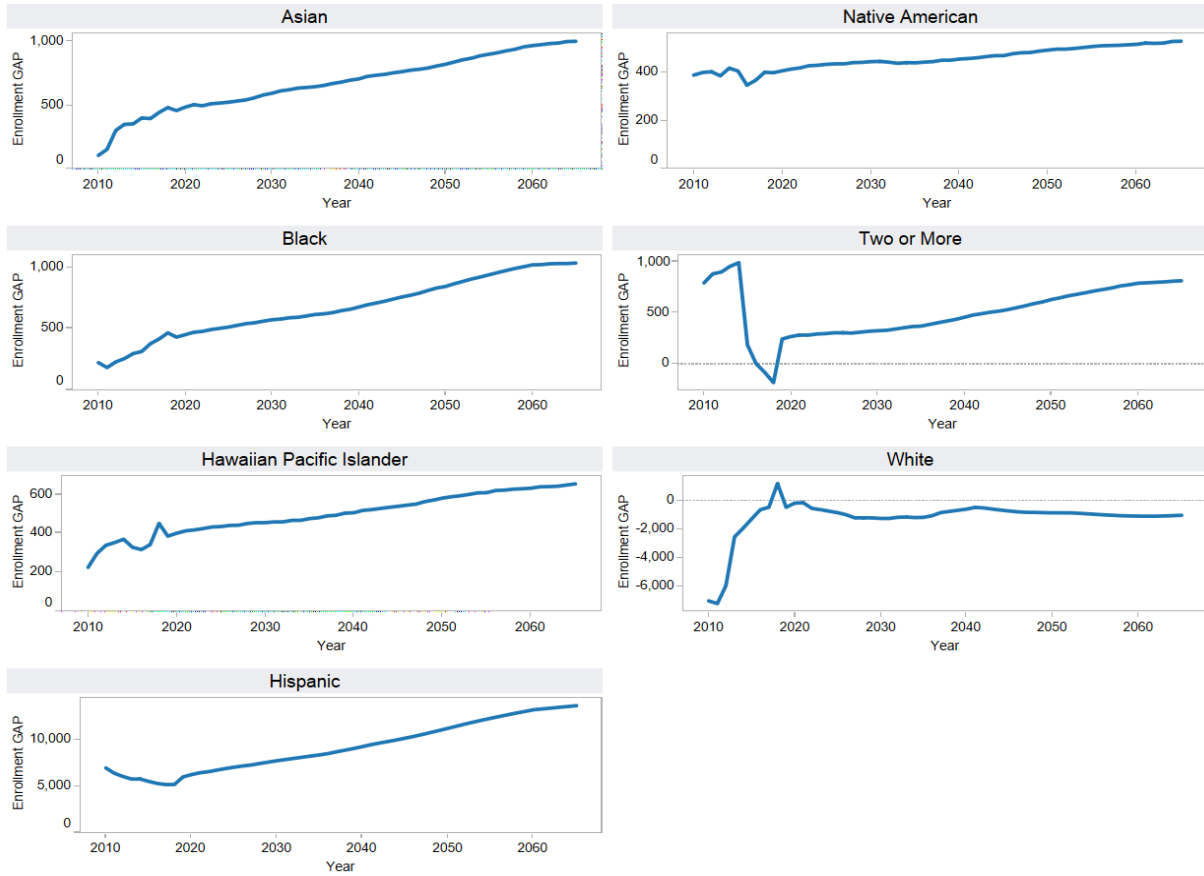
Estimated Enrollment Gap - Utah Population 18-35



The estimated enrollment gaps also increase when data is disaggregated by gender and ethnicity, and the age range is expanded to 15-35 to take into account potential high school students participating in Concurrent Enrollment.

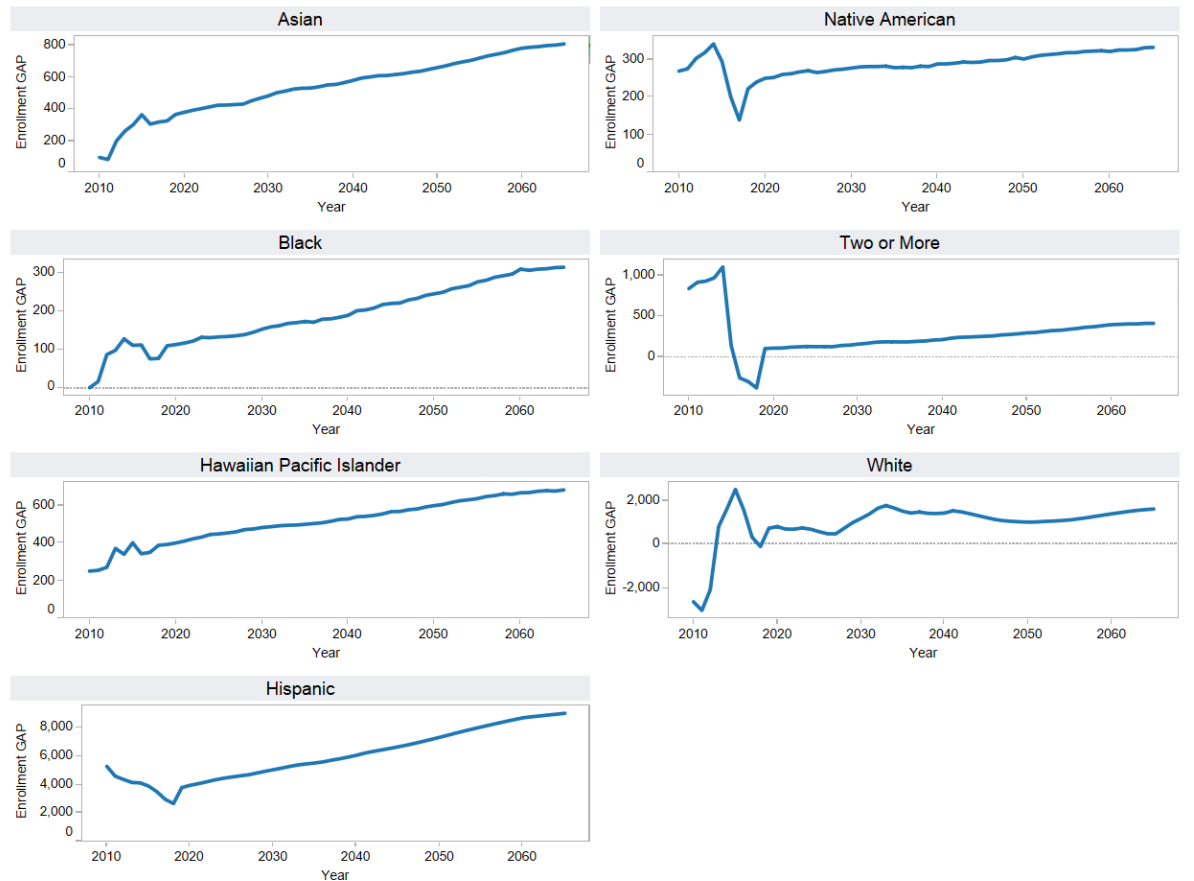
Enrollment Gaps Utah Male Population by Ethnicity

Enrollment Gap: Males age 15-35



Enrollment Gaps Utah Female Population by Ethnicity

Enrollment Gap: Females age 15-35



Impact on completions

Similar gaps exist in the completion rates of students at USHE institutions. When comparing college completion rates, postsecondary educational attainment of people of color is as much as 35% below that of white students. Due to structural barriers, for the past five years, white USHE students completed at an average rate of 32.1%. Over the same time, USHE students of color completed at an average rate of 25.7%.

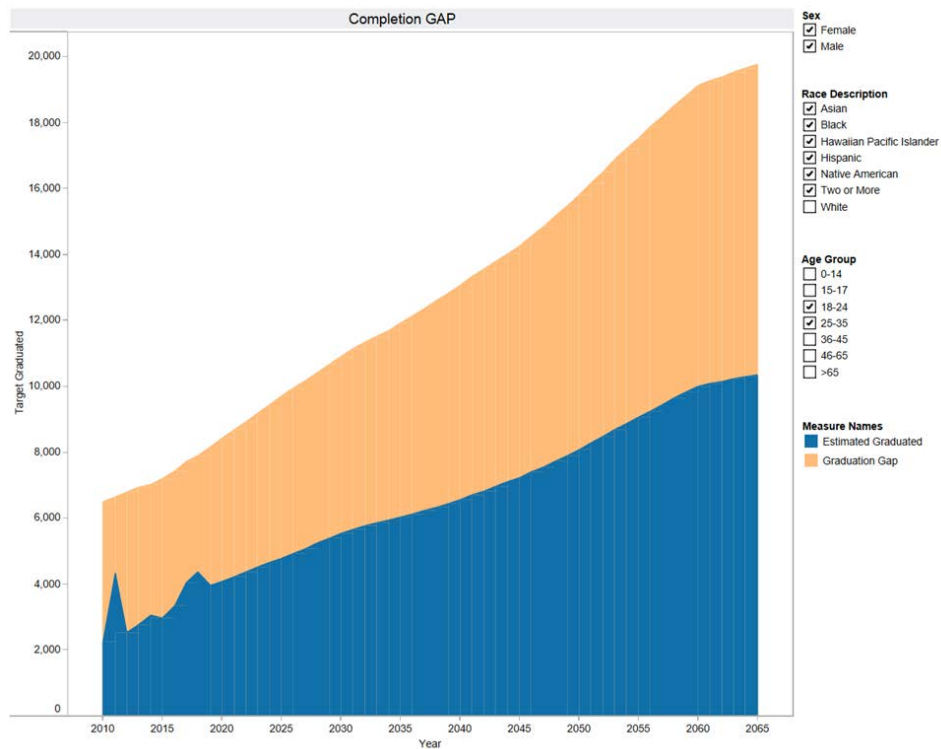
For completions, the “two or more” race/ethnicity category had the highest completion rate at 33.64% while the Hawaiian/Pacific Islander population exhibited the lowest completion rate at 20.79% — a 13-percentage point difference. Women outpaced men in completion, with 27.93% of the enrolled population completing an award each year, compared to 25.25% for men.

Estimated average completion rates of enrolled populations each year

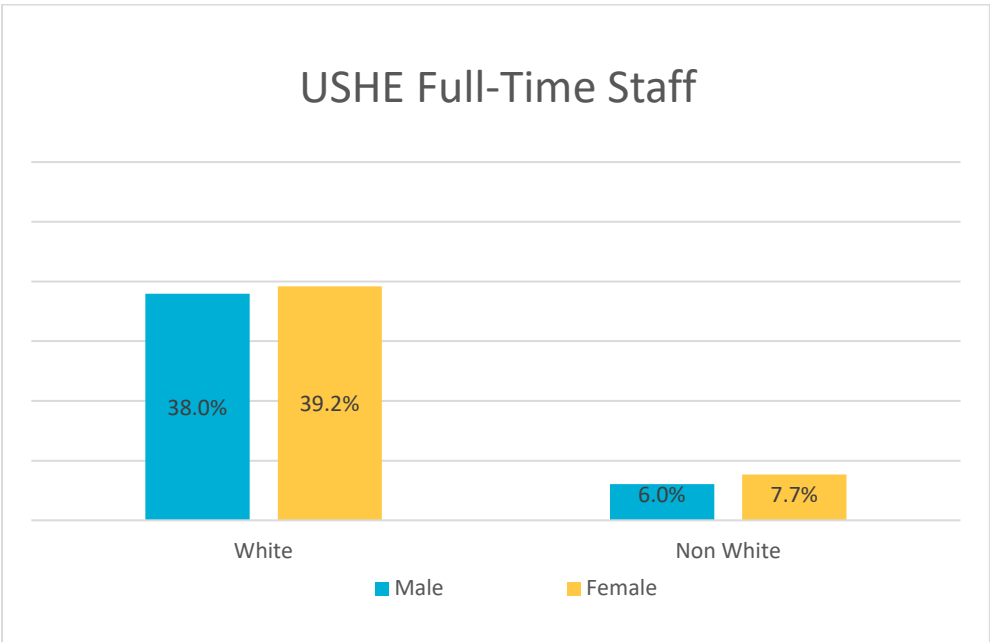
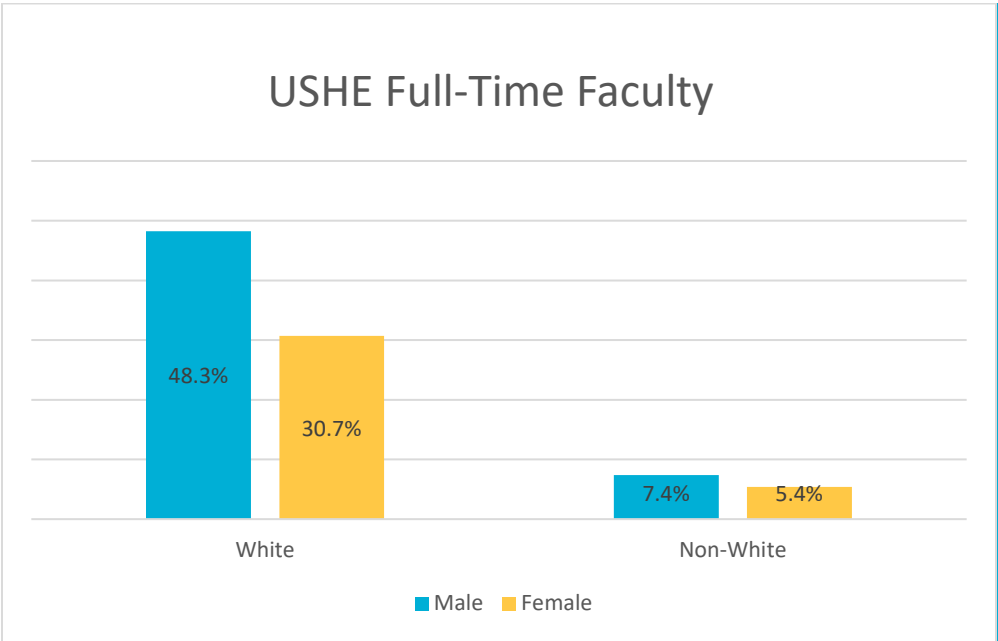
Race/Ethnicity	Ages 18-35		
	Female	Male	Total
White	33.94%	30.32%	32.13%
Hispanic	23.22%	20.98%	22.10%
Asian	31.17%	30.77%	30.97%
Black	24.77%	21.23%	23.00%
Hawaiian Pacific Islander	22.30%	19.28%	20.79%
Native American	26.24%	20.72%	23.48%
Two or More	33.84%	33.44%	33.64%
Total Average	27.93%	25.25%	26.59%

Similar to the enrollment trends, if current completion trends go unchecked, then as the state's population demographics shift, the gap between potential completions and actual completions will become increasingly large.

