

## AGENDA

### MEETING OF THE UTAH STATE BOARD OF REGENTS

July 9, 2003

**Utah State Board of Regents  
Office of the Commissioner  
of Higher Education  
Board of Regents Building, The Gateway  
60 South 400 West  
Salt Lake City, Utah 84101-1284**

**AGENDA**  
**STATE BOARD OF REGENTS MEETING**  
**BOARD OF REGENTS' OFFICES, THE GATEWAY**  
**60 SOUTH 400 WEST, SALT LAKE CITY, UTAH**  
**July 9, 2003**

9:00 a.m. - COMMITTEE OF THE WHOLE  
10:45 a.m. Board Room

1. Introduction of New Regents and Swearing In of New Student Regent
2. Election of Board Vice Chair
3. Panel Presentation on the Nursing Shortage Tab A
4. Student Recruitment and Retention Survey Tab B
5. U.S. Supreme Court Decisions on Affirmative Action Tab C

10:45 a.m. - MEETINGS OF BOARD COMMITTEES  
12:00 noon

Academic, Applied Technology, and Student Success Committee  
Executive Conference Room – 4<sup>th</sup> Floor

CONSENT:

1. Consent Calendar, Academic, Applied Technology and Student Success Committee Tab D
  - A. Utah State University – Restructured Programs in the College of Natural Resources
  - B. Weber State University – Program Deletions
  - C. Salt Lake Community College – Fast Track Skills Center Programs

INFORMATION:

2. Information Calendar, Academic, Applied Technology and Student Success Committee Tab E
  - University of Utah – Program Transfer and Name Changes

DISCUSSION:

3. Moratorium on New Programs and Programs in Planning Stages Tab F

Finance, Facilities and Accountability Committee  
Board Room

ACTION:

1. USHE – Long-term Enrollment Projections Tab G
2. UHEAA – Approving Resolution, SBR Student Loan Revenue Bonds, Series 2003V Tab H
3. University of Utah – 2003-2004 Budget for University Hospitals and Clinics Tab I
4. Utah State University – Potential O&M Needs for Donated Building in Brigham City Tab J
5. Salt Lake Community College – Property Purchase Tab K

CONSENT:

6. Consent Calendar, Finance, Facilities and Accountability Committee Tab L
  - A. USHE – 2002-2003 Final Work Program Revisions

- B. USHE – 2003-2004 Work Program Revisions
- C. USHE – 2003-2004 Budget Implementation Reports
- D. USHE – 2003-2004 Appropriated Operating Budgets
- E. USHE – Spring Semester and End-of-Year Enrollment Reports
- F. OCHE – Monthly Investment Report
- G. UofU and USU – Capital Facilities Delegation Reports

INFORMATION:

- |  |       |
|--|-------|
| 7. USHE – Administrative Efficiencies – Collaboration Opportunities Among Institutions | Tab M |
| 8. USHE – Informational Report, Current Institutional Investment Practices             | Tab N |
| 9. UHEAA – Board of Directors Report   | Tab O |

12:00 noon - 2:00 p.m.	REGULAR BUSINESS MEETING OF THE BOARD (Including working luncheon) Board Room
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| <ul style="list-style-type: none"> <li>1. Report of the Chair</li> <li>2. Report of the Commissioner</li> <li>3. Reports of Board Committees <ul style="list-style-type: none"> <li>Academic, Applied Technology &amp; Student Success (Tabs C - F)</li> <li>Finance, Facilities, and Accountability (Tabs G - O)</li> </ul> </li> <li>4. General Consent Calendar <ul style="list-style-type: none"> <li>A. Minutes</li> <li>B. Grants</li> <li>C. Executive Session(s)</li> </ul> </li> </ul> | Tab P |
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2:00 p.m.	EXECUTIVE SESSION MEETING – STATE BOARD OF REGENTS
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Projected times for the various meetings are estimates only. The Board Chair retains the right to take action at any time. In compliance with the Americans with Disabilities Act, individuals needing special accommodations (including auxiliary communicative aids and services) during this meeting should notify ADA Coordinator, 60 South 400 West, Salt Lake City, UT 84180 (801-321-7124), at least three working days prior to the meeting. TDD # 801-321-7130.

May 30, 2003

To: State Board of Regents

From: Cecelia H. Foxley

Subject: Panel Discussion on Nursing

Issue

During the April 8, 2003 Board meeting, a discussion took place concerning the current Utah nursing shortage, the efforts currently underway to address this issue, and proposed actions to avoid an increasing problem in the future. To further highlight and clarify some of the complex issues surrounding the nursing shortage, some experts have been invited to discuss these issues with Regents and Presidents.

Background

The major participants in providing and supporting nursing education in Utah are the Utah System of Higher Education, the health-care industry and the Utah State Legislature. All of these entities, which fulfill different roles in nursing education, continue to seek solutions to this problem. A panel of experts has been invited to make a presentation to the Board during the July 9<sup>th</sup> meeting. The purpose of the panel presentation is to help the Regents and the College and University Presidents understand the full implications of the nursing shortage in Utah and what we can collectively do to help address that shortage. Kim Wirthlin, Assistant Vice President for Health Sciences, University of Utah will moderate the panel. Participants on the panel will be:

Deb Barcoub, Executive Director, Utah Health Care Association  
Steve Bateman, Chief Executive Officer, Ogden Regional Medical Center, Past Chair of  
the Utah Hospital Association  
Maureen Keefe, Dean of Nursing, University of Utah, Chair Utah Nursing Leadership  
Forum  
Nancy Nowak, Vice President for Nursing, Intermountain Health Care  
Laura Poe, Executive Director of Nursing, State Department of Licensing  
Becky Richards, Executive Director, Utah Nurses Association

After the panel addresses some of the key issues and questions, Regents and Presidents will be given the opportunity to ask additional questions and make comments.

As background for the discussion, two attachments are included for review. Attachment 1 is the report detailing the nursing shortage at the state and national levels. This report was originally provided to the Board in the April 8, 2003 Board agenda. Attachment 2 is a report detailing the levels of nursing education programs and a list of allied health offerings at USHE institutions.

Commissioner's Recommendation

It is the recommendation of the Commissioner that the Regents consider the information presented on the nursing shortage as they make future programmatic and budgeting decisions.

Cecelia H. Foxley, Commissioner

CHF/GW  
Attachments

## The Nursing Shortage at the State and National Levels

*The United States is in the midst of a nursing shortage that is expected to intensify as baby boomers age and the need for health care grows. Compounding the problem is the fact that nursing colleges and universities across the country are struggling to maintain enrollment levels which remain insufficient to meet the projected demand for nursing care.*

American Association of College of Nursing: *Nursing Shortage Fact Sheet*.  
<http://www.aacn.nche.edu/Media/Backgrounders/shortagefacts.htm>

The State of Utah is currently experiencing a shortage of registered nurses that is expected, as indicated in the quote above, to intensify as the general population ages. However, unlike the problem referenced above related to maintaining enrollment levels at nursing schools nationwide, Utah Colleges of Nursing have large numbers of qualified applicants for each seat available in nursing programs. In fact, all public colleges of nursing in Utah routinely turn qualified applicants away as indicated in the table below:

**Table I: Current Nursing Applicant Pool Compared to Program Capacity, 2001-2002**

	U of U	WSU	Dixie	CEU	UVSC	SLCC	Totals
<b>Current RN Graduates (Capacity)</b>	100	250	19	30	72	100	571
<b>Number of Applicants *</b>	266	379	50	60	152	450	1,357

\* Data provided by the Utah Nursing Leadership Forum

The following information, provided by the Utah Nursing Leadership Forum (NLF), demonstrates the severity of the current and expected nursing shortages in Utah:

- Nurse executives in acute care hospitals report a vacancy rate of approximately 8% to 10% for RN positions. This represents about 1,000 open (unfilled) RN positions.
- The Utah Health Care Association reports a 24% vacancy rate in long-term care facilities (AHCA Health Services Research and Evaluation, October 2001). Additional nurses are needed for the growing number of long-term care facilities in Utah.
- The University Critical Care Pavilion opened in March, 2003. Only 18 of 32 beds can be occupied due to a shortage of nurses.
- The Huntsman Cancer Hospital will open in 2004. An additional 126 RNs will be needed.
- A new Intermountain Health Care (IHC) hospital in St. George will open in 2003. An additional 100 RNs (approximately) will be needed.
- IHC plans to open a new facility in 2006. An additional 150 RNs will be needed.

The Utah System of Higher Education (USHE), the health care industry, and the Utah State Legislature all have responsibilities related to nursing education in Utah. Although each of these entities has a different role, all are called upon to provide resources for the education of the nursing workforce. In

order to address the current and anticipated shortages in nursing, it is important to consider what is currently being done by each, and what is needed in order to address the problem of educating an adequate number of nurses to meet current and projected workforce needs.

### **The Utah System of Higher Education**

The responsibility of the Utah System of Higher Education in addressing the nursing shortage in Utah is to provide quality nursing education programs within the guidelines of accrediting agencies that require low faculty to student ratios. The following USHE institutions currently operate nursing programs that educate registered nurses at the associate and baccalaureate degree levels:

- The University of Utah (Baccalaureate)
- Weber State University (Associate and Baccalaureate)
- Dixie State College of Utah (Associate)
- The College of Eastern Utah (Associate)
- Utah Valley State College (Associate and Baccalaureate)
- Salt Lake Community College (Associate)

As illustrated in Table I above, these institutions currently graduate 517 registered nurses per year, compared to 1,357 applications for admission.<sup>1</sup> Faculty positions are insufficient to allow these USHE programs to enroll additional students. In addition, 98 of the 250 current nursing faculty in the USHE are over the age of 50, and one-third plans to retire within the next five years.

### Nursing Program Partnerships

Nursing program partnerships between USHE institutions maximize state resources and provide access for students. Examples of such partnerships include:

- The Utah College of Applied Technology Davis Campus (DATC) and Weber State University (WSU) partnership, which provides a seamless transition for DATC practical nursing students into WSU's Registered Nursing Program.
- A partnership between the University of Utah and the Salt Lake Community College University Center, which offers the opportunity for associate degree nurses to obtain a Bachelor's Degree in Nursing.
- The Weber State University Nursing Program located on the Southern Utah University campus, which provides an articulated RN to BSN Program with multiple entrance and exit points.

### Recruiting Non-practicing Nurses Back into the Field

Approaches to addressing the nursing shortage that have been considered include recruiting licensed, non-practicing nurses back into the field. Data provided by the Utah Medical Education Council (MEC) in January, 2003 indicate that there are 4,309 licensed nurses who are not currently practicing in

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<sup>1</sup> Brigham Young University and Westminster College graduate an additional 139 nurses per year

Utah. Although this number is high, there are many reasons that licensed nurses may choose not to practice, including family responsibilities (many are between the ages of 20 and 44), stressful working conditions, and inadequate salaries. The MEC is conducting ongoing research to determine why this group of licensed nurses is not currently working in the nursing field.

### Implementing Interim Measures

USHE institutions have also implemented interim measures such as RN refresher/reactivation programs, delaying faculty retirements, and accelerating existing programs, and have created the types of nursing program partnerships discussed previously. However, increasing the number of students that can be educated in our existing colleges of nursing is the best solution to meeting workforce needs for the long term.

### Increasing Program Access to Existing Nursing Programs

During the past two years, the Utah Nursing Leadership Forum, comprised of health care industry and education representatives, has sponsored a legislative initiative targeted at hiring and retaining faculty in order to increase nursing class size. The focus of the plan is two-fold:

1. The preparation of registered nurses at the associate degree level at all institutions (with the exception of the University of Utah, which does not have an associate-level program).
2. The preparation of additional nursing faculty at the University of Utah, the only USHE institution that educates nursing faculty.

The following table outlines the projected increase in class size, and the associated projected cost:

**Table II: Projected Increase in USHE Nursing Class Size and Related Cost**

	U of U	WSU	Dixie	CEU	UVSC	SLCC	Totals
<b>Proposed Increase</b>							
RN (Bachelor's)	80						80
RN (Associate)		200	29	30	68	80	407
Master's	38						38
Ph.D.	6						6
<b>Totals with Increase:</b>	490	829	98	120			1,537
<b>Projected Cost:</b>	\$1,875,000	\$2,163,000	\$325,000	\$476,152	\$853,122	\$891,000	\$6,583,274

Data provided by the Utah Nursing Leadership Forum

USHE institutions are ready to meet the need. With an increase of \$6.6 million in state funding for faculty, the six nursing programs at USHE institutions could increase class size to nearly double the number of nursing graduates in the state, and increase the number of new Master's and Ph.D. graduates (potential faculty) by two-thirds.



## **The Health Care Industry**

The health-care industry employs approximately 69,000 people in Utah, including nurses. Nursing is listed in the top 50 occupations with the most new jobs in Utah, and the top 50 occupations in demand in Utah.<sup>2</sup> The health care industry – hospitals, clinics, long-term care facilities, etc. – not only employs the graduates of nursing programs, but also provides significant support for nursing education including:

- Sites for the clinical component of nursing education
- Preceptors, who serve as mentors for student learning
- Space for student and faculty conferences
- Participation in the coordination of student placements
- Tuition reimbursement for employees who are advancing their careers as health care workers, including entry to nursing and obtaining advanced nursing degrees

Intermountain Health Care (IHC), in an effort to address nursing workforce requirements at IHC facilities, has contributed over \$1.5 million during 2002 and 2003 through a contractual arrangement with Salt Lake Community College to educate 120 new nurses over a three-year period, and a contractual arrangement with Utah Valley State College to train 20 nurses in the Practical Nursing (PN) to Registered Nursing (RN) Program. These students will be employed by IHC when they enter training. Intermountain Health Care also contributed \$100,000 to the University of Utah College of Nursing in 2002 – the fourth year in which this amount has been contributed by IHC.<sup>3</sup>

IASIS Healthcare, which operates the Davis Hospital and Medical Center, Jordan Valley Hospital, Pioneer Valley Hospital and Salt Lake Regional Medical Center in Utah, contributed \$88,000 in 2003 to the Utah College of Applied Technology Davis Campus (DATC) Practical Nursing Program as well as sites for nursing clinical placements. This partnership arrangement allowed DATC to hire additional faculty and increase enrollment in the Practical Nursing Program by 20 students. These students are employed by IASIS, and have a two-year employment commitment to that organization.<sup>4</sup>

Recognizing the University of Utah's critical role in both the training of specialized nurses and in the preparation of nurse educators who serve as faculty in all nurse training programs in the state, the University of Utah Hospitals and Clinics donated \$500,000 to the University's College of Nursing in September, 2002. The funds are being used to hire additional faculty and provide scholarships for nursing students.

## **The Utah State Legislature**

The Legislature provides approximately 67 percent of the funding for instructional costs at USHE institutions.<sup>5</sup> This amount has declined, as a percentage of the total state budget, as state revenues have also declined. The fiscal situation in the State of Utah, particularly over the past two years, has impacted the ability of the Legislature to fund the NLF request for approximately \$6.6 million to increase the number

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<sup>2</sup> *Utah Job Outlook: Statewide And Service Delivery Areas 2000-2005*, pp 15-24. <http://jobs.utah.gov/wi/pubs/UtahJobOutlook-2001.pdf>.

<sup>3</sup> Information provided by Paul Jackson, Asst. Vice President for Human Resources, Intermountain Health Care.

<sup>4</sup> Information provided by Jay Greaves, VP for Instruction, Davis Applied Technology College Campus.

<sup>5</sup> Utah System of Higher Education *Facts at a Glance Report Card*, updated April, 2003.

of faculty and, correspondingly, the number of graduates of USHE nursing programs. Increasing faculty, however, is the most effective way to address the projected nursing shortage in the State of Utah. Recognizing that the Legislature is dealing with many critical needs in the State within the constraints of limited fiscal resources, continuing to pursue funding for nursing faculty still appears to be the most effective approach to addressing the nursing shortage.

### **Summary**

The aging population, aging nursing workforce, and projected opening of new health-care facilities in the state will clearly exacerbate the current nursing shortage in Utah. Solutions such as RN refresher/reactivation programs, deferred faculty retirements, and accelerating existing programs will assist in addressing current nursing needs. In addition, further research may provide insight into why a significant number of licensed nurses is not currently employed in the profession in Utah.

Continued partnerships between nursing programs and with health-care providers will also assist in addressing the nursing shortage, although cash contributions by the health-care industry to nursing programs seem to be, not surprisingly, directed at addressing the shortage for specific providers. However, increasing nursing graduates by expanding capacity in current programs is the most effective way to meet the shortage anticipated for the future. An increase of \$6.6 million in state funding for faculty would allow this expansion, doubling the number of nursing graduates in the state, and increasing the number of new Master's and Ph.D. graduates (potential faculty) by two-thirds – a solution that will prevent a more severe crisis in the coming years.

Until the time comes when additional state resources are made available for nursing education, it is incumbent upon USHE institutions with nursing programs to do what they can to internally reallocate resources to expand their nursing programs, if at all possible. Exploring the possibility of additional partnerships with and support from the health care industry would also be a mutually beneficial way of continuing to help address the nursing shortage.

Prepared by Linda Fife, Office of the Commissioner of Higher Education

**Utah System of Higher Education**  
**Utah Nursing Programs by Level of Education and Location of Offering**

USHE INSTITUTIONS	Doctorate	Master's	Bachelor's - RN	Associate - RN	Practical Nursing Certificate	Certified Nurse Assistant Certificate
<b>University of Utah</b>	X	X	X			
Location	Main Campus; Oncology Spec. Online	Main Campus; Family NP Online	Main Campus			
<b>Weber State University</b>			X	X	X	
Location			Main Campus; Online	Main Campus; Outreach: Richfield, Tooele, Uintah Basin, USU Main Campus, SUU Main Campus; DATC Campus; Online	Main Campus; Outreach: Delta, Online	
<b>Snow College</b>					X	X
Location					Richfield Campus	Richfield Campus
<b>Dixie State College</b>				X	X	X
Location				Main Campus	Main Campus	Main Campus
<b>College of Eastern Utah</b>				X	X	
Location				Main Campus, San Juan Campus	Main Campus, San Juan Campus	
<b>Utah Valley State College</b>			X	X		
Location			Main Campus	Main Campus		
<b>Salt Lake Community College</b>				X		X
Location				Main Campus		Skills Center
<b>Utah College of Applied Technology</b>					X	X
Location					BATC, UBATC, DATC, OWATC	BATC, DATC, MATC, OWATC, SLTATC, SEATC, SWATC, UBATC

**NON USHE INSTITUTIONS**

<b>Brigham Young University</b>		X	X			
Location		Main Campus	Main Campus			
<b>Westminster College</b>		X	X			
Location		Main Campus	Main Campus			
<b>University of Phoenix</b>		X	X			
Location		Salt Lake, Provo, Taylorsville, Ogden; Online	Salt Lake, Provo, Taylorsville, Ogden; Online			

**Utah System of Higher Education**  
**Allied Health Programs by Area, Level of Education, and Location of Offering**

	Allied Health Area	Education Level	Location
<b>University of Utah</b>	Audiology	Master's, Doctorate	Main Campus
	Dietetics	Master's	Main Campus
	Genetic Counseling	Master's	Main Campus
	Health Promotion and Education	Bachelor's, Master's, Doctorate	Web CT; Satellite in Cedar Park, Bountiful, Sandy
	Human Genetics	Master's, Doctorate	Main Campus
	Medical Laboratory Science	Bachelor's, Master's	Main Campus
	Occupational Therapy	Master's, Entry-level	Main Campus
	Pharmacy	PharmD	Main Campus
	Physician Assistant	Master's	Main Campus
	Physical Therapy	Master's, Entry-level	Main Campus
	Speech and Hearing Science	Bachelor's	Main Campus
	Speech Language Pathology	Master's, Doctorate (PhD)	Main Campus
<b>Utah State University</b>	Audiology	Doctorate	Main Campus
	Dietetics Administration	Master's	Main Campus
	Music Therapy	Bachelor's	Main Campus
	Rehabilitation Counseling	Master's	Main Campus
<b>Weber State University</b>	Advanced Dental Hygiene	Bachelor's	Main Campus
	Athletic Training	Bachelor's	Main Campus
	Clinical Laboratory Science	Certificate, Associate, Bachelor's	Main Campus, Online
	Dental Hygiene	Associate	Main Campus
	Emergency Care and Rescue	Certificate, Associate	Main Campus
	Health Administrative Services	Bachelor's	Main Campus
	Health Information Management	Bachelor's	Main Campus
	Health Information Technology	Associate	Main Campus
	Radiography	Certificate, Associate, Bachelor's	Main Campus; Outreach: Statewide
	Respiratory Therapy	Associate, Bachelor's	Main Campus; Outreach: Provo (Associate)
<b>Southern Utah University</b>	Athletic Training	Bachelor's	Main Campus
<b>Dixie State College</b>	Dental Hygiene	Associate	Main Campus
	Emergency Medical Technician	Certificate, Associate	Main Campus
	Paramedic Training	Certificate	Main Campus
<b>Utah Valley State College</b>	Advanced Dental Hygiene	Bachelor's	Main Campus
	Dental Hygiene	Associate	Main Campus
<b>Salt Lake Community College</b>	Dental Hygiene	Associate	Redwood Campus
	Health Information Technician	Certificate	Skills Center
	Medical Assistant	Certificate	Redwood Campus
	Medical Laboratory Technician	AAS	Redwood Campus
	Occupational Therapy Assistant	Associate	Redwood Campus
	Pharmacy Technician	Certificate	Redwood Campus
	Physical Therapist Assistant	AAS	Redwood Campus
	Radiologic Technology	AAS	South City Campus
	Surgical Technology	Certificate	Redwood Campus
<b>Utah College of Applied Technology</b>	Clinical Laboratory Assistant	Certificate	DATC, SLTATC
	Dental Assistant	Certificate	BATC, DATC, DXATC, MATC, OWATC, SLTATC
	Emergency Medical Technician	Certificate	BATC, DATC, MATC, SLTATC, SEATC, UBATC
	Medical Assistant	Certificate, Associate	BATC, DATC, MATC, OWATC, SLTATC, UBATC
	Ophthalmic Assistant	Certificate	MATC
	Pharmacy Technician	Certificate	BATC, MATC, OWATC, SLTATC
	Phlebotomy	Certificate	SLTATC, UBATC
	Surgical Technician	Certificate	DATC, MATC

June 30, 2003

MEMORANDUM

To: State Board of Regents

From: Cecelia H. Foxley

Subject: USHE Institutional Survey Regarding Noel-Levitz/Student Success Task Force Recommendations

Issue

Nine USHE institutions were surveyed to help ascertain to what extent they are currently deploying strategies identified by the Regents' Student Success Task Force and consultants Noel-Levitz to foster student success. The survey found that most institutions have already implemented a number of strategies, and suggests areas where greater attention may be focused.

Background

At the April meeting of the Academic Affairs Applied Technology and Student Success Committee, a report summarizing and consolidating the recommendations of both Noel-Levitz and the Regents' Student Success Task Force was considered. The Committee decided that it would be useful to try to determine which of the recommendations were already being implemented by USHE institutions. The Commissioner's staff prepared the attached questionnaire, which was sent to the Chief Student Services Officers of each institution. The survey results were hand-carried to the Academic Affairs, Applied Technology and Student Success Committee's May 30 meeting. Due to the press of other business and the lack of time available to review the results prior to the May meeting, it was decided to defer it until the July Board meeting.

The survey results were shared with each institution and administrative officials were invited to provide additional input. Attachment 1 contains an analysis of the survey which was prepared by Assistant Commissioner Teddi Safman. A copy of the survey and the institutional responses are contained in Attachment 2. Additionally, institutional officials were invited to highlight one initiative of those contained in the survey where they have had measurable results of demonstrated success (Attachment 3).

Also enclosed with the Regents' agenda is a copy of a newly released report: *Student Success: Statewide P-16 Systems*, prepared by the State Higher Education Executive Officers (SHEEO), in cooperation with the Education Commission of the States, the Western Commission on Higher Education (WICHE), the College Board, and the Pathways to College Project. Regents and Presidents are encouraged to review this report prior to the July 9 meeting.

Consideration is being given to the Utah System of Higher Education hosting a one-day leadership workshop with representatives of Noel-Levitz and with three representatives of each institution's recruitment and retention team, to discuss strategies for developing fundamental recruitment and retention skills, from a system-wide perspective.

Commissioner's Recommendation

It is the recommendation of the Commissioner that the Regents discuss the survey results and examples and provide further direction, if any, regarding the implementation of Student Success strategies.

Cecelia H. Foxley, Commissioner

CHF/DB  
Attachments

## ATTACHMENT 1

### STUDENT SUCCESS SURVEY: SUMMARY ANALYSIS

#### Introduction

In May of 2003, the Office of the Commissioner developed a survey in order to learn specifically how the institutions are supporting student success on their campuses. The questions for the survey were derived from recommendations which emerged from the work of the Regents' Student Success Task Force in 2002 and statewide analysis conducted by Noel-Levitz (N-L) in early 2003. The survey (see Attachment 2) which was sent to nine of the ten institutions, contained 15 questions, most, of which, required a yes/no response. On some of the questions an explanation was requested as well. Two questions asked for cut scores on admissions assessments, and one asked for the ratios of students to academic advisors.

#### Summary of Findings

USHE institutions engage in activities that are designed to support student success as identified by the Regents' Student Success Task Force and the Noel-Levitz analysis (see the report in the May 20<sup>th</sup> Regents' Agenda). All conduct student satisfaction/expectation surveys, provide information on responsible borrowing, organize efforts to attract low income and minority students, have mentoring or orientation programs, open sections for required classes, assure the transferability of General Education courses, offer opportunities for students to obtain credit by alternative means, conduct outcomes assessments on graduating students, and assess the effectiveness of programs. All of the institutions provide remedial courses in mathematics and English as a second language, while only five offer remediation in reading and seven in English composition. The research universities and Weber State University (WSU) do not offer reading remediation. Neither does Snow College. The University of Utah (U of U) does not offer remediation in English composition. College of Eastern Utah (CEU) offers one section.

Admission tests are used to determine entering students' level of competence in reading, mathematics, English as a second language, and English composition. Student scores on the ACT test determine if remediation is needed (the lower the score, the less proficient). The cut scores for remediation differ among the institutions and range from a high of 18 at Utah Valley State College (UVSC) to 13 at Salt Lake Community College (SLCC). Dixie State College's (DSC) cut score is 17, and CEU uses a different test. Mathematics ACT scores also vary and range from a high of 23 at WSU to a low of 14 at SLCC. English composition ACT cut scores range from a high of 20 at DSC to a low of 16 at SUU, Southern Utah University (SUU), Snow College (Snow), and CEU. The cut scores for the English as a second language placement test, the TOEFL, are roughly the same.

Only three of the USHE institutions (U of U, DSC, and CEU) have policies that support the availability of required courses in the first two years of postsecondary education. All institutions reported that they have policies or practices that assure that General Education courses will transfer. This is consistent with Regent's Policy R465, General Education.

The ratio of academic advisors to students varied. WSU, UVSC, and SLCC reported a 1/1000 ratio. DSC reported a 1/700 ratio, the U of U reported a 1/600 ratio, SUU reported a 1/500 ratio, while Snow and

USU reported a 1/400 ratio. CEU reported the smallest ratio of 1/300.

Targeted communication to former students who are on religious missions, military assignments, or who began their education and dropped or stopped out was cited as a way to contribute to student persistence. Four institutions, the U of U, USU, WSU, and UVSC, target communications to students on missions or on military tours of duty. The U of U discontinues its communications after two years, the length of time in which students may re-enroll. Six institutions target communications with students who stop or drop out; SUU, CEU, and UVSC do not.

Only five institutions reported that they have conducted a study on the financial impact of enrollment and recruitment in the last three years. Snow, DSC, CEU, and SLCC did not. SUU makes projections on the financial impact of projected enrollments. It is likely that the other four institutions do the same.

### Discussion

Most of the USHE institutions appear to be engaging in practices that support student success. However, the level at which they engage in these practices could not be determined by the responses required for the Student Success Survey. A review of the responses raised several issues.

1. Recently, the role of providing developmental education was clarified for community colleges by the new Regents' Policy R312. Thus, Snow College and CEU may want to reconsider their developmental programs so that adequate sections of reading and English composition are included. (The U of U does not offer remedial English composition while the other three universities do.)
2. There appears to be a disconnect between programs to attract low income students and remediation opportunities at Snow and CEU. While not all minority and low income students require remediation, many first generation students do. In addition, both Snow and CEU charge the lowest tuition in the USHE, thus attracting low income, first generation students. Without remediation, these students will not have opportunities to improve their skills and benefit from a college education. And, because they are first generation and not familiar with higher education, they may not place themselves in remedial courses. This speaks to the necessity for mandating low-scoring students into remedial classes. Students who engage in remedial classes should be followed by the system to determine the impact of these courses on their retention and persistence.
3. The results of institutional efforts to attract minority and low income students are not known. Follow-up study is needed to determine if these efforts are actually attracting a more diverse student body. The growth of the Hispanic population in Utah ought to be reflected in Utah's public institutions.
4. Institutions may need to rethink their practice of accepting low ACT cut scores without mandatory remediation. (Institutions may use other normed examinations in addition to the ACT but did not report their use.) The issue may be related to funding; on its face, acceptance of lower scores



means a reduction in the number of remedial classes that would be needed. However, there is an economic impact on these students as well when they need to repeat courses, particularly mathematics, they cannot pass without remedial intervention. Institutions need to know if the practice of accepting lower cut scores ultimately impacts retention and persistence, indicators of student success.

5. The information given by institutions regarding the availability and transferability of first and second year courses does not comport with information obtained during the Majors meeting held earlier in 2003. A continuing concern is that some community college faculty may choose to offer lower-division courses that will not transfer instead of those that will. Institutions might consider policies that prioritize course offerings. Courses that move students efficiently toward graduation (General Education and major pre-requisite courses), should receive higher priority than courses that are less critical (electives).
6. The recommended ratio between academic advisors and students is 1/500. Yet, five of the institutions are operating with higher ratios. Tight budgets and budget cuts are likely to have contributed to these high ratios. Follow-up studies should determine the impact upon student success of academic advisor/student ratios that preclude adequate student advising.
7. While all institutions project the financial impact of various levels of enrollments, most do not conduct studies which demonstrate institutional return on investment (ROI). The Office of the Commissioner should develop a model for conducting an ROI.
8. All institutions conduct student expectation and satisfaction surveys. However, they were not asked to provide information on how these data are used to improve the institutions. The same question can be raised about the use of outcome assessments and program effectiveness data gathered by the institutions. As suggested by N-L, institutions need to use the findings from data they collect in order to plan for student success. Subsequent surveys ought to ask the question.
9. Any further study should include the Utah College of Applied Technology. Many of the questions did not apply because of UCAT's open entry/open exit environment. Even so, it is important to include the tenth institution in efforts to understand and support student success.

This survey was the first attempt to become more familiar with the work done by institutions to improve retention, persistence, and student success. The Office of the Commissioner can be expected to use the survey findings in its efforts to facilitate student success across the system.

**USHE Institutional Survey Results Regarding  
Noel-Levitz/Student Success Task Force Recommendations  
May 2003 (Revised 7/3/03)**

The purpose of this survey is to ascertain what is currently being done by USHE Institutions in implementing the various recommendations of Noel-Levitz and the Regents' Student Success Task Force. Please indicate whether or not your institution is engaged in each of the following efforts/activities.

1. Does your institution currently conduct a student expectation/satisfaction survey(s)?

<u>Yes</u>	<u>No</u>
USU*	UU**
WSU	
SUU	
Snow	
DSC	
CEU	
SLCC	
UVSC	

\*USU: Student satisfaction is measured in a number of surveys over the career of the student; these reports are included at the following web site: <http://www.usu.edu/asmnt/rep.html>.

\*\*UU: While the University as a whole does not conduct such a survey, individual departments/programs do and students are asked to give a written evaluation of each class/course.

2. Does your institution currently implement a systematic effort to inform potential and current students of ways to maximize reasonable borrowing and all forms of financial aid to assist them in completing their education efficiently?

<u>Yes</u>	<u>No</u>
All nine institutions surveyed	

3. Has your institution, within the past three years, conducted a study on the financial impact on the institution of various enrollment levels (recruitment) and re-enrollment (retention)?

<u>Yes</u>	<u>No</u>
UU	Snow
USU	DSC
WSU	CEU
SUU*	SLCC
UVSC	

\* SUU: Not a specific study, Financial Offices yearly make projections of financial impact based on projected enrollment levels.

4. Does your institution currently have organized efforts underway to actively attract students from diverse backgrounds (i.e. low income, minority, geographically diverse)?

Yes

No

All nine institutions surveyed

IF YES, please briefly explain the best of these efforts:

UU: Outreach through scholarship programs, initiative to have a presence in west-side of Salt Lake City where there is a low income and ethnically diverse population, also Vice President of Diversity focuses on these efforts and recruitment of diverse faculty staff.

USU: Outreach to students of color through Multicultural Student Services efforts plus recruitment of targeted populations from our Recruitment unit. In addition, Engineering particularly seeks students from these areas. Our office of International Students and Scholars recruits non-U.S. citizens.

WSU: We conduct a Multicultural Conference and invite hundreds of ethnic minority students to campus in a forum which gives them tips on applying and getting scholarships, advise them how to make good career decisions, and discuss the importance of higher education.

SUU: School Relations and Multicultural Center cooperate extensively on recruitment trips, particularly to the Navajo Nation Schools, to Las Vegas High Schools. Specific scholarship activities for minority students are conducted each spring. The Coordinator of Services for Students with Disabilities meets regularly with high school counselors, students and parents of students who may have disabilities to share information about the accommodations available for students who may have disabilities. Financial Aid works extensively to make certain students understand the financial assistance available to all students and particularly to students with modest or low incomes.

Snow: Use Talent Search and Upward Bound programs to actively seek minority students.

DSC: Scholarship program, multicultural director.

CEU: The San Juan Campus recruits in person at Navajo Reservation high schools as well as a wide variety of programs to reach out to Native Americans including TRIO programs, Heritage Week including scholarship pageant and "pow-wow," financial aid seminars in Navajo Reservation, Bi-Lingual Education Program to recruit future Navajo-speaking teachers, and early Headstart and child-care programs to meet the needs of non-traditional students, a non-traditional student as a voting member of the student government, and Endowment Scholarship awards to Navajos and Utes to enable them to afford college.

SLCC: Multicultural Initiatives, Diverse ethnic advisors, TRIO programs, President's Diversity Council, etc.

UVSC: TRIO Upward Bound & Talent Search Federal Grants, TRIO Educational Opportunity Center Recruitment Grant, Multicultural Center Outreach Programs, Abe Lincoln Recognition Programs for 17 feeder high schools in three counties.

5. Does your institution provide remedial/developmental courses in the following areas? (Check all that apply.)

Reading: SUU, DSC, CEU, SLCC, UVSC

Mathematics: UU, USU, WSU, SUU, Snow, DSC, CEU, SLCC, UVSC

English as a Second Language: USU, WSU, SUU, Snow, DSC, CEU, SLCC, UVSC

English Composition: USU, WSU, SUU, Snow, DSC, SLCC, UVSC

Other (specify): SLCC: Learning Skills, College Survival

6. (If you provide remediation) what test-score standard is used to determine the area in which students should be remediated? (Note, in each case it is a score of # or lower.)

Reading ACT Score of: DSC: 17, CEU/SJ Asset: 35, SLCC: 15, UVSC: 18

Mathematics ACT Score of: UU: 17, USU: 17\*, WSU: 23, SUU: 17, Snow: 15\*\*, DSC: 18, CEU: 18, CEU/SJ Asset: 33, SLCC: 17, UVSC: 18

English as a Second Language TOEFL (or similar) Score of: USU TOEFL 173 computerized or 500 paper/pencil for undergraduates, WSU: 500 or 173 Computer-based DSC TOEFL 500, CEU TOEFL 400-500, UVSC Compas ESL Score of 89 TOEFL Score of 172

English Composition ACT Score of: USU: 16, WSU: 17, SUU: 16, Snow\*: 16, DSC: 20, CEU: 16, CEU/SJ: Asset 35, SLCC: 20, UVSC: 18

\* USU: For a full description on math placement see <http://www.math.usu/undergrad/placement.html>

\*\* Snow: Remediation is not mandatory.

7. Does your institution currently have a mentoring program for first-time students?

Yes

UU

USU

WSU

SUU

Snow

DSC

CEU/SJ

UVSC

SLCC

No

CEU

(If Yes) Please check all that apply:

Mandatory Freshman Orientation Class: SUU, DSC

Voluntary Freshman Orientation Class: UU, USU, WSU, SUU, Snow, CEU, UVSC, SLCC

Upper-class person assigned as Mentor: SUU, Snow, UVSC

Mandatory meetings with Advisor: UU, USU, SUU as of Fall 2003, Snow, UVSC

8. Have steps been taken at your institution to increase the number of class sections of mandatory courses to prevent bottle-necks from occurring?

Yes

All nine institutions surveyed

No

\*USU: In 2002-2003, we got every Freshman through English 1010—a first!

9. Does your institution have policies or practices to assure that General Education courses are transferable to other USHE institutions?

Yes

All nine institutions surveyed

No

10. Have steps been taken at your institution to increase opportunities for students to obtain credit through competency-based means such as CLEP, Challenge Examinations, A.P. credit, etc.?

Yes

WSU

USU\*\*

SUU

DSC

CEU

SLCC

UVSC

No

UU\*

Snow

\* UU: We already offer a full-range of such opportunities.

\*\*USU: Our Director of Advising and Transition is very proactive on keeping these up to date and seeking approval for new opportunities. Details at [www.usu.edu/ats/apclep/index.html](http://www.usu.edu/ats/apclep/index.html)

11. Does your institution have a specific written policy that supports the availability of required courses for first and *second* year students?

Yes

UU

DSC

CEU

No

USU

WSU

SUU

Snow

SLCC

UVSC

12. What is the ratio, at your institution, of undergraduate students per full-time academic advisor?

1 advisor per \_\_\_\_ undergraduate students

UU: 1/600

USU: 1/408\*

WSU: 1/1,000

SUU: 1/500

Snow: 1/400

DSC: 1/700

CEU: 1/300

SLCC: 1/1,000

UVSC: 1/1,000

\*USU: This ratio varies enormously among programs, for instance, 1/78 in Physics and 1/853 in Science HASS.

13. Does your institution send targeted communications to the following groups of students:

Students not currently enrolled who began but did not complete a degree

Yes

UU\*

USU

WSU

DSC

Snow

SLCC

No

WSU

SUU

CEU

UVSC

\* UU: Students remain eligible for enrollment for one year after leaving. After one year is up, no communication with them.

Students who interrupted their studies to fulfill a religious mission or military assignment

Yes

UU\*

USU

UVSC

No

WSU

SUU

Snow

SUU

DSC

CEU

SLCC

\*UU: If students notify institution that they are leaving for a mission or military service, they are eligible for enrollment for up to two years and receive communications during that time.

14. Does your institution perform "outcomes assessments" of graduates to measure job placements in field of study or admission to graduate school?

Yes

All nine institutions surveyed

No

\* UU: Limited to some programs and some students.

15. Are there other assessments of students in use to measure program effectiveness or student achievement/learning?

Yes

No

All nine institutions surveyed

IF Yes, please list

UU: We assess learning in core General Education areas (math, writing, American institutions.) We assess program effectiveness for General Education, Elective Curriculum. We assess program effectiveness for about ten academic programs per year—in depth review.

USU: Focus groups with freshman (see [www.usu.edu/usucare](http://www.usu.edu/usucare)) conducted by Office of Provost, senior exit interviews conducted by deans and department heads, professional licensure exams (e.g. engineering, teaching).

WSU: National Survey of Student Engagement, Graduating Student Outcomes Survey

SUU: Campus-wide academic departments utilize various measures to evaluate/assess program effectiveness or student achievement/learning. Rather than listing all exams, or measures, for the most part, categories will be cited as examples. Portfolios in performing and visual art programs; capstone and field exams in several majors, such as the ETS Business Field Exam; Praxis Services Test in Education; student evaluations of courses.

Snow: Graduating Student Outcome Survey, CAP (some years).

DSC: Capstone test, critical literacy skills test

CEU: Each department is required to do program assessments, counseling for high skills, Carl Perkins measures.

SLCC: Graduate surveys

UVSC: Non-Returning Student Survey, Alumni Survey, New Student Survey, Employer Survey, Mid-Semester Survey, Faculty Staff Satisfaction Survey, Economic Impact Study

###

## **Examples of Student Success Initiatives by USHE Institutions**

### **University of Utah: Utah Opportunity Scholarship Program**

This program is designed to increase enrollment of students from under-represented ethnic backgrounds—and to ensure their success in college. Students selected for the program receive privately funded scholarships along with programmatic support that includes faculty mentoring. The first cohort of 20 students entered the U in Fall 2001. Of those, 18 are still enrolled (a very high retention rate for programs of this kind and one measure of success). Similarly, 19 of the 20 students in the Fall 2002 cohort will be returning in Fall 2003. The average first-year grade point average (GPA) for students in both cohorts was 3.1 (another measure of success). The University reports that the average GPA is significantly higher than the average for all freshmen at the U and higher than would be expected based on the cohort's average ACT scores at entrance (two more measures of success). Finally, a number of these students are assuming student leadership roles at the U, which we take as another indicator of success. It is our intent to have about 80 students in the program by Fall 2004.

### **Utah State University: Encouraging Better “Fit” for Mission of Institution**

After a careful study of historical retention data, Utah State began revising its admissions standards in light of “fit” between student and institution. It was clear that we had been admitting students who had little chance of succeeding. For fall 2002, we increased our index by 2 points; not surprisingly we have an increased fall to spring retention rate (which we think will also affect the fall-to-fall rate) with retention 2% higher than in previous years. USU continues to refine admissions procedures, asking more thoughtful questions on the application (e.g., what are your educational goals? Do you intend to complete your degree at USU?, etc.) By getting this information, students who think they can pursue cosmetology at USU (yes, this really did happen!) can be directed elsewhere.



### **Weber State University: MultiCultural Youth Conference (MVC)**

This is an annual event sponsored by the WSU Department of Services for MultiCultural Students (SMS). This year high school seniors and juniors were invited to participate. A total of 218 students attended the annual conference, 107 seniors and 111 juniors. During the conference, 105 seniors applied for admissions, 48 of whom are now enrolled and anticipate attending WSU in Fall '03. Fourteen high schools and 1 Job Corps Center participated in the event. Fifteen scholarships were awarded during the student and parent/guardian banquet. This program is funded primarily through donations and registration fees. Collaboration with partners including students, SMS Ambassadors and Senators, campus and community representatives were paramount to the success of this conference. This year funding enabled WSU to contract with Dr. Jimmy "Santiago" Baca, nationally known poet and author, to serve as conference keynote speaker. In addition to presenting at the MYC Conference, the students who have matriculated have been invited to attend a three-day pilot program, the MultiCultural Summer Bridge Program. This program is a comprehensive orientation program held in June. The following chart shows the results so far:

MYC Conference	2002-03	2001-02
Total number of students	218 seniors and juniors only	186 freshmen, sophomores, juniors and senior
Seniors attending	107	69
Seniors who applied for admission during the conference	105	69
Seniors matriculated for Fall semester	49 Fall 03	38 Fall 02
Students enrolled in classes for Fall semester	n/a Fall 03	24 Fall 02
Continuing status - enrolled / active	n/a	16
Number of Schools participating	15	10

### **Southern Utah University: National Survey on Student Engagement**

The National Survey on Student Engagement provides the institution with best feedback from students about programs and services. This information is then used to help with retention and student engagement in a variety of academic and other student support.

### **Utah Valley State College: First-Year Experience Program**

The First-Year Experience Program is a working partnership model between Academic Affairs, Student Services, and Student Life. Entering freshmen are encouraged to register for Student Success (3 credit course). The course helps students to develop academic and life skills needed to be successful in a college environment. Utah Valley Leaders (Student Peer Mentors) attend the course and are expected to serve as role models for other students by teaching and modeling how to study effectively and succeed in college courses. The Student Peer Mentors form study groups and help connect students to resources on campus. They have the responsibility to shepherd and track students' progress as well as connect them to activities and clubs on campus. Currently the program serves 1200 students each year. Students self report (85%) that the course has significantly increased their GPAs as well as provided the foundation needed to stay in college and complete their degrees.

June 30, 2003

MEMORANDUM

TO: State Board of Regents

FROM: Cecelia H. Foxley

SUBJECT: U.S. Supreme Court Decisions on Affirmative Action

Issue

On Monday, June 23, 2003, the U.S. Supreme Court ruled that colleges and universities may use race-conscious admissions policies. It is important that Regents and Presidents understand the implications of these important decisions.

Background

Until June 23, 2003, the Supreme Court's last major decision on affirmative action in higher education was its landmark 1978 ruling regarding *Regents of the University of California v. Bakke*, wherein the Court made it clear that the use of quotas would not be allowed in admissions decisions. In an opinion written by Justice Lewis F. Powell Jr., and agreed to by a majority of the justices, the idea that some consideration could be given to race in an institution's effort to have a diverse student body was also supported. Since 1978, there has been a debate as to whether or not Justice Powell spoke for the court's majority.

The two cases which the Court ruled on last Monday both involved the University of Michigan. In the case of *Grutter v. Bollinger*, the Court voted 5 to 4 to uphold the University's use of affirmative action in its law school's admissions program, stating that the narrowly tailored use of race and ethnicity serves a compelling government interest in "obtaining educational benefits that flow from a diverse student body." However, in the case (*Gratz v. Bollinger*) dealing with the University's practice in its chief undergraduate college of giving extra points to students from under-represented racial and ethnic groups, the Court ruled 6 to 3 against.

To begin the discussion on this important topic, I have asked Presidents Bernie Machen and Kermit Hall and U of U Health Sciences Vice President Lorris Betz to comment on what they think the implications of these decisions are for USHE colleges and universities in general, and specifically for the U of U College of Medicine's admissions procedures which underwent a legislative audit last year.

Attached for Regents' and Presidents' review prior to the July 9 Board meeting is a "Case Analysis and Lessons Learned Regarding the Use of Race by Colleges and Universities," by Art Coleman and Scott Palmer with the law firm of Nixon Peabody LLP. This analysis is being distributed by several national higher education organizations. Also attached is an article by Peter Schmidt of The Chronicle of Higher Education and comments of six people who have been key in the national debates about affirmative action and who were invited by The Chronicle to provide statements for the July 4, 2003 issue. In addition, attached is President Hall's article which appeared in the Sunday, June 29, 2003 edition of The Salt Lake Tribune and which ties together the issues of affirmative action and financial aid.

Commissioner's Recommendation

This discussion regarding affirmative action and the recent U.S. Supreme Court's decisions is for information only. No action is needed at this time.

Cecelia H. Foxley, Commissioner

Attachments

**MEMORANDUM**

June 30, 2003

TO: State Board of Regents

FROM: Cecelia H. Foxley

SUBJECT: Consent Calendar, Academic, Applied Technology, and Student Success Committee

The following requests have been submitted by the designated institutions for consideration by the Regents on the Consent Calendar of the Academic, Applied Technology, and Student Success Committee.

1. Utah State University (USU)

A. Degree Programs in Reorganized Departments of the College of Natural Resources

**Request:** In May, 2002, the Regents approved a USU proposal to reorganize the College of Natural Resources, combining four academic departments and one interdisciplinary program into three new academic departments – (1) Environment and Society, (2) Aquatic, Watershed, and Earth Resources, and (3) Forest, Range, and Wildlife Sciences. Consistent with the reorganization of these departments, USU now requests name changes for three of the previously offered undergraduate degrees, as follows:

**BS in Fisheries and Aquatic Sciences** - This degree provides the fisheries option available in the previously offered Fisheries and Wildlife BS degree.

**BS in Wildlife Science** - This degree provides the wildlife option available in the previously offered Fisheries and Wildlife BS degree.

**BS in Watershed and Earth Systems** - This degree constitutes the previously offered Watershed Science degree.

With these name changes, degree programs offered in the three reorganized departments are listed as follows:

**Department of Aquatic, Watershed, and Earth Resources**

BS in Fisheries and Aquatic Sciences  
MS and PhD in Fisheries Biology  
BS in Watershed and Earth Systems  
MS and PhD in Watershed Science

**Department of Environment and Society**

BS in Environmental Studies

BS, MS, and PhD in Recreation Resource Management

BA, BS, MA, and MS in Geography

**Department of Forest, Range, and Wildlife Sciences**

BS in Wildlife Science

MS and PhD in Wildlife Biology

BS, MS, and PhD in Forestry

BS in Rangeland Resources

MS and PhD in Range Science

There are no new costs, faculty, or facility requirements associated with the three degree name changes proposed.

2. Weber State University (WSU)

A. Elimination of the Bioremediation Center

**Request:** In 1996, the Bioremediation Center was awarded a Utah Center of Excellence Grant to commercialize innovative microbial remediation technologies within the State of Utah. In 1997, a spin-off company, Applied Biosciences, was created for commercialization purposes and was housed on the WSU campus.

**Need:** In 2002, Applied Biosciences moved from the WSU campus to its own facility. The space formerly occupied by the Bioremediation Center, along with associated supplies and equipment, has become a student research facility within the Microbiology Department in the College of Sciences. Because of the organizational change, the Bioremediation Center is no longer a separate entity on the WSU campus and should be eliminated as a center.

**Institutional Impact:** The institutional impact will include only a change in the documents and catalog where the Center is described.

**Finances:** Approval of the elimination of the Center will incur no substantial costs beyond those required to update necessary documents.

B. Elimination of the Associate of Applied Science in Applied Technology in the Manufacturing and Mechanical Engineering Technology Department within the College of Applied Science and Technology

**Request:** WSU requests to eliminate the Associate of Applied Science Degree in Applied Technology (AAS in AT). The AAS Degree in AT was specifically designed for people who were completing or who had completed an apprenticeship program under the auspices of the Bureau of Apprenticeship and Training. It was further restricted to those apprenticeship programs whose technical content matches with the programs within the College of Applied Science and Technology.

**Need:** As part of its ongoing improvement process, the College of Applied Science and Technology reviews its degree offerings regularly. In reviewing the AAS Degree in AT, the College discovered that there had only been one graduate from the program since its inception, and no one had enrolled in the last three years. Two explanations were given for the lack of enrollment. First, the Degree program requires a minimum of 45 credits beyond an already lengthy apprenticeship, and students do not want to spend the time required for degree completion. Second, the value of the Degree program is considered minimal for career advancement of apprenticeship students. Although initially requested in 1998 by the Ogden Weber Applied Technology Center and the David Applied Technology Center (now both are colleges in the Utah College of Applied Technology), the lack of enrollment and the apparent lack of a need for the Degree program have led faculty to recommend that the AAS in AT be eliminated.

**Institutional Impact:** Only the catalog and other documents will need to be updated.

**Finances:** No substantial costs are anticipated. The catalog and other documents will need to be updated, and the courses that were taught in the AAS Degree program in AT continue to be taught as they are part of other degree programs.

3. Salt Lake Community College

- A. Request for Regents' approval of eight non-credit, short term applied technology education programs, sponsored by the Skills Center, which were already approved by the Commissioner of Higher Education

**Request:** Salt Lake Community College requested approval from the Commissioner of Higher Education to begin, or "Fast Track," eight non-credit, short term programs in applied technology education. The Commissioner gave her permission which then allowed the programs to commence and to be placed on the Regents' Consent Calendar for approval. Such approval is necessary for the programs to be financial aid eligible. Without financial aid eligibility, students would be precluded from making application for tuition assistance. The "Fast Track" process is contained in Regents' Policy R401-7. The eight programs are as followed:

**General Clerk** (600 clock hours) - Includes basic clerk, keyboard, and computer skills, various computer applications, business terminology, writing and math.

**Basic Health Records** (600 clock hours) - includes training for work in medical records in various health care and insurance settings, computer keyboarding and applications, medical accounting/patient scheduling, and customer service.

**Customer Service/Receptionist** (300 clock hours) - includes basic training in computer skills and

applications, data entry, telephone etiquette, business communication, customer service, and problem solving.

**Data Entry for Medical Billing** (640 clock hours) - includes training for entry-level positions in medical billing occupations, and clerical training in computer concepts and applications appropriate medical accounting and coding.

**Medical Coding Procedures** (600 clock hours) - includes training for medical coding in appropriate settings, computer applications, medical terminology, and customer service.

**Office Clerk** (610 clock hours) - includes training in entry-level computer concepts and applications and customer service.

**Linux Network Administrator** (700 clock hours) - includes training in Linux operating systems including installation, configuration, and troubleshooting server issues.

**Linux Support Specialist** (700 clock hours) - includes intensive training in building and maintaining computers that run both Linux and Microsoft network systems, installing, configuring, and troubleshooting computers and networks, using Samba to integrate applications, customer service, hardware configuration, and system security.

The SLCC Skills Center has as its purpose the education and training of persons who require basic job skills in order to become independent. It derives its funding through grants, contracts, non-credit tuition, and a line-item appropriation from the Utah Legislature.

#### Commissioner's Recommendation

It is the recommendation of the Commissioner that the Regents approve the institutional requests on the Consent Calendar of the Academic, Applied Technology, and Student Success Committee.

Cecelia H. Foxley, Commissioner

CHF/PCS/DC



**MEMORANDUM**

June 30, 2003

**TO:** State Board of Regents

**FROM:** Cecelia H. Foxley

**SUBJECT:** Information Calendar: Academic, Applied Technology, and Student Success Committee

The following administrative and academic program changes have been submitted for review by the Regents on the Information Calendar of the Academic, Applied Technology, and Student Success Committee. These changes have been previously approved by the respective institutional Boards of Trustees.

1. University of Utah

- A. Transfer of Undergraduate Program in Urban Planning from the Department of Geography to the Graduate School of Architecture

The UofU Graduate Council has approved a proposal from the Graduate School of Architecture and the College of Social and Behavioral Sciences to transfer the undergraduate urban planning degree program from the Department of Geography to the Graduate School of Architecture. Both colleges support this administrative transfer. Across the country, urban planning programs are overwhelmingly located in architecture and design colleges.

- B. Name Change: Department of Communication Disorders to Department of Communication Sciences and Disorders

The rationale for this departmental name change at the UofU is to more accurately represent the entire range of research, teaching, and clinical activities that take place in the department, particularly reflecting the basic science aspects of teaching and research being conducted. Official degree names offered by the department will not change.

- C. Name Change: Master of Science Program in Medical Laboratory Science to Master of Science Program in Laboratory Medicine and Biomedical Science

Students in this graduate program come from two different backgrounds – those with BS degrees in medical laboratory science or medical technology and those with BS degrees in biology, chemistry, and microbiology. The name change to Laboratory Medicine and Biomedical Science provides a clearer description of the focus of research endeavors in the program and should attract more students with these backgrounds and interests.

Commissioner's Recommendation

It is the recommendation of the Commissioner that the Regents review the Information Calendar and raise any issues for clarification. No action is required by the Board.

Cecelia H. Foxley, Commissioner

CHF:DAC

MEMORANDUM

June 30, 2003

TO: State Board of Regents

FROM: Cecelia H. Foxley

SUBJECT: Discussion on the Moratorium and New Programs and the Programs in Planning Stages

Issue

The Moratorium on New Programs has been in place for almost one year. During this period of time two programs have met the Exceptions to the Moratorium criteria and have been approved. Now is an appropriate time for the Regents to review the impact of the moratorium, its purpose and timing.

Background

In an attempt to respond to the ever changing needs of students and their employers, institutions must be able to be responsive when the need arises. Letters of Intent for several new programs have been submitted by institutional officials and are being reviewed by the Commissioner's staff. Some have been referred to the Program Review Committee for review (Appendix 1). Additional programs are in the same stage of the review process for approval under the original policy R401 and review was halted when the moratorium was imposed (Appendix 2).

Institution officials have been asked to plan ahead and alert the Regents about programs they plan to bring forward during the next year and those which they anticipate bringing forward during the following two years (Appendix 3).

Based on the needs of institutions to be responsive, the need to plan ahead and the need to inform students about potential program approvals, it would be helpful to address several unanswered questions.

1. How long does the State Board of Regents anticipate that the moratorium will remain in effect?
2. What factors should be considered in determining whether or not to lift the moratorium?

3. When the moratorium is lifted should there be some predetermined process for program approval to provide a manageable approval process? If so, what should it entail?

Commissioner's Recommendation

It is the recommendation of the Commissioner that the Committee discuss the purpose and timing of the moratorium and its implications for institutions.

Cecelia H. Foxley, Commissioner

CHF/DDW  
Attachments

## Appendix 1

**The items below have been received in the Office of the Commissioner, reviewed, and referred to the Program Review Committee.**

Southern Utah University - Letter of Intent and proposal: Bachelor of Science in Nursing
Dixie State College - Letter of Intent and Proposal: Bachelor of Science in Nursing
Utah State University - Proposal: MS and PhD Degrees in Human Dimensions of Ecosystem Science and Management
Utah State University - Proposal: BS Degree in Conservation and Restoration Ecology
Utah State University - Proposal: Natural Resources and Environmental Education (NREE) Interdisciplinary Graduate Certificate Program

**The items below have been received in the Office of the Commissioner and are being held due to the Regents' moratorium on new program approval.**

University of Utah - Proposal: BS in Entrepreneurship
University of Utah - Letter of Intent: Doctorate of Audiology
Utah Valley State College - Letter of Intent: Exceptions to Moratorium: Art and Visual Communication, Dance, Music

## Appendix 2

### New Program Proposals Pending Board Approval

The programs listed below had been submitted prior to the moratorium. Each program has been through the review process and is ready to be placed on the Regents Agenda when the moratorium is lifted.

Utah State University - Ph.D. in the Theory and Practice of Professional Communication
Utah State University - Major in Personal and Financial Planning
Utah Valley State College - BS in Computer Science Engineering
Utah Valley State College - BA/BFA/BS in Art and Visual Communications
Salt Lake Community College - AAS in Broadcast Video/Audio Production
Salt Lake Community College - AAS in Film Production

**Utah System of Higher Education  
Programs Under Development/Consideration**

**Section I**

**From: April, 2003 through March, 2004**

**Current Status Key:**

1. Under review by department faculty
2. Under review by curriculum committee(s) and/or Faculty Senate
3. Forwarded to the CAO, President and Board of Trustees for approval
4. Letter of Intent Submitted to Commissioner's Officer for Review
5. Sent to other USHE institutions for review
6. Sent to the Program Review Committee for review
7. On Regents' Agenda

Institution	Program Name	Degree Type	Current Status	Projected for Regents' Agenda
<b>U of U</b>	Foods and Nutrition	Minor		
	Entrepreneurship	BS		
	Consumer and Community Studies	BA/BS		
<b>USU</b>	Professional Communication	PhD		Reviewed - Being Held
	Personal and Financial Planning	BA/BS		Reviewed - Being Held
	Business w/Specializations in			
	Accounting, BIS, Mgt., Marketing			
	and Operations Mgt.	PhD	2	
	Aerospace Engineering	MS, PhD	1	
	Biochemistry	BS	2	
	Applied Environmental Geoscience	BS, MS	2	
	Wildlife Science	BS	2	
	Conservation and Restoration Ecology	BS	2	
	Fisheries and Aquatic Sciences	BS	2	
	Watershed and Earth Systems	BS	2	
	Computer Science and Computer Scientist	MS	1	
	Geology	PhD	1	
<b>WSU</b>	Emergency Care and Resuce	BS	1	
	Early Childhoold and Special Educ.	BS	2	
	Crime Scene Investigation	AS	1	
	Plastics Engineering Technology	BS	1	
	Biomedical Engineering Technology	BS	1	
<b>SUU</b>	Nursing	BSN	4	
	Communication	MA		
	Paralegal Studies	Minor	2	
<b>Snow</b>	No Programs Pending			
<b>Dixie</b>	Visual Technologies	AAS	3	
	Nursing	BS	4	
	Health Sciences Management	BS		
<b>CEU</b>	Hospitality Management	AS/AAS	5	
	Transportation Technology	AS/AAS	1	
	Apprenticeship	AAS	1	
	Paraprofessional Educator	AS/AAS	1	
	Native American Studies	AA/AS	1	
<b>UVSC</b>	Art and Visual Communication	BA,BFA,BS		Reviewed - Being Held
	Dance	BA,BFA,BS	4	Exception to Morat. Req.
	Music	BA,BS	4	Exception to Morat. Req.
	Community Health	BA/BS		
	Spanish	BA,BS	2	
<b>SLCC</b>	Broadcast Video/Audio Production	AAS		Reviewed - Being Held
	Film Production	AAS		Reviewed - Being Held
	Geoscience	AAS	1	
	Chemistry	AS	1	
	Chemistry Technician	AAS	1	
	Pharmacy Technician	AAS		
	Interior Design Associate	AAS		
<b>UCAT</b>	Business Technology	AAT	3	

**Utah System of Higher Education  
Programs Under Development/Consideration**

**Section II**

**From: April, 2004 through March, 2006**

<b>Institution</b>	<b>Program Name</b>	<b>Degree Type</b>
<b>U of U</b>	Occupational Therapy	MS
	Physical Therapy	DPT
	Parks, Recreation and Tourism	MPRTM
	College of Health Depts. And Divisions	Interdisciplinary PhD in Health
	Community Development (Env. Studies & FCS)	Undergrad. Certificate
	Anthropology of Religion	Undergrad. Certificate
	Medical Anthropology	Undergrad. Certificate
	Power Politics of Anthropology	Undergrad. Certificate
	Research and Assessment	Undergrad. Certificate
	Pharmaceutical Sciences	BS
	Combined Doctor of Pharmacy/Philosophy	Doctorate
	Historic Preservation	Certificate
	Gender Studies	Certificate
	Demography	Certificate
<b>USU</b>	Philosophy	MA
	Public Administration	MS
	Agricultural Policy Relations	BS
	Agronomy	MS
	Aerospace Engineering	MS, PhD
	Geography	MS
	Physical Geography	MS
	Human Dimensions of Ecosystem Science and Management	MA, MS, PhD
	Interdisciplinary Doctorate in Disabilities	PhD
<b>WSU</b>	European Studies without Language	Minor
	Professional and Technical Writing	Bachelor's
	Instrumental Musical Pedagogy	Bachelor's
<b>SUU</b>	Environmental Resource Mgt. Or Natural Resource Mgt.	MS
	General Studies (School of Cont./Prof. Studies)	BS
	Public Administration (with U of U)	MPA
	Communications	MA/MS
	Theory and Practice of Writing	MA
	Art	BFA
	Dance	BFA
	Theatre Arts	BFA
	Counseling Psychology	MA/MS
	Music	BM
	School Counseling	MEd
	Exercise Science	BS
<b>Snow</b>	No Programs Pending	
<b>Dixie</b>	Communicaton Technologies	BS
	Speech Language Pathology (Incubated by USU)	BS
	Medical Radiography	AAS
	Bachelor of Nursing	BSN
<b>CEU</b>	Environmental Studies	AA/AS
	Environmental Science	AA/AS
	Wilderness Studies	AA/AS
	Safety Science	AA/AS
	Manufacturing Engineering Technology	AA/AS
	Allied Health Professions	Certificate



Institution	Program Name	Degree Type
UVSC	Communication	BA/BS
	Computer Engineering Technology	BS
	School Health Education	BS
	Health Services Administration	BS
	Mild/Moderate Special Education	BA/BS
	Physical Education/Recreation	BS
	Physical Education/Coaching Education	BS
	Technology Education	BS
	Spanish Education	BA
	Music Education	BA/BS
	Political Science	BA/BS
	Semiconductor Technology	AAS
	Math Education	Minor
	Earth Science Education	Minor
	Chemistry Education	Minor
	Physical Education	Minor
	School Health	Minor
	Theatre	BA/BS
SLCC	Genealogy	AAS
	Hospitality Management	AAS
	Horticulture	AAS
	Pre Bio-Engineering	APE
	Mechanical Engineering	AAS
	Civil Engineering	AAS
	Nanotechnology	AS, AAS
	Electrical Engineering	AAS
UCAT	Welding	AAT
	Apprenticeship	AAT
	Dental Assisting	AAT
	Machining	AAT
	Industrial Maintenance	AAT
	Diesel	AAT
	Electronics	AAT

**MEMORANDUM**

June 30, 2002

TO: Utah State Board of Regents

FROM: Cecelia H. Foxley

SUBJECT: USHE -- Long-term Enrollment Projections

Issue

Attached are long-term enrollment projections for nine USHE institutions individually and in total. These projections have been prepared to assist the Regents and other state-level policy-makers in mid- to long-range planning for the USHE. The projections are also used as input to the Regents' capital facilities prioritization process. In total, the projections forecast an increase of over 66,000 full-time equivalent students, a 58 percent increase, over the next 20 years. The average annual increase equals 3,300 FTE students or 2.3 percent per year.