Growing Completion Gap in Non-white Populations



Data on employment at the state’s degree-granting postsecondary public institutions suggest an issue of underrepresentation as well. As of November 2019, only 19.8% of full-time faculty positions and 18.9% of full-time staff positions were held by individuals of color.



Further research is needed to understand both the extent of underrepresentation relative to the local demographic composition, as well as to what degree the employment patterns reflect structural higher education barriers, which could be preventing people of color from the opportunity of gaining further education and training needed for entry to campus positions.

The absence of representative staff and faculty also greatly impacts underrepresented student persistence, and completion outcomes. It is critical that institutions review recruitment, retention, persistence, and

hiring practices and policies for staff and faculty. The same trend holds true in the recruitment, retention, persistence, and application processes for institutional student leadership by race, ethnicity, and gender.

Key Points

Disparities in enrollment and completion rates based on race, ethnicity, and gender will have long-term implications for the State of Utah as population demographics shift and inequities are not addressed. Over time, the estimated percent of total Utah residents, ages 18-35, who enroll at a USHE institution will decrease by approximately 1.6% and the percent of total residents, ages 18-35, who complete a certificate or degree each year will decrease by 0.1% between 2010 and 2065.

Utah's population is projected to grow to over 3.5 million by 2065. The potential impact of maintaining the current trajectory within higher education would result in 22,377 lost enrollments and 11,265 lost completions in the year 2065 alone.

Due to systemic inequity and a failure to intentionally address structural barriers in enrollment and completion based on race and ethnicity, it is estimated that underrepresented student populations in Utah have missed out on the opportunity for approximately 36,000 additional degrees and awards between the 2010 and 2019 academic years.

If nothing is done to address growing educational equity disparities, Utah community members will miss out on an estimated 290,000 degrees and awards between 2020 and 2065.

The Need for an Equity Lens within Higher Education

The newly established Utah Board of Higher Education and combined System, including all public universities, community colleges, and technical colleges in Utah, has a rare opportunity to truly change the course for many Utahns, helping to bolster Utah's workforce and economy, and most importantly, to open up pathways for its community members to pursue a better quality of life.

The efforts of those working on issues of diversity, equity, and inclusion, to address inequities within underrepresented communities must be successful for the benefit of our students. For the Board to effectively eliminate inequities at a systemwide level, it must begin its work with a new equity lens that helps identify the systems, structures, policies, procedures, and existing higher education cultural norms that sustain models for racial and socioeconomic disparities. The new equity lens will provide a common language, definitions, and metrics to confront structural deficiencies. It will guide higher education leaders to address the root problems of inequality within higher education to ensure meaningful action is taken for Utah's future prosperity.

To that end, it is anticipated that the Board will adopt a resolution at their August Board meeting on equity, diversity, and inclusion to advance equitable systemic change within USHE by addressing needed changes through the following structural ways:

1. Establish a diversity, equity, and inclusion workgroup to cultivate collaboration and coordination among the Board and System leadership. The workgroup members shall include the Board executive committee, the Commissioner and his staff, institutional representatives, and community organizations. The workgroup will meet quarterly, starting in September, to update its members on each standing committee's progress toward statewide goals and to align ongoing efforts to advance equitable systemic change. Additionally, the workgroup will be responsible for creating opportunities to hear from underrepresented students and communities to guide its work.
2. Create an equity lens framework for higher education leaders, in collaboration with institutional Chief Diversity Officers, community leaders, and System leadership, to better understand, identify, and address systemic equity issues as they establish priorities, set goals, revise System policies, and govern the Utah System of Higher Education. A draft of this document will be shared at the October Board meeting.
3. Ensure statewide attainment and performance goals include measures designed to close statewide inequities and are part of the System strategic plan. By the November 20 Board meeting, each standing committee will develop a set of aligned priorities, specific to their assigned areas of responsibility, that are equity-driven, measurable, and focused on creating meaningful progress toward removing statewide inequities.

***RESOLUTION TO ADVANCE EQUITABLE SYSTEMIC CHANGE WITHIN
THE UTAH SYSTEM OF HIGHER EDUCATION***

WHEREAS, recent racial and social justice movements around the globe have amplified calls to evaluate, reform, and eradicate systemic racism and structural equity disparities; and

WHEREAS, Utah continues to see significant gaps in postsecondary enrollment and completion by race, ethnicity, gender, and socioeconomic status; and

WHEREAS, without a critical examination of System policies, procedures, and structures, these gaps will grow over time, resulting in Utah community members missing out on earning thousands of transformative higher education credentials in coming decades; and

WHEREAS, the Utah Board of Higher Education recognizes that underrepresented student groups face intractable structural barriers as they work to access, persist, and complete higher education; and

WHEREAS, the Utah Board of Higher Education is committed to joining with all 16 public higher education institutions across the state to intervene in widening higher education enrollment and completion disparities for underrepresented students; and

WHEREAS, the Board recognizes that intervening in systemic disparities requires issues of equity, diversity, inclusion, and student safety be at the forefront of all its work; and

WHEREAS, the Board acknowledges its position and responsibility to continue facilitating dialogue and coordinated actions between state leaders, education leaders, researchers, and industry experts to eliminate educational equity gaps and to ensure all Utahns can access and complete higher education;

THEREFORE, the Utah Board of Higher Education resolves to advance equitable systemic change through the following priorities:

1. Establish a diversity, equity, and inclusion workgroup to cultivate collaboration and coordination among the Board and System leadership. The workgroup members shall include the Board executive committee, the Commissioner and his staff, institutional representatives, and community organizations. The workgroup will meet quarterly, starting in September, to update its members on each standing committee's progress toward statewide goals and to align ongoing efforts to advance equitable systemic change. Additionally, the workgroup will be responsible for creating opportunities to hear from underrepresented students and communities to guide its work.
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Dated this 21st day of August 2020.

Harris H. Simmons, Chair
Utah Board of Higher Education



Dave R. Woolstenhulme
Commissioner of Higher Education



UTAH SYSTEM OF
HIGHER EDUCATION

MEMORANDUM

TAB L

August 21, 2020

Learn & Work In Utah

The Utah Legislature, with federal CARES Act funding, created the new Learn & Work In Utah initiative. This \$9 million initiative provides training for workers displaced due to COVID-19 by funding the Governor's Office of Economic Development's Utah Works program within Talent Ready Utah and the Utah System of Higher Education's Custom Fit program to provide education and training to displaced workers. USHE, in collaboration with Talent Ready Utah, designed an application, open to all higher education institutions in the State of Utah, to submit proposals for new and expanded programming designed to support unemployed, underemployed, and vulnerable workers. The application closed on July 23rd and received 188 program proposals, totaling over \$15 million in requested funds. Review teams from Talent Ready Utah and USHE reviewed applications and, within one week of the submissions, awarded the first \$8.7 million in program support to our institutions. Additionally, the committee identified another \$4.5 million in programming, which could potentially be supported through additional CARES funding allocated by the Legislature during the August 2020 special session. The funding was awarded to the following institutions:

Institution	Round 1 Funding	Potential Round 2 Funding
Bridgerland Technical College	\$386,050.00	\$0.00
Dixie Technical College	\$397,042.00	\$0.00
Dixie State University	\$711,700.00	\$170,025.00
Mountainland Technical College	\$1,318,902.00	\$1,049,445.00
Salt Lake Community College	\$611,504.38	\$601,391.00
Snow College	\$900,650.00	\$117,812.00
Southern Utah University	\$383,595.00	\$192,960.00
Southwest Technical College	\$249,489.55	\$32,000.00
Tooele Technical College	\$377,248.00	\$76,452.00
Uintah Basin Technical College	\$148,000.00	\$0.00
University of Utah	\$589,251.00	\$290,740.00
Utah State University	\$783,968.00	\$567,400.00
Utah Valley University	\$841,732.00	\$699,500.00
Weber State University	\$1,022,274.00	\$796,272.00
Total	\$8,721,405.93	\$4,593,997.00

Attachments:

Each institution offered many unique and creative programming opportunities geared to serve employer needs in their region. A statewide marketing campaign to promote enrollment by those affected by COVID 19 was launched in partnership with Rumor Advertising on August 10th, 2020. The following programs were funded in the first round of funding:

Program Description	Organization
Scholarship	Bridgerland Technical College
Auto Tech (10 additional students)	Dixie Technical College
Diesel Tech (10 additional students)	Dixie Technical College
Emergency Med Tech (accelerated cohort)	Dixie Technical College
NEW Code Camp (Entry level web design, social)	Dixie Technical College
Phlebotomy (2 additional cohorts)	Dixie Technical College
Nursing Assistant	Dixie Technical College
Advanced EMT (Evening)	Dixie State University
Acct, Info Systems & Marketing Certificates	Dixie State University
Career Acceleration Cert 2.0	Dixie State University
Info Tech Cert	Dixie State University
Photo/Digital Imaging Cert	Dixie State University
Intermediate ESL Cert	Dixie State University
Health Comm Cert	Dixie State University
Med Lab Asst Tech	Dixie State University
Design Cert	Dixie State University
CDL (added instructor)	Mountainland Technical College
Medical Billing (Add add'l. sections/locations)	Mountainland Technical College
Apprenticeship - Clyde Companies	Mountainland Technical College
3-D Printing Manufacturing	Mountainland Technical College
Welding Tech Level 1	Mountainland Technical College
Automated Manufacturing	Mountainland Technical College
Diesel Tech	Mountainland Technical College
Digital Media (expand to accommodate wait list)	Mountainland Technical College
Computer Programming	Salt Lake Community College
Desktop Support Tech	Salt Lake Community College
Windows Network Administration	Salt Lake Community College
EMT	Salt Lake Community College
Clinical Lab Assistant	Salt Lake Community College
Network Support Tech	Salt Lake Community College
Special Functions Officer	Salt Lake Community College
Medical Interpreter Basics - Arabic	Salt Lake Community College
HVAC Energy Analysis Certificate	Salt Lake Community College
Enterprise Network	Salt Lake Community College
Project Management Now	Salt Lake Community College
CDL	Salt Lake Community College
Adobe InDesign for Beginners	Salt Lake Community College
Therapeutic Recreation Technician	Salt Lake Community College
Electronics Assembly Technician	Salt Lake Community College
Surgical Technician	Salt Lake Community College
Certified Nursing Assistant	Salt Lake Community College
SolidWorks Parametric Modeling	Salt Lake Community College
Energy Efficient Lighting Certificate	Salt Lake Community College
Injection Molding Technology	Salt Lake Community College
Advanced Composites Technician Training	Salt Lake Community College
Building Automation Systems Certificate	Salt Lake Community College
Website Design & Development	Salt Lake Community College