Background

The projections included here are intended to measure demand for enrollment in the USHE given the current policy climate and current Utah population projections. The underlying model that generates the projections utilizes the methods of the Governor's Office of Planning and Budget (GOPB) as well as those of the National Center for Higher Education Management Systems (NCHEMS). Described simply, the model estimates enrollment demand for higher education based on the projected population and the propensity of that population to participate in higher education. Projected participation rates are based upon 20-year historical participation rates for 4 cohorts of students based on age and geographic origin.

Over the short-term, recent SBR projections have proven to be conservative at some institutions (i.e. UU, WSU, DSC, and UVSC) while overestimating enrollment at others (SUU, Snow, and CEU). Estimates at USU and SLCC have been fairly accurate to date. Since the introduction of this enrollment projection model in 1998, enrollment growth system-wide has tended to exceed projected levels. In considering the projections for the next 20 years, Regents should keep in mind a few caveats:

- Projecting enrollment is as much art as science and should be viewed as informed estimations rather than certainties, particularly given the 20-year duration of the projections.
- The projections are only as good as the assumptions on which they are based. A principle assumption embedded in the USHE enrollment projections is that statewide population

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estimates promulgated by GOPB will prove to be relatively accurate. If they turn out to be significantly inaccurate, the USHE enrollment projections will be equally inaccurate.

- Only those enrollments that have been systematically reported by the institutions form the basis for future projected enrollments. At most institutions, non-credit enrollments have not been systematically reported in past years. Consequently, no reliable database is available on which to project future enrollments of this type. The Commissioner's Office will continue to work with USHE institutions to more systematically capture such enrollments.
- The development of an enrollment projection model that incorporates the unique enrollment patterns and programs of the Utah College of Applied Technology has not yet been developed. Furthermore, historical data upon which future participation trends can be projected is limited. Staff will continue to work on creating a UCAT enrollment projection model. The percentage growth increase for UCAT is likely to be equal to or perhaps greater than the average percentage growth for the other 9 institutions.
- Major shifts in policy, such as changes in institutional mission and role, changes to residency requirements, availability of nonresident waivers, lack of funding for new enrollment, enrollment freezes, or other factors could have a measurable impact on enrollment projections. The precise impact for these types of changes is unknown. The projections do incorporate a short-term adjustment in participation rates related to the University of Utah's enrollment freeze.
- The projections will not be used for budget purposes, such as the determination of enrollment growth requests. USHE enrollment growth funding requests have been based for several years on actual enrollments rather than projected enrollments, and institutions receive growth funding a year after student growth has actually occurred.
- The projections are used as input to the Regents' facilities prioritization process known as the "Q&P." The five-year projection figures included here, along with accepted space-per-student standards, will generate estimated space needs for each institution. These estimated space needs are one of several elements weighed in the Q&P process, which will be brought to the Board when capital development projects are prioritized in September.
- At the time of mailing for the agenda, 2002-2003 end-of-year actual enrollments for Salt Lake Community College had not been received. Therefore, SLCC projections incorporate estimated 2002-2003 enrollments. These will be revised with actual data and hand-carried to the July 9 meeting.

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Attachment 1 is a presentation that describes some of the background methodology used in the enrollment projections, including additional detail on the input factors of population estimates and participation rates. Attachment 2 provides 10 tables with projections for the next 20 years in five-year intervals for 9 USHE institutions separately and in total.

Commissioner's Recommendation

It is the recommendation of the Commissioner that the Regents consider and adopt the attached USHE long-term enrollment projections.

Cecelia H. Foxley, Commissioner

CHF/MHS/BLM  
Attachments



# *USHE 2003 Long-term Enrollment Projections*

Prepared by  
the Office of the Commissioner of Higher Education  
June 30, 2003



# Projecting Enrollments

- Informed estimates rather than certainties.
- Based on assumed population estimates and participation rates that could differ from future realities.
- Enrollment projections only reflect enrollments that have been systematically reported over time.
  - Therefore, many non-credit continuing education or vocational classes are excluded.
- UCAT is not included because there is not sufficient historical information to project for the future.
  - The percentage growth increase for UCAT is likely to be equal to or perhaps greater than the average percentage growth for the other 9 institutions.



# Purpose of Enrollment Projections

- To inform USHE decision-makers for planning discussions, such as:
  - Long-range financial plans
  - Capital facility needs
  - Institutional missions and roles
- Projections are not used to determine enrollment growth requests.
  - Enrollment growth funding requests are based on actual enrollments rather than projected enrollments.
- Recent SBR projections have proven to be conservative at some institutions (i.e. UU, WSU, DSC, and UVSC) while overestimating enrollment at others (SUU, Snow, CEU). Estimates at USU and SLCC have been fairly accurate.
- Since the introduction of this enrollment projection model in 1998, short-term USHE enrollment growth has moderately exceeded projected levels.



# Enrollment Projection Methodology

- Two driving inputs to develop 20-year projections:
  - Governor's Office of Planning and Budget population estimates
  - Institution specific assumptions about future participation rates arrived from 20-year historical participation rates for 4 cohorts of students:
    - 18-29 years from institution's 3 biggest draw counties
    - 30 years and up from institution's 3 biggest draw counties
    - 18-29 years from all other counties
    - 30 years and up from all other counties



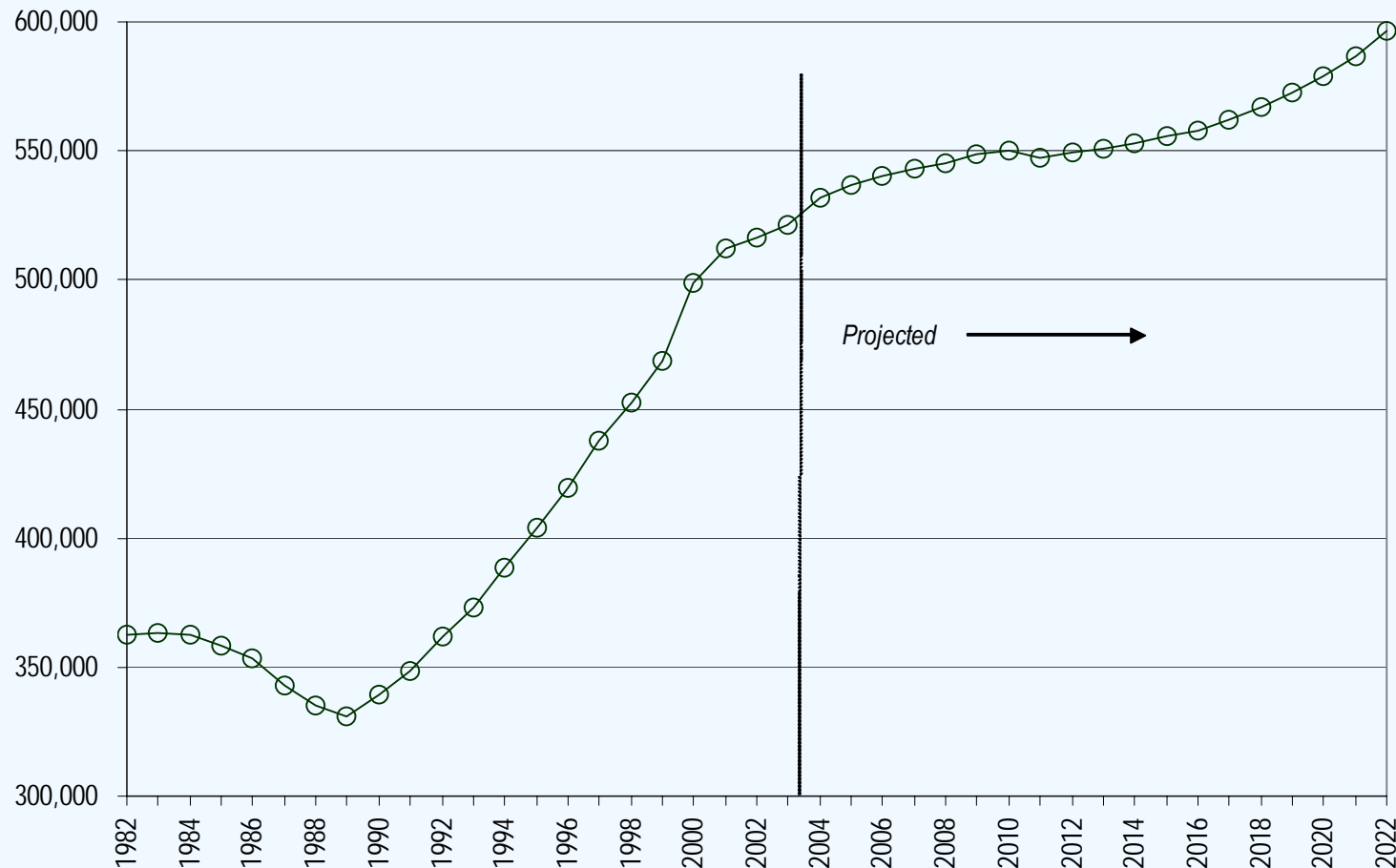


# Population Estimates

- GOPB 2002 baseline estimates are the first population estimates done since receiving data from 2000 Census.
- 2002 estimates: 18-29 population average annual growth:
  - 1995-2001 = 4.0%
  - 2002-2005 = 1.2%
  - 2006-2018 = 0.4%
  - 2019-2022 = 1.3%

# Population Projections

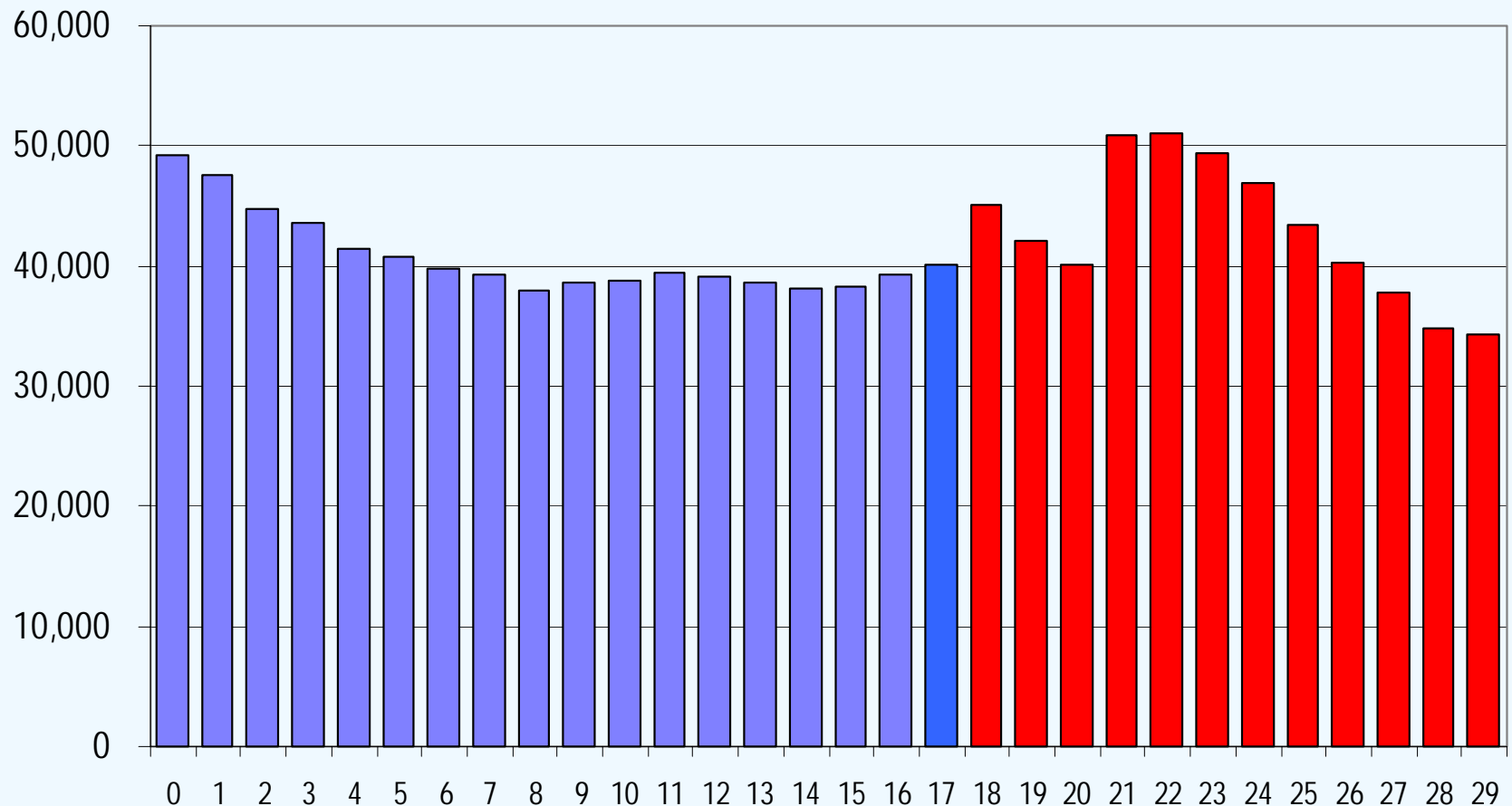
## 2002 Utah 18-29 Year Old Population Estimates (all counties)



Source: Governor's Office of Planning and Budget

# Population Projections

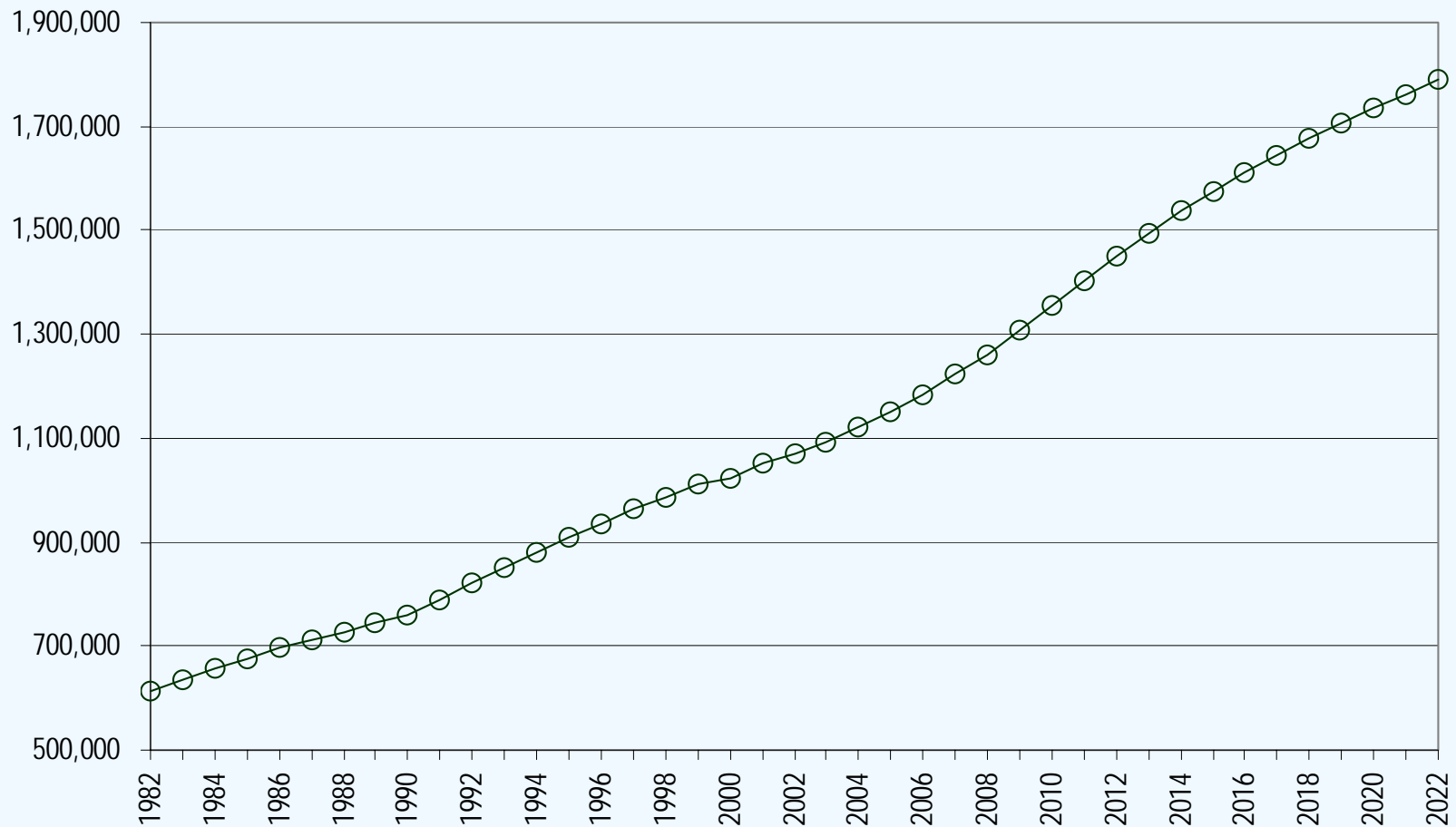
Utah 2002 Population by Age 0-29 (all counties)



Source: Governor's Office of Planning and Budget

# Population Projections

## 2002 Utah 30-up Population Estimates (all counties)



Source: Governor's Office of Planning and Budget



# Participation Rates

- Over the last 20 years, 18-29 year old participation rates have shown a solid increasing trend-line.
  - This trend is projected for the next 20 years.
- Participation rates for 30 year olds plus have been more cyclical, related to economic conditions.
  - An relatively flat participation rate, based on the overall trend of the last 20 years, is projected for the next 20 years.



# Participation Rates

- Factors with potential affects on participation rates over next 20 years:

- Positive

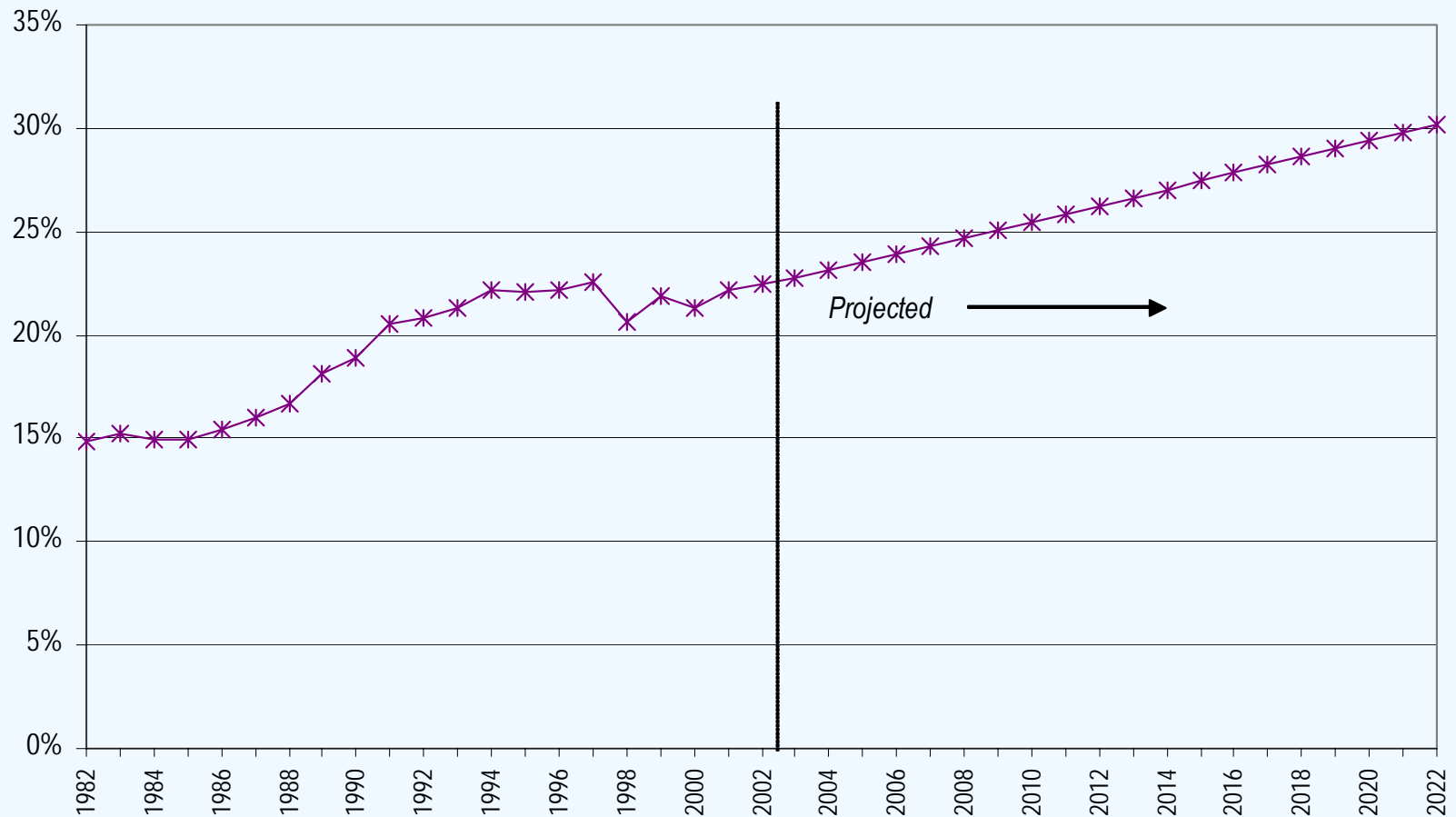
- Increased demand for education workforce and training
    - Increased accessibility to higher education through
      - technology (Internet courses)
      - new program offerings
      - new physical campuses, (WSU-Davis, UVSC-Wasatch, etc.)

- Negative

- Changes to residency requirements
    - Limited state funding of enrollment growth

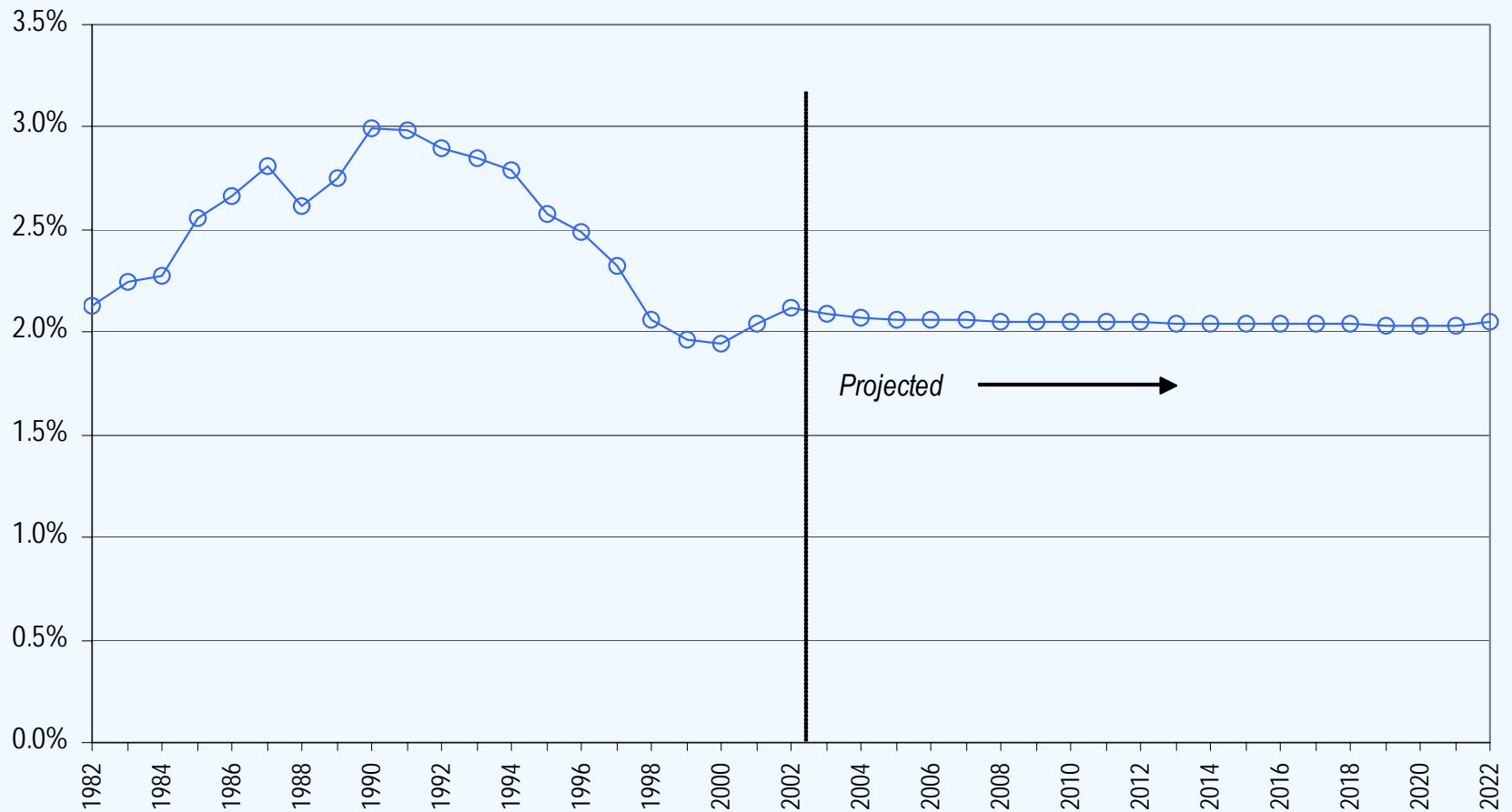
# Participation Rates

USHE 18-29 year old participation rates (All-counties, 9 institutions)



# Participation Rates

USHE 30 years and up participation rates (All-counties, 9 institutions)





# Summary

- Through 2022-23, USHE enrollment is projected to grow 2.3% annually, or 58% total (66,323 annualized FTE).
- Fastest growth period is from 2003-04 to 2007-08:

2003-04 thru 2007-08: 2.6% per year	2008-09 thru 2012-13: 2.1% per year
2012-13 thru 2017-18: 2.1% per year	2017-18 thru 2022-23: 2.4% per year
- Institutional 20-year average annual growth rates:

UU = 0.8%	USU = 1.8%	WSU = 2.0%
SUU = 2.4%	Snow = 2.6%	DSC = 3.3%
CEU = 2.1%	UVSC = 3.8%	SLCC = 3.1%

## UTAH SYSTEM OF HIGHER EDUCATION

### System Total Projection

20-YEAR ACTUAL HISTORY						20-YEAR PROJECTION					
Year	Fall Headcount	Fall FTE	Annualized FTE	Annualized FTE % change	Cummulative Ann. FTE % change	Year	Fall Headcount	Fall FTE	Annualized FTE	Average Annual. FTE % change	Cummulative Ann. FTE % change
83-84	69,583	55,337	56,477	n/a	n/a	03-04					
84-85	69,216	54,474	55,666	-1.4%	-1.4%	04-05					
85-86	70,989	54,132	55,500	-0.3%	-1.7%	05-06					
86-87	73,088	55,355	56,972	2.7%	0.9%	06-07					
87-88	74,929	56,298	57,634	1.2%	2.0%	07-08	157,626	110,220	130,290	2.6%	13.9%
88-89	74,884	56,640	58,606	1.7%	3.8%	08-09					
89-90	80,430	60,028	62,368	6.4%	10.4%	09-10					
90-91	86,843	63,545	66,468	6.6%	17.7%	10-11					
91-92	94,923	69,651	73,009	9.8%	29.3%	11-12					
92-93	99,163	73,224	76,957	5.4%	36.3%	12-13	174,331	120,650	144,590	2.1%	26.4%
93-94	103,633	75,805	79,479	3.3%	40.7%	13-14					
94-95	110,594	79,364	81,978	3.1%	45.2%	14-15					
95-96	112,666	81,713	84,068	2.5%	48.9%	15-16					
96-97	116,047	83,375	87,383	3.9%	54.7%	16-17					
97-98	121,053	87,077	91,103	4.3%	61.3%	17-18	192,672	131,860	160,240	2.1%	40.1%
98-99	113,704	79,393	90,453	-0.7%	60.2%	18-19					
99-00	122,417	84,929	96,585	6.8%	71.0%	19-20					
00-01	126,377	88,002	101,307	4.9%	79.4%	20-21					
01-02	134,939	94,698	109,302	7.9%	93.5%	21-22					
02-03 <sup>(1)</sup>	138,625	98,090	114,407	4.7%	102.6%	22-23	216,766	146,700	180,730	2.4%	58.0%
Average Annual Increases			3,049	3.8%		Average Annual Increases			3,316	2.3%	

(1) Annualized FTE for SLCC are based on annualized estimates and not final end-of-term reports because the end-of-term reports are not yet available. Once available, the actual end-of-year enrollments will be used and the projections will be revised.