Lineworker Pre-Apprenticeship Program	Salt Lake Community College
Nanotechnology Certificate of Proficiency	Salt Lake Community College
MS Word/Excel	Salt Lake Community College
QuickBooks Essentials	Salt Lake Community College
Warehouse Distribution & Forklift Operator Certificate	Salt Lake Community College
Electrical Engineering Technology Industrial Certificate of Completions	Salt Lake Community College
Autodesk Revit Comprehensive (3D Modeling)	Salt Lake Community College
Solar Photovoltaic - Installation	Salt Lake Community College
Rail Technician	Salt Lake Community College
Microscopy Certificate of Proficiency	Salt Lake Community College
AutoCAD Fundamentals	Salt Lake Community College
Paraeducator Standards & Competencies	Salt Lake Community College
Medical Device Processes and Practices Certification	Salt Lake Community College
Everyday Entrepreneur Program (EEP)	Salt Lake Community College
GIS Certificate of Proficiency	Salt Lake Community College
Microsoft Office Specialist	Salt Lake Community College
Basic Technology	Salt Lake Community College
Farm Safety Training	Snow College
Rural Online Initiative Supplemental Training Partnership	Snow College
Data Analytics Bootcamp	Snow College
Social Media/Business Management	Snow College
Real Estate Agent Licensing Preparation Course	Snow College
Human Services Certificate	Snow College
Drone Operation Course Series	Snow College
Essential Skills in Geographic information Systems (GIS) using ArcGIS Pro, ArcGIS Online, and Industry-level GPS data collection equipment	Snow College
Eaton, Komatsu, Cummins Heavy Duty Transmission, Hydraulics, and Tier 4 Emissions Certification Classes	Snow College
Computer Information Systems (CIS) Cybersecurity Short-Term Training	Snow College
Certificate of Proficiency in Geographic Information Systems (GIS)	Snow College
Certificate of Proficiency in Basic Accounting	Snow College
QuickBooks for Small Business (online asynchronous)	Snow College
Better Your Business	Southern Utah University
Entrepreneurship: Case Studies and Application	Southern Utah University
Enriched Teaching Skills & Practices for the Professional Instructor	Southern Utah University
Principles of Contemporary Animal Services	Southern Utah University
Aviation Maintenance Training Generals Certificate Program	Southern Utah University
Systems, Agility and Innovation Management	Southern Utah University
Community Health Worker	Southern Utah University
Online and Remote Teaching Basics	Southern Utah University
Remote Work Foundations	Southern Utah University
Basic Cybersecurity Certificate	Southern Utah University
Parks and Public Lands Stewardship	Southern Utah University
Scholarship Program	Southwest Technical College
Innovation Center	Southwest Technical College
Short-Term Scholarship Program	Southwest Technical College
CDL - Professional Truck Driving	Southwest Technical College
Computer Science Bootcamp	Southwest Technical College
CDL	Tooele Technical College
Software Development	Tooele Technical College

Business Technology	Tooele Technical College
LPN	Tooele Technical College
Welding Technician	Tooele Technical College
Diesel Technician	Tooele Technical College
Electrical Apprenticeship	Tooele Technical College
Nail Technician	Tooele Technical College
A+ Certification	Tooele Technical College
Industrial Maintenance and Automation Technology	Tooele Technical College
Phlebotomy Technician	Tooele Technical College
Certified Nursing Assistant	Tooele Technical College
POST	Tooele Technical College
CDL Class A License	Tooele Technical College
Access & Opportunity Scholarship	Uintah Basin Technology College
Adobe Learning	University of Utah
Learn & Work Community Engagement - Overview	University of Utah
Project Management	University of Utah
Web Coding Bootcamp	University of Utah
UX/UI Bootcamp	University of Utah
Programming Languages	University of Utah
Microsoft Basics	University of Utah
Pharmacy Technician	University of Utah
Medical Assistant	University of Utah
Medical Office Manager	University of Utah
Medical Coding & Billing	University of Utah
Data Science Short Course	University of Utah
Digital Basics	University of Utah
Data Analytics	University of Utah
Cybersecurity Short Certification	University of Utah
Cybersecurity Bootcamp	University of Utah
Cloud Computing Certification	University of Utah
Certificate Programs in Computer Science	Utah State University
Arts Entrepreneurship Professional Development Program	Utah State University
Career and Technical Education Academy: Transitioning to the Classroom	Utah State University
Stimulate Small Business Development	Utah State University
Additive Manufacturing: Bringing the 4th Industrial Revolution to Rural Utah	Utah State University
CDL	Utah State University
Certified Nursing Assistant	Utah State University
Street and Air Critical Care	Utah Valley University
Cybersecurity Boot Camp	Utah Valley University
Building Inspection Technology - Residential Building Inspector	Utah Valley University
NASM Personal Training Certification	Utah Valley University
Business Data Analytics Professional Certificate Program	Utah Valley University
Strategic Marketing Certificate Program Fall	Utah Valley University
Human Resources Management Professional Certificate Program	Utah Valley University
Six Sigma in Project Management Certificate Program	Utah Valley University
Social Impact Professional Certificate Program	Utah Valley University
Strategic Marketing Certificate Program - Winter	Utah Valley University
Building Inspection Technology - Commercial Building Inspector	Utah Valley University
Principles of Software Testing	Utah Valley University
Economic Development	Utah Valley University

The Essentials of Essential Oils	Utah Valley University
Digital Information Management Certificate (Cares Act)	Utah Valley University
Electrical and Control Technology Certificate (Cares Act)	Utah Valley University
Foundations of Application Development Certificate (Cares Act)	Utah Valley University
Process Improvement and Operations Certificate (Cares Act)	Utah Valley University
Business Presentations & Communications Certificate Program	Utah Valley University
Landlord/Tenant Law for Licensed Paralegal Practitioners	Utah Valley University
Debt Collection Law for Licensed Paralegal Practitioner	Utah Valley University
Ethical Rules for Licensed Paralegal Practitioners	Utah Valley University
Family Law for Licensed Paralegal Practitioners	Utah Valley University
Project Management Professional Certificate (PMI - Prep)	Utah Valley University
Project Management Professional Certificate (PMI - Prep) Winter	Utah Valley University
Salesforce.com Administrator Training	Utah Valley University
Salesforce.com Administrator Training Winter	Utah Valley University
SHRM Learning System: Human Resource Certification	Utah Valley University
AWS Cloud Computing Powered by Pluralsight	Utah Valley University
Digital Literacy	Utah Valley University
Security Officer Training	Utah Valley University



General Consent Calendar

A. Minutes

- a. Minutes of the Board Meeting July 1, 2020, Board of Regents Office, Salt Lake City, Utah (Attachment)

B. R120, Board of Higher Education Bylaws (Attachment)

- a) The Bylaws inadvertently required the Executive Committee to comply with the notice and public meetings requirements of the Open Meetings Act for all its meetings, which is not required under the law. These revisions to the Bylaws will require the Executive Committee to comply with the Open Meetings Act only when it intends to take action on behalf of the Board as allowed for routine matters or non-routine matters in extraordinary circumstances. Additional revisions correct minor grammatical errors.

C. Dixie State University – Series 2020 Revenue Bonds

D. USHE – 2021-2022 Budget Process Guidelines

E. USHE – 2020-21 Performance Funding Allocations

F. USHE – Student Loan Revenue Bond Adjustment

G. ACADEMIC EDUCATION ITEMS

ACTION:

1. 7 YR Review

- b) Utah State University – BS in Nutrition Sciences
- c) Utah State University – Department of Economics and Finance
- d) Utah State University – Department of Psychology

INFORMATION:

2. New Full Degrees

- a) University of Utah – Bachelor of Science in English
- b) University of Utah – MS in Pathology
- c) Weber State University – Associate of Arts in English
- d) Weber State University – AA/AS/AAS in Digital Media Production
- e) Weber State University – Associate of Applied Science in Building Design and Construction Pre-Architecture
- f) Weber State University – Bachelor of Science in Environmental Science
- g) Weber State University – Bachelor of Science in Teaching Computer Science
- h) Salt Lake Community College – Associate of Applied Science in Advanced Manufacturing

NOTIFICATION:

3. New Program

- a) University of Utah – Post-Baccalaureate Certificate in Community Engaged Practices

- b) Utah State University – Certificate of Proficiency in Advanced Design, Geospatial, and Visualization Technologies
- c) Utah State University – Certificate of Proficiency in Applied Geographic Information Science
- d) Utah State University – Certificate of Proficiency in Nonprofit Organizations and Social Entrepreneurship
- e) Utah State University – Emphasis in Cybersecurity within the BS in Technology Systems
- f) Utah State University – Emphasis in Design within the BS in Outdoor Product Design and Development
- g) Utah State University – Emphasis in Development within the BS in Outdoor Product Design and Development
- h) Utah State University – Emphasis in Product Line Management within the BS in Outdoor Product Design and Development
- i) Utah State University – Specialization in Science Education within the Master of Education in Curriculum and Instruction
- j) Utah State University – Specialization in Science Education within the Master of Science in Curriculum and Instruction
- k) Weber State University – Certificate of Proficiency in Cybersecurity Essentials
- l) Weber State University – Certificate of Proficiency in Field Botany
- m) Weber State University – Certificate of Proficiency in Juvenile Justice
- n) Weber State University – Certificate of Proficiency in Limited Radiographer
- o) Weber State University – Certificate of Proficiency in Victim Advocacy
- p) Weber State University – Post-Baccalaureate Certificate in Rhetoric and Writing Studies
- q) Salt Lake Community College – Certificate of Proficiency in Film Production
- r) Salt Lake Community College – Certificate of Proficiency in Technical Theatre
- s) Salt Lake Community College – Certificate of Proficiency in Theatre Performance
- t) Southern Utah University- Emphasis in Strategic Message Design & Human Interaction within the BS/BA in Communication
- u) Southern Utah University- Certificate of Proficiency in Entrepreneurship and Small Business Management
- v) Southern Utah University- Certificate of Proficiency in International Business
- w) Southern Utah University- Certificate of Proficiency in International Relations
- x) Southern Utah University- Certificate of Proficiency in Leadership
- y) Southern Utah University- Certificate of Proficiency in Social Media
- z) Southern Utah University- Certificate of Proficiency in Sports Communication

4. Name Change

- a) Utah State University – Master of Science in Bioregional Planning to Master of Science in Environmental Planning
- b) Utah State University – PhD in Landscape Architecture to PhD in Landscape Architecture and Environmental Planning
- c) Weber State University – BA in French, Commercial Emphasis to BA in French for the Professions
- d) Weber State University – BA in German, Commercial Emphasis to BA in German for the Professions
- e) Weber State University - BA in Spanish, Commercial Emphasis to BA in Spanish for the Professions
- f) Weber State University - Minor in French, Commercial Emphasis to Minor in French for the Professions
- g) Weber State University - Minor in German, Commercial Emphasis to Minor in German for the Professions
- h) Weber State University - Minor in Spanish, Commercial Emphasis to Minor in Spanish for the Professions
- i) Weber State University – Department of Botany to Department of Botany and Plant Ecology
- j) Weber State University – Emphasis in Cardiovascular Interventional Technology (CIT) to Emphasis in Interventional Radiology (IR) within the BS in Radiologic Sciences, Advanced
- k) Weber State University – Pre-major Associate of Science in Communication to Associate of Science in Communication
- l) Salt Lake Community College – Associate of Applied Science in Surveying and Geomatics to Associate of Applied Science in Surveying

- m) Salt Lake Community College – Associate of Science in English to Associate of Science in English Studies
- n) Salt Lake Community College – Associate of Science in Geographic Science to Associate of Science in Geographic Information Science
- o) Southern Utah University- Emphasis in Teaching to Emphasis in Education within the BA in English
- p) Southern Utah University – Emphasis in Communication Studies to Emphasis in Messaging and Human Interaction within the BS/BA in Communication
- q) Southern Utah University- Minor in Communication Studies to Minor in Messaging & Human Interaction
- r) Southern Utah University – Emphasis in Cyber and Web Security to Emphasis in Cyber Operations within the MS in Cyber Security and Information Assurance
- s) Southern Utah University – Emphasis in Government, Regulation, and Compliance and IS Controls to Emphasis in Information Assurance within the MS in Cyber Security and Information Assurance

5. Program Restructure and Name Change

- a) Salt Lake Community College – Associate of Pre-Engineering in:

- Chemical Engineering
- Civil/Environmental Engineering
- Computer Engineering
- Electrical Engineering
- Geological Engineering
- Materials Science Engineering
- Mechanical Engineering
- Metallurgical Engineering
- Mining Engineering

Programs restructured and renamed as follows:

- b) Association of Pre-Engineering in General Engineering: Traditional with emphases in: Chemical Engineering

- Civil/Environmental Engineering
- Computer Engineering
- Electrical Engineering
- Geological Engineering
- Materials Science Engineering
- Mechanical Engineering
- Metallurgical Engineering
- Mining Engineering

- c) Association of Pre-Engineering in General Engineering: Full with emphases in:

- Chemical Engineering
- Civil/Environmental Engineering
- Computer Engineering
- Electrical Engineering
- Geological Engineering
- Materials Science Engineering
- Mechanical Engineering
- Metallurgical Engineering
- Mining Engineering

6. Administrative Unit Restructure

- a) University of Utah – Department of Health, Kinesiology & Recreation to Department of Health & Kinesiology and Department of Parks, Recreation, and Tourism (each program will become a department)

7. Program Suspension

- a) Utah State University – Specialization in Sports Medicine within the MS in Kinesiology

8. Reinstatement of Suspended Program

- a) Salt Lake Community College – Associate of Applied Science in Apprenticeship Diesel Systems Technology
- b) Salt Lake Community College – Certificate of Completion in Clinical Medical Assistant

9. Discontinuation

- a) Weber State University – BS in Health Administrative Services with emphases in: Health Services Administration, Long-Term Care Administration, and Health Information Management
- b) Salt Lake Community College – AA in English
- c) Salt Lake Community College – AAS in Architectural Technology
- d) Salt Lake Community College – AAS in Network Systems
- e) Salt Lake Community College – AS in Chemistry/Physical Sciences
- f) Salt Lake Community College – AS in Technical Transfer
- g) Salt Lake Community College – Certificate of Completion in Business and Personal Computing
- h) Salt Lake Community College – Certificate of Completion in Geospatial Technology
- i) Salt Lake Community College – Certificate of Proficiency in Create Arts Entrepreneurship
- j) Salt Lake Community College – Certificate of Proficiency in Software Engineering
- k) Salt Lake Community College – Emphasis in Operations and Supply Chain Management within the AAS in Business Management
- l) Southern Utah University- Emphasis in Forensic Science within the BS/BA in Criminal Justice

H. GRANT PROPOSALS

- a) University of Utah – DOE Los Alamos National Laboratory; “NNSA Morphology”; \$2,763,000. Principal Investigator, Luther W McDonald IV.
- b) University of Utah – NIH National Eye Institute; “NEI TP Unified 2020”; \$2,543,369. Principal Investigator, Carlos Mastrangelo.
- c) University of Utah – DHHS National Institutes of Health; “OPTO-FLUIDIC Neural Probes”; \$1,991,445. Principal Investigator, Steven M Blair.
- d) University of Utah – DHHS National Institutes of Health; “2020 OPTO-FLUIDIC Probes”; \$1,956,485. Principal Investigator, Steven M Blair.
- e) University of Utah – DOD Defense Advanced Resrch Prjcts Agcy; “Atmospheric Fingerprints”; \$1,411,134. Principal Investigator, Jamesina J Simpson.
- f) University of Utah – NIH Natl Ctr Complementary & Altrn Medcn; “BACPAC Revision Supplement”; \$1,200,890. Principal Investigator, Julie Mae Fritz.
- g) University of Utah – USDA Natl Inst of Food and Agriculture; “USDA NIFA AFRI Gut Health”; \$1,000,000. Principal Investigator, Anandhababu Velayutham.
- h) University of Utah – US Department of Energy; “Molten Salt IRP”; \$4,449,472. Principal Investigator, Michael F Simpson.
- i) University of Utah – Merck Sharp & Dohme Corp; “Merck AZ Pancreatic”; \$1,388,977. Principal Investigator, Diana I Brixner.

- j) University of Utah – DHHS Health Resources & Services Admn; “HRSA OIFSP”; \$2,189,007. Principal Investigator, Jason Tino Castillo.
- k) University of Utah – NIH National Human Genome Research Inst; “UCEER Renewal”; \$3,965,500. Principal Investigator, Jeffrey R Botkin.
- l) University of Utah – DHHS National Institutes of Health; “R01REN-AA019526 ACTIN”; \$1,906,250. Principal Investigator, Adrian Rothenfluh.
- m) University of Utah – NIH National Cancer Institute “Deininger_CMI_R01”; \$1,906,250. Principal Investigator, Michael W N Deninger.
- n) University of Utah – NIH National Heart Lung & Blood Inst; “Drakos Myocardial Recovery”; \$1,906,250. Principal Investigator, Stabros George Drakos
- o) University of Utah – American Cancer Society In; “ACS Research – Kepka – April20”; \$1,200,000. Principal Investigator, Deanna Lee Kepka.
- p) University of Utah – US Department of Energy; “Hidden Geothermal Systems”; \$9,490,003. Principal Investigator, Philip E Wannamaker.
- q) University of Utah – Chimerix Inc; “Shami_Chimerix_April 2020”; \$1,233,725. Principal Investigator, Paul J Shami.
- r) University of Utah – HRSA Bureau of Health Professions; “Addiction Fellowships”; \$4,000,000. Principal Investigator, Elizabeth Howell.
- s) University of Utah – NIH National Institute of Mental Health; “Suicide WGS Rick Discovery”; \$3,812,500. Principal Investigator, Hilary H Coon.
- t) University of Utah – ABT Associates Inc; “CDC Covid”; \$3,795,031. Principal Investigator, Michael W Varner.
- u) University of Utah – NIH National Eye Institute; “Anatomic & Func Org Renewal”; \$3,197,434.
- v) University of Utah – NIH National Inst Child Hlth & Human Dev; “R01 Etiology CDH”; \$2,785,130. Principal Investigator, Gabrielle Kardon.
- w) University of Utah – NIH National Heart Lung & Blood Inst; “Role of Soluble (PRO) Renin”; \$2,572,600. Principal Investigator Nirupama Ramkumar.
- x) University of Utah – BIOGEN; “Biogen Embark”; \$1,461,255. Principal Investigator, Norman Foster.
- y) University of Utah – Oregon Health & Science University; “Aston Gemini Renewal Apr2020”; \$1,383,405. Principal Investigator, Kenneth Ivan Aston.
- z) University of Utah – Army Medical Research Acquisition Activity; \$1,274,253. Principal Investigator, Allison Hampshire Payne.
- aa) University of Utah – NIH National Inst of General Medical Sci; “CIB Legacy”; \$1,501,526. Principal Investigator, Robert S Macleod.
- bb) University of Utah – DOJ National Institute of Justice; “Child Labor Trafficking”; \$1,070,940. Principal Investigator, Annie Isabel Fukushima.

- cc) University of Utah – US Department of Education; “ISAACC”; \$1,000,000. Principal Investigator, John Matthew Jameson.
- dd) University of Utah – DOE OFC Energy Efficiency & Renew Enrgy”; \$3,750,000. Principal Investigator, Kevin J Whitty.
- ee) University of Utah – US Department of Homeland Security; “DHS Perovskites”; \$2,477,700. Principal Investigator, Edward Cazalas.
- ff) University of Utah – US Department of Transportation; “UC – Autonomous Future”; \$1,925,000. Principal Investigator, Xiaoyue Cathy Liu.
- gg) University of Utah – DHHS Administration for Community Living; “DRRP Pressure Injury Mapping”; \$1,747,430. Principal Investigator, Andrew S Merryweather.
- hh) University of Utah – US Department of Energy; “DOE BETO 2020”; \$1,494,650. Principal Investigator, Michael Nigra.
- ii) University of Utah – National Science Foundation; “NSF Biowaste Pretreat Chemloop”; \$1,375,000. Principal Investigator, Kevin J Whitty.
- jj) University of Utah – University of Nevada Reno; “UNR DOE HTC Scaleup 2020”; \$1,200,000. Principal Investigator, Kevin J Whitty.
- kk) University of Utah – National Science Foundation; “NSF Foil Microbe Network”; \$1,179,870. Principal Investigator, Carlos Mastrangelo.
- ll) University of Utah – National Science Foundation; “Secure and Trustworthy Nerual”; \$1,033,146. Principal Investigator, Aditya Bhaskara.
- mm) University of Utah – US Department of Transportation; “Transit Travel Trend”; \$1,000,000. Principal Investigator, Xiaoyue Cathy Liu.
- nn) University of Utah – DHHS National Institutes of Health; “R01-Lands Cycle Insulin”; \$2,671,000. Principal Investigator Katsuhiko Funalk.
- oo) University of Utah –DOE OFC Energy Efficiency & Renew Enrgy; “H2@Scale”; \$3,989,772. Principal Investigator, Hong Yong Sohn.
- pp) University of Utah – University of Colorado at Denver; “Diabetes Telehealth Spanish”; \$2,344,771. Principal Investigator, Michelle Leann Litchman.
- qq) University of Utah – NIH National Institute Environl Hlth Sci; “Ones-Venosa-Feb”; \$2,157,854. Principal Investigator, Alessandro Venosa.
- rr) University of Utah – DHHS National Institutes of Health; “Oral Metronomic Therapy BC”; \$1,906,250. Principal Investigator, You Han Bae.
- ss) University of Utah – NIH National Institute Environl Hlth Sci; “YIJIE Resub K99”; \$1,315,733. Principal Investigator, Randall Theodore Peterson.
- tt) University of Utah – NIH National Inst of General Medical Sci; Plasma Membrane Tension”; \$3,035,125. Principal Investigator, Markus Babst.
- uu) University of Utah – National Aeronautics & Space Admin; “Biomarkers of Chemoautotrophic”; \$1,494,170. Principal Investigator Williams Brazelton.

- vv) University of Utah – NIH Natl Inst Diabetes Digest Kidney Dis; “Treating Obesity at CHCS”; \$6,114,892. Principal Investigator, David Wetter.
- ww) University of Utah – DHHS National Institutes of Health; “EEJ C06 BSL3”; \$5,785,006. Principal Investigator, Andrew S Weyrich.
- xx) University of Utah – NIH Natl Inst Dental Craniofacial Rsrch; “Fibrin Hydrogels R01 Renewal”; \$3,860,812. Principal Investigator, Olga Juliana Baker.
- yy) University of Utah – DHHS National Institutes of Health; “Metabolism T32”; \$2,242,725. Principal Investigator, Simon J Fisher.
- zz) University of Utah – NIH National Cancer Institute; “Coletta_R01_06.05.2020_F”; \$3,471,724. Principal Investigator Adriana M Coletta.
- aaa) University of Utah – Paul G Allen Family Foundation; “Frontiers Group 2020”; \$1,500,000. Principal Investigator, Katharine S Ullman.
- bbb) University of Utah – Bill & Melinda Gates Foundation; “Covid-Postsecondary Struct”; \$2,707,561. Principal Investigator Daniel Paul Hadley.
- ccc) University of Utah – DHHS National Institutes of Health; “Cairns/Aston P50 Mar2020”; \$7,164,486. Principal Investigator, Bradley Cairns.
- ddd) University of Utah – Patient Centered Outcomes Resh Inst; “Coon PCORI May 2020”; \$6,098,156. Principal Investigator, Eric Coon.
- eee) University of Utah – DHHS National Institutes of Health; “Maintain”; \$3,576,645. Principal Investigator, Margaret Baldwin Conroy.
- fff) University of Utah – DHHS National Institutes of Health; “R01 PA-19-056 Brian Evavold”; \$3,454,785. Principal Investigator, Brian D Evavold.
- ggg) University of Utah – Baylor College of Medicine; “Vanburen Baylor Sub May 2020”; \$3,227,229. Principal Investigator, John Matthew Vanburen.
- hhh) University of Utah – DHHS National Institutes of Health; “R01 Pa-19-056 Tracey Lamb”; \$2,865,352. Principal Investigator, Tracey Lamb.
- iii) University of Utah – DHHS National Institutes of Health; “Genomic Medicine T32”; \$2,581,102. Principal Investigator, Lynn B Jorde.
- jjj) University of Utah – DHHS National Institutes of Health; “Patrice Mimch: R01 Resub”; \$1,976,375. Principal Investigator, Patrice Mimche Nsangou.
- kkk) University of Utah – University of Miami; “Subaward to Miami”; \$1,404,347. Principal Investigator, Candace Lorraine Floyd.
- lll) University of Utah – NIH Nationals Heart Lung & Blood Inst; “Preserved Ejection Fraction”; \$1,065,060. Principal Investigator, David Wray.
- mmm) University of Utah – DOE OFC Energy Efficiently & Renew Enrgy; “DOEC02CAPTURECONVCP”; \$5,000,000. Principal Investigator, Michael Nigra.
- nnn) University of Utah – National Science Foundation; “NSF Future Manufacturing”; \$2,984,054. Principal Investigator, Jiyoung Chang.

- ooo) University of Utah – NIH Natl Inst Biomedical Imaging & Bioeng; “Post-Lumpectomy Impact”; \$2,639,127. Principal Investigator, Huanan Zhang.
- ppp) University of Utah – US Department of Defense; “(COVID) Virus Scrubber”; \$2,539,016. Principal Investigator, Swomitra Kumar Mohanty.
- qqq) University of Utah – US Department of Energy; “SARS-COV-2 Virus Sensor”; \$2,375,373. Principal Investigator, Hanseup Kim.
- rrr) University of Utah – US Department of Energy; “BE-HYBRID”; \$2,327,920. Principal Investigator, Mingxi Liu.
- sss) University of Utah – DOE Advanced Surf Rsrch Projects Agency-Energy; \$1,992,501. Principal Investigator, Hanseup Kim.
- ttt) University of Utah – DOE Advanced Rsrch Projects Agency-Energy; “STICK” \$1,899,317. Principal Investigator, Hanseup Kim.
- uuu) University of Utah – National Science Foundation; “Powertracker” \$1,063,630. Principal Investigator, Mu Zhang.
- vvv) University of Utah – DHHS National Institutes of Health; “R01MPI-Ceramides Vascular”; \$2,996,395. Principal Investigator, Scott Summers.
- www) University of Utah – NIH Natl Inst Deaf & Other Comm Disorder; “Efferet SYS Auditory Function”; \$1,906,250. Principal Investigator, Skyler Gary Jennings.
- xxx) University of Utah – DHHS Agncy for Healthcare Resh & Quality; “AHRQ R01 Radiology Training”; \$1,906,250. Principal Investigator, Andrew Mark Williams.
- yyy) University of Utah – NIH National Institute Environl Hlth Sci; “Biological Fate Of”; \$2,879,359. Principal Investigator, Hamidrezas Ghandehari.
- zzz) University of Utah – Raymond G Murphy VA Medical Center; “Covid-Coronavirus Inhibitors”; \$1,787,836. Principal Investigator, Darrell R Davis.
- aaaa) University of Utah – NIH Natl Inst Diabetes Digest Kidney Dis; “Cell Sheet for Kidney Disease”; \$1,131,150. Principal Investigator, Teruo Okano.
- bbbb) University of Utah – NIH Natl Inst Arthrit Muscoskel Skin Dis; “3D MSC Sheets for Cartilage”; \$1,120,650. Principal Investigator, David W Grainger PHD.
- cccc) University of Utah – NIH Natl Inst Arthrit Mucoskel Skin Dis; “Efficacious Chondrocyte Sheet”; \$1,120,650. Principal Investigator, Teruo Okano.
- dddd) University of Utah – Bristol-Myers Quibb; “MD an MS”; \$1,000,000. Principal Investigator, Nathorn Chaikyakunapruk.
- eeee) University of Utah – NIH National Inst of General Medical Sci; “Segregation Distortion”; \$1,906,250. Principal Investigator, Nitin Phadnis.
- ffff) University of Utah – NIH National Inst of General Medical Sci; “Lysosomes and Stress”; \$1,795,350. Principal Investigator, Julie Hollien.
- gggg) University of Utah – Univ of North Carolina at Chapel Hill; “Aortic Valve Thrombosis”; \$1,062,255. Principal Investigator Aaron L Fogelson.