### Institution Projection Summary

20-YEAR ACTUAL HISTORY						20-YEAR PROJECTION					
Institution	1983-84 Annualized FTE	2002-03 Annualized FTE	20-year Annualized FTE growth	Average Annual % change	Cummulative FTE % change	Institution	2022-23 Annualized FTE	20-year Annualized FTE growth	Average Annual. FTE Growth	Average Annual. FTE % change	Cummulative FTE % change
UU	19,897	27,850	7,953	1.8%	40.0%	UU	32,340	4,490	225	0.8%	16.1%
USU	10,989	19,426	8,437	3.1%	76.8%	USU	27,810	8,384	419	1.8%	43.2%
WSU	8,822	15,724	6,902	3.2%	78.2%	WSU	23,540	7,816	391	2.0%	49.7%
SUU	2,315	5,911	3,596	5.2%	155.3%	SUU	9,550	3,639	182	2.4%	61.6%
Snow	1,146	2,910	1,764	5.3%	153.9%	Snow	4,820	1,910	96	2.6%	65.6%
DSC	1,449	4,389	2,940	6.1%	202.9%	DSC	8,380	3,991	200	3.3%	90.9%
CEU	1,065	2,135	1,070	3.9%	100.5%	CEU	3,200	1,065	53	2.1%	49.9%
UVSC	5,272	18,464	13,192	7.0%	250.2%	UVSC	38,810	20,346	1,017	3.8%	110.2%
SLCC	5,522	17,598	12,076	6.5%	218.7%	SLCC	32,280	14,682	734	3.1%	83.4%
USHE	56,477	114,407	57,930	3.8%	102.6%	USHE	180,730	66,323	3,316	2.3%	58.0%

# UNIVERSITY OF UTAH

## Total Institutional Projection

20-YEAR ACTUAL HISTORY						20-YEAR PROJECTION					
Year	Fall Headcount	Fall FTE	Annualized FTE	Annualized FTE % change	Cummulative Ann. FTE % change	Year	Fall Headcount	Fall FTE	Annualized FTE	Average Annual FTE % change	Cummulative Ann. FTE % change
83-84	24,912	19,590	19,897	n/a	n/a	03-04					
84-85	24,888	19,504	19,841	-0.3%	-0.3%	04-05					
85-86	25,163	19,410	19,706	-0.7%	-1.0%	05-06					
86-87	25,167	19,129	19,439	-1.4%	-2.3%	06-07					
87-88	24,616	19,186	19,467	0.1%	-2.2%	07-08	30,130	23,430	28,440	0.4%	2.1%
88-89	24,052	18,806	19,216	-1.3%	-3.4%	08-09					
89-90	24,440	19,002	19,629	2.1%	-1.3%	09-10					
90-91	25,425	19,912	20,450	4.2%	2.8%	10-11					
91-92	26,639	20,575	21,351	4.4%	7.3%	11-12					
92-93	26,801	20,971	21,583	1.1%	8.5%	12-13	30,840	24,030	29,510	0.7%	6.0%
93-94	27,118	20,928	21,454	-0.6%	7.8%	13-14					
94-95	26,918	21,272	21,881	2.0%	10.0%	14-15					
95-96	27,143	21,540	22,055	0.8%	10.8%	15-16					
96-97	26,365	20,990	21,719	-1.5%	9.2%	16-17					
97-98	26,191	21,193	22,057	1.6%	10.9%	17-18	31,590	24,660	30,640	0.8%	10.0%
98-99	25,203	19,677	21,899	-0.7%	10.1%	18-19					
99-00	25,788	20,343	22,970	4.9%	15.4%	19-20					
00-01	26,180	20,778	24,339	6.0%	22.3%	20-21					
01-02	27,664	22,165	26,260	7.9%	32.0%	21-22					
02-03	29,921	23,216	27,850	6.1%	40.0%	22-23	32,900	25,740	32,340	1.1%	16.1%
Average Annual Increases			419	1.8%		Average Annual Increases			225	0.8%	

# UTAH STATE UNIVERSITY

## Total Institutional Projection

20-YEAR ACTUAL HISTORY						20-YEAR PROJECTION					
Year	Fall Headcount	Fall FTE	Annualized FTE	Annualized FTE % change	Cummulative Ann. FTE % change	Year	Fall Headcount	Fall FTE	Annualized FTE	Average Annual. FTE % change	Cummulative Ann. FTE % change
83-84	12,569	10,672	10,989	n/a	n/a	03-04					
84-85	12,225	10,154	10,663	-3.0%	-3.0%	04-05					
85-86	12,572	9,745	10,306	-3.3%	-6.2%	05-06					
86-87	12,477	9,800	10,353	0.5%	-5.8%	06-07					
87-88	12,718	9,813	10,397	0.4%	-5.4%	07-08	25,570	18,980	21,690	2.2%	11.7%
88-89	13,114	10,086	10,631	2.3%	-3.3%	08-09					
89-90	13,621	10,561	11,205	5.4%	2.0%	09-10					
90-91	14,785	11,513	12,226	9.1%	11.3%	10-11					
91-92	16,599	12,732	13,319	8.9%	21.2%	11-12					
92-93	17,114	13,121	14,170	6.4%	28.9%	12-13	27,740	20,400	23,460	1.6%	20.8%
93-94	18,380	14,083	14,840	4.7%	35.0%	13-14					
94-95	20,361	14,911	15,582	5.0%	41.8%	14-15					
95-96	19,852	15,159	15,942	2.3%	45.1%	15-16					
96-97	20,802	15,738	16,421	3.0%	49.4%	16-17					
97-98	21,232	16,222	17,044	3.8%	55.1%	17-18	29,990	21,850	25,290	1.5%	30.2%
98-99	19,323	14,554	16,356	-4.0%	48.8%	18-19					
99-00	20,865	15,274	17,091	4.5%	55.5%	19-20					
00-01	21,490	15,851	18,045	5.6%	64.2%	20-21					
01-02	23,001	16,889	19,049	5.6%	73.3%	21-22					
02-03	22,848	17,110	19,426	2.0%	76.8%	22-23	33,080	23,880	27,810	1.9%	43.2%
Average Annual Increases			444	3.1%		Average Annual Increases			419	1.8%	

# WEBER STATE UNIVERSITY

## Total Institutional Projection

20-YEAR ACTUAL HISTORY						20-YEAR PROJECTION					
Year	Fall Headcount	Fall FTE	Annualized FTE	Annualized FTE % change	Cummulative Ann. FTE % change	Year	Fall Headcount	Fall FTE	Annualized FTE	Average Annual. FTE % change	Cummulative Ann. FTE % change
83-84	10,872	8,569	8,822	n/a	n/a	03-04					
84-85	10,718	8,287	8,467	-4.0%	-4.0%	04-05					
85-86	11,490	8,298	8,579	1.3%	-2.8%	05-06					
86-87	11,777	8,553	9,027	5.2%	2.3%	06-07					
87-88	12,549	8,847	9,279	2.8%	5.2%	07-08	20,320	14,180	17,600	2.3%	11.9%
88-89	12,731	9,077	9,475	2.1%	7.4%	08-09					
89-90	13,651	9,569	10,034	5.9%	13.7%	09-10					
90-91	14,039	10,027	10,362	3.3%	17.5%	10-11					
91-92	14,433	10,566	11,209	8.2%	27.1%	11-12					
92-93	14,994	11,128	11,672	4.1%	32.3%	12-13	21,690	15,100	19,300	1.9%	22.7%
93-94	15,910	11,052	11,569	-0.9%	31.1%	13-14					
94-95	15,051	10,937	11,304	-2.3%	28.1%	14-15					
95-96	14,623	10,826	11,006	-2.6%	24.8%	15-16					
96-97	14,442	10,501	11,654	5.9%	32.1%	16-17					
97-98	14,933	11,187	12,273	5.3%	39.1%	17-18	23,180	16,100	21,160	1.9%	34.6%
98-99	14,136	10,144	11,922	-2.9%	35.1%	18-19					
99-00	15,444	10,858	12,805	7.4%	45.1%	19-20					
00-01	16,378	11,519	13,346	4.2%	51.3%	20-21					
01-02	17,258	12,127	14,327	7.4%	62.4%	21-22					
02-03	18,654	13,049	15,724	9.8%	78.2%	22-23	25,150	17,420	23,540	2.2%	49.7%
Average Annual Increases			363	3.2%		Average Annual Increases			391	2.0%	

# SOUTHERN UTAH UNIVERSITY

## Total Institutional Projection

20-YEAR ACTUAL HISTORY						20-YEAR PROJECTION					
Year	Fall Headcount	Fall FTE	Annualized FTE	Annualized FTE % change	Cummulative Ann. FTE % change	Year	Fall Headcount	Fall FTE	Annualized FTE	Average Annual. FTE % change	Cummulative Ann. FTE % change
83-84	2,543	2,296	2,315	n/a	n/a	03-04					
84-85	2,642	2,438	2,410	4.1%	4.1%	04-05					
85-86	2,585	2,348	2,361	-2.0%	2.0%	05-06					
86-87	2,866	2,571	2,685	13.7%	16.0%	06-07					
87-88	2,914	2,685	2,779	3.5%	20.0%	07-08	6,620	5,560	6,720	2.6%	13.7%
88-89	2,952	2,770	2,894	4.1%	25.0%	08-09					
89-90	3,502	2,893	3,034	4.8%	31.1%	09-10					
90-91	4,004	3,194	3,439	13.3%	48.6%	10-11					
91-92	4,293	3,515	3,754	9.2%	62.2%	11-12					
92-93	4,433	3,736	3,938	4.9%	70.1%	12-13	7,320	6,130	7,520	2.3%	27.2%
93-94	4,592	4,054	4,352	10.5%	88.0%	13-14					
94-95	5,025	4,269	4,583	5.3%	98.0%	14-15					
95-96	5,159	4,501	4,807	4.9%	107.6%	15-16					
96-97	5,639	4,741	5,153	7.2%	122.6%	16-17					
97-98	6,007	5,079	5,646	9.6%	143.9%	17-18	8,090	6,740	8,380	2.2%	41.8%
98-99	5,725	4,815	5,731	1.5%	147.6%	18-19					
99-00	6,025	5,024	5,896	2.9%	154.7%	19-20					
00-01	5,963	5,022	5,978	1.4%	158.2%	20-21					
01-02	6,095	5,172	6,134	2.6%	165.0%	21-22					
02-03	5,881	4,961	5,911	-3.6%	155.3%	22-23	9,120	7,570	9,550	2.6%	61.6%
Average Annual Increases			189	5.2%		Average Annual Increases			182	2.4%	

## SNOW COLLEGE

### Total Institutional Projection

20-YEAR ACTUAL HISTORY						20-YEAR PROJECTION					
Year	Fall Headcount	Fall FTE	Annualized FTE	Annualized FTE % change	Cummulative Ann. FTE % change	Year	Fall Headcount	Fall FTE	Annualized FTE	Average Annual. FTE % change	Cummulative Ann. FTE % change
83-84	1,307	1,216	1,146	n/a	n/a	03-04					
84-85	1,319	1,229	1,130	-1.4%	-1.4%	04-05					
85-86	1,258	1,194	1,142	1.1%	-0.3%	05-06					
86-87	1,396	1,340	1,265	10.8%	10.4%	06-07					
87-88	1,369	1,314	1,244	-1.7%	8.6%	07-08	4,410	3,450	3,380	3.0%	16.2%
88-89	1,544	1,421	1,375	10.5%	20.0%	08-09					
89-90	1,734	1,621	1,616	17.5%	41.0%	09-10					
90-91	1,872	1,798	1,768	9.4%	54.3%	10-11					
91-92	2,553	2,198	2,089	18.2%	82.3%	11-12					
92-93	2,819	2,452	2,363	13.1%	106.2%	12-13	4,950	3,840	3,770	2.2%	29.6%
93-94	2,920	2,508	2,343	-0.8%	104.5%	13-14					
94-95	2,996	2,582	2,416	3.1%	110.8%	14-15					
95-96	3,027	2,668	2,461	1.9%	114.7%	15-16					
96-97	3,162	2,748	2,554	3.8%	122.9%	16-17					
97-98	3,326	2,914	2,810	10.0%	145.2%	17-18	5,570	4,270	4,210	2.2%	44.7%
98-99	3,318	2,735	2,720	-3.2%	137.3%	18-19					
99-00	4,081	3,109	3,119	14.7%	172.2%	19-20					
00-01	4,092	3,159	3,150	1.0%	174.9%	20-21					
01-02	4,096	3,224	3,141	-0.3%	174.1%	21-22					
02-03	3,768	2,982	2,910	-7.4%	153.9%	22-23	6,420	4,870	4,820	2.7%	65.6%
Average Annual Increases			93	5.3%		Average Annual Increases			96	2.6%	

## DIXIE STATE COLLEGE

### Total Institutional Projection

20-YEAR ACTUAL HISTORY						20-YEAR PROJECTION					
Year	Fall Headcount	Fall FTE	Annualized FTE	Annualized FTE % change	Cummulative Ann. FTE % change	Year	Fall Headcount	Fall FTE	Annualized FTE	Average Annual. FTE % change	Cummulative Ann. FTE % change
83-84	1,865	1,582	1,449	n/a	n/a	03-04					
84-85	1,903	1,583	1,483	2.3%	2.3%	04-05					
85-86	2,232	1,737	1,646	11.0%	13.6%	05-06					
86-87	2,327	1,992	1,843	12.0%	27.2%	06-07					
87-88	2,211	1,889	1,812	-1.7%	25.1%	07-08	9,250	5,140	5,360	4.1%	22.1%
88-89	2,228	1,873	1,802	-0.6%	24.4%	08-09					
89-90	2,382	2,051	1,992	10.5%	37.5%	09-10					
90-91	2,529	2,158	2,156	8.2%	48.8%	10-11					
91-92	2,963	2,398	2,298	6.6%	58.6%	11-12					
92-93	2,868	2,402	2,299	0.0%	58.7%	12-13	10,930	5,910	6,250	3.1%	42.4%
93-94	3,041	2,550	2,438	6.0%	68.3%	13-14					
94-95	4,375	2,772	2,638	8.2%	82.1%	14-15					
95-96	4,921	3,120	2,964	12.4%	104.6%	15-16					
96-97	5,318	3,298	3,171	7.0%	118.8%	16-17					
97-98	5,500	3,505	3,389	6.9%	133.9%	17-18	12,790	6,720	7,190	2.8%	63.8%
98-99	5,454	3,454	3,607	6.4%	148.9%	18-19					
99-00	6,191	3,656	3,728	3.4%	157.3%	19-20					
00-01	6,515	3,831	3,990	7.0%	175.4%	20-21					
01-02	7,255	4,087	4,212	5.6%	190.7%	21-22					
02-03	7,473	4,260	4,389	4.2%	202.9%	22-23	15,150	7,740	8,380	3.1%	90.9%
Average Annual Increases			155	6.1%		Average Annual Increases			200	3.3%	



## COLLEGE OF EASTERN UTAH

### Total Institutional Projection

20-YEAR ACTUAL HISTORY						20-YEAR PROJECTION					
Year	Fall Headcount	Fall FTE	Annualized FTE	Annualized FTE % change	Cummulative Ann. FTE % change	Year	Fall Headcount	Fall FTE	Annualized FTE	Average Annual. FTE % change	Cummulative Ann. FTE % change
83-84	1,359	996	1,065	n/a	n/a	03-04					
84-85	1,412	1,121	1,162	9.1%	9.1%	04-05					
85-86	1,371	1,059	1,141	-1.8%	7.1%	05-06					
86-87	1,770	1,268	1,309	14.7%	22.9%	06-07					
87-88	2,147	1,296	1,281	-2.1%	20.3%	07-08	3,216	2,460	2,600	4.0%	21.8%
88-89	2,063	1,395	1,354	5.7%	27.1%	08-09					
89-90	2,339	1,525	1,420	4.9%	33.3%	09-10					
90-91	2,960	1,800	1,683	18.5%	58.0%	10-11					
91-92	2,694	1,833	1,693	0.6%	59.0%	11-12					
92-93	2,745	1,875	1,772	4.7%	66.4%	12-13	3,571	2,730	2,890	2.1%	35.4%
93-94	2,849	2,029	1,901	7.3%	78.5%	13-14					
94-95	3,135	2,145	1,939	2.0%	82.1%	14-15					
95-96	3,067	2,029	1,914	-1.3%	79.7%	15-16					
96-97	3,169	2,126	1,913	-0.1%	79.6%	16-17					
97-98	3,563	2,140	1,978	3.4%	85.7%	17-18	3,762	2,870	3,050	1.1%	42.9%
98-99	2,617	1,827	2,027	2.5%	90.3%	18-19					
99-00	2,688	1,957	2,087	3.0%	96.0%	19-20					
00-01	2,704	1,941	2,089	0.1%	96.2%	20-21					
01-02	2,746	2,082	2,197	5.2%	106.3%	20-21					
02-03	2,646	2,020	2,135	-2.8%	100.5%	22-23	3,946	3,010	3,200	1.0%	49.9%
Average Annual Increases			56	3.9%		Average Annual Increases			53	2.1%	

## UTAH VALLEY STATE COLLEGE

### Total Institutional Projection

20-YEAR ACTUAL HISTORY						20-YEAR PROJECTION					
Year	Fall Headcount	Fall FTE	Annualized FTE	Annualized FTE % change	Cummulative Ann. FTE % change	Year	Fall Headcount	Fall FTE	Annualized FTE	Average Annual. FTE % change	Cummulative Ann. FTE % change
83-84	6,093	5,240	5,272	n/a	n/a	03-04					
84-85	5,818	4,841	4,947	-6.2%	-6.2%	04-05					
85-86	6,012	4,886	4,899	-1.0%	-7.1%	05-06					
86-87	6,492	5,148	5,206	6.3%	-1.3%	06-07					
87-88	6,969	5,420	5,302	1.8%	0.6%	07-08	29,220	19,870	23,010	4.5%	24.6%
88-89	6,832	5,319	5,329	0.5%	1.1%	08-09					
89-90	7,758	5,987	5,854	9.9%	11.0%	09-10					
90-91	7,885	5,225	5,788	-1.1%	9.8%	10-11					
91-92	8,777	6,067	6,739	16.4%	27.8%	11-12					
92-93	9,623	6,586	7,486	11.1%	42.0%	12-13	34,610	23,220	27,400	3.6%	48.4%
93-94	10,512	7,194	8,038	7.4%	52.5%	13-14					
94-95	13,293	8,457	8,856	10.2%	68.0%	14-15					
95-96	14,040	9,125	9,609	8.5%	82.3%	15-16					
96-97	14,756	9,784	10,699	11.3%	102.9%	16-17					
97-98	15,994	10,485	11,404	6.6%	116.3%	17-18	40,640	26,910	32,360	3.4%	75.3%
98-99	18,174	11,668	12,438	9.1%	135.9%	18-19					
99-00	20,062	12,770	13,804	11.0%	161.8%	19-20					
00-01	20,946	13,503	15,058	9.1%	185.6%	20-21					
01-02	22,609	15,163	17,097	13.5%	224.3%	20-21					
02-03	23,609	16,261	18,464	8.0%	250.2%	22-23	48,500	31,690	38,810	3.7%	110.2%
Average Annual Increases			694	7.0%		Average Annual Increases			1,017	3.8%	

## SALT LAKE COMMUNITY COLLEGE

### Total Institutional Projection

20-YEAR ACTUAL HISTORY						20-YEAR PROJECTION					
Year	Fall Headcount	Fall FTE	Annualized FTE	Annualized FTE % change	Cummulative Ann. FTE % change	Year	Fall Headcount	Fall FTE	Annualized FTE	Average Annual FTE % change	Cummulative Ann. FTE % change
83-84	8,063	5,176	5,522	n/a	n/a	03-04					
84-85	8,291	5,317	5,563	0.7%	0.7%	04-05					
85-86	8,306	5,455	5,720	2.8%	3.6%	05-06					
86-87	8,816	5,554	5,845	2.2%	5.8%	06-07					
87-88	9,436	5,848	6,073	3.9%	10.0%	07-08	28,890	17,150	21,490	4.1%	22.1%
88-89	9,368	5,893	6,530	7.5%	18.3%	08-09					
89-90	11,003	6,819	7,584	16.1%	37.3%	09-10					
90-91	13,344	7,918	8,596	13.3%	55.7%	10-11					
91-92	15,972	9,767	10,557	22.8%	91.2%	11-12					
92-93	17,766	10,953	11,674	10.6%	111.4%	12-13	32,680	19,290	24,490	2.6%	39.2%
93-94	18,311	11,407	12,544	7.5%	127.2%	13-14					
94-95	19,440	12,019	12,779	1.9%	131.4%	14-15					
95-96	20,834	12,745	13,310	4.2%	141.0%	15-16					
96-97	22,394	13,449	14,099	5.9%	155.3%	16-17					
97-98	24,307	14,352	14,502	2.9%	162.6%	17-18	37,060	21,740	27,960	2.7%	58.9%
98-99	19,754	10,519	13,753	-5.2%	149.1%	18-19					
99-00	21,273	11,938	15,085	9.7%	173.2%	19-20					
00-01	22,109	12,398	15,312	1.5%	177.3%	20-21					
01-02	24,215	13,789	16,885	10.3%	205.8%	21-22					
02-03 <sup>(1)</sup>	23,825	14,231	17,598	4.2%	218.7%	22-23	42,500	24,780	32,280	2.9%	83.4%
Average Annual Increases			636	6.5%		Average Annual Increases			734	3.1%	

(1) Annualized FTE for SLCC are based on annualized estimates and not final end-of-term reports because the end-of-term reports are not yet available. Once available, the actual end-of-year enrollments will be used and the projections will be revised.

**MEMORANDUM**

July 1, 2003

**TO:** State Board of Regents

**FROM:** Cecelia H. Foxley

**SUBJECT:** **ACTION: UHEAA–Approving Resolution, SBR Student Loan Revenue Bonds, 2003 Series V**

**Issue**

At its meeting on June 26, 2003, the Student Finance Subcommittee voted unanimously to recommend Board of Regents adoption of the attached Approving Resolution for the Board's Student Loan Revenue Bonds, 2003 Series V. Board of Regents adoption of the Resolution is necessary to provide authority for issuance and sale of the bonds.

**Background**

As directed by Policy R601, the UHEAA Board of Directors has designated persons from its membership to comprise the Student Finance Subcommittee. The Student Finance Subcommittee, in accordance with Policy R610, "shall be directly responsible, reporting directly to the Board of Regents through its Finance and Facilities Committee, for oversight and advice regarding bond issues and other financing arrangements for the Loan Purchase Program." The present members of the Student Finance Subcommittee are: Mr. John B. Goddard, Chair; Regent L. Brent Hoggan; Regent David J. Grant; Dr. Stephen D. Nadauld; Regent Maria Sweeten; Ms. Elva M. Barnes; Mr. Edward T. Alter (State Treasurer); Mr. Arnold Combe; Mr. Walter P. Gnemi; Associate Commissioner Mark Spencer; and Associate Commissioner Chalmers Gail Norris. All Subcommittee members except Mr. Combe and Dr. Nadauld were present in the July 26 meeting. (Dr. Nadauld is en route to a Mission assignment in Geneva for The Church of Jesus Christ of Latter-Day Saints.)

Pursuant to statutory authority, the Board of Regents operates its Loan Purchase Program (LPP) to assure liquidity in the local marketplace for guaranteed student loans under the Federal Family Education Loan Program (FFELP) and to maintain a high degree of control over servicing of the student loans guaranteed by the Utah Higher Education Assistance Authority (UHEAA) Student Loan Guarantee Program (LGP). By maintaining its Loan Purchase Program the Board is able to ensure availability of the lowest feasible costs of educational loans for Utah students and families through its array of exceptional borrower benefits for reduced origination fees and lower in-repayment interest rates. Available savings for UHEAA borrowers are substantially greater than those available from competing lenders and secondary markets for student borrowers of Stafford Loans.

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Through its programs administered by UHEAA, the Board also is quite unusual in providing: (1) significant cost saving opportunities on PLUS Loans (for parents) and Consolidation Loans; (2) more generous qualifying criteria for the saving opportunities; and (3) a guarantee (backed up by designated reserve funds) that the benefits will be available for loans currently being originated, regardless of how much time elapses before they enter repayment and are sold to the Board. (Most lenders and secondary markets guarantee eligibility for their current borrower benefits only for loans currently being purchased.)

The Board issues Student Loan Revenue Bonds as needed, and uses the proceeds to finance purchase of FFELP student and parent loans and origination of FFELP Consolidation Loans. The Board has established two different current bond series, one based on a 1988 General Indenture and one based on a 1993 General Indenture. The current Resolution will authorize an **Eleventh Supplemental Indenture** to the **1988 General Indenture**, providing for one additional series, **2003 V**. Most student loan revenue bond issuances by the Board include primarily new bonds, frequently blending both tax exempt and taxable series. Some of the issuances also include modest amounts of refunding or refinancing of previously issued bonds.

*The proposed issue consists entirely of refunding bonds.*

**Tax Exempt Bonding Cap Authority**

The amount of tax exempt bonds which the Board of Regents may issue each calendar year is subject to Federal legislation establishing capped amounts for covered purposes (e.g., public housing, guaranteed student loans, and economic development). Utah's total annual amount for these purposes is based on a floor amount for smaller population states, which had for many years been set at \$150 million. Under Utah law, 33% of the total is reserved initially for the Board's student loan revenue bonds, subject to application to and approval by the Utah Private Activity Bond Authority (Authority). Current state law also provides that as much as one half of any increase in the cap authority available for Utah may be allocated by the Authority for specially-defined economic development purposes ("quality growth areas"), with the remaining amount reserved in the same proportions as specified for the base of \$150 million.

In December 2000, Congress passed, and President Clinton subsequently signed, legislation which increased the formula for determining cap authority for each state. The effect, for Utah, was an increase from \$150 to \$187.5 million for calendar year 2001, and \$225 million for 2002 and subsequent years. Subsequent legislation provides for small annual adjustments based on an inflation index. The Authority has approved the full 33% of total cap authority--\$75,431,400--for LPP for Calendar Year 2003, and also allocated \$8,475,539 in carry-forward authority from 2002 for student loan bonds. The (rounded) total available for issuance of new tax exempt bonds during calendar year 2003 was used in the Board's Student Loan Revenue Bonds, Series Y-1 and Y2, totaling \$83,900,000, issued in April 2003.

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The proposed refunding bonds will refinance existing fixed rate tax-exempt bonds originally issued under the 1993 General Indenture, Series 1993B, C, and D, totaling \$43,365,000, and will continue the favorable revenue "floor" provisions applicable to these earlier tax-exempt bonds through the maturity date of November 2010. No new cap authority is required or will be used in "rolling over" the existing tax-exempt bonds. (\$15,000 in cash will be used in addition to the bond proceeds of \$4,350,000.)

**Proposed Structure of the New Refunding Bond Issue**

The proposed schedule and structure recommended by the underwriting team and the Student Finance Subcommittee are as follows:

<u>Proposed Sale Date:</u>		August 14, 2003		
<u>Proposed Closing Date:</u>		August 19, 2003		
	<u>Expected Rating</u>	<u>Proposed Amount</u>	<u>Type</u>	<u>Maturity</u>
2003 Series V	AAA	\$ 43,350,000	Variable, Tax Exempt	11/01/2010

All of these tax-exempt revenue bonds will be subject to Federal Alternative Minimum Tax (AMT) provisions.

The bonds will be sold as Auction Rate Certificates–Book Entry Only. The mechanism for resetting rates on the bonds is Variable Rate Dutch Auction on a 35-day cycle.

**Proposed Not to Exceed Parameters**

Proposed not-to-exceed parameters are as follows:

	<u>Not-to-Exceed Parameter</u>	<u>Resolution Reference</u>
◆ Total Principal Amount	\$ 43,350,000	Section 5
◆ Maximum Interest Rate of Tax Exempt Auction Rate Certificates	14.000%	Section 5
◆ Maximum Maturity Date	11/01/2010	Section 5
◆ Underwriter's Discount	0.85%	Section 7

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Maximum interest rates as listed above provide flexibility for the variable rates to float upward in the event of a prolonged and large increase in short-term interest rates in the marketplace. If that eventuality should occur, it would be accompanied by a commensurate rise in the lender return (capped student loan interest plus a Federal Government special allowance, paid quarterly) on the student loans purchased or originated with the bond proceeds. Anticipated initial interest rates are in the range of 1.0% to 1.5% for the tax exempt auction rate certificates.

**Basic Documents Requiring Approval**

The Approving Resolution is in final draft form. Its approval by the Board will authorize the execution of an Eleventh Supplemental Indenture to the 1988 General Indenture, a Bond Purchase Agreement, and a Preliminary Official Statement.

The Eleventh Supplemental Indenture is a contract between the Board and Wells Fargo Bank Northwest, as trustee, for the Bank to serve as custodian of funds and as authorized representative of bondholders in order to ensure compliance by the Board with provisions of the Indenture.

The Official Statement is a disclosure document which describes in detail the security and financial information about the bond issue. The Official Statement is used by the Underwriters to market the bonds to potential investors.

The Bond Purchase Agreement is a contract between the Underwriters [ UBS Financial Services, Inc. (Formerly UBS PaineWebber Incorporated), Solomon Smith Barney, Wells Fargo Brokerage Services, LLC and Zions First National Bank] and the Board, which sets forth the terms under which the Underwriters will purchase the bonds. This agreement will contain the selling price of the bonds, any premium or discount, the interest rates the bonds will bear, the conditions which must be met in order to close the sale of the bonds, and a description of any restrictions on the responsibilities of the Board or the Underwriters ("Co-Managers").

The Approving Resolution delegates authority to the Board Chair, Vice Chair and/or Chair of the Finance, Facilities and Accountability Committee to approve final versions of the documents described above, consistent with parameters contained in the Approving Resolution, and, along with designated Officers of the Board, to execute other necessary implementing agreements. (See Resolution sections 8 through 12.)

Copies of the draft bond documents described above are being mailed under separate cover to members of the Finance, Facilities and Accountability Committee. Copies are available upon request for other members of the Board, from Richard Davis at (801) 321-7285. Assistant Commissioner Richard Davis (UHEAA Chief Financial Officer) and representatives of the Attorney General's Office and Bond Counsel will be at the Board of Regents meeting on July 9 to answer questions.

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**Policy Implications**

Timely sale of the Board's Student Loan Revenue Bonds, 2003 Series V, will realign the relationship between the Loan Purchase Program's cost of funds structure for the refunded bonds and the revenue stream from loans in its portfolio. For the next several years it is projected to provide increased net revenues. Longer term, even if interest costs on the program's variable-rate short-term bonds increase materially, the net revenues will be maintained on a positive basis because of statutory provisions for special allowances which maintain a floor on the revenues to lenders and holders of the FFELP loans.

**Options Considered**

The Student Finance Subcommittee, Program Officers, Underwriters and Bond Counsel periodically review and consider a wide range of financing facilities and structures. The possible merits of locking in current low interest rates by issuing the refunding bonds as new fixed rate bonds were reviewed and discussed at some length. However, the short-term, variable rate bonds, as recommended for the entire issue, were selected because they will more closely track the federal government's annual resetting of borrower interest rates and monthly resetting of special allowances paid on the student, parent, and consolidation loans.

**Recommendation**

**It is the recommendation of the Commissioner that the Board of Regents approve the attached Approving Resolution for the Board's Student Loan Revenue Bonds, 2003 Series V.**

**Cecelia H. Foxley, Commissioner**

Attachment

CHF/CGN/ROD



APPROVING RESOLUTION  
STUDENT LOAN PROGRAM  
2003 SERIES V

Salt Lake City, Utah  
July 9, 2003

The State Board of Regents of the State of Utah met in regular session at the Board of Regents offices in Salt Lake City, Utah on July 9, 2003, commencing at 9:30 a.m. The following members were present:

Nolan E. Karras	Chair
Pamela J. Atkinson	Vice Chair
Jerry C. Atkin	Member
Linnea S. Barney	Member*
Daryl C. Barrett	Member
Kim R. Burningham	Member*
Khay Douangdara	Member
David J. Grant	Member
L. Brent Hoggan	Member
James S. Jardine	Member
Michael R. Jensen	Member
Charles E. Johnson	Member
David James Jordan	Member
E. George Mantes	Member
Jed H. Pitcher	Member
Sara V. Sinclair	Member
Marlon O. Snow	Member
Maria Sweeten	Member

**[Board to be updated post July 1, 2003]**

Absent:

Also Present:

Cecelia H. Foxley	Commissioner of Higher Education
Joyce Cottrell, C.P.S.	Secretary
Chalmers Gail Norris	Associate Commissioner for Student Financial Aid
Richard O. Davis	Assistant Commissioner for Student Loan Finance

---

\* Non-voting member from State Board of Education

After the meeting had been duly convened and called to order by the Chair, the roll had been called with the above result, the Chair announced that one of the purposes of the meeting was the consideration of various matters with respect to the issuance of student loan revenue bonds.

The following resolution was introduced in written form and after full discussion, pursuant to motion made by Regent \_\_\_\_\_ and seconded by Regent \_\_\_\_\_ was adopted by the following vote:

YEA:

NAY:

The resolution is as follows:

## RESOLUTION

A RESOLUTION OF THE STATE BOARD OF REGENTS OF THE STATE OF UTAH (THE “BOARD”) AUTHORIZING THE ISSUANCE AND SALE OF ITS STUDENT LOAN REVENUE BONDS, 2003 SERIES V IN THE AGGREGATE PRINCIPAL AMOUNT OF NOT TO EXCEED \$43,350,000; AUTHORIZING THE EXECUTION OF AN ELEVENTH SUPPLEMENTAL INDENTURE, A BOND PURCHASE AGREEMENT, AN OFFICIAL STATEMENT, AND OTHER DOCUMENTS REQUIRED IN CONNECTION THEREWITH; AUTHORIZING THE TAKING OF ALL OTHER ACTIONS NECESSARY TO THE CONSUMMATION OF THE TRANSACTIONS CONTEMPLATED BY THIS RESOLUTION; AND RELATED MATTERS.

WHEREAS, the State Board of Regents of the State of Utah (the “Board”) is established and exists under and pursuant to Section 53B-1-103, Utah Code Annotated 1953, as amended; and

WHEREAS, pursuant to Chapter 13, Title 53B, Utah Code Annotated 1953, as amended (the “Act”), the Board is empowered to make or purchase student loan notes and other debt obligations reflecting loans to students under its Student Loan Program; and

WHEREAS, in order to provide funds for such purposes, the Board is duly authorized to issue and sell bonds pursuant to the provisions of the Act; and

WHEREAS, the Board has previously issued various series of its Student Loan Revenue Bonds pursuant to a General Indenture dated as of July 15, 1988 between the Board and Wells Fargo Bank Northwest, National Association (the “Trustee”) (the “General Indenture”) as amended and supplemented by the First through Tenth Supplemental Indentures, each between the Board the Trustee; and

WHEREAS, the Board considers it desirable and necessary for the benefit of the residents of the State of Utah to issue additional student loan revenue bonds under the General Indenture by the execution and delivery of an Eleventh Supplemental Indenture (the “Eleventh Supplemental Indenture” and together with the General Indenture and the First through Tenth Supplemental Indentures described above, the “Indenture”) to be entered into between the Board and the Trustee, which bonds will be designated as the State Board of Regents of the State of Utah Student Loan Revenue Bonds, 2003 Series V (or such other or additional designation as appropriate officers of the Board may determine) (the “2003 Series V Bonds”) in an aggregate principal amount of not to exceed \$43,350,000; and

WHEREAS, the Board desires to use the proceeds of the 2003 Series V Bonds to refund a portion of certain maturing and callable student loan revenue bonds of the Board; and

WHEREAS, the Board has previously issued its Student Loan Revenue Bonds, 1993 Series B through Series D (collectively, the “Series B, C and D Bonds”) and the Board desires to provide for the optional redemption of the Series B, C and D Bonds maturing after November 1, 2003; and

WHEREAS, the 2003 Series V Bonds shall be payable solely from the revenues and other moneys pledged therefor and shall not constitute nor give rise to a general obligation or liability of the Board or constitute a charge against its general credit; and

WHEREAS, there has been presented to the Board at this meeting a form of a Bond Purchase Agreement (the “Bond Purchase Agreement”), a form of an Official Statement (the “Official Statement”), and a form of the Eleventh Supplemental Indenture; and

WHEREAS, pursuant to Section 53B-13-104(9) of the Act, the Board desires to grant to the Chair and/or Vice Chair of the Board and/or the Chair of the Finance, Facilities and Accountability Committee of the Board the authority to approve the final principal amounts, terms, maturities, interest rates and purchase prices at which the 2003 Series V Bonds shall be sold and any changes with respect thereto from those terms which were before the Board at the time of adoption of this resolution; provided such terms do not exceed the parameters set forth in this resolution.

NOW, THEREFORE, BE IT RESOLVED BY THE STATE BOARD OF REGENTS OF THE STATE OF UTAH, AS FOLLOWS:

Section 1. All terms defined in the foregoing recitals hereto shall have the same meanings when used herein.

Section 2. All action heretofore taken (not inconsistent with the provisions of this resolution) by the Board and the officers of the Board directed toward the issuance of the 2003 Series V Bonds are hereby ratified, approved and confirmed.

Section 3. The Board hereby authorizes, approves and directs the use and distribution of the Official Statement in substantially the form of the Official Statement presented to the Board at this meeting in connection with the offering and sale of the 2003 Series V Bonds.

Section 4. The Eleventh Supplemental Indenture, in substantially the form presented to this meeting, is in all respects authorized, approved and confirmed. The Chair, Vice Chair and/or Chair of the Finance, Facilities and Accountability Committee and Secretary of the Board are hereby authorized to execute and deliver the Eleventh Supplemental Indenture in the form and with substantially the same content as presented to this meeting for and on behalf of the Board with such alterations, changes or additions as may be authorized by Section 11 hereof.

Section 5. For the purpose of providing funds to be used to refund certain of the Board’s outstanding student loan revenue bonds (including the optional redemption of the Series B, C and D Bonds maturing after November 1, 2003) and make deposits into

the Loan Account and other special trust accounts established under the Indenture, the Board hereby authorizes the issuance and sale of the 2003 Series V Bonds in the aggregate principal amount of not to exceed \$43,350,000. The 2003 Series V Bonds may bear variable rates of interest, as provided in the Indenture and such rates shall not at any time exceed 14% per annum. The 2003 Series V Bonds shall mature on such date or dates, as approved by the Chair, Vice Chair and/or Chair of the Finance, Facilities and Accountability Committee, on or before November 1, 2010. The issuance of the 2003 Series V Bonds shall be subject to final advice of Bond Counsel and to the approval of the Attorney General of the State of Utah.

The Board hereby authorizes the optional redemption of the Series B, C and D Bonds maturing after November 1, 2003 at a redemption price of 102% of the principal amount thereof, plus accrued interest, all as provided in a General Indenture and a First Supplemental Indenture, each dated as of August 1, 1993 (collectively, the "1993 Indenture") under which the Series B, C and D Bonds were issued. The appropriate officers of the Board are hereby authorized to direct the trustee for the Series B, C and D Bonds to give notice of the call for redemption of the Series B, C and D Bonds maturing after November 1, 2003 as required by the 1993 Indenture.

Section 6. The form, terms and provisions of the 2003 Series V Bonds and the provisions for the signatures, authentication, payment, registration, transfer, exchange, tender, auction, redemption and number shall be as set forth in the General Indenture, as amended and supplemented by the Eleventh Supplemental Indenture. The Chair, Vice Chair and/or Chair of the Finance, Facilities and Accountability Committee and the Secretary of the Board are hereby authorized to execute and seal by manual or facsimile signature the 2003 Series V Bonds and to deliver the 2003 Series V Bonds to the Trustee for authentication. All terms and provisions of the Indenture are hereby incorporated in this Resolution. The appropriate officials of the Board are hereby authorized to execute and deliver to the Trustee the written order of the Board for authentication and delivery of the 2003 Series V Bonds in accordance with the provisions of the Indenture.

Section 7. The 2003 Series V Bonds shall be sold to UBS Financial Services Inc., Wells Fargo Brokerage Services, LLC, Citigroup Capital Markets and Zions First National Bank (the "Underwriters"), with an Underwriters' discount of not to exceed 0.85% of the face amount of the 2003 Series V Bonds, plus accrued interest, if any. The Chair or Vice Chair of the Board and/or the Chair of the Finance, Facilities and Accountability Committee are hereby authorized to execute and deliver the Bond Purchase Agreement and the Official Statement, in substantially the form of the Bond Purchase Agreement and the Official Statement, respectively, and with substantially the same content as presented at this meeting for and on behalf of the Board with final terms as may be established for the 2003 Series V Bonds and such alterations, changes or additions as may be authorized by Section 11 hereof. Pursuant to Section 53B-13-104(9) of the Act, the Chair and/or Vice-Chair of the Board and/or the Chair of the Finance, Facilities and Accountability Committee, are each hereby authorized to specify and agree as to the final principal amounts, terms, discounts, maturities, interest rates, rate determination methods and purchase price with respect to the 2003 Series V Bonds for

and on behalf of the Board by the execution of the Bond Purchase Agreement and the Eleventh Supplemental Indenture and any changes with respect thereto from those terms which were before the Board at the time of adoption of this Resolution, provided such terms are within the parameters set by this Resolution.

Section 8. The appropriate officers of the Board, including without limitation the Chair, Vice Chair, Chair of the Finance, Facilities and Accountability Committee, Commissioner of Higher Education, Assistant Commissioner for Student Loan Finance and Secretary are hereby authorized to take all action necessary or reasonably required by the Bond Purchase Agreement and the Indenture to carry out, give effect to and consummate the transactions as contemplated thereby and are authorized to take all action necessary in conformity with the Act.

Section 9. The Chair or Vice Chair of the Board and/or the Chair of the Finance, Facilities and Accountability Committee, for and on behalf of the Board, and the Trustee are, and each of them is, hereby authorized to enter into an investment agreement or agreements (the "Investment Agreement"), in form and substance satisfactory to the Chair or Vice Chair of the Board and/or the Chair of the Finance, Facilities and Accountability Committee. Any and all proceeds of, and investment income attributable to, the 2003 Series V Bonds may be loaned to or deposited from time to time pursuant to the Investment Agreement for the periods, and at the interest rates, specified therein.

Section 10. The Commissioner of Higher Education and designated associate or assistant commissioners or authorized officers of the Board are, and each of them is, hereby authorized to enter into and execute student loan purchase agreements with qualified lenders (the "Student Loan Purchase Agreements"), in form and substance satisfactory to the Commissioner of Higher Education and the Student Finance Subcommittee and in form and substance similar to present student loan purchase agreements being utilized by the Board in its Student Loan Program.

Section 11. The appropriate officials of the Board, including without limitation the Chair or Vice Chair of the Board and/or the Chair of the Finance, Facilities and Accountability Committee are authorized to make any alterations, changes or additions in the Indenture, the 2003 Series V Bonds, the Bond Purchase Agreement, the Official Statement or any other document herein authorized and approved which may be necessary to correct errors or omissions therein, to remove ambiguities therefrom, to conform the same to other provisions of said instruments, to the provisions of this Resolution or any resolution adopted by the Board, or the provisions of the laws of the State of Utah or the United States.

Section 12. The appropriate officials of the Board, including without limitation the Chair, the Vice Chair, the Chair of the Finance, Facilities and Accountability Committee, the Commissioner of Higher Education, Assistant Commissioner for Student Loan Finance and Secretary of the Board, are hereby authorized and directed to execute and deliver for and on behalf of the Board any or all additional certificates, documents and other papers and to perform all other acts they may deem necessary or appropriate in

order to implement and carry out the matters authorized in this Resolution and the documents authorized and approved herein.

Section 13. Upon their issuance, the 2003 Series V Bonds will constitute special limited obligations of the Board payable solely from and to the extent of the sources set forth in the Indenture and such 2003 Series V Bonds. No provision of this Resolution, the 2003 Series V Bonds, the Bond Purchase Agreement, the Indenture, the Investment Agreement or any other instrument authorized hereby, shall be construed as creating a general obligation of the Board, or of creating a general obligation of the State of Utah or any political subdivision thereof, nor as incurring or creating a charge upon the general credit of the Board.

Section 14. After any of the 2003 Series V Bonds are delivered by the Trustee to or for the account of the Underwriters and upon receipt of payment therefor, this Resolution shall be and remain irrevocable until the principal of, premium, if any, and interest on the 2003 Series V Bonds are deemed to have been fully discharged in accordance with the terms and provisions of the Indenture.

Section 15. If any provisions of this Resolution should be held invalid, the invalidity of such provisions shall not affect the validity of any of the other provisions of this Resolution.

Section 16. All resolutions of the Board or parts thereof inconsistent herewith, are hereby repealed to the extent only of such inconsistency. This repealer shall not be construed as reviving any bylaw, order, resolution or ordinance or part thereof.

Section 17. This Resolution shall become effective immediately upon its adoption.

PASSED AND APPROVED BY THE STATE BOARD OF REGENTS OF THE  
STATE OF UTAH THIS 9TH DAY OF JULY, 2003.

STATE BOARD OF REGENTS OF THE  
STATE OF UTAH

---

Chair

( S E A L )

ATTEST:

---

Secretary



After the conduct of other business not pertinent to the above, the meeting was, on motion duly made and seconded, adjourned.

---

Chair

( S E A L )

ATTEST:

---

Secretary

STATE OF UTAH                    )  
  : ss.  
COUNTY OF SALT LAKE    )

I, Joyce Cottrell, do hereby certify that I am the duly qualified and acting Secretary of the State Board of Regents of the State of Utah.

I further certify that the above and foregoing constitutes a true and correct copy of an excerpt of the minutes of a meeting of said Board held on July 9, 2003 and of a resolution adopted at said meeting, as said minutes and resolution are officially of record in my possession.

IN WITNESS WHEREOF, I have hereunto subscribed my official signature and impressed hereon the official seal of said Board this 9th day of July, 2003.

---

Secretary

( S E A L )

(a) in accordance with the requirements of Section 52-4-6(2), Utah Code Annotated 1953, as amended I gave public notice of the agenda, date, time and place of the July 9, 2003 public meeting held by the Members of the State Board of Regents by causing a Notice of Public Meeting to be posted at the principal office of the State Board of Regents at 60 South 400 West, in Salt Lake City, Utah, on \_\_\_\_\_, 2003, at least 24 hours prior to the convening of such meeting, in the form attached hereto as Exhibit "A"; said Notice of Public Meeting having continuously remained so posted and available for public inspection during the regular office hours of the State Board of Regents until the convening of the meeting; and causing a copy of said Notice of Public Meeting in the form attached hereto as Exhibit "A" to be provided on \_\_\_\_\_, 2003, at least 24 hours prior to the convening of such meeting, to the Deseret News and The Salt Lake Tribune, newspapers of general circulation within the geographic jurisdiction of the State Board of Regents, and to each local media correspondent, newspaper, radio station or television station which has requested notification of meetings of the State Board of Regents; and

(b) that in accordance with the requirements of Section 52-4-6(1), Utah Code Annotated 1953, as amended, public notice of the 2003 Annual Meeting Schedule of the State Board of Regents was given specifying the date, time and place of the regular meetings of the State Board of Regents scheduled to be held during the year, by causing a Notice of Annual Meeting Schedule for the State Board of Regents (in the form attached as Exhibit "B") to be posted on November 8, 2002, at the principal office of the State Board of Regents in Salt Lake City, Utah and causing a copy of such Notice of Annual Meeting Schedule to be provided on November 8, 2002, to a newspaper of general circulation within the geographic jurisdiction of Salt Lake City, Utah.

IN WITNESS WHEREOF, I have hereunto subscribed my official signature and impressed hereon the official seal of the State Board of Regents of the State of Utah, this 9th day of July, 2003.

( S E A L )

EXHIBIT "A"

Notice of Public Meeting

[See Transcript Document No. \_\_\_\_]

EXHIBIT “B”

Notice of Annual Meeting Schedule

[See Transcript Document No. \_\_\_\_]

MEMORANDUM

July 1, 2003

TO: State Board of Regents

FROM: Cecelia H. Foxley

SUBJECT: University of Utah – 2003-2004 Budget for University Hospitals and Clinics

Issue

Long-standing Legislative intent language instructs the Board of Regents to approve the operating budget of the University Hospital and Clinics as a condition for the retention of patient fee revenues outside the appropriated budget. Summary materials of these budgets are attached. The complete budget documents are voluminous and are available for review upon request.

Rick Fullmer, Chief Executive Officer, and Gordon Crabtree, Chief Financial Officer, will be available at the July 9 Board of Regents meeting to respond to questions.

Recommendation

It is the recommendation of the Commissioner that Regents approve the 2003-2004 operating budget for the University Hospital and Clinics.

Cecelia H. Foxley, Commissioner

CHF/MHS  
Attachments



# University Hospitals and Clinics

**Report to the  
State Board of  
Regents**

**REVIEW of 2003**

**and**

**BUDGET  
APPROVAL  
FY 2004**

**“FY 2003 will be the best  
year ever for the University  
of Utah Hospitals and Clinics”**

**Rick Fullmer--CEO**

# Salt Lake City Residents Recognize

## the University of Utah Hospitals and Clinics Number 1

- Best Doctors
- Best Nurses
- 1<sup>st</sup>. in Overall Quality
- Best Image and Reputation
- etc. etc.


FOUR YEARS STRAIGHT


Consumers  
Have Voted Us...


...Number 1


"Consumer Choice Award" Winner


## University of Utah Hospitals & Clinics


 University of Utah Medical Group


 Huntsman Cancer Institute


 Eccles Critical Care Pavilion


 Moran Eye Center


 Stroke-Trauma Center


 Utah Diabetes Center


 Neuropsychiatric Institute

 Rehabilitation Center

 Community Clinics

 Utah Center for Reproductive Medicine


 ARUP Laboratories

 University Cardiology Institute

For the fourth straight year, the residents of Salt Lake City have chosen us to stand along side 152 distinguished hospitals nationwide as a "Consumer Choice Award" winner for having the **Best Doctors, Best Nurses, Best Overall Quality, and the Best Image & Reputation.**

Consumers also rated us highest in **Orthopedics, Neurology, Oncology, Mental Health Services, Physical Therapy, Technology and Equipment, Widest Range of Services, Community Health Programs, and Most Used Web Site.**

This means that the people who matter most, our patients, neighbors, and friends have confidence in us and the way we care for them.

**The University of Utah Health System**  
*Part of your Community...Part of your life.*

Choose an insurance plan that provides you unrestricted access to this team.  
[uuhsc.utah.edu](http://uuhsc.utah.edu)



# American College of Surgeons...

**The first Hospital in the  
Intermountain region  
nationally accredited as a  
Level 1 Trauma Center**

**Critical Patients have  
access to:**

- **24 Hour—365 Day  
Emergency  
Department**
- **Burn Trauma Center**
- **Air Med Transport**
- **850 Physicians**

## University of Utah Hospitals & Clinics Taking ER/Trauma Service to the Highest Level\*

\*The first hospital in the Intermountain region nationally accredited as a  
Level 1 Trauma Center by the American College of Surgeons.

**University of Utah  
Hospitals & Clinics**  
is proud to be the recent  
recipient of the highest  
honor bestowed on a  
hospital's trauma service  
by the American College  
of Surgeons—*National  
Verification as a Level 1  
Trauma Center.*

Following a site visit  
and careful evaluation  
against national standards,  
the *American College of Surgeons*  
recognized us for providing the  
highest level of trauma care—the  
first hospital in the region to obtain  
this verification.

And we couldn't be more pleased.  
Receiving this award reflects our  
overall commitment to provide  
patients in critical need with the very  
best care possible.

From our emergency and radiology  
departments, operating rooms, and  
surgical intensive care unit—to our

nationally recognized **Burn Trauma  
Center** and **AirMed** emergency  
transport services, our professional  
medical teams stand ready to respond  
at a moment's notice with the latest  
in emergency medical care—24 hours  
a day, 365 days a year!

But our commitment doesn't end  
there. Continuing education and  
outreach programs, together with  
our injury prevention courses  
contribute to our goal of reducing  
the number of accidents and  
emergencies in the community.



Our emergency trauma  
services are also backed  
by the full resources of  
the University of Utah  
Health Sciences Center,  
with over 850 board  
certified physicians,  
educators, and  
researchers, many of  
whom are world-class  
leaders in their fields.

So if a critical need  
arises, turn to the only emergency  
center that provides the highest  
degree of Level 1 Trauma care  
available in the region.



Photo by Ted Carlson Fotodynamics

When Seconds Count...Count On Us!



**UNIVERSITY OF UTAH  
HOSPITALS & CLINICS**  
Part of the University of Utah Health Sciences Center

# State of Utah Dept. of Workforce Services--2003

Recognizes the  
University of Utah  
Hospitals and Clinics  
among the

Top 10 family friendly  
companies



- University of Utah Medical Group
- Huntsman Cancer Institute
- Eccles Critical Care Pavilion
- Moran Eye Center
- Stroke-Trauma Center
- Utah Diabetes Center
- Neuropsychiatric Institute
- Rehabilitation Center
- Community Clinics
- Utah Center for Reproductive Medicine
- ARUP Laboratories
- University Cardiology Institute

## Consistently Leading the Way

UNIVERSITY OF UTAH HOSPITALS  
& Clinics ranks among top  
10 family friendly companies.

We have never forgotten that we are all about people—not only our patients, but also our employees who serve them so well.

That's why we are so proud that the State Department of Workforce Services has once again recognized us as one of the "Top Ten Most Family Friendly" companies in the state, and awarded us the Work/Life Award for "family friendly" employee benefits.

We lead the way in patient-centered care because we lead the way in caring for our employees.

 **The University of Utah Health System**  
Part of your Community...Part of your life.

Choose an insurance plan that provides you with unrestricted access to this team.  
[uuhsc.utah.edu](http://uuhsc.utah.edu)



# University Hospitals and Clinics Technology Award— “100 Most Wired”

*Our investment  
in technology*  
**HAS A HEALTHY  
RATE OF RETURN.**

FOR THE 4<sup>TH</sup> YEAR IN A ROW, UNIVERSITY OF UTAH HOSPITALS & CLINICS HAS BEEN NAMED ONE OF THE NATION'S 100 MOST WIRED HEALTH CARE SYSTEMS BY *HOSPITALS AND HEALTH NETWORKS*, A PUBLICATION OF THE AMERICAN HOSPITAL ASSOCIATION.



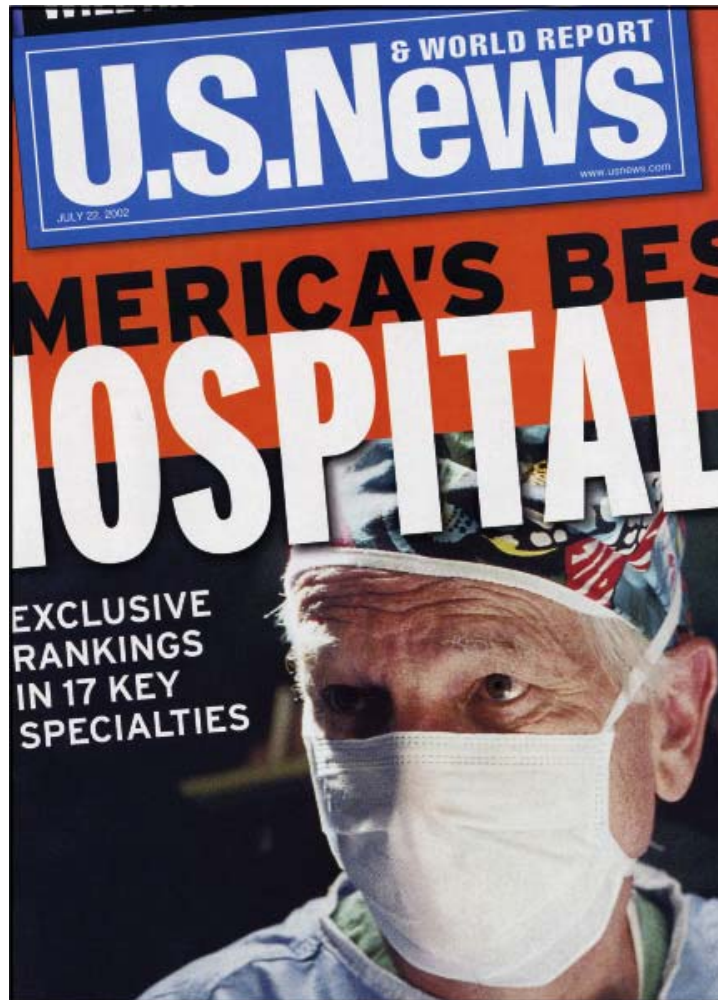
Technology and University of Utah Hospitals & Clinics have always gone hand in hand. Our world-renowned physicians are at the forefront of groundbreaking research and breakthrough treatments. Our commitment to technology gives patients control of health information, improves clinical decision-making and speeds the delivery of the quality care our patients receive. We're honored by this national recognition and we'll continue to bring you *tomorrow's medicine today*. So, choose an insurance plan that provides you unrestricted access to University of Utah Hospitals & Clinics and the over 850 Board-Certified Physicians of the University of Utah Medical Group.

 **University of Utah  
Hospitals & Clinics**  
THE UNIVERSITY OF UTAH HEALTH SCIENCES CENTER  
[uuhsc.utah.edu](http://uuhsc.utah.edu)





# University Hospitals and Clinics 9<sup>th</sup>. Straight Ranking as Best



*We've done it  
again.*

FOR THE NINTH TIME,  
the University of Utah  
Hospitals & Clinics has  
been named one of  
America's best.



Trust your health to one of  
*America's Best Hospitals*. Choose  
an insurance plan that provides  
you with unrestricted access  
to University of Utah Hospitals  
& Clinics and the over 850  
Board-Certified Physicians of the  
University of Utah Medical Group.  
To find a physician or for more  
information, call 581-2897 or  
866-850-8863.

## SUMMARY:

### University of Utah Hospitals and Clinics--2003

- Americas Best
- Nations Most Wired
- Most Family Friendly Company
- Consumers Choice Award—SLC
- First Level One Trauma Center—Intermountain Region



Providing award-winning care  
*for what we care  
about most.*

**University of Utah Medical Group**  
**Huntsman Cancer Institute**  
**Eccles Critical Care Pavilion**  
**Moran Eye Center**  
**Stroke-Trauma Center**  
**Utah Diabetes Center**  
**Neuropsychiatric Institute**  
**Rehabilitation Center**  
**Community Clinics**  
**Utah Center for Reproductive Medicine**  
**ARUP Laboratories**  
**University Cardiology Institute**

**University of Utah Hospitals & Clinics**  
*is providing some of the best healthcare in the Intermountain West.  
But don't take our word for it, listen to what others are saying.*

**One of "America's Best Hospitals"**  
—U.S. News & World Report

**One of the nation's "100 Most Wired Health Care Systems"**  
—Hospitals and Health Networks

**One of the "Top Ten Most Family Friendly" companies in Utah**  
—State Department of Workforce Services

**One of Salt Lake's Best Hospitals "Consumer's Choice Award"**  
—Healthcare Market Guide

**First Level 1 Trauma Center in the Intermountain Area**  
—American College of Surgeons

**The University of Utah Health System**  
*Part of your Community...Part of your life.*

Choose an insurance plan that provides you with unrestricted access to this team.  
[uuhsc.utah.edu](http://uuhsc.utah.edu)



# University Hospitals and Clinics

## FY 2003 Projected

Equipment and Pavilion

### FY 2003 Net Income:

- **Hospital:**
  - Transfers to SOM etc. \$ 11.1 M
  - Donations to Pavilion \$ 5.0 M
  - HOSPITAL CAPITAL \$ 5.0 M
  - **SUBTOTAL** \$ 21.1 M
- **Net Income UNI** \$ 1.2 M
- **Community Clinics** (\$ 1.1 M)

**TOTAL for Capital  
and Transfers** \$ 21.2 M



# University Hospitals and Clinics

FY 2004 **Budget Process to date...**

Completed to date...

Today

- **Review**
  - University Health Sciences
- **Review and Approval**
  - UUHC Budget and Finance Committee—Chair Roger Boyer
- **Review and Approval**
  - UUHC Board-Chair John Kessler
- **Review and Approval**
  - University Health Sciences Committee
  - University Board of Trustees
- **Review and Approval--State Board of Regents**



# University Hospitals and Clinics

## FY 2004 Budget Highlights...

- Includes Full Year of Operations—  
**Eccles Pavilion**
- Includes Start-up costs  
**Huntsman Cancer Hospital**
- Assumes Breakeven of  
**Community Clinics !!!**
- Assumes **UNI---Net Income-----** \$ 1.0 M
- Adopts Pharmacy and other  
**supply chain savings -----** \$ 3.5 M
- Assumes **Equipment Donations-----** \$ 2.5 M
- Maintains Salaries near Market. ---  
**Merit and Market -----** \$ 5.2 M





# University Hospitals and Clinics

## FY 2004 Budget Summary

### FY 2004 Net Income:

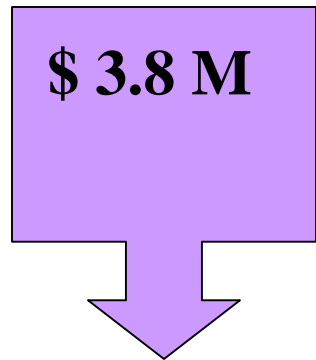
- **Hospital**
  - **Donation-Capital** \$ 2.5 M
  - **Transfers to SOM etc.** \$ 11.0 M
  - **HOSPITAL CAPITAL** \$ 3.0 M
- **Net Income UNI** \$ 1.0 M
- **Breakeven-Community C. (\$ 0.1 M)**

**TOTAL for CAPITAL  
and TRANSFERS** **\$ 17.4 M**



# University Hospitals and Clinics

## Hospital Decline –Total Down 18%



- **SUMMARY**

### Net Income/Capital:

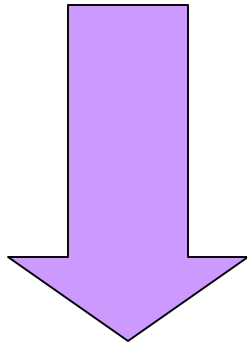
	<u>FY 2004</u>	<u>FY 2003</u>
• Total Available	\$17.4 M	\$ 21.2 M
less SOM Trans.	(\$11.0 M)	(\$ 11.1 M)
less Pavilion Capt.	<u>(\$ 2.5 M)</u>	<u>(\$ 5.0 M)</u>
Net for Equip.		
(down 24%)	\$ 3.9 M	\$ 5.1 M



# University Hospitals and Clinics

## Why Decline in Hospital Net Income?

WHY?



### Key Impacts:

1. **Medicaid Rates 0 %**      **inflation rate**
  - **Impact \$ 3 Million**
2. **Impact of United Health Insurance**  
**termination of Hospital contract**
  - **Impact \$ 3 Million?**
3. **Startup costs of new Huntsman Hospital**
  - **Impact \$ 3 Million plus**



# University Hospitals and Clinics

## FY 2004 Budget for Approval

### FY 2004:

- Consolidated Revenues \$ 526.3 M
  - Consolidated Expenses \$ 508.9 M
  - Net for Transfers  
and Capital \$ 17.4 M
  - Transfers to SOM etc. (\$ 11.0 M)
- Net for Capital \$  
6.4 M

MEMORANDUM

July 1, 2003

TO: State Board of Regents

FROM: Cecelia H. Foxley

SUBJECT: Utah State University – Potential O&M Costs for Donated Building

Issue

Utah State University proposes to accept ownership through donation of a former K-Mart building in Brigham City, Utah. While the specific use of this building has not yet been identified, if it is used for regular instruction the University will request operational and maintenance (O&M) funding at a later date.

Discussion

A donor wishes to donate to Utah State University a former K-Mart building in Brigham City, Utah. The 90,000 square foot building and eight acres of associated property are located at 1000 South Main Street, approximately .75 miles from the existing USU Brigham City Branch Campus.

The current master plan for the Brigham City Branch Campus referred to the need for additional space to meet anticipated program growth. Acquisition of this building could help provide adequate facilities for the Branch Campus program. The optimal use of the building will be defined through future updating of the master plan. Such planning will be done in coordination with the State Division of Facilities Construction and Management (DFCM), who is the owner of the existing higher education facility located on 1100 South. If the results of the planning are for the former K-Mart building to be used for regular instructional programs, USU wishes to reserve the right to request O&M funding at a later date according to R710, which states: "An acquisition . . . from private sources . . . will be eligible for state-appropriated O & M when the use of the building is primarily for approved academic and training purposes."

Recommendation

It is the recommendation of the Commissioner that the Regents approve acceptance by Utah State University of the proposed donation of the former K-Mart building and property in Brigham City, Utah, and acknowledge that USU may request O&M funding for this facility at a later date.

CHF/MHS  
Attachment

Cecelia H. Foxley, Commissioner

MEMORANDUM

July 1, 2003

TO: State Board of Regents  
FROM: Cecelia H. Foxley  
SUBJECT: Salt Lake Community College – Notice of Potential Property Purchase

Issue

Regent Policy R710 requires the Board of Regents to review and approve all institutional requests for property acquisitions that commit institutional funds in excess of \$25,000.

Background

Officials at Salt Lake Community College have notified the Commissioner that they may require time on the agenda of the July 9, 2003, Regents meeting for consideration of a capital purchase. As of the time when these meeting agenda materials were to be mailed, SLCC remains in negotiations regarding this purchase. If negotiations proceed in time for action by the SLCC Board of Trustees at their July 8, 2003, meeting, the Trustees will forward their recommendation, with accompanying documentation, to the Board of Regents for their consideration at the July 9 meeting.

Recommendation

If this matter is presented to the Regents for action, it is the recommendation of the Commissioner that the Board review the proposed purchase, pose additional questions which need to be addressed, and either approve the proposed purchase or defer approval pending receipt of responses to questions which were raised.

Cecelia H. Foxley, Commissioner

CHF/MHS

MEMORANDUM

July 9, 2003

TO: State Board of Regents

FROM: Cecelia H. Foxley

SUBJECT: Action: Consent Calendar, Finance, Facilities, and Accountability Committee

It is the recommendation of the Commissioner that the Regents approve the following items on the Finance Facilities, and Accountability Committee Consent Calendar:

- A. USHE - 2002-2003 Final Work Program Revisions (Attachment 1).** Attached is a summary of the final 2002-2003 Work Programs. "Work Program" is a term applied to revenue and expenditure allotment schedules submitted to the State Division of Finance. Work programs serve as a basis for the disbursement of state appropriated funds to institutions.
- B. USHE - 2002-2003 Revised Work Program Revisions (Attachment 2).** Utah statute requires that the Board of Regents approve all work program revisions. Attached is a summary of revisions for the 2003-2004 budget.
- C. USHE – 2003-2004 Budget Implementation Reports**
- D. USHE – 2003-2004 Appropriated Operating Budgets**
- E. USHE – Spring Semester and End-of-Year Enrollment Reports (Attachment 3).** The attached report analyzes the 2003 Spring Semester enrollment figures, recounts actual 2002-2003 headcount and FTE (Full-Time-Equivalent) enrollments by semester, and computes actual annualized and academic-year FTE's for both self-supporting and budget-related enrollments. This is the second of two enrollment reports which are presented to the Board of Regents each academic year. Both reports contain headcount and FTE information consistent with USHE enrollment definitions and standards. The first report included enrollment data for Summer and Fall Semesters. This second report includes Spring Semester and provides end-of-year enrollment figures.
- F. OCHE -- Monthly Investment Report (Attachment 4).** Board Policy R541, Management and Reporting of Institutional Investments, requires the Finance, Facilities, and Accountability Committee of the Regents to review and approve the investment report of the Office of the Commissioner on a regular basis. All operating funds of the Office of the Commissioner are invested with the University of Utah Cash Management Pool. The investment report for May and June for the Office of the Commissioner is attached.