- hhhh) University of Utah – NIH National Institute on Aging; “Risks for Functional Lapses”; \$1,178,557. Principal Investigator, Yana Suchy.
- iiii) University of Utah – DHHS National Institutes of Health; “R01Reusb-FoxN3 Hepatic Gluco”; \$2,601,008. Principal Investigator, Amnon Schlegal.
- jjjj) University of Utah – Army Medical Research Acquisition Activity; “Covid:GTPASE ARDS”; \$2,323,373. Principal Investigator, Weiquan Zhu.
- kkkk) University of Utah – NIH National Cancer Institute; “GUPTAP_R-1_06.05.2020”; \$1,906,250. Principal Investigator, Sumati Virendra Gupta.
- llll) University of Utah – DHHS National Institutes of Health; “R01-Transfer IL-7R”; \$1,525,000. Principal Investigator, Anna Elisse Beaufin.
- mmmm) University of Utah – NIH National Cancer Institute; “06.09.2020_CAMP_NIH_U01”; \$1,263,973. Principal Investigator, Nicola J Camp.
- nnnn) University of Utah – NIH National Cancer Institute; “CD33 Drug Conjugate”; \$1,246,535. Principal Investigator, Paul J Shami.
- oooo) University of Utah – NIH National Cancer Institute; “Belote_K99_06.12.20”; \$1,346,535. Principal Investigator, Robert L Judson-Torres.
- pppp) University of Utah – DHHS National Institutes of Health; “U19 31 RFA-AI-20-001”; \$11,410,683. Principal Investigator, Matthew A Mulvey.
- qqqq) University of Utah – University of California Davis; “Casper UCD Sub June 2020”; \$6,641,606. Principal Investigator, Theron Charles Casper.
- rrrr) University of Utah – DHHS National Institutes of Health; “Wildfires in the west”; \$4,367,503. Principal Investigator, Kimberly I Shoaf.
- ssss) University of Utah – DHHS National Institutes of Health; “Par-19-367 R35 Cazalla”; \$3,896,405. Principal Investigator, Demian Cazalla.
- tttt) University of Utah – NIH Natl Inst Neurolog Disorders Stroke; “Brian Adaptation After Stroke”; \$3,809,011. Principal Investigator, Edward Victor Rebok Di Bella.
- uuuu) University of Utah – NIH Natl Inst Diabetes Digest Kidney Dis; “Genetics of Renal Decline”; \$3,752,267. Principal Investigator, Marcus Guy Pezzolesi.
- vvvv) University of Utah – NIH National Institute on Drug Abuse; “R01 Vector Social Influence”; \$3,744,078. Principal Investigator, Fares Qeadan.
- wwwv) University of Utah – DHHS National Institutes of Health; “Pathogenesis of EOE”; \$3,278,095. Principal Investigator, Kathryn A Peterson.
- xxxx) University of Utah – DHHS National Institutes of Health; “Jorde R35 Renewal”; \$3,680,000. Principal Investigator, Lynn B Jorde.
- yyyy) University of Utah – DHHS National Institutes of Health; “RFA-MH-20-525 Zelikowsky 6.20”; \$3,623,506. Principal Investigator, Moriel Zelikowsky.
- zzzz) University of Utah – DHHS National Institutes of Health; “Brenda Bass R35 PAR-19-367”; \$3,585,171. Principal Investigator, Brenda L Bass.

- aaaaa) University of Utah – NIH National Inst Child Hlth & Human Dev; “Brunelli R01 June 2020”; \$3,336,803. Principal Investigator, Luca Brunelli.
- bbbbb) University of Utah – DHHS National Institutes of Health; “R01 PAR-19-274 Jordan King”; \$3,111,977. Principal Investigator, Jordan B King.
- ccccc) University of Utah – DHHS National Institutes of Health; “Myelin Damage in Spinal Cord”; \$3,107,965. Principal Investigator, Eun-Kee Jeong.
- ddddd) University of Utah – DHHS National Institutes of Health; “MK2e in Immunopathogeneis”; \$3,098,120. Principal Investigator, Ellen Beswick.
- eeeee) University of Utah – DHHS National Institutes of Health; “R01 PA-20-185 Bettini” \$2,978,910. Principal Investigator, Maria Bettini.
- fffff) University of Utah – DHHS National Institutes of Health; “R01 PA-20-185 Keke Fairfax”; \$2,891,350. Principal Investigator, Keke Fairfax.
- ggggg) University of Utah – Utah Department of Health; “Contract Tracing”; \$2,859,808. Principal Investigator, Sharon Louise Talboys.
- hhhhh) University of Utah – NIH Natl Inst Diabetes Digest Kidney Dis; “EPHB2 in Injured Kidney”; \$2,777,293. Principal Investigator, Yufeng Huang.
- iiiiii) University of Utah – NIH National Institute on Drug Abuse; “METZR01: Marijuana Peri. OUTCM”; \$2,745,524. Principal Investigator, Torri Derback Metz.
- jjjjj) University of Utah – DHHS National Institutes of Health; “Pathophysiology of FAI SYNDRO”; \$2,517,524. Principal Investigator, Andrew Edward Anderson.
- kkkkk) University of Utah – NIH National Cancer Institute; “Imaging Biomarker in Cancer”; \$2,393,615. Principal Investigator, Allison Hampshire Payne.
- lllll) University of Utah – DHHS National Institutes of Health; “Transitions in Tobacco Use”; \$2,219,034. Principal Investigator, Sunday Thomas Azaga.
- mmmmm) University of Utah – Rhode Island Hospital; “Vanburen RIH Resub July 2020”; \$2,198,886. Principal Investigator, John Matthew Vanburen.
- nnnnn) University of Utah – DHHS National Institutes of Health; “R01 PA-20-185 Ward 6.2.20”; \$2,036,320. Principal Investigator, Diane M Ward.
- ooooo) University of Utah – DHHS Agency for Healthcare Resh & Quality; “Spine Bundles”; \$2,000,000. Principal Investigator, Brooke Ian Martin.
- ppppp) University of Utah – NIH National Cancer Institute; “State Per Se Drug Driving Law”; \$1,929,644. Principal Investigator, Sunday Thomas Azagba.
- qqqqq) University of Utah – DHHS National Institutes of Health; “McCrum R01 June2020”; \$2,906,250. Principal Investigator, Marta McCrum.
- rrrrr) University of Utah – DHHS National Institutes of Health; “AP-20-185 Douglass 6.5.20”; \$1,906,250. Principal Investigator, Adam Douglass.
- sssss) University of Utah – NIH National Institute on Aging; “Drugs Against Aged Diseases”; \$1,563,125. Principal Investigator, Gang Liu.

ttttt) University of Utah – DHHS Health Resources & Services Admin; “Rural Health Training”; \$1,551,153. Principal Investigator, Casey Gradick.

uuuuu) University of Utah – DHHS National Institutes of Health; “Nasser Sharareh R01 PA-20-185”; \$1,525,000. Principal Investigator, Nasser Sharareh.

vvvvv) University of Utah – University of Texas at Houston; “Kennan UTH R01 Sub July 2020”; \$1,432,774. Principal Investigator, Heather Rodd Keenan.

wwwww) University of Utah – University of Alabama at Birmingham; “UAB R01 Resubmission”; \$1,430,075. Principal Investigator, Yan-Ting Shiu.

xxxxx) University of Utah – Epitel; “Sweney/Arain Epitelsub APR/20”; \$1,416,127. Principal Investigator, Matthew Thomas Sweney.

yyyyy) University of Utah – NIH National Eye Institute; “Improving Glaucoma Care”; \$1,313,750. Principal Investigator, Brian Craig Stagg.

zzzzz) University of Utah – US Department of Defense; “Infectious Containment”; \$1,226,917. Principal Investigator, Rachel Mary Jones.

aaaaa) University of Utah – NIH National Cancer Institute; “R01 Residential Histories”; \$1,226,917. Principal Investigator, James Albert Vanderslice.

bbbbb) University of Utah – Baylor College of Medicine; “NIH/Baylor U01: Brain State”; \$1,177,252. Principal Investigator, Elliot H Smith.

ccccc) University of Utah – NIH Natl Inst Neurolog Disorders Stroke; “Ignite”; \$1,157,734. Principal Investigator, Candace Lorraine Floyd.

ddddd) University of Utah – NEXEOS Diagnostics Inc; “SBIUR U44”; \$1,136,286. Principal Investigator, Kathryn A Peterson.

eeeee) University of Utah – DHHS National Institutes of Health; “BALLA K99”; \$1,022,760. Principal Investigator, Nels Christian Elde.

ffffff) University of Utah – U Department of Defense; “OPI for Melanoma Adjuvant”; \$1,067,500. Principal Investigator, Thomas A Zangle.

ggggg) Utah State University – US Department of Justice; “Utah 4-H Mentoring”; \$1,250,000. Principal Investigator, Jolene B Bunnell.

hhhhh) Utah State University – US National Science Foundation; “Pan-Antarctic Investigations of Mesospheric Wave Dynamics & Influences using the ANGWIN Network”; \$1,162,450. Principal Investigator, Michael John Taylor.

iiiii) Utah State University – US Department of Justice; “A Systematic Evaluation of the Clery Act for Promoting Safe Campuses”; \$1,340,360. Principal Investigator, Sandra H Sulzer.

jjjjj) Utah State University – US Department of Health & Human Services; “Flourishing and Strong Teens – OPA”; \$1,193,508. Principal Investigator, Kay P Bradford.

kkkkk) Utah State University – Pacificorp; “Western Smart Regional EV Adoption and Infrastructure at Scale-WestSmartEV@Scale”; \$2,319,670. Principal Investigator, Regan Zen.

lllll) Utah State University – ABB Inc; “eMosaic: Electrification Mosaic Platform for Grid-Informed Smart Charging Management”; \$1,499,996. Principal Investigator, Regan Zane.

- mmmmmm) Utah State University – US Department of Human Services; “Necessary Education and eXperiential Training for Upcoming Para-professionals”; \$2,295,762. Principal Investigator, Maren Wright Voss.
- nnnnnn) Utah State University – US Department of Energy; “Hidden Geothermal Resources in the Basin-and-Range: The Snake River Plain, Idaho Subprovince”; \$3,705,395. Principal Investigator, John W Shervais.
- oooooo) Utah State University – Substance Abuse and Mental Health Services Admin; “Bridging Harm Reduction and Wellness for Tribal and Rural Areas of the Intermountain West”; \$1,099,998. Principal Investigator, Sandra H Sulzer.
- pppppp) Utah State University – Western Reserve Port Authority; “Lordstown Smart Logistics Hub-Electrification Capital Grant”; \$7,999,997. Principal Investigator, Regan Zane.
- qqqqqq) Utah State University – US Department of Energy; “Demonstrating Bi-directional Thermal Energy Storage Using a Confined Aquifer for University Campus Facilities”; \$1,254,712. Principal Investigator, Hailei Wang.
- rrrrrr) Utah State University – US Department of Energy-Energy Efficiency and Renewable Energy; “Treatment using a Rotating Algae Biofilm Reactor”; \$1,477,733. Principal Investigator, Ronald C Sims,
- ssssss) Utah State University – Misc Federal Sponsors; “The Space Dynamics Laboratory will develop, build and test spacecraft. SDL will support training, operations and ground station development”; \$38,681,294. Principal Investigator, Adam Shelley.
- tttttt) Utah State University – SRC Incorporated; “High Altitude Testing of the Agile Condor system, and ASIC board design support and testing”; \$1,927,535. Principal Investigator, Chis Cannon.
- uuuuuu) Utah State University – Republic of Korea; “The Space Dynamics Laboratory will establish the Tasking, Collecting, Processing, Exploitation, and Dissemination (TCPED) capability for the Republic of Korea (ROK) Global Hawk (GH). The ROK GH TCPED capability is a scaled down version of the United States Air Force Distributed Common Ground System (DCGS)”; \$1,535,180. Principal Investigator, Kyle Palmer.
- vvvvvv) Utah State University – Hill Air Force Base; “Space Dynamics Laboratory will provide on-site support at the Hill Air Force Base Live Mission Operations Center (LMOC) Software Integration Laboratory (SIL), to include the following tasks. Provide on-site engineering and subject matter expert support at the LMOC SIL at Hill AFB. Provide engineering support for the development of the LMOC War Room 1.0. Assist in the development of the Enhanced Range Training Officer (ERTO) software”; \$1,570,396. Principal Investigator, Rex Nethercott and Kenny Reese.
- wwwwww) Utah State University – NASA-General”; The Space Dynamics Laboratory will deliver one FlatSat, one engineering development unit, two flight units including payload integration and environmental testing, support for integration with the launch vehicle and mission operations for the Gravitational Wave Ultraviolet Counterpart Imager (GUCl mission”; \$1,000,000. Principal Investigator, Asi Naseri.
- xxxxxx) Utah State University – National Institutes of Health; “The impact of ovarian cellular senescence on ovarian aging and organismal health and longevity.” \$3,602,632. Principal Investigator, Jeffrey Mason.
- yyyyyy) Utah State University – Utah Department of Health; “Up to 3 Baby Watch Early Intervention Program”; \$1,294,934. Principal Investigator, Susan E Thain Olsen.

zzzzzz) Utah State University – National Agriculture in the Classroom; “National Center for Agricultural Literacy 2020-2024”; \$1,293,088. Principal Investigator, Debra M Spielmaker.

aaaaaaa) Utah State University – Administration for Community Living; “CPD Core Funding – University Centers for Excellence in Developmental disabilities Education, Research and Service”; \$1,143,464. Principal Investigator, Matthew Thomas Wappett.

bbbbbbb) Utah State University – US Department of Defense; “Evaluation of risk and potential mitigation strategies against SARS-CoV-2 laden aerosols transported through the ventilation systems based on high-fidelity CFD”; \$3,766,413. Principal Investigator, Som Dutta.

ccccccc) Utah State University – Administration for Community Living; “Center for Independent Living Training and Technical Assistance” \$1,574,272. Principal Investigator, Jennifer Noel Morgan.

ddddddd) Utah State University – US Small Business Administration; “CARES Act SBDC Supplemental Funding”; \$1,200,000. Principal Investigator, Brian J Higginbotham.

eeeeeee) Utah State University – US Department of Energy; “Fractionation and Production of Hydrocarbon Fuels from Non-Recyclable Municipal Solid Waste”; \$1,430,147. Principal Investigator, Foster Aryi Agblevor.

ffffff) Utah State University – US National Aeronautics & Space Administration; “Tools for Prediction and Assessment of Urban Air Mobility Acoustic Footprints”; \$7,769,151. Principal Investigator, Douglas F Hunsaker.

ggggggg) Utah State University – US National Aeronautics & Space Administration; “Prediction, control and Navigation of Complex Flowfields for Safe and Swift VTOL”; \$7,268,795. Principal Investigator, Douglas F Hunsaker.

hhhhhhh) Utah State University – US Department of Health and Human Services – Office of Family Assistance; “Adolescent Choices Elevated”; \$3,740,000. Principal Investigator, Kay P Bradford.

I. AWARDS

- a) University of Utah – NIH National Library of Medicine; “NN/LM RML and National Offices”; \$1,640,068. Principal Investigator, Catherine B Soehner.
- b) University of Utah – NIH National Ctr for Advncing Translt Scnes; “CTSA UL1”; \$4,009,906. Principal Investigator, Rachel Hess
- c) University of Utah – NIH National Institute on Drug Abuse; “ABCD Limited Competition”; \$2,729,308. Principal Investigator Deborah Hann Yurgelun-Todd.
- d) University of Utah – Pfizer Inc; “Pfizer III C3671008-Startup”; \$1,681,999. Principal Investigator, Torri Derback Metz.
- e) University of Utah – NIH National Institute on Drug Abuse; “Greater Intermountain Node”; \$1,553,336. Principal Investigator, Adam Joseph Gordan.
- f) University of Utah – NIH National Cancer Institute; “CCSG_P30_Renewal_Ulrich”; \$4,199,958. Principal Investigator, Cornelia Ulrich.
- g) University of Utah – Bill & Melinda Gates Foundation; “Covid-Postsecondary Struct”; \$2,707,562. Principal Investigator, Daniel Paul Hadley.

- h) University of Utah – NIH National Cancer Institute; “Seer 2018”; \$2,175,386. Principal Investigator, Jennifer Anne Doherty.
- i) University of Utah – Patient Centered Outcomes Resh Inst; “NKOY PORI Apr 2019”; \$1,170,926. Principal Investigator, Flory Lumu Nkoy.
- j) University of Utah – NIH National Inst Neurolog Disorders Stroke; “J Shepherd’s Transformative”; \$1,060,841. Principal Investigator, Jason Dennis Shepherd.
- k) University of Utah – HRSA Emergency Med Srvcs Chld Natl Resr Ctr; “Dean EMSC HRSA Mar 2017”; \$3,000,000. Principal Investigator, J Michael Dean.
- l) University of Utah – NIH Natl Ctr for Advncng Translt Scnes; “Dean U24 NCATS Sept 2015”; \$3,432,118. Principal Investigator, J Michael Dean.
- m) University of Utah – Utah Department of Health; “Contact Tracing”; \$1,913,547. Principal Investigator, Sharon Louise Talboys.
- n) University of Utah – Army Medical Research Acquisition Activity; “Lactoferrin-Based Therapies”; \$1,201,993. Principal Investigator, Dustin Lee Williams.
- o) Utah State University – US Department of Education; “Kansas Technical Assistance Systems Network”; \$1,328,750. Principal Investigator, John D Copenhaver.
- p) Utah State University – Ball Aerospace; “Wide Field Infrared Survey Telescope”; \$1,800,000. Principal Investigator, Jeff Coleman.
- q) Utah State University – Lockheed Space Systems; “Diamondback”; \$1,795,435. Principal Investigator, Amy Secrist.
- r) Utah State University – Missile Defense Agency; “Future Operationally Resilient Ground Evolution Open Repository and Code Escrow”; \$1,258,363. Principal Investigator, Tom Bernhardt.
- s) Utah State University – Misc Federal Sponsors; “Diamondback”; \$1,068,185. Principal Investigator, Amy Secrist.
- t) Utah State University – Misc Federal Sponsors; “Lando”; \$4,559,204. Principal Investigator, Jack Felici.
- u) Utah State University – Misc Federal Sponsors; “CubeSat and GEOINT Research and Development Task Order 0002 – Virtual Imagery Processing Capability Enhancements and Sky Lynx Modernization”; \$13,275,200. Principal Investigator, Shane Jenkins.
- v) Utah State University – Air Force Research Laboratory; “Precision Atomic Clocks Magnometers and Navigation T02”; \$1,979,000. Principal Investigator, Brent Carlsen.
- w) Utah State University – Missile Defense Agency; “Missile Defense Agency Space Senior Advisory Group Support”; \$1,697,170. Principal Investigator, Jason Smesny.
- x) Utah State University – US Small Business Administration; “CARES Act SBDC Supplemental Funding”; \$1,574,273. Principal Investigator, Michael Carl Finnerty.



**UTAH SYSTEM OF HIGHER EDUCATION
SYSTEM OFFICES, TWO GATEWAY, SALT LAKE CITY, UTAH
VIRTUAL MEETING, ZOOM
JULY 1, 2020**

**COMMITTEE OF THE WHOLE
MINUTES**

Regents Present

Harris H. Simmons, Chair
Aaron Osmond, Vice Chair
Nina R. Barnes, Vice Chair
Mike Angus
Wilford W. Clyde
Jessellie B. Anderson
Jera L. Bailey
Stacey K. Bettridge
Arthur E. Newell
Patricia Jones
Lisa-Michele Church
Scott Theurer
Crystal Maggelet
Shawn Newell
Candyce Damron
Glen Rivera

Regents Absent

Alan E. Hall
Sanchaita Datta

Office of the Commissioner

Dave R. Woolstenhulme, Interim Commissioner of Higher Education
Rich Amon, Chief Financial Officer
Geoffrey Landward, Deputy Commissioner and General Counsel
Laís Martinez, Director of Diversity and Equity
Malissa Jones, Office Manager

Institutional Presidents Present

Chad Campbell, Bridgerland Technical College
Richard B. Williams, Dixie State University
Clay Christensen, Mountainland Technical College
Denece G. Huftalin, Salt Lake Community College
Scott L Wyatt, Southern Utah University
Paul Hacking, Tooele Technical College
Ruth V. Watkins, University of Utah
Astrid S. Tuminez, Utah Valley University

Darin Brush, Davis Technical College
Kelle Stephens, Dixie Technical College
Jim Taggart, Ogden Weber Technical College
Brad J. Cook, Snow College
Brennan Wood, Southwest Technical College
Aaron Weight, Uintah Basin Technical College
Noelle Cockett, Utah State University
Brad L. Mortensen, Weber State University

Chair Simmons called the meeting to order at 8:00 a.m.

Committee of the Whole

Adopt Bylaws (TAB A)

Geoffrey Landward provided an overview of the bylaws. The bylaws are intended to allow the Board to start to function and pass policies. Revisions will be made as the Board starts forming strategic plans.

Board member Barnes made a motion to adopt R120 Board of Higher Education Bylaws with an amendment to section 3.8.9.1 substituting the word “support” the chairs, effective immediately; Board member Osmond seconded the motion and the motion carried.

Election of Officers

The new bylaws and governing statute require the Board to appoint a chair and one or more vice-chairs.

Board member Church nominated Harris Simmons as Chair of the Board of Higher Education and Aaron Osmond and Nina Barnes as Vice-chairs of the Board of Higher Education and made a motion to appoint Harris Simmons, Aaron Osmond and Nina Barnes to those office respectively; Board member Jones seconded the motion and the motion carried.

Appointment of Commissioner

Chair Simmons reviewed the Commissioner search process with the board and recommended Interim Commissioner, Dave Woolstenhulme as the successful candidate from the search.

Board member Osmond made a motion to appoint Dr. Dave Woolstenhulme as Commissioner of Higher Education, effective immediately; Board member Barnes seconded the motion and the motion carried.

Approval of Staff

Statute requires the commissioner to appoint an Associate Commissioner for Technical Education and an Associate Commissioner for Academic Education. Commissioner Woolstenhulme has selected Kim Ziebarth to be the Associate Commissioner for Technical Education and Julie Hartley to be the Associate Commissioner for Academic Education.

Board member Jones made a motion to approve Kim Ziebarth to be the Associate Commissioner for Technical Education and Julie Hartley to be the Associate Commissioner for Academic Education; Board member Bailey seconded the motion and the motion carried.

Approval of Board Secretary

Board member Shawn Newell made a motion to appoint Geoff Landward as Board Secretary; Board member Maggelet seconded the motion and the motion carried.

Committee Assignments (TAB B)

Chair Simmons reviewed the committee appointments with the Board. Committee meetings will be held on alternating months with the Board meeting. Committee meetings will continue to be held virtually after the pandemic. Chair Simmons also reviewed the R&R team assignments with the Board.

Adopt Board Policies

Geoff Landward provided an overview of the yearlong review process for the Board policies.

Board member Jones made a motion to adopt the Utah System of Technical Colleges policies 100 and 102-580 and Utah Board of Regent Policies R121-R854 as Utah Board of Higher Education Policies and authorize the Commissioner to make name changes, numbering changes and to resolve any conflict among those policies; Board member Anderson seconded the motion and the motion carried.

System Budget (TAB C)

Rich Amon reviewed the fiscal year 2021 budget with the Board members.

Board member Osmond made a motion to approve the Board of Higher Education's budget for fiscal year 2021 as presented with adjustments as necessary to balance to reduce appropriations from the state legislature; Board member Maggelet seconded the motion and the motion carried.

Approve Board Meeting Schedule (TAB D)

Board member Arthur Newell made a motion to adopt the schedule of meetings as presented; Board member Shawn Newell seconded the motion and the motion carried.

Resolution (TAB E)

Commissioner Woolstenhulme introduced the resolution for equity and diversity. Laís Martinez provided an in-depth explanation on the upcoming resolution. The goal of the resolution is to charge the Board and System leadership to work together, across silos, to acknowledge system inequities in order to address systemic change and increase opportunity for all Utahns wanting to pursue postsecondary education.

Board Training Information

A short review of the Bridge program was provided to the Board. More training will be offered in the August 21st Board meeting.

On a motion by Board member Clyde and seconded by Board member Barnes, the meeting was closed.

The meeting adjourned at 9:04 a.m.

Geoffrey Landward, Secretary

Date Approved:



R120, Board of Higher Education Bylaws¹

~~R609~~120-1 Purpose: To provide bylaws for the Board of Higher Education that will govern how the Board administers the Utah System of Higher Education and conducts regular Board business.