**G. UofU and USU -- Capital Facilities Delegation Reports (Attachment 5).** In accordance with the capital facilities delegation policy adopted by the Regents and by the State Building Board, the attached reports are submitted to the Board for review. Officials from the institutions will be available to answer any questions that the Regents may have.

Cecelia H. Foxley, Commissioner

CHF/MHS/jv  
Attachments



**Utah System of Higher Education**  
**2002-2003 Work Programs**  
Revised Schedule - July 2002 (includes Special Session and Other Revisions)

Expenditures	Revenues						
	General Fund	Income Tax	Uniform School Fund	Dedicated Credits	Federal Funds	Mineral Lease	Cigarette Tax/ Trust Funds/ Other Funds
<b>University of Utah</b>							
Education and General	\$263,473,600	\$169,127,500	\$7,139,800	\$0	\$78,921,800	\$0	\$8,284,500
School of Medicine	29,163,600	18,660,200	1,313,900	0	9,189,500	0	0
Regional Dental Education Prog.	683,900	532,800	32,100	0	119,000	0	0
Poison Control	1,339,900	0	0	0	1,339,900	0	0
Pub. Svc. - Seismograph Stations	399,100	336,300	18,400	44,400	0	0	0
Pub. Svc. - Museum Nat. History	608,800	570,200	33,000	5,600	0	0	0
Pub. Svc. - State Arboretum	110,700	104,700	6,000	0	0	0	0
KUED	2,379,500	2,225,500	154,000	0	0	0	0
University Hospital	4,374,100	4,106,900	267,200	0	0	0	0
Miners Hospital	173,200	14,500	7,700	0	0	0	151,000
Land Grant Trust Funds	502,100	0	0	0	0	0	502,100
Educationally Disadvantaged	707,600	680,700	26,900	0	0	0	0
Total U of U	303,916,100	196,359,300	8,999,000	50,000	89,570,200	0	8,937,600
<b>Utah State University</b>							
Education and General	136,760,400	95,021,400	0	0	41,739,000	0	0
Uintah Basin Continuing Ed. Ctr.	4,577,700	2,222,200	530,400	0	1,825,100	0	0
Southeastern Utah Cont. Ed. Ctr.	1,013,800	600,100	33,300	0	380,400	0	0
Brigham City Continuing Ed Ctr.	1,416,100	117,300	262,100	0	1,036,700	0	0
Tooele/Wasatch Cont. Ed. Ctr.	3,450,700	275,400	769,500	0	2,405,800	0	0
Agricultural Experiment Station	13,890,200	10,322,700	1,123,700	0	630,000	1,813,800	0
Water Research Laboratory	2,406,600	1,412,400	92,500	0	0	0	901,700
Cooperative Extension	13,063,800	9,520,000	1,305,300	0	150,000	2,088,500	0
Land Grant Trust Funds	100,600	0	0	0	0	0	100,600
Educationally Disadvantaged	230,600	222,400	8,200	0	0	0	0
Total USU	176,910,500	119,713,900	4,125,000	0	48,167,000	3,902,300	901,700
<b>Weber State University</b>							
Education and General	84,285,900	54,901,700	0	0	29,384,200	0	0
Educationally Disadvantaged	325,700	306,100	19,600	0	0	0	0
Total WSU	84,611,600	55,207,800	19,600	0	29,384,200	0	0
<b>Southern Utah University</b>							
Education and General	36,729,964	26,077,900	0	0	10,652,064	0	0
Utah Shakespearean Festival	12,700	12,700	0	0	0	0	0
Educationally Disadvantaged	91,200	87,200	4,000	0	0	0	0
Total SUU	36,833,864	26,177,800	4,000	0	10,652,064	0	0
<b>Snow College</b>							
Education and General	15,436,700	11,994,300	0	0	3,442,400	0	0
South Campus	3,805,400	527,900	2,635,700	0	641,800	0	0
Educationally Disadvantaged	32,600	32,400	200	0	0	0	0
Total Snow College	19,274,700	12,554,600	2,635,900	0	4,084,200	0	0
<b>Dixie State College</b>							
Education and General	22,657,200	16,050,200	0	0	6,607,000	0	0
Zion Park Amphitheatre	88,900	55,500	1,500	0	31,900	0	0
Educationally Disadvantaged	31,200	31,000	200	0	0	0	0
Total Dixie College	22,777,300	16,136,700	1,700	0	6,638,900	0	0
<b>College of Eastern Utah</b>							
Education and General	11,972,900	9,829,700	0	0	2,143,200	0	0
San Juan Center	2,180,700	1,581,000	143,300	0	456,400	0	0
Prehistoric Museum	181,100	168,200	11,900	0	1,000	0	0
Educationally Disadvantaged	118,800	117,100	1,700	0	0	0	0
Total CEU	14,453,500	11,696,000	156,900	0	2,600,600	0	0
<b>Utah Valley State College</b>							
Education and General	76,598,300	39,830,300	0	0	36,768,000	0	0
Educationally Disadvantaged	132,200	127,900	4,300	0	0	0	0
Total UVSC	76,730,500	39,958,200	4,300	0	36,768,000	0	0

**Utah System of Higher Education**  
**2002-2003 Work Programs**  
Revised Schedule - July 2002 (includes Special Session and Other Revisions)

Expenditures	Revenues						
	General Fund	Income Tax	Uniform School Fund	Dedicated Credits	Federal Funds	Mineral Lease	Cigarette Tax/ Trust Funds/ Other Funds

(continued)

**Salt Lake Community College**

Education and General	\$76,038,500	\$49,717,900	\$0	\$0	\$26,320,600	\$0	\$0	\$0
Skills Center	4,733,000	3,283,300	626,000	0	823,700	0	0	0
Educationally Disadvantaged	181,800	180,600	1,200	0	0	0	0	0
<b>Total SLCC</b>	<b>80,953,300</b>	<b>53,181,800</b>	<b>627,200</b>	<b>0</b>	<b>27,144,300</b>	<b>0</b>	<b>0</b>	<b>0</b>

**SBR and Statewide Programs**

Administration - SBR	2,995,100	2,783,000	121,300	0	90,800	0	0	0
Administration - Prison	393,200	393,200	0	0	0	0	0	0
Engineering Initiative	1,988,700	1,988,700	0	0	0	0	0	0
WICHE	1,040,700	1,010,600	30,100	0	0	0	0	0
Student Financial Aid	3,849,200	3,158,200	301,000	0	0	390,000	0	0
Student Financial Aid - UCOPE	1,950,000	584,000	1,366,000	0	0	0	0	0
Student Financial Aid - New Century	142,600	77,600	65,000	0	0	0	0	0
Student Fin. Aid - Minority	48,000	48,000	0	0	0	0	0	0
Student Fin. Aid - Tuition Assist.	48,000	48,000	0	0	0	0	0	0
T.H. Bell Teacher Incentive Loans	806,200	628,200	3,000	0	175,000	0	0	0
University Centers	260,500	251,800	8,700	0	0	0	0	0
Electronic Coursework/UEC	522,300	514,800	7,500	0	0	0	0	0
Higher Education Tech. Initiative	2,493,000	2,493,000	0	0	0	0	0	0
Federal Programs	301,400	0	0	0	0	301,400	0	0
Academic Library Council	2,939,300	2,179,300	760,000	0	0	0	0	0
<b>Total SBR</b>	<b>19,778,200</b>	<b>16,158,400</b>	<b>2,662,600</b>	<b>0</b>	<b>265,800</b>	<b>691,400</b>	<b>0</b>	<b>0</b>

<b>9 INSTITUTION &amp; SBR TOTAL</b>	<b>\$836,239,564</b>	<b>\$547,144,500</b>	<b>\$19,236,200</b>	<b>\$50,000</b>	<b>\$255,275,264</b>	<b>\$4,593,700</b>	<b>\$901,700</b>	<b>\$9,038,200</b>
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**Utah College of Applied Technology**

Bridgerland	\$7,902,700	\$0	\$6,884,200	\$0	\$1,018,500	\$0	\$0	\$0
Central	1,386,800	103,000	1,283,800	0	0	0	0	0
Davis	7,593,200	0	6,759,400	0	833,800	0	0	0
Dixie	728,200	109,500	618,700	0	0	0	0	0
Mountainlands	1,912,800	417,600	1,495,200	0	0	0	0	0
Ogden Weber	8,509,700	0	7,490,300	0	1,019,400	0	0	0
Salt Lake/Tooele	1,736,000	0	1,736,000	0	0	0	0	0
Southeast	739,900	283,200	456,700	0	0	0	0	0
Southwest	1,135,500	270,300	865,200	0	0	0	0	0
Uintah Basin	3,943,200	0	3,588,900	0	354,300	0	0	0
UCAT Administration	371,500	143,900	227,600	0	0	0	0	0
UCAT Development	1,589,900	0	1,589,900	0	0	0	0	0
UCAT Equipment	860,700	0	860,700	0	0	0	0	0
UCAT Custom Fit	3,150,400	0	3,150,400	0	0	0	0	0
<b>UCAT TOTAL</b>	<b>\$41,560,500</b>	<b>\$1,327,500</b>	<b>\$37,007,000</b>	<b>\$0</b>	<b>\$3,226,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

**Utah Education Network**

CEU Distance Learning	\$268,700	\$0	\$268,700	\$0	\$0	\$0	\$0	\$0
Satellite	1,482,200	0	1,482,200	0	0	0	0	0
UtahLINK	17,158,000	2,270,000	186,700	10,696,500	0	3,363,600	0	641,200
<b>UEN TOTAL</b>	<b>\$18,908,900</b>	<b>\$2,270,000</b>	<b>\$1,937,600</b>	<b>\$10,696,500</b>	<b>\$0</b>	<b>\$3,363,600</b>	<b>\$0</b>	<b>\$641,200</b>

<b>GRAND TOTAL - USHE (w/ UCAT) &amp; UEN</b>	<b>\$896,708,964</b>	<b>\$550,742,000</b>	<b>\$58,180,800</b>	<b>\$10,746,500</b>	<b>\$258,501,264</b>	<b>\$7,957,300</b>	<b>\$901,700</b>	<b>\$9,679,400</b>
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# Utah System of Higher Education 2002-2003 Work Programs

June 2003 Revisions (includes December Special Session, 2003 General Session, and end-of-year dedicated credit adjustments)

Expenditures	Revenues						
	General Fund	Income Tax	Uniform School Fund	Dedicated Credits	Federal Funds	Mineral Lease	Cigarette Tax/ Trust Funds/ Other Funds
<b>University of Utah</b>							
Education and General	(\$990,500)	\$6,092,800	(\$7,083,300)				
School of Medicine	(112,100)	1,191,400	(1,303,500)				
Regional Dental Education Prog.	(3,200)	28,600	(31,800)				
Poison Control	0						
Pub. Svc. - Seismograph Stations	(2,200)	60,600	(18,400)	(44,400)			
Pub. Svc. - Museum Nat. History	(3,400)	35,200	(33,000)	(5,600)			
Pub. Svc. - State Arboretum	(600)	4,500	(5,500)	400			
KUED	(13,300)	139,500	(152,800)				
University Hospital	(22,600)	242,400	(265,000)				
Miners Hospital	(1,900)	5,800	(7,700)				
Land Grant Trust Funds	0						
Educationally Disadvantaged	(3,900)	22,800	(26,700)				
Total U of U	(1,153,700)	7,823,600	(8,927,700)	(49,600)	0	0	0
<b>Utah State University</b>							
Education and General	(500,800)	(500,800)					
Uintah Basin Continuing Ed. Ctr.	(12,500)	513,700	(526,200)				
Southeastern Utah Cont. Ed. Ctr.	(2,800)	30,200	(33,000)				
Brigham City Continuing Ed Ctr.	(1,900)	258,100	(260,000)				
Tooele/Wasatch Cont. Ed. Ctr.	(4,800)	758,600	(763,400)				
Agricultural Experiment Station	52,600	1,047,400	(1,114,800)	120,000			
Water Research Laboratory	(1,800)	89,900	(91,700)				
Cooperative Extension	(47,700)	1,247,300	(1,295,000)				
Land Grant Trust Funds	0						
Educationally Disadvantaged	(1,200)	(1,100)	(100)				
Total USU	(520,900)	3,443,300	(4,084,200)	0	120,000	0	0
<b>Weber State University</b>							
Education and General	1,334,300	(608,500)	342,800	1,600,000			
Educationally Disadvantaged	(1,600)	15,800	(17,400)				
Total WSU	1,332,700	(592,700)	325,400	0	1,600,000	0	0
<b>Southern Utah University</b>							
Education and General	(113,000)	(113,000)					
Utah Shakespearean Festival	(100)	(100)					
Educationally Disadvantaged	(1,000)	3,000	(4,000)				
Total SUU	(114,100)	(110,100)	(4,000)	0	0	0	0
<b>Snow College</b>							
Education and General	377,500	(132,300)	134,800	375,000			
South Campus	(35,100)	2,579,700	(2,614,800)				
Educationally Disadvantaged	(300)	(100)	(200)				
Total Snow College	342,100	2,447,300	(2,480,200)	0	375,000	0	0
<b>Dixie State College</b>							
Education and General	(45,800)	(176,200)	130,400				
Zion Park Amphitheatre	(600)	900	(1,500)				
Educationally Disadvantaged	(300)	(100)	(200)				
Total Dixie College	(46,700)	(175,400)	128,700	0	0	0	0
<b>College of Eastern Utah</b>							
Education and General	43,100	(109,000)	122,100	30,000			
San Juan Center	77,500	123,100	(120,600)	75,000			
Prehistoric Museum	200	9,800	(9,600)				
Educationally Disadvantaged	100	400	(300)				
Total CEU	120,900	24,300	(8,400)	0	105,000	0	0
<b>Utah Valley State College</b>							
Education and General	2,170,200	(440,500)	393,700	2,217,000			
Educationally Disadvantaged	0	2,800	(2,800)				
Total UVSC	2,170,200	(437,700)	390,900	0	2,217,000	0	0

# Utah System of Higher Education 2002-2003 Work Programs

June 2003 Revisions (includes December Special Session, 2003 General Session, and end-of-year dedicated credit adjustments)

Expenditures	Revenues						
	General Fund	Income Tax	Uniform School Fund	Dedicated Credits	Federal Funds	Mineral Lease	Cigarette Tax/ Trust Funds/ Other Funds

(continued)

## Salt Lake Community College

Education and General	\$1,833,200	(\$549,600)	\$282,800		\$2,100,000			
Skills Center	279,000	577,700	(598,700)		300,000			
Educationally Disadvantaged	(1,000)	(800)	(200)					
<b>Total SLCC</b>	<b>2,111,200</b>	<b>27,300</b>	<b>(316,100)</b>	<b>0</b>	<b>2,400,000</b>	<b>0</b>	<b>0</b>	<b>0</b>

## SBR and Statewide Programs

Administration - SBR	(116,400)	87,100	(203,500)					
Administration - Prison Recidivism	0	(4,400)	4,400					
Engineering Initiative	0	(22,000)	22,000					
WICHE	(11,500)	18,300	(29,800)					
Student Financial Aid	711,900	678,100	33,800					
Student Financial Aid - UCOPE	(711,900)	(742,300)	30,400					
Student Financial Aid - New Century	249,200	314,200	(65,000)					
Student Fin. Aid - Minority	0	(500)	500					
Student Fin. Aid - Tuition Assist.	0	(500)	500					
T.H. Bell Teacher Incentive Loans	218,000	(4,000)	(3,000)		225,000			
University Centers	0	5,700	(5,700)					
Electronic Coursework/UEC	(35,800)	1,700	(37,500)					
Higher Education Tech. Initiative	0	(27,600)	27,600					
Federal Programs	0							
Academic Library Council	0	721,500	(721,500)					
<b>Total SBR</b>	<b>303,500</b>	<b>1,025,300</b>	<b>(946,800)</b>	<b>0</b>	<b>225,000</b>	<b>0</b>	<b>0</b>	<b>0</b>

<b>9 INSTITUTION &amp; SBR TOTAL</b>	<b>\$4,545,200</b>	<b>\$13,475,200</b>	<b>(\$15,922,400)</b>	<b>(\$49,600)</b>	<b>\$7,042,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
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## Utah College of Applied Technology

Bridgerland	(\$76,300)	\$6,807,900	(\$6,884,200)					
Central	314,600	1,258,200	(1,273,600)		330,000			
Davis	57,100	6,684,500	(6,759,400)		132,000			
Dixie	(8,100)	605,700	(613,800)					
Mountainlands	104,400	1,462,200	(1,483,400)		125,600			
Ogden Weber	138,600	7,407,300	(7,490,300)		221,600			
Salt Lake/Tooele	104,000	1,716,700	(1,736,000)		123,300			
Southeast	144,900	444,900	(453,100)		153,100			
Southwest	287,900	845,900	(858,400)		300,400			
Uintah Basin	(74,100)	3,549,100	(3,588,900)		(34,300)			
UCAT Administration	240,600	466,400	(225,800)					
UCAT Development	(30,500)	1,546,900	(1,577,400)					
UCAT Equipment	(16,500)	837,400	(853,900)					
UCAT Custom Fit	(17,300)	3,108,100	(3,125,400)					
<b>UCAT TOTAL</b>	<b>\$1,169,300</b>	<b>\$36,741,200</b>	<b>(\$36,923,600)</b>	<b>\$0</b>	<b>\$1,351,700</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

## Utah Education Network

CEU Distance Learning	(\$3,000)	\$265,700	(\$268,700)					
Satellite	(16,400)	1,465,800	(1,482,200)					
UtahLINK	(51,400)	10,831,800	(186,700)	(10,696,500)				
<b>UEN TOTAL</b>	<b>(\$70,800)</b>	<b>\$12,563,300</b>	<b>(\$1,937,600)</b>	<b>(\$10,696,500)</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

<b>GRAND TOTAL - USHE (w/ UCAT) &amp; UEN</b>	<b>\$5,643,700</b>	<b>\$62,779,700</b>	<b>(\$54,783,600)</b>	<b>(\$10,746,100)</b>	<b>\$8,393,700</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
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**Utah System of Higher Education**  
**2002-2003 Work Programs**  
Revised Schedule - June 2003

	Expenditures	Revenues						Cigarette Tax/ Trust Funds/ Other Funds
		General Fund	Income Tax	Uniform School Fund	Dedicated Credits	Federal Funds	Mineral Lease	
University of Utah								
Education and General	\$262,483,100	\$175,220,300	\$56,500	\$0	\$78,921,800	\$0	\$0	\$8,284,500
School of Medicine	29,051,500	19,851,600	10,400	0	9,189,500	0	0	0
Regional Dental Education Prog.	680,700	561,400	300	0	119,000	0	0	0
Poison Control	1,339,900	0	0	0	1,339,900	0	0	0
Pub. Svc. - Seismograph Stations	396,900	396,900	0	0	0	0	0	0
Pub. Svc. - Museum Nat. History	605,400	605,400	0	0	0	0	0	0
Pub. Svc. - State Arboretum	110,100	109,200	500	400	0	0	0	0
KUED	2,366,200	2,365,000	1,200	0	0	0	0	0
University Hospital	4,351,500	4,349,300	2,200	0	0	0	0	0
Miners Hospital	171,300	20,300	0	0	0	0	0	151,000
Land Grant Trust Funds	502,100	0	0	0	0	0	0	502,100
Educationally Disadvantaged	703,700	703,500	200	0	0	0	0	0
Total U of U	302,762,400	204,182,900	71,300	400	89,570,200	0	0	8,937,600
Utah State University								
Education and General	136,259,600	94,520,600	0	0	41,739,000	0	0	0
Uintah Basin Continuing Ed. Ctr.	4,565,200	2,735,900	4,200	0	1,825,100	0	0	0
Southeastern Utah Cont. Ed. Ctr.	1,011,000	630,300	300	0	380,400	0	0	0
Brigham City Continuing Ed Ctr.	1,414,200	375,400	2,100	0	1,036,700	0	0	0
Tooele/Wasatch Cont. Ed. Ctr.	3,445,900	1,034,000	6,100	0	2,405,800	0	0	0
Agricultural Experiment Station	13,942,800	11,370,100	8,900	0	750,000	1,813,800	0	0
Water Research Laboratory	2,404,800	1,502,300	800	0	0	0	901,700	0
Cooperative Extension	13,016,100	10,767,300	10,300	0	150,000	2,088,500	0	0
Land Grant Trust Funds	100,600	0	0	0	0	0	0	100,600
Educationally Disadvantaged	229,400	221,300	8,100	0	0	0	0	0
Total USU	176,389,600	123,157,200	40,800	0	48,287,000	3,902,300	901,700	100,600
Weber State University								
Education and General	85,620,200	54,293,200	342,800	0	30,984,200	0	0	0
Educationally Disadvantaged	324,100	321,900	2,200	0	0	0	0	0
Total WSU	85,944,300	54,615,100	345,000	0	30,984,200	0	0	0
Southern Utah University								
Education and General	36,616,964	25,964,900	0	0	10,652,064	0	0	0
Utah Shakespearean Festival	12,600	12,600	0	0	0	0	0	0
Educationally Disadvantaged	90,200	90,200	0	0	0	0	0	0
Total SUU	36,719,764	26,067,700	0	0	10,652,064	0	0	0
Snow College								
Education and General	15,814,200	11,862,000	134,800	0	3,817,400	0	0	0
South Campus	3,770,300	3,107,600	20,900	0	641,800	0	0	0
Educationally Disadvantaged	32,300	32,300	0	0	0	0	0	0
Total Snow College	19,616,800	15,001,900	155,700	0	4,459,200	0	0	0
Dixie State College								
Education and General	22,611,400	15,874,000	130,400	0	6,607,000	0	0	0
Zion Park Amphitheatre	88,300	56,400	0	0	31,900	0	0	0
Educationally Disadvantaged	30,900	30,900	0	0	0	0	0	0
Total Dixie College	22,730,600	15,961,300	130,400	0	6,638,900	0	0	0
College of Eastern Utah								
Education and General	12,016,000	9,720,700	122,100	0	2,173,200	0	0	0
San Juan Center	2,258,200	1,704,100	22,700	0	531,400	0	0	0
Prehistoric Museum	181,300	178,000	2,300	0	1,000	0	0	0
Educationally Disadvantaged	118,900	117,500	1,400	0	0	0	0	0
Total CEU	14,574,400	11,720,300	148,500	0	2,705,600	0	0	0
Utah Valley State College								
Education and General	78,768,500	39,389,800	393,700	0	38,985,000	0	0	0
Educationally Disadvantaged	132,200	130,700	1,500	0	0	0	0	0
Total UVSC	78,900,700	39,520,500	395,200	0	38,985,000	0	0	0

**Utah System of Higher Education**  
**2002-2003 Work Programs**  
Revised Schedule - June 2003

Expenditures	Revenues						
	General Fund	Income Tax	Uniform School Fund	Dedicated Credits	Federal Funds	Mineral Lease	Cigarette Tax/ Trust Funds/ Other Funds

(continued)

**Salt Lake Community College**

Education and General	\$77,871,700	\$49,168,300	\$282,800	\$0	\$28,420,600	\$0	\$0	\$0
Skills Center	5,012,000	3,861,000	27,300	0	1,123,700	0	0	0
Educationally Disadvantaged	180,800	179,800	1,000	0	0	0	0	0
<b>Total SLCC</b>	<b>83,064,500</b>	<b>53,209,100</b>	<b>311,100</b>	<b>0</b>	<b>29,544,300</b>	<b>0</b>	<b>0</b>	<b>0</b>

**SBR and Statewide Programs**

Administration - SBR	2,878,700	2,870,100	(82,200)	0	90,800	0	0	0
Administration - Prison Recidivism	393,200	388,800	4,400	0	0	0	0	0
Engineering Initiative	1,988,700	1,966,700	22,000	0	0	0	0	0
WICHE	1,029,200	1,028,900	300	0	0	0	0	0
Student Financial Aid	4,561,100	3,836,300	334,800	0	0	390,000	0	0
Student Financial Aid - UCOPE	1,238,100	(158,300)	1,396,400	0	0	0	0	0
Student Financial Aid - New Century	391,800	391,800	0	0	0	0	0	0
Student Fin. Aid - Minority	48,000	47,500	500	0	0	0	0	0
Student Fin. Aid - Tuition Assist.	48,000	47,500	500	0	0	0	0	0
T.H. Bell Teacher Incentive Loans	1,024,200	624,200	0	0	400,000	0	0	0
University Centers	260,500	257,500	3,000	0	0	0	0	0
Electronic Coursework/UEC	486,500	516,500	(30,000)	0	0	0	0	0
Higher Education Tech. Initiative	2,493,000	2,465,400	27,600	0	0	0	0	0
Federal Programs	301,400	0	0	0	0	301,400	0	0
Academic Library Council	2,939,300	2,900,800	38,500	0	0	0	0	0
<b>Total SBR</b>	<b>20,081,700</b>	<b>17,183,700</b>	<b>1,715,800</b>	<b>0</b>	<b>490,800</b>	<b>691,400</b>	<b>0</b>	<b>0</b>

<b>9 INSTITUTION &amp; SBR TOTAL</b>	<b>\$840,784,764</b>	<b>\$560,619,700</b>	<b>\$3,313,800</b>	<b>\$400</b>	<b>\$262,317,264</b>	<b>\$4,593,700</b>	<b>\$901,700</b>	<b>\$9,038,200</b>
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**Utah College of Applied Technology**

Bridgerland	\$7,826,400	\$6,807,900	\$0	\$0	\$1,018,500	\$0	\$0	\$0
Central	1,701,400	1,361,200	10,200	0	330,000	0	0	0
Davis	7,650,300	6,684,500	0	0	965,800	0	0	0
Dixie	720,100	715,200	4,900	0	0	0	0	0
Mountainlands	2,017,200	1,879,800	11,800	0	125,600	0	0	0
Ogden Weber	8,648,300	7,407,300	0	0	1,241,000	0	0	0
Salt Lake/Tooele	1,840,000	1,716,700	0	0	123,300	0	0	0
Southeast	884,800	728,100	3,600	0	153,100	0	0	0
Southwest	1,423,400	1,116,200	6,800	0	300,400	0	0	0
Uintah Basin	3,869,100	3,549,100	0	0	320,000	0	0	0
UCAT Administration	612,100	610,300	1,800	0	0	0	0	0
UCAT Development	1,559,400	1,546,900	12,500	0	0	0	0	0
UCAT Equipment	844,200	837,400	6,800	0	0	0	0	0
UCAT Custom Fit	3,133,100	3,108,100	25,000	0	0	0	0	0
<b>UCAT TOTAL</b>	<b>\$42,729,800</b>	<b>\$38,068,700</b>	<b>\$83,400</b>	<b>\$0</b>	<b>\$4,577,700</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

**Utah Education Network**

CEU Distance Learning	\$265,700	\$265,700	\$0	\$0	\$0	\$0	\$0	\$0
Satellite	1,465,800	1,465,800	0	0	0	0	0	0
UtahLINK	17,106,600	13,101,800	0	0	0	3,363,600	0	641,200
<b>UEN TOTAL</b>	<b>\$18,838,100</b>	<b>\$14,833,300</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$3,363,600</b>	<b>\$0</b>	<b>\$641,200</b>

<b>GRAND TOTAL - USHE (w/ UCAT) &amp; UEN</b>	<b>\$902,352,664</b>	<b>\$613,521,700</b>	<b>\$3,397,200</b>	<b>\$400</b>	<b>\$266,894,964</b>	<b>\$7,957,300</b>	<b>\$901,700</b>	<b>\$9,679,400</b>
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## Utah System of Higher Education 2003-2004 Work Programs

June 26, 2003

## Initial Schedule (Ties to 2003 General Session Appropriations)

		Expenditures	Revenues					
			General Fund	Income Tax	Uniform School Fund	Dedicated Credits	Mineral Lease	Federal Funds
University of Utah								
Education and General	\$276,890,400	\$79,908,500	\$95,000,000	\$0	\$93,195,300	\$0	\$0	\$8,786,600
School of Medicine	30,688,400	19,953,200			10,735,200			
Regional Dental Education Prog.	687,500	555,400			132,100			
Poison Control	1,348,100				1,348,100			
Pub. Svc. - Seismograph Stations	394,600	394,600						
Pub. Svc. - Museum Nat. History	606,500	606,500						
Pub. Svc. - State Arboretum	109,900	109,900						
KUED	2,357,700	2,357,700						
University Hospital	4,318,000	4,318,000						
Miners Hospital	170,200	19,200						151,000
Educationally Disadvantaged	732,100	697,600						34,500
Total U of U	318,303,400	108,920,600	95,000,000	0	105,410,700	0	0	8,972,100
Utah State University								
Education and General	140,221,300	95,323,200			44,797,500			100,600
Uintah Basin Continuing Ed. Ctr.	5,336,700	2,722,700			2,614,000			
Southeastern Utah Cont. Ed. Ctr.	1,128,500	625,400			461,000			42,100
Brigham City Continuing Ed Ctr.	1,537,800	373,300			1,164,500			
Tooele/Wasatch Cont. Ed. Ctr.	3,856,800	1,031,200			2,825,600			
Agricultural Experiment Station	13,905,600	11,461,800			630,000		1,813,800	
Water Research Laboratory	2,249,800	1,497,200				752,600		
Cooperative Extension	13,306,800	11,068,300			150,000		2,088,500	
Educationally Disadvantaged	228,000	228,000						
Total USU	181,771,300	124,331,100	0	0	52,642,600	752,600	3,902,300	142,700
Weber State University								
Education and General	88,758,400	54,785,900			33,945,000			27,500
Educationally Disadvantaged	323,200	323,200						
Total WSU	89,081,600	55,109,100	0	0	33,945,000	0	0	27,500
Southern Utah University								
Education and General	39,237,800	25,725,100			13,512,700			
Utah Shakespearean Festival	12,500	12,500						
Rural Development	98,100	98,100						
Educationally Disadvantaged	90,900	90,900						
Total SUU	39,439,300	25,926,600	0	0	13,512,700	0	0	0
Snow College								
Education and General	20,139,300	15,800,200			4,339,100			
Applied Technology Education	1,659,000	1,154,000			330,000		175,000	
Educationally Disadvantaged	32,000	32,000						
Total Snow College	21,830,300	16,986,200	0	0	4,669,100	0	175,000	0
Dixie State College								
Education and General	23,134,800	16,145,700			6,989,100			
Zion Park Amphitheatre	88,400	56,300			32,100			
Educationally Disadvantaged	30,600	30,600						
Total Dixie College	23,253,800	16,232,600	0	0	7,021,200	0	0	0
College of Eastern Utah								
Education and General	12,356,900	10,185,000			2,171,900			
San Juan Center	2,278,700	1,716,700			562,000			
Prehistoric Museum	180,800	179,800			1,000			
CEU Star Schools - Price	134,900	134,900						
CEU Star Schools - San Juan	134,900	134,900						
Educationally Disadvantaged	116,900	116,900						
Total CEU	15,203,100	12,468,200	0	0	2,734,900	0	0	0
Utah Valley State College								
Education and General	83,923,500	40,217,200			43,706,300			
Educationally Disadvantaged	131,400	131,400						
Total UVSC	84,054,900	40,348,600	0	0	43,706,300	0	0	0

# Utah System of Higher Education 2003-2004 Work Programs

June 26, 2003

## Initial Schedule (Ties to 2003 General Session Appropriations)

Expenditures	Revenues						
	General Fund	Income Tax	Uniform School Fund	Dedicated Credits	Mineral Lease	Federal Funds	Cigarette Tax/ Trust Funds/ Other Funds

(continued)