~~R-609~~120-2 References

2.1 Title 53B, Chapter 1, Section 403, Committees

2.2. Title 53B, Chapter 1, Section 404, Membership of the Board

2.3 Title 53B, Chapter 1, Section 501, Initial Board Membership

~~R609~~120-3 Bylaws

3.1 Offices: The principal office of the Board shall be located at the Utah Board of Higher Education Building, The Gateway, 60 South 400 West, Salt Lake City, Utah. The Board may have such other offices as the Board may designate or as the business of the Board may from time to time require.

3.2 General Powers: Under 53B-1-402, the Board is vested with the power to govern the Utah System of Higher Education. The Board shall address all issues requiring its action in a properly convened meeting. The Board may adopt rules and regulations to govern the conduct of its meetings, to manage the Board and to manage and supervise the Utah System of Higher Education, in accordance with these bylaws and the laws of this State.

3.3 Board Members. The Board shall consist of 18 members who are residents of the state and appointed by the governor with the advice and consent of the Senate. Two of the members shall be student members, one individual who is enrolled in a certificate program at a technical college at the time of the appointment one individual who is a fully matriculated student enrolled in a degree-granting institution. Except as provided in 53B-1-501, the Governor shall appoint Board members to six-year staggered terms, each of which begins on July 1 of the year of appointment. The Governor shall appoint student members to a one-year term. Each member shall hold office until his or her successor has been chosen and qualified.

¹ Adopted July 1,2020; amended August 21,2020

3.3.1 Oath of Office: A member shall take the official oath of office before entering upon the duties of office. The oath shall be filed with the Division of Archives and Records Services.

3.3.2 Simultaneous Service on Institutions' Boards of Trustees: An individual may not serve simultaneously on the Board and on an institution's board of trustees.

3.3.3 Consecutive Terms: Unless otherwise provided in 53B-1-501, the Governor may appoint a member to a second consecutive full term without a recommendation from the nominating committee. Members who filled the unexpired term that resulted from the vacancy of a member may be appointed to serve up to one consecutive full term thereafter. Student members may not serve more than one full term.

3.3.4 Vacancies: A vacancy in the Board occurring before the expiration of a member's full term shall be immediately filled through the nomination and appointment processes described in 53B-1-404 and 406. An individual appointed to fill a vacancy shall be appointed to serve for the remainder of the unexpired term.

3.3.5 Removal of Board Members: The governor may remove a member of the board for cause after consulting with the president of the Senate.

3.3.6 Resignation: A member of the Board may resign at any time by giving written notice to the Board's chair or secretary. Unless otherwise specified in the notice, the resignation shall take effect upon receipt.

3.3.7 Compensation: A member of the Board shall receive a daily salary for each calendar day that the member attends a Board or Committee meeting as provided by statute. A member may also receive per diem and travel expenses as allowed by Section 53B-1-404(15).

3.4 Regular Meetings: The Board shall meet regularly at a time and place it shall determine. The Board may also meet, in full or executive session, at the request of the chair, the commissioner, or at least six members of the Board.

3.4.1 Special Meetings: The Board may convene a special meeting, in full or executive session, when called by the Board chair, the commissioner, or by request of six Board members. The chair or commissioner may designate the location or method for holding any special meeting.

3.5 Notice

3.5.1 Notice to Members of Board: The Board secretary shall notify Board members and institutional presidents of the time and place of regular meetings of the Board at least seven days prior to the meeting, together with an agenda explaining the nature of the business to be acted upon. The Board secretary may provide shorter notice for special meetings.

3.5.2 Notice to the Public: The Board and Executive Committee—~~when taking action on behalf of the Board in accordance with Section 3.9.6—shall~~ give public notice of their meetings as required by Section 52-4-202 of the Open and Public Meetings Act.

3.6 Quorum: The Board must have a quorum to take action. A quorum consists of 10 members. A quorum of any committee of the Board shall consist of a majority of its members. If less than a quorum is present at the time and place for any such meeting called, the Board or committee meeting may be adjourned without further notice.

3.7 Conducting Board Business

3.7.1 Agenda and Regular Order of Business: The Board chair and the commissioner shall set the agenda for Board meetings in consultation with the ~~E~~xecutive ~~C~~ommittee. The official agenda shall constitute the principal business at regular meetings of the Board.

3.7.2 Categories of Agenda Items: The Board's business shall be listed in the agenda within the following categories:

3.7.2.1 Action Items: Items that are recommended for action and that require full discussion by the Board.

3.7.2.2 Consent Calendar: Items that are recommended for action and that have been fully discussed by a committee and do not require discussion by the full Board.

3.7.2.3 Information Items: Items that are not recommended for action, but are for information, such as presentation of institutional reports by heads of institutions and other items for informational purposes.

3.7.3 Consent Calendar: The Board may act on items in the Consent Calendar by voting on items either as a group or as items individually. Any item listed upon the Consent Calendar may, by majority vote of the Board, be transferred to the Action Items at the same or a subsequent meeting and made be subject to full discussion and deliberation.

3.7.4 Rules of Procedure: The Board and its committees shall follow Robert's Rules of Order in conducting all meetings.

3.7.5 Open and Public Meetings Act: The Board ~~and the Executive Committee~~ shall conduct ~~their~~its meetings in compliance with the Open and Public Meetings Act. If the Executive Committee intends to take action on behalf of the Board in accordance with Section 3.9.6, it shall conduct its meeting in compliance with the Open and Public Meetings Act.

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3.7.6 Voting

3.7.6.1 Recording of Votes: Any member so requesting shall have her or his vote recorded in the minutes, or on request of any member the vote of each member shall be recorded.

3.7.6.2 Proxy Voting: Proxy voting shall not be permitted at meetings of the Board or the meetings of its committees.

3.8 Board Officers and Staff

3.8.1 The officers of the Board shall be a chair, a vice-chair or vice-chairs, and a secretary. The Board's staff shall be the commissioner of higher education, an associate commissioner of academic education, an associate commissioner of technical education and other staff as the commissioner deems necessary.

3.8.2 Appointment, Election and Term of Office

3.8.2.1 Chair and Vice-Chair(s): The Board shall elect a chair and up to two vice-chairs from among its members at its May/June meeting in each even numbered year. Beginning on the date of election, the chair and vice-chair(s) shall serve concurrently for two years and until their successors are chosen and qualified. A member may not serve more than two terms as chair.

3.8.2.2 Secretary: The Board shall appoint a full-time employee from the commissioner's staff to serve as secretary at the Board's discretion.

3.8.2.3 Commissioner: Upon approval by the governor and with the advice and consent of the Senate, the Board shall appoint a commissioner of higher education. The commissioner shall serve at the Board's pleasure.

3.8.2.4 Associate Commissioners: The commissioner shall appoint, subject to approval by the Board, an associate commissioner of technical education and an associate commissioner of academic education. The commissioner may also appoint, without the Board's approval, other associate commissioners as needed. The associate commissioners shall serve at the commissioner's pleasure.

3.8.3 Removal of Board Officers or Commissioner

3.8.3.1 Chair and Vice-Chair: The Board may remove a chair or vice-chair of the Board for cause.

3.8.3.2 Commissioner: The Board may terminate the commissioner. The Governor may terminate the commissioner, after consultation with the Board.

3.8.4 Vacancies: The Board may fill a vacancy in any Board office because of death, resignation, removal, disqualification or otherwise, according to the requirements of statutes and these bylaws, for the unexpired portion of the term.

3.8.5 Chair: The chair shall preside at all meetings of the Board and shall have such other duties, powers and responsibilities as directed by the Board or by these bylaws. In the absence of such specifications, the chair shall have the powers and authority and shall perform and discharge the duties of similar officers serving organizations with similar purposes and objectives as the Board.

3.8.6 Vice-Chair(s): The vice-chair(s) shall act as chair in the absence or disability of the chair and shall have such other duties, powers and responsibilities as the Board or chair may assign. In the event the office of chair becomes vacant, a vice-chair shall act as interim chair until the next regular meeting, at which time the Board shall elect a new chair to serve the balance of the unexpired term. If the office of vice-chair is similarly vacated, the Board shall elect a new vice-chair from among its members at the next regular meeting.

3.8.7 Secretary: The secretary shall make a record and maintain a record of all Board meetings and shall perform such other duties as the Board may direct.

3.8.8 Commissioner

3.8.8.1 Chief Executive Officer: The commissioner shall act as the Board's chief executive officer and, subject to the control of the Board, shall supervise and

control all of the business and affairs of the Board. The commissioner may enter into contracts or execute and deliver instruments that do not exceed \$100,000 on behalf of the Board without Board approval. For contracts or instruments that exceed \$100,000, the commissioner shall first obtain Board approval.

3.8.8.2 Commissioner Interprets Board Policy: The commissioner shall be responsible for interpreting the Board's policies.

3.8.8.3 Advocacy: The commissioner is authorized to advocate on behalf of the Board before the governor, the legislature, and other officials and governmental entities.

3.8.8.4 Commissioner Represents Board: In order to ensure that there is effective follow through in implementing the Board's action, the commissioner shall work with system's institutions on the Board's behalf to ensure they effectively implement the Board's policies, directives, strategies and goals.

3.8.8.5 Information, Reports, Audits: The commissioner is empowered to require information and reports from the institutions. The commissioner has the authority, after giving due notice to the presidents, to assign staff to audit records of institutions or otherwise verify data. Such audits shall be in response to the Board's instructions or to verify compliance with the Board's policies or applicable laws. The Board's audit committee shall prioritize the commissioner's audits.

3.8.8.6 Board Policies Properly Executed: After the Board has adopted a new policy, program, or taken an action, the commissioner shall ensure that it is properly carried out. The commissioner will collaborate with the Council of Presidents, and relevant institutional staff to implement new policies or directives and to periodically follow up on the implementation. Presidents shall cooperate with the commissioner to properly execute the Board's policies and directives.

3.8.8.7 Statutory Duties: The commissioner is responsible to ensure that the Board's policies, programs, and strategic plan are properly executed; furnish information about the Utah System of Higher Education and make recommendations regarding that information to the Board, provide state-level leadership in any activity affecting an institution of higher education, and perform other duties assigned by the Board.

3.8.9 Commissioner's Staff: The commissioner may appoint and hire a staff of professional, legal, and administrative personnel.

-3.8.9.1 Associate Commissioners: The associate commissioner for technical education shall support the chair of the Technical Education Committee. The associate commissioner for academic education shall support the chair of the Academic Education Committee.

3.8.10. Salaries and Benefits

3.8.10.1 Chair and Vice-Chair: The chair and vice-chair are compensated as members of the Board and shall not receive salaries.

3.8.10.2 Secretary: The commissioner shall set the salary of the secretary as a member of staff.

3.8.10.3 Commissioner: The Board shall set the salary of the commissioner.

3.8.10.4 Commissioner's Staff: The commissioner shall determine salaries, retirement provisions, and other benefits for the staff as described by statute.

3.8.11 Contracts: The Board may authorize any officer or officers or agents of the Board, in addition to the officers so authorized by these bylaws, to enter into any contract or execute and deliver any instrument in the name of and on behalf of the Board, and such authority may be general or may be confined to specific instances.

3.9 Committees

3.9.1. Committee Appointments: The Board shall establish standing committees and such other special committees as the Board or the Board chair may determine to be necessary or appropriate. With the exception of the Executive Committee, the Board chair shall appoint the membership and a chair of all standing and special committees. Each standing committee shall serve concurrent two-year terms with the chair who appointed them.

3.9.2. Standing Committees: The Board shall form the following standing committees:

3.9.2.1 Executive Committee

3.9.2.2 Technical Education Committee

3.9.2.3 Academic Education Committee

3.9.2.4 Student Affairs and Access Committee

3.9.2.5 Finance and Facilities Committee

3.9.2.6 Audit Committee

3.9.3 Special Committees: In addition to the standing committees, the Board may form other special committees as needed.

3.9.4 Staff Support: The commissioner shall provide staffing and support to each standing and special committee.

3.9.5 Committee Membership and Voting of Board Chair and Vice Chair: The chair and vice-chair may be appointed to and serve as regular members of one or more standing committees, with full power to vote. The chair and vice-chair shall also be ex officio members of each standing committee to which they are not appointed on a regular basis, but in such ex officio capacity shall be without power to vote.

3.9.6 Executive Committee

3.9.6.1. Composition: The Executive Committee shall be comprised of the Board chair, the Board vice-chair(s), the chairs of the technical, academic, student affairs, and finance and facilities standing committees, and one committee member at large appointed by the Board chair.

3.9.6.2. Authority: The Executive Committee may meet to address issues, identify areas of concern, set priorities or agenda items, or conduct other business requiring the Board's action during the interim period between Board meetings as provided in this section. The Executive Committee shall report to the Board at the Board's next meeting following an action undertaken.

3.9.6.3. Routine Matters: The Board authorizes the Executive Committee to act upon routine matters during the interim between the Board's regular meetings.

3.9.6.4. Non-routine Matters: The Executive Committee may not act on non-routine matters except under extraordinary and emergency circumstances.

3.9.7. Committee Meetings: Meetings of all committees of the Board may be held upon the call of the committee chair, the Board chair, or at the request of the commissioner.

3.10 Advisory Councils

3.10.1 Industry Advisory Council: The Board shall establish an Industry Advisory Council that shall inform the committee for technical education; the committee for academic education; and the State Board of Education. The Industry Advisory Council shall include representation from (a) employers; (b) kindergarten through grade 12 representatives; (c) degree-granting institution faculty; and (d) technical college faculty.

3.10.2 Council of Presidents: The Board shall establish a Council of Presidents comprised of the presidents from each of the institutions and the commissioner. The commissioner shall serve as Council of Presidents chair.

3.10.3 Other Advisory Councils: The Board may establish other advisory committees or councils as needed.

3.10.4 All matters requiring Board determination shall be addressed in a properly convened meeting of the Board or Executive Committee.

3.11 Electronic Meetings: The Board and the Executive Committee may convene and conduct an electronic meeting in accordance with Section 52-4-207 of the Open and Public Meetings Act. The members of those committees who participate by electronic communication may be counted in the quorum that is necessary to conduct and transact the Board's business. Such participation does not alter the requirements of notice, open and public meetings, and other applicable rules of the Board.

3.12 Public Information

3.12.1 Official Pronouncements: The Board chair is the only member of the Board authorized to make official pronouncements for the Board, and then only as authorized by the Board. The commissioner as executive officer of the Board is authorized to speak for the Board and the Utah System of Higher Education with respect to any policy matters that have received the approval of the Board, and on administrative matters.

3.12.2 Releases and General Information: The commissioner or designee may issue news releases, general information and other communications regarding administration of the system of higher education, provided the releases are consistent with Board policies and directives.

3.13 Conflict of Interest

3.13.1 A potential conflict of interest is any direct and immediate interest or relationship, including financial interest exceeding \$2,000, with persons or businesses regulated by or directly affected by decisions of the Board, or persons or organizations which may present requests or issues before the Board. The interest of a spouse or other members of the immediate family/household or the interest of any other person which is constructively controlled by the member is included.

3.13.2 Board members shall disclose potential conflicts of interest, in a signed written document provided by the Commissioner's Office. In the event the Board is taking action that involves issues with which a Board member has a potential conflict, the Board member shall orally disclose the conflict during a regularly scheduled public meeting and abstain from participating in discussion or voting on the issue.

3.13.3 Board members are subject to the Ethics Act, Utah Code 67-16-7. The Commissioner's Office will provide training materials to Board members that explain the obligations of the Ethics Act. Board members are also encouraged to seek counsel from the Board's general counsel or the Attorney General's office if there is any potential doubt as to the applicability of this section or the Ethics Act.

3.14 Amendment of Bylaws: The Board may amend these bylaws at any regular meeting, provided that copies of any proposed amendments shall be submitted in writing to each member and to the commissioner at least seven days before the meeting at which they are to be proposed for vote.

R120, Board of Higher Education Bylaws¹

R120-1 Purpose: To provide bylaws for the Board of Higher Education that will govern how the Board administers the Utah System of Higher Education and conducts regular Board business.

R-120-2 References

2.1 Title 53B, Chapter 1, Section 403, Committees

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R120-3 Bylaws

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3.8.1 The officers of the Board shall be a chair, a vice-chair or vice-chairs, and a secretary. The Board's staff shall be the commissioner of higher education, an associate commissioner of academic education, an associate commissioner of technical education and other staff as the commissioner deems necessary.

3.8.2 Appointment, Election and Term of Office

3.8.2.1 Chair and Vice-Chair(s): The Board shall elect a chair and up to two vice-chairs from among its members at its May/June meeting in each even numbered year. Beginning on the date of election, the chair and vice-chair(s) shall serve concurrently for two years and until their successors are chosen and qualified. A member may not serve more than two terms as chair.

3.8.2.2 Secretary: The Board shall appoint a full-time employee from the commissioner's staff to serve as secretary at the Board's discretion.

3.8.2.3 Commissioner: Upon approval by the governor and with the advice and consent of the Senate, the Board shall appoint a commissioner of higher education. The commissioner shall serve at the Board's pleasure.

3.8.2.4 Associate Commissioners: The commissioner shall appoint, subject to approval by the Board, an associate commissioner of technical education and an associate commissioner of academic education. The commissioner may also appoint, without the Board's approval, other associate commissioners as needed. The associate commissioners shall serve at the commissioner's pleasure.

3.8.3 Removal of Board Officers or Commissioner

3.8.3.1 Chair and Vice-Chair: The Board may remove a chair or vice-chair of the Board for cause.

3.8.3.2 Commissioner: The Board may terminate the commissioner. The Governor may terminate the commissioner, after consultation with the Board.

3.8.4 Vacancies: The Board may fill a vacancy in any Board office because of death, resignation, removal, disqualification or otherwise, according to the requirements of statutes and these bylaws, for the unexpired portion of the term.

3.8.5 Chair: The chair shall preside at all meetings of the Board and shall have such other duties, powers and responsibilities as directed by the Board or by these bylaws. In the absence of such specifications, the chair shall have the powers and authority and shall perform and discharge the duties of similar officers serving organizations with similar purposes and objectives as the Board.

3.8.6 Vice-Chair(s): The vice-chair(s) shall act as chair in the absence or disability of the chair and shall have such other duties, powers and responsibilities as the Board or chair may assign. In the event the office of chair becomes vacant, a vice-chair shall act as interim chair until the next regular meeting, at which time the Board shall elect a new chair to serve the balance of the unexpired term. If the office of vice-chair is similarly vacated, the Board shall elect a new vice-chair from among its members at the next regular meeting.

3.8.7 Secretary: The secretary shall make a record and maintain a record of all Board meetings and shall perform such other duties as the Board may direct.

3.8.8 Commissioner

3.8.8.1 Chief Executive Officer: The commissioner shall act as the Board's chief executive officer and, subject to the control of the Board, shall supervise and control all of the business and affairs of the Board. The commissioner may enter

into contracts or execute and deliver instruments that do not exceed \$100,000 on behalf of the Board without Board approval. For contracts or instruments that exceed \$100,000, the commissioner shall first obtain Board approval.

3.8.8.2 Commissioner Interprets Board Policy: The commissioner shall be responsible for interpreting the Board's policies.

3.8.8.3 Advocacy: The commissioner is authorized to advocate on behalf of the Board before the governor, the legislature, and other officials and governmental entities.

3.8.8.4 Commissioner Represents Board: In order to ensure that there is effective follow through in implementing the Board's action, the commissioner shall work with system's institutions on the Board's behalf to ensure they effectively implement the Board's policies, directives, strategies and goals.

3.8.8.5 Information, Reports, Audits: The commissioner is empowered to require information and reports from the institutions. The commissioner has the authority, after giving due notice to the presidents, to assign staff to audit records of institutions or otherwise verify data. Such audits shall be in response to the Board's instructions or to verify compliance with the Board's policies or applicable laws. The Board's audit committee shall prioritize the commissioner's audits.

3.8.8.6 Board Policies Properly Executed: After the Board has adopted a new policy, program, or taken an action, the commissioner shall ensure that it is properly carried out. The commissioner will collaborate with the Council of Presidents, and relevant institutional staff to implement new policies or directives and to periodically follow up on the implementation. Presidents shall cooperate with the commissioner to properly execute the Board's policies and directives.

3.8.8.7 Statutory Duties: The commissioner is responsible to ensure that the Board's policies, programs, and strategic plan are properly executed; furnish information about the Utah System of Higher Education and make recommendations regarding that information to the Board, provide state-level leadership in any activity affecting an institution of higher education, and perform other duties assigned by the Board.

3.8.9 Commissioner's Staff: The commissioner may appoint and hire a staff of professional, legal, and administrative personnel.

3.8.9.1 Associate Commissioners: The associate commissioner for technical education shall support the chair of the Technical Education Committee. The associate commissioner for academic education shall support the chair of the Academic Education Committee.

3.8.10. Salaries and Benefits

3.8.10.1 Chair and Vice-Chair: The chair and vice-chair are compensated as members of the Board and shall not receive salaries.

3.8.10.2 Secretary: The commissioner shall set the salary of the secretary as a member of staff.

3.8.10.3 Commissioner: The Board shall set the salary of the commissioner.

3.8.10.4 Commissioner's Staff: The commissioner shall determine salaries, retirement provisions, and other benefits for the staff as described by statute.

3.8.11 Contracts: The Board may authorize any officer or officers or agents of the Board, in addition to the officers so authorized by these bylaws, to enter into any contract or execute and deliver any instrument in the name of and on behalf of the Board, and such authority may be general or may be confined to specific instances.

3.9 Committees

3.9.1. Committee Appointments: The Board shall establish standing committees and such other special committees as the Board or the Board chair may determine to be necessary or appropriate. With the exception of the Executive Committee, the Board chair shall appoint the membership and a chair of all standing and special committees. Each standing committee shall serve concurrent two-year terms with the chair who appointed them.

3.9.2. Standing Committees: The Board shall form the following standing committees:

3.9.2.1 Executive Committee

3.9.2.2 Technical Education Committee

3.9.2.3 Academic Education Committee

3.9.2.4 Student Affairs and Access Committee

3.9.2.5 Finance and Facilities Committee

3.9.2.6 Audit Committee

3.9.3 Special Committees: In addition to the standing committees, the Board may form other special committees as needed.

3.9.4 Staff Support: The commissioner shall provide staffing and support to each standing and special committee.

3.9.5 Committee Membership and Voting of Board Chair and Vice Chair: The chair and vice-chair may be appointed to and serve as regular members of one or more standing committees, with full power to vote. The chair and vice-chair shall also be ex officio members of each standing committee to which they are not appointed on a regular basis, but in such ex officio capacity shall be without power to vote.

3.9.6 Executive Committee

3.9.6.1. Composition: The Executive Committee shall be comprised of the Board chair, the Board vice-chair(s), the chairs of the technical, academic, student affairs, and finance and facilities standing committees, and one committee member at large appointed by the Board chair.

3.9.6.2. Authority: The Executive Committee may meet to address issues, identify areas of concern, set priorities or agenda items, or conduct other business requiring the Board's action during the interim period between Board meetings as provided in this section. The Executive Committee shall report to the Board at the Board's next meeting following an action undertaken.

3.9.6.3. Routine Matters: The Board authorizes the Executive Committee to act upon routine matters during the interim between the Board's regular meetings.

3.9.6.4. Non-routine Matters: The Executive Committee may not act on non-routine matters except under extraordinary and emergency circumstances.

3.9.7. Committee Meetings: Meetings of all committees of the Board may be held upon the call of the committee chair, the Board chair, or at the request of the commissioner.

3.10 Advisory Councils

3.10.1 Industry Advisory Council: The Board shall establish an Industry Advisory Council that shall inform the committee for technical education; the committee for academic education; and the State Board of Education. The Industry Advisory Council shall include representation from (a) employers; (b) kindergarten through grade 12 representatives; (c) degree-granting institution faculty; and (d) technical college faculty.

3.10.2 Council of Presidents: The Board shall establish a Council of Presidents comprised of the presidents from each of the institutions and the commissioner. The commissioner shall serve as Council of Presidents chair.

3.10.3 Other Advisory Councils: The Board may establish other advisory committees or councils as needed.

3.10.4 All matters requiring Board determination shall be addressed in a properly convened meeting of the Board or Executive Committee.

3.11 Electronic Meetings: The Board and the Executive Committee may convene and conduct an electronic meeting in accordance with Section 52-4-207 of the Open and Public Meetings Act. The members of those committees who participate by electronic communication may be counted in the quorum that is necessary to conduct and transact the Board's business. Such participation does not alter the requirements of notice, open and public meetings, and other applicable rules of the Board.

3.12 Public Information

3.12.1 Official Pronouncements: The Board chair is the only member of the Board authorized to make official pronouncements for the Board, and then only as authorized by the Board. The commissioner as executive officer of the Board is authorized to speak for the Board and the Utah System of Higher Education with respect to any policy matters that have received the approval of the Board, and on administrative matters.

3.12.2 Releases and General Information: The commissioner or designee may issue news releases, general information and other communications regarding administration of the system of higher education, provided the releases are consistent with Board policies and directives.

3.13 Conflict of Interest

3.13.1 A potential conflict of interest is any direct and immediate interest or relationship, including financial interest exceeding \$2,000, with persons or businesses regulated by or directly affected by decisions of the Board, or persons or organizations which may present requests or issues before the Board. The interest of a spouse or other members of the immediate family/household or the interest of any other person which is constructively controlled by the member is included.

3.13.2 Board members shall disclose potential conflicts of interest, in a signed written document provided by the Commissioner's Office. In the event the Board is taking action that involves issues with which a Board member has a potential conflict, the Board member shall orally disclose the conflict during a regularly scheduled public meeting and abstain from participating in discussion or voting on the issue.

3.13.3 Board members are subject to the Ethics Act, Utah Code 67-16-7. The Commissioner's Office will provide training materials to Board members that explain the obligations of the Ethics Act. Board members are also encouraged to seek counsel from the Board's general counsel or the Attorney General's office if there is any potential doubt as to the applicability of this section or the Ethics Act.

3.14 Amendment of Bylaws: The Board may amend these bylaws at any regular meeting, provided that copies of any proposed amendments shall be submitted in writing to each member and to the commissioner at least seven days before the meeting at which they are to be proposed for vote.