### Salt Lake Community College

Education and General	\$77,907,500	\$49,180,400	\$0	\$0	\$28,727,100	\$0	\$0	\$0
Skills Center	4,777,500	3,883,900			893,600			
Educationally Disadvantaged	178,400	178,400						
<b>Total SLCC</b>	<b>82,863,400</b>	<b>53,242,700</b>	<b>0</b>	<b>0</b>	<b>29,620,700</b>	<b>0</b>	<b>0</b>	<b>0</b>

### SBR and Statewide Programs

Administration - SBR	2,954,900	2,864,100			90,800			
Administration - Prison Recidivism	385,700	385,700						
Engineering Initiative	500,000	500,000						
WICHE	1,020,900	1,020,900						
Student Financial Aid	3,315,200	3,315,200						
Student Financial Aid - UCOPE	1,983,800	1,983,800						
Student Financial Aid - New Century	73,200	73,200						
Student Fin. Aid - Minority Scholarships	47,100	47,100						
Student Fin. Aid - Tuition Assistance	47,100	47,100						
T.H. Bell Teacher Incentive Loans	794,700	619,700			175,000			
University Centers	255,500	255,500						
Electronic Coursework/UEC	513,800	513,800						
Higher Education Technology Initiative	2,445,600	2,445,600						
Federal Programs	301,400						301,400	
Academic Library Council	2,883,500	2,883,500						
<b>Total SBR</b>	<b>17,522,400</b>	<b>16,955,200</b>	<b>0</b>	<b>0</b>	<b>265,800</b>	<b>0</b>	<b>301,400</b>	<b>0</b>

<b>9 INSTITUTION &amp; SBR TOTAL</b>	<b>\$873,323,500</b>	<b>\$470,520,900</b>	<b>\$95,000,000</b>	<b>\$0</b>	<b>\$293,529,000</b>	<b>\$752,600</b>	<b>\$4,378,700</b>	<b>\$9,142,300</b>
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### Utah College of Applied Technology

Bridgerland	\$8,254,500	\$7,236,000	\$0	\$0	\$1,018,500	\$0	\$0	\$0
Davis	8,347,500	7,183,900			965,800			197,800
Dixie	811,800	811,800						0
Mountainland	2,356,000	2,230,400			125,600			0
Ogden Weber	9,335,800	8,007,200			1,241,000			87,600
Salt Lake/Tooele	2,111,400	1,956,200			123,300			31,900
Southeast	972,000	818,900			153,100			0
Southwest	1,784,100	1,306,700			300,400			177,000
Uintah Basin	4,130,200	3,810,200			320,000			0
UCAT Custom Fit	3,108,100	3,108,100						0
UCAT Equipment	837,400	837,400						0
UCAT Administration	368,100	368,100						0

<b>UCAT TOTAL</b>	<b>\$42,416,900</b>	<b>\$37,674,900</b>	<b>\$0</b>	<b>\$0</b>	<b>\$4,247,700</b>	<b>\$0</b>	<b>\$0</b>	<b>\$494,300</b>
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### Utah Education Network

USU Satellite	\$1,454,000	\$1,454,000	\$0	\$0	\$0	\$0	\$0	\$0
UtahLINK	18,883,500	13,464,600			1,037,000		4,195,000	186,900

<b>UEN TOTAL</b>	<b>\$20,337,500</b>	<b>\$14,918,600</b>	<b>\$0</b>	<b>\$0</b>	<b>\$1,037,000</b>	<b>\$0</b>	<b>\$4,195,000</b>	<b>\$186,900</b>
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<b>GRAND TOTAL - USHE (w/ UCAT) &amp; UEN</b>	<b>\$936,077,900</b>	<b>\$523,114,400</b>	<b>\$95,000,000</b>	<b>\$0</b>	<b>\$298,813,700</b>	<b>\$752,600</b>	<b>\$8,573,700</b>	<b>\$9,823,500</b>
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# Utah System of Higher Education 2003-2004 Work Programs

June 26, 2003

## June 2003 Revisions

Expenditures	Revenues						
	General Fund	Income Tax	Uniform School Fund	Dedicated Credits	Mineral Lease	Federal Funds	Cigarette Tax/ Trust Funds/ Other Funds
<b>University of Utah</b>							
Education and General	(\$2,775,300)			(\$2,775,300)			
School of Medicine	(1,963,200)			(1,963,200)			
Regional Dental Education Prog.	(19,900)			(19,900)			
Poison Control	0						
Pub. Svc. - Seismograph Stations	0						
Pub. Svc. - Museum Nat. History	0						
Pub. Svc. - State Arboretum	0						
KUED	0						
University Hospital	0						
Miners Hospital	0						
Educationally Disadvantaged	0						
Total U of U	(4,758,400)	0	0	(4,758,400)	0	0	0
<b>Utah State University</b>							
Education and General	(901,600)			(901,600)			
Uintah Basin Continuing Ed. Ctr.	(61,900)			(61,900)			
Southeastern Utah Cont. Ed. Ctr.	(23,400)			(23,400)			
Brigham City Continuing Ed Ctr.	(32,400)			(32,400)			
Tooele/Wasatch Cont. Ed. Ctr.	(95,900)			(95,900)			
Agricultural Experiment Station	0						
Water Research Laboratory	0						
Cooperative Extension	0						
Educationally Disadvantaged	0						
Total USU	(1,115,200)	0	0	(1,115,200)	0	0	0
<b>Weber State University</b>							
Education and General	(1,426,700)			(1,426,700)			
Educationally Disadvantaged	0						
Total WSU	(1,426,700)	0	0	(1,426,700)	0	0	0
<b>Southern Utah University</b>							
Education and General	(1,532,400)			(1,532,400)			
Utah Shakespearean Festival	0						
Rural Development	0						
Educationally Disadvantaged	0						
Total SUU	(1,532,400)	0	0	(1,532,400)	0	0	0
<b>Snow College</b>							
Education and General	61,600			61,600			
Applied Technology Education	(505,000)			(330,000)		(175,000)	
Educationally Disadvantaged	0						
Total Snow College	(443,400)	0	0	(268,400)	0	(175,000)	0
<b>Dixie State College</b>							
Education and General	(22,300)			(22,300)			
Zion Park Amphitheatre	0						
Educationally Disadvantaged	0						
Total Dixie College	(22,300)	0	0	(22,300)	0	0	0
<b>College of Eastern Utah</b>							
Education and General	(33,400)			(33,400)			
San Juan Center	(900)			(900)			
Prehistoric Museum	0						
CEU Star Schools - Price	0						
CEU Star Schools - San Juan	0						
Educationally Disadvantaged	0						
Total CEU	(34,300)	0	0	(34,300)	0	0	0
<b>Utah Valley State College</b>							
Education and General	(1,223,500)			(1,223,500)			
Educationally Disadvantaged	0						
Total UVSC	(1,223,500)	0	0	(1,223,500)	0	0	0

# Utah System of Higher Education 2003-2004 Work Programs

June 26, 2003

## June 2003 Revisions

Expenditures	Revenues						
	General Fund	Income Tax	Uniform School Fund	Dedicated Credits	Mineral Lease	Federal Funds	Cigarette Tax/ Trust Funds/ Other Funds

(continued)

### Salt Lake Community College

Education and General	\$131,700				\$131,700		
Skills Center	(61,800)				(61,800)		
Educationally Disadvantaged	0						
Total SLCC	69,900	0	0	0	69,900	0	0

### SBR and Statewide Programs

Administration - SBR	0						
Administration - Prison Recidivism	0						
Engineering Initiative	0						
WICHE	0						
Student Financial Aid	0						
Student Financial Aid - UCOPE	0						
Student Financial Aid - New Century	0						
Student Fin. Aid - Minority Scholarships	0						
Student Fin. Aid - Tuition Assistance	0						
T.H. Bell Teacher Incentive Loans	0						
University Centers	0						
Electronic Coursework/UEC	0						
Higher Education Technology Initiative	0						
Federal Programs	0						
Academic Library Council	0						
Total SBR	0	0	0	0	0	0	0

<b>9 INSTITUTION &amp; SBR TOTAL</b>	<b>(\$10,486,300)</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>(\$10,311,300)</b>	<b>\$0</b>	<b>(\$175,000)</b>	<b>\$0</b>
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### Utah College of Applied Technology

Bridgerland	\$0						
Davis	0						
Dixie	0						
Mountainland	0						
Ogden Weber	0						
Salt Lake/Tooele	0						
Southeast	0						
Southwest	0						
Uintah Basin	0						
UCAT Custom Fit	0						
UCAT Equipment	0						
UCAT Administration	0						

<b>UCAT TOTAL</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
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### Utah Education Network

CEU Distance Learning	\$0						
UtahLINK	0						

<b>UEN TOTAL</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
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<b>GRAND TOTAL - USHE (w/ UCAT) &amp; UEN</b>	<b>(\$10,486,300)</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>(\$10,311,300)</b>	<b>\$0</b>	<b>(\$175,000)</b>	<b>\$0</b>
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# Utah System of Higher Education 2003-2004 Work Programs

June 26, 2003

## Revised Schedule -- June 2003

Expenditures	Revenues						
	General Fund	Income Tax	Uniform School Fund	Dedicated Credits	Mineral Lease	Federal Funds	Cigarette Tax/ Trust Funds/ Other Funds
<b>University of Utah</b>							
Education and General	\$274,115,100	\$79,908,500	\$95,000,000	\$0	\$90,420,000	\$0	\$8,786,600
School of Medicine	28,725,200	19,953,200	0	0	8,772,000	0	0
Regional Dental Education Prog.	667,600	555,400	0	0	112,200	0	0
Poison Control	1,348,100	0	0	0	1,348,100	0	0
Pub. Svc. - Seismograph Stations	394,600	394,600	0	0	0	0	0
Pub. Svc. - Museum Nat. History	606,500	606,500	0	0	0	0	0
Pub. Svc. - State Arboretum	109,900	109,900	0	0	0	0	0
KUED	2,357,700	2,357,700	0	0	0	0	0
University Hospital	4,318,000	4,318,000	0	0	0	0	0
Miners Hospital	170,200	19,200	0	0	0	0	151,000
Educationally Disadvantaged	732,100	697,600	0	0	0	0	34,500
Total U of U	313,545,000	108,920,600	95,000,000	0	100,652,300	0	8,972,100
<b>Utah State University</b>							
Education and General	139,319,700	95,323,200	0	0	43,895,900	0	100,600
Uintah Basin Continuing Ed. Ctr.	5,274,800	2,722,700	0	0	2,552,100	0	0
Southeastern Utah Cont. Ed. Ctr.	1,105,100	625,400	0	0	437,600	0	42,100
Brigham City Continuing Ed Ctr.	1,505,400	373,300	0	0	1,132,100	0	0
Tooele/Wasatch Cont. Ed. Ctr.	3,760,900	1,031,200	0	0	2,729,700	0	0
Agricultural Experiment Station	13,905,600	11,461,800	0	0	630,000	1,813,800	0
Water Research Laboratory	2,249,800	1,497,200	0	0	0	752,600	0
Cooperative Extension	13,306,800	11,068,300	0	0	150,000	2,088,500	0
Educationally Disadvantaged	228,000	228,000	0	0	0	0	0
Total USU	180,656,100	124,331,100	0	0	51,527,400	3,902,300	142,700
<b>Weber State University</b>							
Education and General	87,331,700	54,785,900	0	0	32,518,300	0	27,500
Educationally Disadvantaged	323,200	323,200	0	0	0	0	0
Total WSU	87,654,900	55,109,100	0	0	32,518,300	0	27,500
<b>Southern Utah University</b>							
Education and General	37,705,400	25,725,100	0	0	11,980,300	0	0
Utah Shakespearean Festival	12,500	12,500	0	0	0	0	0
Rural Development	98,100	98,100	0	0	0	0	0
Educationally Disadvantaged	90,900	90,900	0	0	0	0	0
Total SUU	37,906,900	25,926,600	0	0	11,980,300	0	0
<b>Snow College</b>							
Education and General	20,200,900	15,800,200	0	0	4,400,700	0	0
Applied Technology Education	1,154,000	1,154,000	0	0	0	0	0
Educationally Disadvantaged	32,000	32,000	0	0	0	0	0
Total Snow College	21,386,900	16,986,200	0	0	4,400,700	0	0
<b>Dixie State College</b>							
Education and General	23,112,500	16,145,700	0	0	6,966,800	0	0
Zion Park Amphitheatre	88,400	56,300	0	0	32,100	0	0
Educationally Disadvantaged	30,600	30,600	0	0	0	0	0
Total Dixie College	23,231,500	16,232,600	0	0	6,998,900	0	0
<b>College of Eastern Utah</b>							
Education and General	12,323,500	10,185,000	0	0	2,138,500	0	0
San Juan Center	2,277,800	1,716,700	0	0	561,100	0	0
Prehistoric Museum	180,800	179,800	0	0	1,000	0	0
CEU Star Schools - Price	134,900	134,900	0	0	0	0	0
CEU Star Schools - San Juan	134,900	134,900	0	0	0	0	0
Educationally Disadvantaged	116,900	116,900	0	0	0	0	0
Total CEU	15,168,800	12,468,200	0	0	2,700,600	0	0
<b>Utah Valley State College</b>							
Education and General	82,700,000	40,217,200	0	0	42,482,800	0	0
Educationally Disadvantaged	131,400	131,400	0	0	0	0	0
Total UVSC	82,831,400	40,348,600	0	0	42,482,800	0	0

# Utah System of Higher Education 2003-2004 Work Programs

June 26, 2003

## Revised Schedule -- June 2003

Expenditures	Revenues						
	General Fund	Income Tax	Uniform School Fund	Dedicated Credits	Mineral Lease	Federal Funds	Cigarette Tax/ Trust Funds/ Other Funds

(continued)

### Salt Lake Community College

Education and General	\$78,039,200	\$49,180,400	\$0	\$0	\$28,858,800	\$0	\$0	\$0
Skills Center	4,715,700	3,883,900	0	0	831,800	0	0	0
Educationally Disadvantaged	178,400	178,400	0	0	0	0	0	0
<b>Total SLCC</b>	<b>82,933,300</b>	<b>53,242,700</b>	<b>0</b>	<b>0</b>	<b>29,690,600</b>	<b>0</b>	<b>0</b>	<b>0</b>

### SBR and Statewide Programs

Administration - SBR	2,954,900	2,864,100	0	0	90,800	0	0	0
Administration - Prison Recidivism	385,700	385,700	0	0	0	0	0	0
Engineering Initiative	500,000	500,000	0	0	0	0	0	0
WICHE	1,020,900	1,020,900	0	0	0	0	0	0
Student Financial Aid	3,315,200	3,315,200	0	0	0	0	0	0
Student Financial Aid - UCOPE	1,983,800	1,983,800	0	0	0	0	0	0
Student Financial Aid - New Century	73,200	73,200	0	0	0	0	0	0
Student Fin. Aid - Minority Scholarships	47,100	47,100	0	0	0	0	0	0
Student Fin. Aid - Tuition Assistance	47,100	47,100	0	0	0	0	0	0
T.H. Bell Teacher Incentive Loans	794,700	619,700	0	0	175,000	0	0	0
University Centers	255,500	255,500	0	0	0	0	0	0
Electronic Coursework/UEC	513,800	513,800	0	0	0	0	0	0
Higher Education Technology Initiative	2,445,600	2,445,600	0	0	0	0	0	0
Federal Programs	301,400	0	0	0	0	0	301,400	0
Academic Library Council	2,883,500	2,883,500	0	0	0	0	0	0
<b>Total SBR</b>	<b>17,522,400</b>	<b>16,955,200</b>	<b>0</b>	<b>0</b>	<b>265,800</b>	<b>0</b>	<b>301,400</b>	<b>0</b>

<b>9 INSTITUTION &amp; SBR TOTAL</b>	<b>\$862,837,200</b>	<b>\$470,520,900</b>	<b>\$95,000,000</b>	<b>\$0</b>	<b>\$283,217,700</b>	<b>\$752,600</b>	<b>\$4,203,700</b>	<b>\$9,142,300</b>
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### Utah College of Applied Technology

Bridgerland	\$8,254,500	\$7,236,000	\$0	\$0	\$1,018,500	\$0	\$0	\$0
Davis	8,347,500	7,183,900	0	0	965,800	0	0	197,800
Dixie	811,800	811,800	0	0	0	0	0	0
Mountainland	2,356,000	2,230,400	0	0	125,600	0	0	0
Ogden Weber	9,335,800	8,007,200	0	0	1,241,000	0	0	87,600
Salt Lake/Tooele	2,111,400	1,956,200	0	0	123,300	0	0	31,900
Southeast	972,000	818,900	0	0	153,100	0	0	0
Southwest	1,784,100	1,306,700	0	0	300,400	0	0	177,000
Uintah Basin	4,130,200	3,810,200	0	0	320,000	0	0	0
UCAT Custom Fit	3,108,100	3,108,100	0	0	0	0	0	0
UCAT Equipment	837,400	837,400	0	0	0	0	0	0
UCAT Administration	368,100	368,100	0	0	0	0	0	0

<b>UCAT TOTAL</b>	<b>\$42,416,900</b>	<b>\$37,674,900</b>	<b>\$0</b>	<b>\$0</b>	<b>\$4,247,700</b>	<b>\$0</b>	<b>\$0</b>	<b>\$494,300</b>
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### Utah Education Network

CEU Distance Learning	\$1,454,000	\$1,454,000	\$0	\$0	\$0	\$0	\$0	\$0
UtahLINK	18,883,500	13,464,600	0	0	1,037,000	0	4,195,000	186,900

<b>UEN TOTAL</b>	<b>\$20,337,500</b>	<b>\$14,918,600</b>	<b>\$0</b>	<b>\$0</b>	<b>\$1,037,000</b>	<b>\$0</b>	<b>\$4,195,000</b>	<b>\$186,900</b>
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<b>GRAND TOTAL - USHE (w/ UCAT) &amp; UEN</b>	<b>\$925,591,600</b>	<b>\$523,114,400</b>	<b>\$95,000,000</b>	<b>\$0</b>	<b>\$288,502,400</b>	<b>\$752,600</b>	<b>\$8,398,700</b>	<b>\$9,823,500</b>
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**MEMORANDUM**

July 1, 2003

TO: State Board of Regents  
FROM: Cecelia H. Foxley  
SUBJECT: Administrative Efficiencies – Collaborative Opportunities Among Institutions

Issue

There may be some cost savings and improved performance with collaboration among institutions in selected administrative functions. The Council of Presidents agreed to explore several areas where groups of institutions may want to collaborate or form partnerships in order to become more efficient.

Background

During the 2000 and 2002 Regents' master planning processes, the issue of institutional collaboration was discussed in order for the USHE to become more efficient in some administrative functions. At the March 14, 2003, Board of Regents meeting, Chair Nolan Karras requested the Council of Presidents (COP) take leadership for this effort and make recommendations to the Regents at the appropriate time. At the April 1, 2003, COP meeting, Presidents agreed to form the following working groups to explore the possibility of consolidating certain administrative functions. An asterisk (\*) by the institution indicates the President who has agreed to convene each group.

1. Administrative Data Processing – WSU, Snow, Dixie, CEU\*, UVSC, and SLCC  
(includes registration issues)
2. Facilities Management – CEU and UVSC\*
3. Purchasing – Snow, CEU\*, and UVSC
4. Human Resources – UofU\*, USU, WSU, Snow, CEU, UVSC, and SLCC  
(includes legal issues)
5. Financial Aid Processing – WSU, SUU, Snow, Dixie\*, CEU, UVSC, and UHEAA
6. Voluntary Academic Program Partnerships – various institutions as appropriate

State Board of Regents  
July 1, 2003  
Page 2

The Council of Presidents agreed to begin exploratory discussions after the completion of commencements. They also agreed that once a working group has a tentative agreement, that agreement will be shared with the full COP so that other institutions may opt to join. Utah College of Applied Technology President Greg Fitch indicated that the UCAT campus administrators are in the process of determining which administrative functions are appropriate to consolidate among the various campuses of that institution. At the appropriate time, formal reports will be made to the Board of Regents.

The above information was briefly reviewed at the April 18, 2003, meeting of the Board's Finance, Facilities and Accountability Committee. The Committee members commended the Presidents for forming these groups and urged them to be ready to report their progress at an upcoming Board meeting.

Most of the groups have now had preliminary discussions. The convening presidents will provide a progress report to the Regents at the July 9, 2003, meeting.

Commissioner's Recommendation

It is the recommendation of the Commissioner that the Regents receive progress reports regarding administrative collaborative opportunities and provide comments or suggestions, as needed, to the collaboration teams.

Cecelia H. Foxley, Commissioner

CHF/MHS

**MEMORANDUM**

June 27, 2003

TO: State Board of Regents

FROM: Cecelia H. Foxley

SUBJECT: USHE – Informational Report, Current Institutional Investment Practices

Issue

Regents have requested a summary of current institutional investment practices. USHE institutions, excluding the Utah College of Applied Technology (UCAT) at this time, were asked to respond to a series of six questions regarding their current practice.

Discussion

Following are summarized responses to the six questions. Responses for individual institutions are listed on Attachment 1.

*1. What is current investment practice?*

Boards of Trustees of all nine institutions have established policy regarding investment practice. In most cases, senior finance staff carry out investment practice on a day-to-day basis as guided by these policies. All institutions report that investment practice is carried out to conform to the Utah State Money Management Act (Attachment 2).

*2. Who is responsible for establishing institutional investment policy? Does the policy include asset categories and allowable percentages per asset category or class?*

In all cases, Trustees are ultimately responsible for establishing policy. However, there is considerable variation in the depth, breadth, and sophistication of these policies. Generally, policies at larger institutions, with larger endowment portfolios, are sophisticated to the extent that they include asset category and percentages by category.

*3. Is there a formally-established Investment Committee? Is it comprised of Trustees, institutional staff, or others, or some combination of the three?*

There is a formally-established Investment Committee at six of nine institutions. At three institutions, the full Board of Trustees functions as the de facto Investment Committee. Investment Committees include Trustees in three cases, include community experts in two cases, and otherwise are comprised of senior institutional staff members.

State Board of Regents  
June 27, 2003  
Page 2

*4. How are Trustees involved in establishing investment policy?*

In every instance, Trustees establish investment, either as recommended by staff or as recommended by an Investment Committee.

*5. Where does the investment principle reside?*

While seven of nine institutions have foundations, in only one instance is the majority of the endowment principle held by the foundation.

*6. What is the relationship between the institution and the foundation?*

In all instances, foundations are established as separate non-profit entities organized for the benefit of the institution. Foundations are essentially under the control of the institution, listed on financial statements as component units.

Summary

The nine institutions included in this review of current investment practices have established effective policies to guide those practices. In every case, Trustees are involved in establishing policy, and receive investment reports either monthly or quarterly. Institutions with larger endowments have enlisted the assistance of community experts and have investment expertise among senior institutional staff. All institutions appear to be aware of and are following restrictions outlined in the Utah State Money Management Act.

Recommendation

This report is for information only. No formal action is required. Follow-up questions or requests for additional information may be directed to the Commissioner or to specific institutions. The Commissioner will also ask the Utah College of Applied Technology to provide responses to the questions listed above before finalizing Attachment 1 and submitting it the Board at the September 11-12, 2003, meeting.

Cecelia H. Foxley, Commissioner

CHF/MHS  
Attachments



## USHE Investment Practices – July 2003

<i>Institution</i>	<i>What is current investment practice?</i>	<i>Who is responsible for investment policy?</i>	<i>Is there an Investment Committee?</i>	<i>How are Trustees involved?</i>	<i>Where does the endowment principle reside?</i>	<i>What is the relationship between the institution and the foundation?</i>
University of Utah	Full-time investment officer manages portfolio as directed by Trustees	Policy is established by the Investment Committee of the Board of Trustees	Yes, a subcommittee of the Board of Trustees comprised of community experts	Two Trustees are members of the Investment Committee, which report to the Board of Trustees	With the Institution	Foundation is a separate non-profit organization, listed on financial statements as a component unit
Utah State University	President recommends policy to Board of Trustees. Investment performance reviewed quarterly by an external advisor	President recommends investment policy to Board of Trustees, including asset category	Yes, a committee comprised of community experts	Trustees approve policies as recommended by the President	With the Institution	A foundation is being developed to benefit the institution
Weber State University	Staff carry out investment practice as directed by Investment Committee	Policy is established by the Investment Committee of the Board of Trustees	Yes, a subcommittee of the Board of Trustees comprised of community experts	One Trustee is a member of the Investment Committee, which reports to the Board of Trustees	With the Institution	Foundation is a separate non-profit organization, listed on financial statement as a component unit
Southern Utah University	Board of Trustees approves investment policy recommended by an Advisory Committee, including asset classes and percentage restrictions	Trustees created an Investment Advisory Committee made up of four institutional staff members	Yes, a subcommittee created by the Board of Trustees	Investment Committee makes recommendation to Board of Trustees	With the Institution	No separate foundation

\*\*PTIF: Utah State Public Treasurer Investment Fund

## USHE Investment Practices – July 2003

<i>Institution</i>	<i>What is current investment practice?</i>	<i>Who is responsible for investment policy?</i>	<i>Is there an Investment Committee?</i>	<i>How are Trustees involved?</i>	<i>Where does the endowment principle reside?</i>	<i>What is the relationship between the institution and the foundation?</i>
Snow College	Staff Manage investments as directed by Board of Trustees	Policy's established by the Board of Trustees	No separate committee	Trustees receive monthly reports	With the Institution	Foundation is a separate non-profit organization, listed on financial statement as a component unit
Dixie State College	Current Practices established by the Board of Trustees	Professional money managers manage the funds and report to the Investment Committee on a regular basis	Yes, a subcommittee created by the Board of Trustees	Two Trustees are members of the Investment Committee which report to the Board of Trustees	Institutionally controlled endowment principle is held at custodial banks approved by the Investment Committee. The Foundation determines where endowment principle held in the name of the Foundation resides.	Foundation is a separate non-profit organization, listed as a component unit on the College's financial statement. The College has 3 members on the 23-member Foundation Board.
College of Eastern Utah	Current practices established by the Board of Trustees	Investment decisions are recommended by the Investment Officer, approved by the Board of Trustees	No separate committee	Board of Trustees functions as the Investment Committee	With the Institution	Foundation is for special projects

## USHE Investment Practices – July 2003

<i>Institution</i>	<i>What is current investment practice?</i>	<i>Who is responsible for investment policy?</i>	<i>Is there an Investment Committee?</i>	<i>How are Trustees involved?</i>	<i>Where does the endowment principle reside?</i>	<i>What is the relationship between the institution and the foundation?</i>
Utah Valley State College	<p>Institutional Funds – PTIF**</p> <p>Foundation Funds – various public and private accounts</p>	<p>Inst. Funds – college administrative officers</p> <p>Foundation – separate Board of Directors</p>	<p>Inst. Funds – No</p> <p>Foundation – Yes, a subcommittee of the Foundation Board of Directors</p>	<p>College – Trustees approve monthly report</p> <p>Foundation – Some Trustees also serve as members of the Foundation Board</p>	With the Foundation	Foundation is an independent entity established for the benefit of the institution
Salt Lake Community College	Board of Trustees establishes policy and manages investments	Board of Trustees approves investment policy	<p>Investment Committee comprised of three senior staff plus a representative from the Board of Trustees</p> <p>Foundation has a separate investment committee</p>	Trustees approve policy and have a representative on the Investment Committee	<p>The College maintains endowment funds acquired prior to establishment of the foundation</p> <p>A separate foundation holds recent endowment funds</p>	Foundation is an independent entity established for the benefit of the institution. Membership includes College president and two Trustees.

\*\*PTIF: Utah State Public Treasurer Investment Fund

June 30, 2003

TO: State Board of Regents  
FROM: Cecelia H. Foxley  
SUBJECT: General Consent Calendar

It is the recommendation of the Commissioner that the Regents approve the following items on the General Consent Calendar:

1. Minutes – Approval of the Minutes of the Regular Meeting of the Utah State Board of Regents held May 30, 2003, at the Board Offices in Salt Lake City, Utah
2. Grant Proposals - Approval to submit the following proposals:
  - A. Utah State University – NASA Langley Research Center, "USURF/SDL Geosynchronous Imaging Fourier Transform Spectrometer (GIFTS)," \$16,361,333. Gail Bingham, Principal Investigator.
  - B. Utah State University – US Air Force/ARDA , "Network Visualization and Exploratory Data Analysis," \$1,291,426. Dr. Robert F. Erbacher, Principal Investigator.
  - C. Utah State University – National Institutes of Health (NIH); "Animal Models of Human Viral Infections for Evaluation of Experimental Therapies;" \$8,487,744. Robert Sidwell, Principal Investigator.
  - D. Utah State University – NIH; "Microbial Metabolism of Aliphatic Alkenes, Epoxides, and Ketones;" \$1,725,600. Scott Ensign, Principal Investigator.
  - E. Utah State University – NIH; "Nitrogenase Mechanism;" \$1,464,021. Lance C. Seefeldt, Principal Investigator.
  - F. Executive Session(s) — Approval to hold an executive session or sessions prior to or in connection with the meetings of the State Board of Regents to be held September 11-12, 2003 at Salt Lake Community College in Salt Lake City, Utah to consider property transactions, personnel issues, litigation, and such other matters permitted by the Utah Open and Public Meetings Act.

CHF:jc  
Attachments

Cecelia H. Foxley, Commissioner

MINUTES OF MEETING  
UTAH STATE BOARD OF REGENTS  
BOARD OFFICES, THE GATEWAY  
May 30, 2003

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MINUTES OF MEETING  
UTAH STATE BOARD OF REGENTS  
BOARD OFFICES, THE GATEWAY  
May 30, 2003

Regents Present      Regents Excused

Nolan E. Karras, Chair      David J. Grant  
Pamela J. Atkinson, Vice Chair  
Jerry C. Atkin  
Linnea S. Barney  
Daryl C. Barrett  
Kim R. Burningham  
Khay Douangdara  
L. Brent Hoggan  
James S. Jardine  
Michael R. Jensen  
Charles E. Johnson  
David J. Jordan  
E. George Mantes  
Jed H. Pitcher  
Sara V. Sinclair  
Marlon O. Snow  
Maria Sweeten

Office of the Commissioner

Cecelia H. Foxley, Commissioner  
David Buhler, Associate Commissioner for Public Affairs  
Don A. Carpenter, Executive Assistant  
Joyce Cottrell, Executive Secretary  
Jerry H. Fullmer, Director of Information Systems  
Chalmers Gail Norris, Associate Commissioner for Student Financial Aid  
Phyllis C. Safman, Assistant Commissioner for Academic Affairs  
Mark H. Spencer, Associate Commissioner for Finance and Facilities  
Deanna D. Winn, Associate Commissioner for Academic Affairs  
Gary S. Wixom, Assistant Commissioner for Applied Technology Education and Special Projects

INSTITUTIONAL REPRESENTATIVES

University of Utah

J. Bernard Machen, President  
Paul Brinkman, Associate Vice President for Budget and Planning  
John G. Francis, Associate Vice President for Academic Affairs  
Nancy Lyon, Assistant Vice President for Governmental Affairs  
Michael G. Perez, Associate Vice President for Facilities Management  
Laura Snow, Special Assistant to the President and Secretary of the University  
DeVere Day, Student

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Utah State University

Kermit L. Hall, President  
Stan L. Albrecht, Executive Vice President and Provost  
Richard W. Jacobs, Budget Director

Weber State University

F. Ann Millner, President  
David L. Eisler, Provost  
Norman C. Tarbox, Jr., Vice President of Administrative Services

Southern Utah University

Steven D. Bennion, President  
Gregory L. Stauffer, Vice President for Administrative and Financial Services

Snow College

Michael T. Benson, President  
Bradley A. Winn, Vice President for Academic Affairs

Dixie State College

Robert C. Huddleston, President  
William D. Fowler, Vice President for Student Services  
Joe Peterson, Dean of Arts, Letters and Sciences and Accreditation Liaison Officer  
Stanley J. Plewe, Vice President for Administration and Information Technology  
Max H. Rose, Vice President of Academics

College of Eastern Utah

Charles Foust, Vice President of Academic Affairs

Utah Valley State College

William A. Sederburg, President  
Bradley J. Cook, Vice President for Academic Affairs  
Val Peterson, Vice President for College Relations  
Douglas E. Warner, Vice President for Finance and Human Resources  
Swen Swenson, Student Body President

Salt Lake Community College

Judd D. Morgan, Interim President  
Marjorie Carson, Vice President of Academic Services  
Kent Ferrel, Controller/Business Manager  
Dana Van Dyke, Budget Director  
Trent Kemp, Student Body President

Utah College of Applied Technology



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Linda Fife, Vice President for Instruction and Student Services

Representatives of the Press

Amy Stewart, *Ogden Standard Examiner*

Shinika A. Sykes, *Salt Lake Tribune*

Twila Van Leer, *Deseret News*

Others

Joe Andrade, Utah Science Center

Race Davies, Governor's Office of Planning and Budget

John Sutherland, Chair, Technology Initiative Advisory Board

Chair Nolan Karras called the meeting to order at 11:00 a.m. He welcomed UVSC President William Sederburg, who was attending his first Board meeting, and SLCC Interim President Judd Morgan. He announced that Linda Fife was the new Chief Academic Officer for UCAT and was representing President Fitch, who had a long-standing commitment. Commissioner Foxley added that Ms. Fife was UCAT's Vice President for both Instruction and Student Services. She said Dr. Lucille Stoddard had also planned to attend the meeting, but she was ill with pneumonia. Chair Karras commended Dr. Stoddard's work as Interim President of UVSC and asked President Sederburg to express the Board's appreciation to her for her very capable leadership. Commissioner Foxley relayed a message she had received from Dr. Stoddard, expressing her appreciation for the Board's confidence and for the remarkable experience she had during the past year. Dr. Stoddard indicated that she thought President Sederburg was a perfect fit for Utah Valley State College.

Engineering, Computer Science and Technology 2003-2004  
Funding Recommendation from the Technology Initiative Advisory Board

Chair Karras introduced John Sutherland, Chair of the Technology Initiative Advisory Board (TIAB). Mr. Sutherland said Utah institutions had seen a 35 percent growth, statewide, in enrollment in engineering programs in the last two years, which is a good return on the state's investment. A request was made to the 2003 Legislature for \$2 million in one-time funding and \$2 million in ongoing funds in addition to \$500,000 in scholarship money. However, the Legislature only appropriated \$500,000 in ongoing funds. Institutional requests for this funding totaled \$911,500. The TIAB determined that their first priority was for faculty positions. The distribution of ongoing funds was shown on the second page of the Commissioner's cover memorandum to Tab R. No institution received the full amount of their request. Many rural legislators are not supportive of this program because institutions in their areas have not received what they consider to be a fair share of the funding. Mr. Sutherland emphasized the need for more broad support from across the state. Also, not every institution needs the same programs. We need to focus on excellent programs at the schools.

Mr. Sutherland offered to respond to questions. Regent Jensen said he was concerned about CEU and Snow transfer students who received funding last year. What will happen to the transfer students this year? Mr. Sutherland said both institutions had submitted requests, but the Legislature had not appropriated enough funding.

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Mr. Sutherland said \$7.5 million is needed to fund the program. To realize this appropriation, more voices are needed in support of the importance of this program. The original bottleneck was in the four-year programs; this was addressed in the first-year funding. In the second year, the funding was broader. Every institution would have received funding this year if more money had been available. Regent Jensen said he was concerned that cutting the funding for the small rural schools would have an adverse effect on their requests next year. He pointed out the need for quality transfer students for the engineering fields. Vice President Foust said CEU's enrollment in engineering transfer courses would not increase until they could hire additional faculty. The college currently only has two part-time faculty teaching these classes.

**Regent Hoggan moved adoption of the proposed funding distribution. The motion was seconded by Regent Jardine and carried unanimously.** Chair Karras thanked Mr. Sutherland for his presentation and his service as chair of the TIAB.

#### Update on The Leonardo and the Utah Science Center

Commissioner Foxley said in the past few years there had been an effort in the state to make a science center available to the citizens of Utah. Now this plan is coming to fruition. She introduced Dr. Joe Andrade, Executive Director and Board Chairman of the Utah Science Center Authority, and noted that Regent Atkinson also serves on the Science Center Advisory Board. Dr. Andrade has been at the University of Utah as an engineering faculty member and administrator for 33 years. The Commissioner asked him to give the Regents an update on the Utah Science Center.

Dr. Andrade said The Leonardo and the Utah Science Center would impact the entire state and region. This has been the only major urban area of the United States without an interactive science center. With the availability of the old city library, Salt Lake City agreed to let the Science Center use that facility for the next 50 years. The Leonardo is a celebration of creativity, a bridge between the arts and sciences. It includes three entities: the Utah Science Center, a Center for the Documentary Arts, and Global Artways. Foundations have been developed and seed funding raised. Its location adjacent to a major public library makes it unique in the United States. The city will have an outstanding Children's Museum in The Gateway, and it is hoped that Utah's children will also have an interest in the Utah Science Center. The east-west TRAX line connects all of these wonderful cultural features, making access available to most residents of the state.

Vice Chair Atkinson said she first met Dr. Andrade and learned of his vision of this project in 1993. With family in Oregon, she is a frequent visitor to the Oregon Museum of Science and Industry. The Leonardo and Utah Science Center could have a huge impact upon our children and adults. In cooperation with USU, the "Discovery on Wheels" traveling science center has had great audiences around the state for the past seven years. She commended Dr. Andrade for his dedication.

President Benson asked about the cost and revenue sources. Dr. Andrade said the anticipated cost was \$25 million. Of that, \$10 million is needed to refurbish the old city library building (this might be a bond initiative on the ballot for Salt Lake City this fall), and a fund-raising effort is underway for the additional \$15 million. The Center's operating budget is expected to be approximately \$5 million/year, from which half is expected through ticket revenues and 25 percent from public revenues.

Regent Barrett asked what governing board had oversight for the entire project. Dr. Andrade responded that the Library Science Foundation, a 501(c)(3) entity, was the governing board. It is a six-member board which leases the building from the city and manages the facility.

Dr. Andrade asked the institutional Presidents to consider speaking engagements when he could come to their campuses and communities and explain the project. Chair Karras thanked Dr. Andrade for his presentation.

### Reports of Board Committees

#### Academic, Applied Technology, and Student Success Committee

Policy R312, Configuration of the Utah System of Higher Education, and Institutional Missions and Roles (Tab A). Chair Jardine said the policy contains an overview of the changes which have occurred in the System since 1993. Specific institutional missions and roles will be appended to the policy. He noted Tab B, which contained USU's statement. Chair Jardine briefly explained the changes to the policy since the last Board meeting. The committee had made these additional substitutions: (1) In the second sentence of the Purpose (R312-1), strike the word "organize" and substitute "configure." (2) in the second paragraph of the Purpose, strike "to ensure congruence with the economic and social conditions" and insert "in light of the educational needs and resources..." The Purpose will now read:

*To recognize the distinct and unique missions and roles of the institutions in the Utah System of Higher Education. To configure a system of colleges and universities to meet the educational needs of the citizens of the State of Utah, and to maintain system integrity by defining institutional categories.*

*The Regents will review institutional roles and missions every five years in light of the educational needs and resources in the State of Utah.*

Another change was noted in § 5.2.4 on page 4 of the policy. The committee decided to strike the last sentence and insert into the third line of the first sentence the wording "and specific administrative responsibility." That section will now read:

**5.2.4 Community College Function** – *Within the organization of the institution there is evidence that the community college function within the institutional mission is identifiable, supported through programs, services, and specific administrative responsibility, and includes transfer education, applied technology education, customized training for employers, developmental education, and strong student services to support these primary components of the comprehensive community college mission.*

Chair Jardine said the Carnegie categories of institutions had been used as a reference point. After some discussion, the committee decided to retain Roman numerals (Type I, II, etc.). The committee also

discussed where to include Dixie State College in institutional types. It was decided to have subcategories A and B of Type III to differentiate Dixie from UVSC.

Regent Mantes said he had chaired the subcommittee on institutional missions and roles, and much discussion had centered around "building boxes" for the institutions. He noted that this was an important document and suggested that the Regents make the policy as accurate as possible and apprise the Legislature of this action. Chair Karras said he, Commissioner Foxley and Vice Chair Atkinson had met with the chairs and vice chairs of the Boards of Trustees, and this policy had been discussed extensively in that meeting.

**Chair Jardine moved approval of the amended policy R312. The motion was seconded by Regent Jensen. The motion carried unanimously.**

Utah State University – Mission and Role Statement (Tab B). Chair Jardine said the committee had approved this statement and recommended the statement (page 1 only) to the full Board for approval. Page 2 involves a description of what USU hopes will ultimately be an active commitment by the Regents to support the role and mission of the University described on page 1. The committee encouraged other institutions, as they develop their mission and role statements, to look at this as an example of specificity as to the support their missions and roles would require of the Regents. The committee made a commitment to USU to look at page 2 and determine how it relates to what the other institutions were doing. Chair Jardine asked President Hall to talk about USU's Mission and Role Statement.

President Hall said the Mission and Role Statement before the Regents was a cumulation of 16 months of effort on behalf of the students, faculty, staff and trustees. It is important because the space grant role of the University had not been recognized previously. The statement promises a commitment to under-graduate research. The Space Dynamics Laboratory, Water Research Laboratory, and Experiment Station all give students hands-on experience. He asked that the following correction be made to the Mission and Role Statement: In the last sentence of the fourth paragraph under "Role Statement," following "on-site or," insert "technologically delivered, . . ." so the sentence would read: *"The land-grant mission also means that Utah State delivers degrees through continuing education programs, whether through on-site or technologically delivered, time-enhanced methods, throughout the state."*

The role of land-grant universities changes the traditional trilogy of teaching, research, and services to learning, discovery, and engagement, President Hall said. He called the University's Mission and Role Statement both a document of aspiration and a document of constraint. He noted the article in the morning newspaper about the cloning of a mule, which was a joint effort of USU and Myriad Genetics in Research Park. Chair Jardine said President Hall had emphasized in the committee meeting that the Extension role of the University was encompassed in the word "engagement."

**Chair Jardine moved approval of Utah State University's Mission and Role Statement as stated on page 1. Regent Sweeten seconded the motion.**

Regent Mantes asked if institutional mission and role statements could be appended to the policy as addendums rather than incorporated into the document itself, which would make it very unwieldy. Chair Jardine agreed that this would make the document easier to read and understand.

Regent Jordan commended President Hall for the document and said it showed an effort to limit the focus of Utah State University. There is a specific focus on programs which are unique in USU's role. The document indicates no aspirations for the University to develop programs which do not fit within those roles.

Chair Karras noted that the Employers' Education Coalition (EEC) had urged that USU focus on space, water and engineering. He asked President Hall to comment. President Hall said he had discussed this with Regent Johnson, who had communicated the first item on page 2 to the Coalition. Chair Karras said he liked the idea of our institutions focusing on areas where higher education could make a significant impact.

**A vote was taken on the motion, which carried unanimously.**

Combining of Policy R401, Approval of New Programs, Program Additions or Program Changes; "Exceptions to the Moratorium on New Programs"; and "Guiding Principles for Program Discontinuation and Personnel Reductions" (Tab C). Chair Jardine said there had been two areas of change since the policy was approved last November. These changes were made to incorporate the moratorium on new programs and the criteria for discontinuing programs. On pages three and four of the policy, § 4.2.2 was changed to allow for the discontinuation of instructional programs. An appendix was added to the policy (pages 19-20) to outline the categories of exceptions to the moratorium. Chair Jardine noted that a new Category IV had been added to allow for transfer, restructuring or consolidation of existing programs. This change was recommended by the committee. He also pointed out the Addendum to Tab C in the Regents' folders, which dealt with fast-track approval process. **Chair Jardine moved approval of Policy R401 as expanded, including the Addendum. The motion as seconded by Regent Sweeten and carried unanimously.**

USHE Institutional Survey Regarding Noel-Levitz/Student Success Task Force Recommendations (Tab D). Chair Jardine reminded the Regents that they had requested this survey from the institutions. The results were included in their folders. **The committee wanted to have an opportunity to review the results, so they tabled discussion on this item until the next Board meeting.**

Information Calendar – Academic, Applied Technology, and Student Success Committee (Tab E). Chair Jardine noted that the Information Calendar included the elimination of the Software Developer Certificate Program at Weber State University and program name changes at the University of Utah, Utah State University, Utah Valley State College, and Salt Lake Community College.

Consent Calendar – Academic, Applied Technology, and Student Success Committee (Tab F). **On motion by Chair Jardine and second by Regent Jensen, the following items were approved on the committee's Consent Calendar:**

- a. Southern Utah University – Restructure of the Department of Teacher Education to create three administrative units: Department of Elementary Education, Department of Secondary Education, and the Office of Education Graduate Studies and Field Services.
- b. Utah Valley State College – Reconfiguration of the School of Learning Resources into the School of General Academics.

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c. Salt Lake Community College – Creation of a Digital Media Institute.

Finance, Facilities and Accountability Committee

USHE – Proposed Benchmark Target for Institutional Health Plans (Tab G). Chair Pitcher said this report was the product of a year's work. The attachments showed industry best practices, institutional costs, etc. Chair Pitcher reported a lively discussion in the committee on the timetable for this recommendation. Salary increases (or lack thereof) figured prominently in the discussion. Several schools have already begun to make the recommended adjustments; all schools must begin by the coming year. Benchmarks and best practices will be reviewed annually. **Chair Pitcher moved the adoption of the Recommendations for USHE Health Benefits Best Practices. The motion was seconded by Regent Sweeten.**

Vice Chair Atkinson asked about the committee discussion. Chair Pitcher said the biggest concern expressed in the committee was the lack of salary increases. Increasing employees' benefit costs would be seen as a salary reduction. The institutions will be given some time to implement these principles. Chair Karras pointed out that the System tends to be "benefit rich and salary poor." The issue is not to penalize employees. The problem is rising health care costs and using best practices and principles to keep those costs under control. The Regents want the employees to participate in the process of keeping costs down. In addition, the Legislature has asked the Fiscal Analyst's Office to look at health care costs for all state employees, including higher education. The Legislature may get involved in these principles and best practices as well. Chair Karras noted the committee had endorsed the principles. Regent Jensen asked if optical and dental benefits were included as well as basic health care. Chair Pitcher affirmed that they were included.

**A vote was taken, and the motion carried.**

USHE – Proposed Operations and Maintenance Budget Request Model (Tab H). Chair Pitcher reported that a working group, consisting of representatives from the Commissioner's staff, DFCM, and USHE institutions, had developed a formula for determining O&M requests for new capital development projects. The Commissioner's recommendation was that the formula be pilot-tested for the next year. **Chair Pitcher moved approval of the Commissioner's recommendation. The motion was seconded by Regent Atkin.** Associate Commissioner Spencer explained that this was the formula on which O&M requests for new buildings would be based. We will pilot test it for a year and look at all of the numbers (including existing buildings) in three years. The formula represents an incremental increase to the existing O&M budget. Regent Johnson asked if the Regents would look at actual costs compared with the formula. Dr. Spencer said costs would be assessed periodically. Fuel and power fluctuate the most widely every year. **A vote was taken, and the motion carried.**

Financial Controls, Auditing Practices, and Proposed Replacement Policy R565, Audit Committees (Tab I). Chair Pitcher said the Regents were certain every institution had good internal controls, but they want the message to be heard that the Regents are very serious about this issue. He said he was impressed with the progress made by the institutions and their audit committees. **Chair Pitcher moved the Commissioner's recommendation:**

**It is the recommendation of the Commissioner that the Board of Regents direct all USHE institutions, if they have not already done so, to undertake a program of training during the next twelve months for all management and supervisory personnel to ensure**

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**knowledge and compliance with effective internal financial control procedures and practices. Institutions should provide a summary report to the Regents of these training programs by June 30, 2004.**

**It is also recommended that the Board replace existing Regents' policies \$565 and R566 with a new policy R565, to be implemented as soon as possible and not later than September 1, 2003, by the Board of Regents and institutional Boards of Trustees.**

**The motion was seconded by Regent Atkin.** Chair Karras pointed out that this policy makes it clear that the trustees have an important role in reviewing the finances of their institutions. He said the Chair expects that every audit on campus will be included in this responsibility. He said he, Commissioner Foxley and Associate Commissioner Spencer would work further on oversight of the entire System. Regent Hoggan said this was an overwhelming task. It will be more important for the Trustees to have oversight of individual audits and the Regents can focus on systemwide issues.

Regent Jardine asked how many institutions had independent internal auditors. When he chaired the University of Utah Board of Trustees, he would meet at least quarterly to review audits of the University funds. He recommended counsel with institutional internal auditors. President Bennion said SUU had asked for funding for an internal audit and had done things to help meet that need. Campus committees have been created to do selective audits. Regent Johnson suggested that the Governor's Office be informed that audit committees would be added to the trustees' responsibilities. Commissioner Foxley said she had made that point with Nancy Brown in the Governor's Office. They are looking at people with financial backgrounds to replace Trustees whose terms are ending. Regent Jardine pointed out that the Sarbanes-Oxley Act requires that the chair of an audit committee have previous audit or financial experience. Chair Karras said the policy allows Trustees to include from the community up to three members for an audit committee. If political appointees are not qualified, outside experts may be brought in to provide the necessary expertise. Chair Pitcher recommended that institutional Presidents consider their CFO or auditor in their outside appointments to this committee. The Attorney General's representative met with the committee and said volunteers have the same legal immunity as employees.

**Vote was taken on the motion, which carried.**

Utah State University – Sale of Building and Lease of Property (Tab J). Chair Pitcher referred to the Commissioner's cover letter and said the Delta Sigma Phi (DSP) fraternity was proposing to purchase and occupy the Sigma Phi Epsilon (SPE) fraternity house, and the University will lease the ground. Extensive capital improvements and landscaping will need to be done to make the property functional and to comply with city building codes and parking regulations. The landscaping will also need to conform with University standards. The proposed purchase price is \$7000, which is the estimated maintenance and utility costs spent on the property to date by the University. The sale and purchase were approved by the USU Board of Trustees and the transaction was reviewed by the Attorney General's Office. **Chair Pitcher moved approval of the sale of the building and purchase of the property. The motion was seconded by Regent Hoggan and carried unanimously.**

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Utah Valley State College – Purchase of Vineyard Elementary and Alpine Life Learning Facility (Tab K). Chair Pitcher said the Legislature had approved bonding for the facility in the amount of \$6.6 million. This project was UVSC's top priority for the 2003-2004 year. Stating that DFCM required Regent approval of the property acquisition before the funds can be released to the College, **Chair Pitcher moved approval of the transaction. The motion was seconded by Regents Mantes and Atkin and carried.** Vice President Peterson said this was a significant addition to the UVSC Campus. The building can accommodate 5000 students.

Utah College of Applied Technology – Proposed Tuition Increase for 2003-2004 (Tab L). Chair Pitcher said UCAT proposed to increase its tuition from 95 cents to one dollar per hour, an increase of 5.26 percent. **Chair Pitcher moved approval of the tuition increase. The motion was seconded by Regent Sinclair and carried unanimously.**

USHE – 2003-2004 Final Capital Improvement Allocations (Tab M) and Administrative Efficiencies – Consolidation/Clustering of Some Functions (Tab N) – Chair Pitcher noted that these reports were given for information only and required no action. He noted that the committee had received a status report of the consolidation of administrative functions. This will be the first item on the next committee meeting.

Proposed Review of Current Institutional Investment Practices and Status of Trustee Investment Committees (Tab O). Chair Pitcher said the committee was currently reviewing the investment practices of each institution to gain an understanding and are looking to see if changes are required. Chair Karras recommended a uniform reporting system.

UHEAA Board of Directors Report (Tab P) Chair Pitcher said the committee had asked Associate Commissioner Norris to deliver a report on student financial aid in Utah in a future Board meeting.

Consent Calendar, Finance, Facilities and Accountability Committee (Tab Q). **Upon motion by Regent Hoggan and second by Regent Atkin, the following items were approved on the committee's consent calendar:**

- A. USHE – Initial Work Programs
- B. USHE – 2003-2004 Tuition Rate for UCAT AAT Degree Students in General Education
- C. USHE – Utah Electronic College (UEC) 2003-2004 Tuition Rates
- D. USHE – Proposed Amendment to Policy R513 (National Guard)
- E. OCHE – Monthly Investment Report
- F. UofU and USU – Capital Facilities Delegation Reports
- G. USHE – Proposed Amendments to Policy R710, Capital Facilities
- H. Utah State University – Proposed Sale of Property to UDOT
- I. Salt Lake Community College – Expansion of Leased Space of Sandy Center (ratification)

General Consent Calendar

**On motion by Vice Chair Atkinson and second by Regent Barrett, the following items were approved on the Regents' General Consent Calendar:**



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1. Minutes – Approval of the Minutes of the Regular Meeting of the Utah State Board of Regents held March 14, 2003, at the Board Offices in Salt Lake City, Utah
  2. Grant Proposals - Approval to submit the following proposals:
    - A. University of Utah – National Institutes of Health; “Muscular Dystrophy Cooperative Research Center;” \$7,915,743. Kevin M. Flanigan, Principal Investigator.
    - B. Utah State University – US DOD Missile Defense Agency; “RAMOS Joint Preliminary Design Review Task Plan 6;” \$1,150,780. Thomas Humpherys, Principal Investigator.
    - C. Utah State University –Lockheed Missiles and Space Company, Inc.; “Tactical Input Station Screener Processor Element Quote - Software;” \$2,058,690. Niel S. Holt, Principal Investigator.
    - D. Utah State University – Lockheed Missiles and Space Company, Inc.; “4 Additional Screener Processor Element Units;” \$1,106,132. Niel S. Holt, Principal Investigator.
    - E. Utah State University – US DOD US Navy; “Response to Time Critical Sensor Image/Data Processing Task;” \$3,874,886. Niel S. Holt, Principal Investigator.
    - F. Utah State University – National Science Foundation; “Vegetation and Climate Controls on Soil Organic Carbon Dynamics in the Intermountain West;” \$1,216,099. Helga Van Miegroet, Principal Investigator.
    - G. Utah State University – Department of Health & Human Services; “Project C.5. of Region VIII Centers of Excellence for Biodefense and Emerging Infectious Diseases RCE); \$4,846,363. John D. Morrey, Principal Investigator.
    - H. Utah State University – National Science Foundation; “PBI: Biodiversity and Evolution of the Megachilid Bees of the World (Insecta, Hymenoptera: Megachilidae);” \$3,133,036. Terry Griswold, Principal Investigator.
    - I. Utah State University – National Science Foundation; “Mathematics & Science Partnership for all Utah Students: Middle Grades Initiative;” \$8,849,621. James Cangelosi, Principal Investigator.
    - J. Utah State University – Department of Health & Human Services; “Determination of Hydrogen-bond Energy in Biomolecules;” \$1,100,000. Steve Scheiner, Principal Investigator.
    - K. Utah State University – Department of Health & Human Services; “In Vitro Antiviral Screening Program: Respiratory Viruses;” \$2,294,642. Robert W. Sidwell, Principal Investigator.
    - L. Utah State University – Department of Health & Human Services; “In Vitro Antiviral Screening Program: Biodefense Pathogens;” \$3,674,879. Robert W. Sidwell, Principal Investigator.

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- M. Utah State University – Duke University; "Epidemiology of Alzheimer's Dementia in Cache County, Utah;" \$1,164,179. Maria C. Norton, Principal Investigator.
  - N. Utah State University – US DOD Missile Defense Agency; "RAMOS Joint Preliminary Design Review Task Plan 6;" \$5,800,000. Thomas Humpherys, Principal Investigator.
  - O. Utah State University – US DOD Missile Defense Agency; "RAMOS Task Order 12" (Period of Performance: 2/9/2002 to 7/31/2003); \$5,195,000. Thomas Humpherys, Principal Investigator.
  - P. Utah State University – US DOD US Navy; "Response to Time Critical Sensor Image/Data Processing Task;" \$1,417,000. Niel S. Holt, Principal Investigator.
  - Q. Utah State University – US DOD Missile Defense Agency; "RAMOS Task Order 12" (Period of Performance: 1/1/2003 to 12/31/2003); \$5,195,000. Thomas Humpherys, Principal Investigator.
  - R. Utah State University – Instituto Nacional de Recursos Hidraulicos; "Technical Supervision of the Design and Installation of a Pressurized Irrigation System, Azua II, in the Dominican Republic;" \$2,067,206. Gary P. Merkley, Principal Investigator.
  - S. Utah State University – National Science Foundation; "Continuous Universal Access to Dynamic and Complex Indoor Environments;" \$1,049,811. Vladimir Kulyukin, Principal Investigator.
  - T. Utah State University – Northface Learning; "A Collaborative Project-based Approach to Educating Software Developers;" \$4,537,500. David Wiley, Principal Investigator.
  - U. Utah State University – US Department of Education; "Reading Comprehension Problems: Profiles, Indicators, Supports, and Boosts;" \$1,387,964. Lori Roggman, Principal Investigator.
  - V. Utah State University – Raytheon; "Order for Fabrication of Eight ARCH (Advanced Reconnaissance Compression Hardware) Circuit Card Suites for the US Navy;" \$1,143,055. Niel S. Holt, Principal Investigator.
  - W. Utah State University – USDA/CSREES; "Implementation of Western Region Sustainable Agriculture Research and Education (SARE);" \$3,023,912. Philip Rasmussen, Principal Investigator.
  - X. Utah State University – Naval Research Laboratory; "Response to Time Critical Sensor Image/Data Processing Task Order #3 Extension;" \$2,999,691. Niel S. Holt, Principal Investigator.
  - Y. Utah State University – Naval Research Laboratory; "Response to Time Critical Sensor Image/Data Processing Task Order #5;" \$11,999,964. Niel S. Holt, Principal Investigator.

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- Z. Utah State University – USDA/CSREES; "Implementation of Western Region Sustainable Agriculture Research and Education (SARE) Professional Development Program (PDP);" \$1,090,298. Philip Rasmussen, Principal Investigator.
  - AA. Utah State University – Institute of Education Sciences; "Prevention Plus: An Effective Program to Prevent Antisocial Behavior;" \$1,841,062. Richard P. West, Principal Investigator.
  - BB. Utah State University – Missile Defense Agency; "Modification to Task Order 12 to Add Russian Subcontract Addendum 19;" \$5,195,000. Tom Humpherys, Principal Investigator.
  - CC. Utah State University – Naval Research Laboratory; "Extension of Time Critical Sensor Image/Data Processing Task Order N00173-02-D-2003/0004;" \$3,874,886. Niel S. Holt, Principal Investigator.
  - DD. Utah Valley State College – National Science Foundation; Science Equipment; \$2,000,000. Sam Rushforth.
  - EE. Utah Valley State College – HUD; Science Building Construction; \$900,000. Sam Rushforth.
3. Executive Session(s) — Approval to hold an executive session or sessions prior to or in connection with the meetings of the State Board of Regents to be held July 9, 2003 at the Board Offices in Salt Lake City, Utah to consider property transactions, personnel issues, litigation, and such other matters permitted by the Utah Open and Public Meetings Act.

#### Report of the Chair

Competency-Based Summit. Chair Karras said a Competency-Based Education Summit would be held in the fall. Higher education will be involved in this summit. Public education will also have a big role. More information will be shared with the Board when it is available.

Nursing. Chair Karras reported that SUU and Dixie had worked together on the delivery of nursing programs in southern Utah. The System is looking at how to increase the number of nursing graduates in the state. He assured the Regents that progress is being made on this issue.

#### Report of the Commissioner

Recognition of Dave Eisler. Commissioner Foxley announced that Dr. David Eisler, Weber State University Provost, had accepted the position as President of Ferris State University. The immediate past President of Ferris State was Bill Sederburg, the new President of Utah Valley State College. The Commissioner congratulated Dr. Eisler and wished him well in his new position.

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Recognition of Student Body Presidents. Commissioner Foxley welcomed Swen Swenson, UVSC Student Body President and President of the Utah Council of Student Body Presidents (UCSP) and DeVere Day, former President of the Snow College student body and currently a student at the University of Utah.

Institutional Changes. Commissioner recognized Bill Fowler, who will be retiring in August as Student Services Vice President at Dixie State College. She indicated that Bill has been a great asset not only to Dixie State College, but to the System. President Huddleston said that before coming to Dixie 26 years ago, Bill worked at SLCC and the University of Utah. Dixie's new Vice President of Student Services will be Phil Alletto. Max Rose, Dixie's Academic Vice President, has been named Superintendent of Schools for Washington County. Max has worked at Dixie for more than 30 years and will be greatly missed by all in higher education. Joe Peterson will be acting as Academic Vice President until a permanent replacement is found.

Presidential Installations. Commissioner Foxley announced that dates had been selected for Presidential installations as follows:

August 18 (morning) – Ann Millner, Weber State University

September 20 (late afternoon/early evening) – Ryan Thomas, College of Eastern Utah

October 30 (late afternoon/early evening) – Bill Sederburg, Utah Valley State College

Commissioner Foxley said it was likely the October 31 Board meeting would be held on the UVSC Campus in conjunction with President Sederburg's installation.

Material in Regents' Folders. Commissioner Foxley reviewed the information in the Regents' folders, which included the summaries of USHE institutions ranked in the *US News and World Report's* annual report. President Bernie Machen was recently featured in *Utah Business Magazine* on tracking success. The Commissioner noted that Utah had hosted the WICHE (Western Interstate Commission on Higher Education) Annual Meeting earlier in the month. Utah WICHE Commissioners (Regent George Mantes, Senator Dave Gladwell and Commissioner Foxley) felt it would be helpful to give the Regents periodic updates on the Commission.

Commissioner Foxley said she had received a memo from Lynne Ward, Director of the Governor's Office of Budget and Planning, reminding state agencies that the economy has not recovered as quickly as everyone had hoped. The Commissioner asked the Presidents to be very prudent in expending funds. We all need to be cognizant of budget constraints in building budgets for the next year.

#### Recognition Luncheon

In a special luncheon, Commissioner Foxley and the Board recognized outgoing Regents Atkinson, Douangdara and Hoggan for their many years of service on behalf of higher education. Chair Karras said all three individuals had carried huge loads for this System. He expressed his appreciation to Regent Hoggan for his wise judgment, to Vice Chair Atkinson for her involvement on several committees and boards, and to Regent Douangdara for representing the students well.

#### Adjournment

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The Regents met in executive session following the luncheon and adjourned from there..

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Joyce Cottrell CPS  
Executive Secretary

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Date Approved

## **SUMMARY – UTAH STATE MONEY MANAGEMENT ACT**

July 2003

Utah college and university investments are governed by the State Money Management Act, Section 51-7, Utah Code Annotated, 1953, as amended, and the rules of the State Money Management Council. All securities purchased or held and all evidence of deposits and investments must be in the custody of the college/university or deposited with a qualified agent, bank or trust company. Investment transactions may only be conducted through qualified depositories or certified dealers.

Statutes authorize the University to invest in:

- deposits of qualified Utah depositories
- deposits of certified out-of-state depositories
- repurchase agreements and reverse repurchase agreements
- commercial paper which is classified as "first tier" by two nationally recognized statistical rating organizations, one of which must be Moody's Investors Service, Inc, or Standard and Poor's Corporation
- bankers' acceptances
- fixed rate and variable rate negotiable deposits
- obligations of the United States Government and certain agencies or instrumentalities
- bonds of any political subdivision of the State of Utah
- shares or certificates in a money market mutual fund as defined in the Act
- short-term corporate obligations that are rated A or higher or the equivalent of A or higher, by two nationally recognized statistical rating organizations, one of which must be Moody's Investors Service, Inc. or Standard and Poor's Corporation which must satisfy certain criteria
- the Utah State Treasurer's Investment Pool

Statutes also authorize a college or university to invest its gift funds according to the rules of the Utah Money Management Council. Those rules allow investing gift funds in:

- any of the above investments
- professionally managed commingled investment funds or mutual funds which satisfy certain criteria
- equity securities issued by corporations listed on a major securities exchange or in the NASDAQ National Market System which satisfy certain criteria
- fixed-income securities issued by corporations rated A or higher by Moody's Investors Service, Inc. or Standard and Poor's Corporation which satisfy certain criteria
- fixed-income securities issued by agencies of the United States and government sponsored organizations

Regent Policy R541 delegates investment management, including asset allocation, to Boards of Trustees. It provides for periodic Trustee approval of reports and an annual Money Management Report to the Regents.